



**IRDAI PUBLIC DISCLOSURES
FOR THE SIX MONTHS ENDED SEPTEMBER 30, 2023**

| Version No. | Form Upload Date | Particulars of Change |
|--------------------|-------------------------|------------------------------|
| 1.0 | October 31, 2023 | NA |

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

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Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Regn.No. 105 dated 24.11.2000

Condensed Standalone Revenue Account for the quarter ended September 30, 2023
Policyholders' Account (Technical Account)

(₹ Lakhs)

| Particulars | Schedule Ref. Form No. | Linked Business | | | | | Non-Linked Business | | | | | | | | | | Grand Total | | | | |
|-----------------------------------------------------------------------|------------------------|-----------------|---------------|--------------|--------------------|----------------|---------------------|----------|---------------|----------|--------------------|-------------------|----------------|---------------|--------------|------------|-------------|--------------------|------------------|-------|--|
| | | Life | Pension | Health | Variable Insurance | Total | Participating | | | | | Non-Participating | | | | | | | | | |
| | | | | | | | Life | Annuity | Pension | Health | Variable Insurance | Total | Life | Annuity | Pension | Health | | Variable Insurance | Total | | |
| Premiums earned – net | | | | | | | | | | | | | | | | | | | | | |
| (a) Premium | L-4 | 486,938 | 9,793 | 1,341 | - | 498,072 | 128,181 | - | 144 | - | - | 128,325 | 35,4993 | 56,525 | 3,679 | 980 | 16 | 416,193 | 1,042,590 | | |
| (b) Reinsurance ceded | | (548) | - | (1,312) | - | (1,860) | (229) | - | - | - | (229) | (38,013) | - | - | (246) | - | - | (38,259) | (40,348) | | |
| (c) Reinsurance accepted | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | | |
| Income from Investments | | | | | | | | | | | | | | | | | | | | | |
| (a) Interest, Dividends & Rent – Gross | | 86,902 | 9,839 | 954 | - | 97,695 | 48,445 | - | 2,779 | - | - | 51,224 | 85,174 | 21,859 | 2,499 | 138 | 302 | 109,972 | 258,891 | | |
| (b) Profit on sale/redemption of investments | | 306,181 | 21,156 | 2,345 | - | 329,682 | 30,278 | - | 85 | - | - | 30,363 | 26,232 | 483 | - | - | - | 26,715 | 386,760 | | |
| (c) (Loss on sale/ redemption of investments) | | (15,237) | (1,423) | (106) | - | (16,766) | (556) | - | (14) | - | - | (570) | (409) | (3) | - | - | - | (412) | (17,748) | | |
| (d) Transfer/Gain on revaluation/change in fair value* | | 48,484 | (3,608) | 171 | - | 45,047 | - | - | - | - | - | - | (237) | (138) | - | - | - | (375) | 44,672 | | |
| (e) (Amortisation of Premium)/ Discount on investments | | 15,793 | 1,211 | 54 | - | 17,058 | 433 | - | (42) | - | - | 391 | 2,655 | 1,169 | 57 | - | (11) | 3,870 | 21,319 | | |
| Other Income | | | | | | | | | | | | | | | | | | | | | |
| (a) Income on unclaimed amount of policyholders | | 1,710 | - | - | - | 1,710 | - | - | - | - | - | - | - | - | - | - | - | - | - | 1,710 | |
| (b) Fees and charges | | 1 | - | - | - | 1 | 2,046 | - | - | - | - | 2,046 | 1,410 | 4 | - | - | - | 1,414 | 3,461 | | |
| (c) Miscellaneous income | | 2 | - | - | - | 2 | - | - | - | - | - | 3 | - | - | - | - | - | 3 | 5 | | |
| Contribution from Shareholders' A/c | | | | | | | | | | | | | | | | | | | | | |
| (a) Towards Excess Expenses of Management | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | | |
| (b) Towards deficit funding and others | | - | - | - | - | - | - | - | - | - | - | - | 40,412 | 10,887 | (13) | 113 | (26) | 51,373 | 51,373 | | |
| Total (A) | | 930,226 | 36,968 | 3,447 | - | 970,641 | 208,598 | - | 2,952 | - | - | 211,550 | 472,220 | 90,786 | 6,222 | 985 | 281 | 570,494 | 1,752,685 | | |
| Commission | L-5 | 12,592 | 11 | 2 | - | 12,605 | 20,392 | - | 1 | - | - | 20,393 | 40,069 | 3,965 | - | 58 | - | 44,092 | 77,090 | | |
| Operating Expenses related to Insurance Business | L-6 | 26,041 | 333 | 129 | - | 26,503 | 16,724 | - | 21 | - | - | 16,745 | 67,534 | 4,056 | 35 | 340 | 6 | 71,971 | 115,219 | | |
| Provision for doubtful debts | | 11 | - | - | - | 11 | 24 | - | - | - | - | 24 | 27 | 1 | - | - | - | 28 | 63 | | |
| Bad debts written off | | 102 | - | - | - | 102 | 33 | - | - | - | - | 33 | 49 | 10 | - | - | - | 59 | 194 | | |
| Provisions (other than taxation) | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | | |
| (a) For diminution in the value of investments (Net) | | - | - | - | - | - | 304 | - | - | - | - | 304 | - | - | - | - | - | - | 304 | | |
| (b) For others | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | | |
| Goods and Services Tax on ULIP Charges | | 15,095 | 769 | 492 | - | 16,356 | - | - | - | - | - | - | - | - | - | - | - | - | 16,356 | | |
| Total (B) | | 53,841 | 1,113 | 623 | - | 55,577 | 37,477 | - | 22 | - | - | 37,499 | 107,679 | 8,032 | 35 | 398 | 6 | 116,150 | 209,226 | | |
| Benefits Paid (Net) | L-7 | 755,091 | 43,654 | 1,457 | - | 800,202 | 47,738 | - | 1,310 | - | - | 49,048 | 60,475 | 19,398 | 10,512 | 230 | 2,205 | 92,820 | 942,070 | | |
| Interim Bonuses Paid | | - | - | - | - | - | 4,355 | - | 7 | - | - | 4,362 | - | - | - | - | - | - | 4,362 | | |
| Change in valuation of liability in respect of life policies | | | | | | | | | | | | | | | | | | | | | |
| (a) Gross** | | 4,684 | 513 | 31 | - | 5,228 | 124,085 | - | 70 | - | - | 124,155 | 302,134 | 63,356 | (4,482) | 533 | (1,930) | 359,611 | 488,994 | | |
| (b) Amount ceded in Reinsurance | | - | - | - | - | - | - | - | - | - | - | - | 1,940 | - | - | (176) | - | 1,764 | 1,764 | | |
| (c) Amount accepted in Reinsurance | | - | - | - | - | - | - | - | - | - | - | - | (8) | - | - | - | - | (8) | (8) | | |
| (d) Fund Reserve for Linked Policies | | 149,884 | (11,495) | 740 | - | 139,129 | - | - | - | - | - | - | - | - | - | - | - | - | 139,129 | | |
| (e) Fund for Discontinued Policies | | (65,889) | 85 | - | - | (65,804) | - | - | - | - | - | - | - | - | - | - | - | - | (65,804) | | |
| Total (C) | | 843,770 | 32,757 | 2,228 | - | 878,755 | 176,178 | - | 1,387 | - | - | 177,565 | 364,541 | 82,754 | 6,030 | 587 | 275 | 454,187 | 1,510,507 | | |
| Surplus/(deficit) (D) = (A)-(B)-(C) | | 32,615 | 3,098 | 596 | - | 36,309 | (5,057) | - | 1,543 | - | - | (3,514) | - | - | 157 | - | - | 157 | 32,952 | | |
| Provision for taxation | | - | - | - | - | - | (2,868) | - | - | - | - | (2,868) | - | - | - | - | - | - | (2,868) | | |
| (a) Current tax credit/(charge) | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | | |
| (b) Deferred tax credit/(charge) | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | | |
| Surplus/(deficit) after tax | | 32,615 | 3,098 | 596 | - | 36,309 | (7,925) | - | 1,543 | - | - | (6,382) | - | - | 157 | - | - | 157 | 30,084 | | |
| Amount transferred from Shareholders' Account (Non-technical Account) | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | | |
| Amount available for appropriation | | 32,615 | 3,098 | 596 | - | 36,309 | (7,925) | - | 1,543 | - | - | (6,382) | - | - | 157 | - | - | 157 | 30,084 | | |
| Appropriations | | | | | | | | | | | | | | | | | | | | | |
| Transfer to Shareholders' Account | | 32,615 | 3,098 | 596 | - | 36,309 | - | - | - | - | - | - | - | - | 157 | - | - | 157 | 36,466 | | |
| Transfer to Other Reserves | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | | |
| Balance being Funds for Future Appropriations | | - | - | - | - | - | (7,925) | - | 1,543 | - | - | (6,382) | - | - | - | - | - | - | (6,382) | | |
| Total | | 32,615 | 3,098 | 596 | - | 36,309 | (7,925) | - | 1,543 | - | - | (6,382) | - | - | 157 | - | - | 157 | 30,084 | | |
| Funds for future appropriation | | | | | | | | | | | | | | | | | | | | | |
| Opening balance as at July 1, 2023 | | - | - | - | - | - | 100,242 | - | 48,794 | - | - | 149,036 | - | - | - | - | - | - | 149,036 | | |
| Add: Current period appropriation | | - | - | - | - | - | (7,925) | - | 1,543 | - | - | (6,382) | - | - | - | - | - | - | (6,382) | | |
| Balance carried forward to Balance Sheet | | - | - | - | - | - | 92,317 | - | 50,337 | - | - | 142,654 | - | - | - | - | - | - | 142,654 | | |

* Represents the deemed realised gain as per norms specified by the Authority

** Represents Mathematical Reserves after allocation of bonus

The schedules referred to herein form an integral part of the Condensed Standalone Revenue Account.

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Regn.No. 105 dated 24.11.2000

Condensed Standalone Revenue Account for the half year ended September 30, 2023

Policyholders' Account (Technical Account)

(₹ Lakhs)

| Particulars | Schedule Ref. Form No. | Linked Business | | | | | Non-Linked Business | | | | | | | | | | | | Grand Total |
|-----------------------------------------------------------------------|------------------------|------------------|----------------|---------------|--------------------|------------------|---------------------|---------|---------------|--------|--------------------|-------------------|----------------|----------------|---------------|--------------|--------------------|------------------|------------------|
| | | Life | Pension | Health | Variable Insurance | Total | Participating | | | | | Non-Participating | | | | | | | |
| | | | | | | | Life | Annuity | Pension | Health | Variable Insurance | Total | Life | Annuity | Pension | Health | Variable Insurance | Total | |
| Premiums earned – net | L-4 | 813,280 | 23,071 | 2,498 | - | 838,849 | 208,600 | - | 309 | - | - | 208,909 | 608,589 | 99,008 | 21,283 | 1,863 | 1,565 | 732,308 | 1,780,066 |
| (a) Premium | | | | | | | | | | | | | | | | | | | |
| (b) Reinsurance ceded | | (1,106) | - | (2,632) | - | (3,738) | (433) | - | - | - | - | (433) | (71,164) | - | - | (487) | - | (71,651) | (75,822) |
| (c) Reinsurance accepted | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Income from Investments | | | | | | | | | | | | | | | | | | | |
| (a) Interest, Dividends & Rent – Gross | | 183,793 | 20,479 | 2,001 | - | 206,273 | 92,878 | - | 5,582 | - | - | 98,460 | 164,248 | 42,671 | 4,823 | 273 | 602 | 212,617 | 517,350 |
| (b) Profit on sale/redemption of investments | | 516,944 | 36,816 | 3,976 | - | 557,736 | 41,596 | - | 172 | - | - | 41,768 | 46,060 | 551 | - | - | - | 46,611 | 646,115 |
| (c) (Loss on sale/ redemption of investments) | | (47,857) | (4,419) | (508) | - | (52,784) | (1,187) | - | (259) | - | - | (1,446) | (667) | (9) | - | - | - | (676) | (54,906) |
| (d) Transfer/Gain on revaluation/change in fair value* | | 1,087,980 | 50,104 | 8,424 | - | 1,146,508 | - | - | - | - | - | - | (624) | (254) | - | - | - | (878) | 1,145,630 |
| (e) (Amortisation of Premium)/ Discount on investments | | 32,592 | 2,499 | 122 | - | 35,213 | 620 | - | (105) | - | - | 515 | 4,821 | 2,169 | 103 | (1) | (26) | 7,066 | 42,794 |
| Other Income | | | | | | | | | | | | | | | | | | | |
| (a) Income on unclaimed amount of policyholders | | 3,251 | - | - | - | 3,251 | - | - | - | - | - | - | - | - | - | - | - | - | 3,251 |
| (b) Fees and charges | | 2 | - | - | - | 2 | 3,732 | - | 1 | - | - | 3,733 | 2,640 | 9 | - | 1 | - | 2,650 | 6,385 |
| (c) Miscellaneous income | | 3 | - | - | - | 3 | 1 | - | - | - | - | 1 | 2 | - | - | - | - | 2 | 6 |
| Contribution from Shareholders' A/c | | | | | | | | | | | | | | | | | | | |
| (a) Towards Excess Expenses of Management | | - | - | - | - | - | - | - | - | - | - | - | 79,745 | 16,069 | - | 113 | 10 | 95,937 | 95,937 |
| (b) Towards deficit funding and others | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Total (A) | | 2,588,882 | 128,550 | 13,881 | - | 2,731,313 | 345,807 | - | 5,700 | - | - | 351,507 | 833,650 | 160,214 | 26,209 | 1,762 | 2,151 | 1,023,986 | 4,106,806 |
| Commission | L-5 | 20,516 | 23 | 3 | - | 20,542 | 29,041 | - | 2 | - | - | 29,043 | 60,315 | 5,429 | - | 106 | - | 65,850 | 115,435 |
| Operating Expenses related to Insurance Business | L-6 | 49,861 | 735 | 248 | - | 50,844 | 32,433 | - | 44 | - | - | 32,477 | 134,789 | 7,267 | 169 | 774 | 22 | 143,021 | 226,342 |
| Provision for doubtful debts | | (54) | (1) | - | - | (55) | (23) | - | - | - | - | (23) | (25) | (3) | - | (2) | - | (30) | (108) |
| Bad debts written off | | 202 | 1 | - | - | 203 | 76 | - | - | - | - | 76 | 118 | 25 | - | 1 | - | 144 | 423 |
| Provisions (other than taxation) | | | | | | | | | | | | | | | | | | | |
| (a) For diminution in the value of investments (Net) | | - | - | - | - | - | 304 | - | - | - | - | 304 | - | - | - | - | - | - | 304 |
| (b) For others | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Goods and Services Tax on ULIP Charges | | 29,139 | 1,514 | 967 | - | 31,620 | - | - | - | - | - | - | - | - | - | - | - | - | 31,620 |
| Total (B) | | 99,664 | 2,272 | 1,218 | - | 103,154 | 61,831 | - | 46 | - | - | 61,877 | 195,197 | 12,718 | 169 | 879 | 22 | 208,985 | 374,016 |
| Benefits Paid (Net) | L-7 | 1,371,312 | 82,192 | 2,497 | - | 1,456,001 | 90,362 | - | 14,107 | - | - | 104,469 | 121,043 | 37,536 | 10,736 | 242 | 2,373 | 171,930 | 1,732,400 |
| Interim Bonuses Paid | | - | - | - | - | - | 8,600 | - | 13 | - | - | 8,613 | - | - | - | - | - | - | 8,613 |
| Change in valuation of liability in respect of life policies | | | | | | | | | | | | | | | | | | | |
| (a) Gross** | | 10,335 | 1,513 | (121) | - | 11,727 | 208,446 | - | (11,378) | - | - | 197,068 | 508,594 | 109,960 | 15,147 | 966 | (244) | 634,423 | 843,218 |
| (b) Amount ceded in Reinsurance | | - | - | - | - | - | - | - | - | - | - | - | 8,876 | - | - | (325) | - | 8,551 | 8,551 |
| (c) Amount accepted in Reinsurance | | - | - | - | - | - | - | - | - | - | - | - | (60) | - | - | - | - | (60) | (60) |
| (d) Fund Reserve for Linked Policies | | 1,105,691 | 36,141 | 8,413 | - | 1,150,245 | - | - | - | - | - | - | - | - | - | - | - | - | 1,150,245 |
| (e) Fund for Discontinued Policies | | (64,373) | 290 | - | - | (64,083) | - | - | - | - | - | - | - | - | - | - | - | - | (64,083) |
| Total (C) | | 2,422,965 | 120,136 | 10,789 | - | 2,553,890 | 307,408 | - | 2,742 | - | - | 310,150 | 638,453 | 147,496 | 25,883 | 883 | 2,129 | 814,844 | 3,678,884 |
| Surplus/(deficit) (D) = (A)-(B)-(C) | | 66,253 | 6,142 | 1,874 | - | 74,269 | (23,432) | - | 2,912 | - | - | (20,520) | - | - | 157 | - | - | 157 | 53,906 |
| Provision for taxation | | | | | | | | | | | | | | | | | | | |
| (a) Current tax credit/(charge) | | - | - | - | - | - | (3,753) | - | - | - | - | (3,753) | - | - | - | - | - | - | (3,753) |
| (b) Deferred tax credit/(charge) | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Surplus/(deficit) after tax | | 66,253 | 6,142 | 1,874 | - | 74,269 | (27,185) | - | 2,912 | - | - | (24,273) | - | - | 157 | - | - | 157 | 50,153 |
| Amount transferred from Shareholders' Account (Non-technical Account) | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Amount available for appropriation | | 66,253 | 6,142 | 1,874 | - | 74,269 | (27,185) | - | 2,912 | - | - | (24,273) | - | - | 157 | - | - | 157 | 50,153 |
| Appropriations | | | | | | | | | | | | | | | | | | | |
| Transfer to Shareholders' Account | | 66,253 | 6,142 | 1,874 | - | 74,269 | - | - | - | - | - | - | - | - | 157 | - | - | 157 | 74,426 |
| Transfer to Other Reserves | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Balance being Funds for Future Appropriations | | - | - | - | - | - | (27,185) | - | 2,912 | - | - | (24,273) | - | - | - | - | - | - | (24,273) |
| Total | | 66,253 | 6,142 | 1,874 | - | 74,269 | (27,185) | - | 2,912 | - | - | (24,273) | - | - | 157 | - | - | 157 | 50,153 |
| Funds for future appropriation | | | | | | | | | | | | | | | | | | | |
| Opening balance as at April 1, 2023 | | - | - | - | - | - | 119,503 | - | 47,424 | - | - | 166,927 | - | - | - | - | - | - | 166,927 |
| Add: Current period appropriation | | - | - | - | - | - | (27,185) | - | 2,912 | - | - | (24,273) | - | - | - | - | - | - | (24,273) |
| Balance carried forward to Balance Sheet | | - | - | - | - | - | 92,318 | - | 50,336 | - | - | 142,654 | - | - | - | - | - | - | 142,654 |

* Represents the deemed realised gain as per norms specified by the Authority

** Represents Mathematical Reserves after allocation of bonus

The schedules referred to herein form an integral part of the Condensed Standalone Revenue Account.

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Regn.No. 105 dated 24.11.2000

Condensed Standalone Revenue Account for the quarter ended September 30, 2022
Policyholders' Account (Technical Account)

(₹ Lakhs)

| Particulars | Schedule Ref. Form No. | Linked Business | | | | | Non-Linked Business | | | | | | | | | | Grand Total | | | | |
|-----------------------------------------------------------------------|------------------------|------------------|---------------|--------------|--------------------|------------------|---------------------|----------|---------------|----------|--------------------|-------------------|----------------|---------------|---------------|-------------|-------------|--------------------|------------------|----------|---------|
| | | Life | Pension | Health | Variable Insurance | Total | Participating | | | | | Non-Participating | | | | | | | | | |
| | | | | | | | Life | Annuity | Pension | Health | Variable Insurance | Total | Life | Annuity | Pension | Health | | Variable Insurance | Total | | |
| Premiums earned – net | | | | | | | | | | | | | | | | | | | | | |
| (a) Premium | L-4 | 484,079 | 11,026 | 1,394 | - | 496,499 | 114,755 | - | 168 | - | - | 114,923 | 280,291 | 70,282 | 26,225 | 973 | 365 | - | - | 378,136 | 989,558 |
| (b) Reinsurance ceded | | (657) | - | (1,347) | - | (2,004) | (145) | - | - | - | (145) | (29,040) | - | - | - | (233) | - | - | (29,273) | (31,422) | |
| (c) Reinsurance accepted | | - | - | - | - | - | - | - | - | - | - | 36 | - | - | - | - | - | - | 36 | 36 | |
| Income from Investments | | | | | | | | | | | | | | | | | | | | | |
| (a) Interest, Dividends & Rent – Gross | | 83,041 | 8,653 | 781 | - | 92,475 | 40,610 | - | 3,073 | - | - | 43,683 | 63,023 | 18,221 | 1,486 | 129 | 436 | - | 83,295 | 219,453 | |
| (b) Profit on sale/redemption of investments | | 254,298 | 19,789 | 1,970 | - | 276,057 | 9,898 | - | 3,607 | - | - | 13,505 | 13,731 | 316 | - | - | 206 | - | 14,253 | 303,815 | |
| (c) (Loss on sale/ redemption of investments) | | (76,639) | (6,110) | (1,524) | - | (84,273) | (1,520) | - | (274) | - | - | (1,794) | (1,622) | (1,051) | - | - | (171) | - | (2,844) | (88,911) | |
| (d) Transfer/Gain on revaluation/change in fair value* | | 781,020 | 41,294 | 6,771 | - | 829,085 | - | - | - | - | - | 72 | - | - | - | - | - | - | 72 | 829,157 | |
| (e) (Amortisation of Premium)/ Discount on investments | | 13,981 | 1,675 | 93 | - | 15,749 | (394) | - | (62) | - | - | (456) | 2,303 | 795 | (3) | - | (13) | - | 3,082 | 18,375 | |
| Other Income | | | | | | | | | | | | | | | | | | | | | |
| (a) Income on unclaimed amount of policyholders | | 1,292 | - | - | - | 1,292 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 1,292 |
| (b) Fees and charges | | 1 | - | - | - | 1 | 1,396 | - | - | - | - | 1,396 | 1,103 | 5 | - | - | - | - | 1,108 | 2,505 | |
| (c) Miscellaneous income | | 3 | - | - | - | 3 | - | - | - | - | - | 3 | 1 | - | - | - | - | - | 4 | 7 | |
| Contribution from Shareholders' A/c | | | | | | | | | | | | | | | | | | | | | |
| (a) Towards Excess Expenses of Management | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (b) Towards deficit funding and others | | - | - | - | - | - | - | - | - | - | - | - | 39,309 | 7,242 | 17 | - | 2 | - | 46,570 | 46,570 | |
| Total (A) | | 1,540,419 | 76,327 | 8,138 | - | 1,624,884 | 164,600 | - | 6,512 | - | - | 171,112 | 369,209 | 95,811 | 27,725 | 869 | 825 | 494,439 | 2,290,435 | | |
| Commission | L-5 | 12,872 | 11 | 3 | - | 12,886 | 8,504 | - | 2 | - | - | 8,506 | 18,278 | 1,595 | - | 43 | - | 19,916 | 41,308 | | |
| Operating Expenses related to Insurance Business | L-6 | 23,172 | 243 | 114 | - | 23,529 | 10,412 | - | 34 | - | - | 10,446 | 68,483 | 2,451 | 117 | 322 | 5 | 71,378 | 105,353 | | |
| Provision for doubtful debts | | 57 | (1) | 1 | - | 57 | 44 | - | - | - | - | 44 | 55 | 7 | - | 3 | - | 65 | 166 | | |
| Bad debts written off | | 52 | - | - | - | 52 | 14 | - | - | - | - | 14 | 30 | 8 | - | - | - | 38 | 104 | | |
| Provisions (other than taxation) | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| (a) For diminution in the value of investments (Net) | | - | - | - | - | - | - | - | 30 | - | - | 30 | - | - | - | - | - | - | 30 | 30 | |
| (b) For others | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| Goods and Services Tax on ULIP Charges | | 14,886 | 786 | 446 | - | 16,118 | - | - | - | - | - | - | - | - | - | - | - | - | 16,118 | 16,118 | |
| Total (B) | | 51,039 | 1,039 | 564 | - | 52,642 | 18,974 | - | 66 | - | - | 19,040 | 86,846 | 4,061 | 117 | 368 | 5 | 91,397 | 163,079 | | |
| Benefits Paid (Net) | L-7 | 610,495 | 36,175 | 1,224 | - | 647,894 | 41,923 | - | 17,189 | - | - | 59,112 | 53,572 | 15,264 | 94 | 194 | 20,619 | 89,743 | 796,749 | | |
| Interim Bonuses Paid | | - | - | - | - | - | 5,433 | - | 7 | - | - | 5,440 | - | - | - | - | - | - | 5,440 | 5,440 | |
| Change in valuation of liability in respect of life policies | | | | | | | | | | | | | | | | | | | | | |
| (a) Gross** | | (5,278) | 986 | 183 | - | (4,109) | 92,765 | - | (13,208) | - | - | 79,557 | 229,362 | 76,486 | 27,514 | 463 | (19,860) | 313,965 | 389,413 | | |
| (b) Amount ceded in Reinsurance | | - | - | - | - | - | - | - | - | - | - | (568) | - | - | (113) | - | - | (681) | (681) | | |
| (c) Amount accepted in Reinsurance | | - | - | - | - | - | - | - | - | - | - | (3) | - | - | - | - | - | (3) | (3) | | |
| (d) Fund Reserve for Linked Policies | | 885,466 | 35,356 | 5,930 | - | 926,752 | - | - | - | - | - | - | - | - | - | - | - | - | 926,752 | | |
| (e) Fund for Discontinued Policies | | (46,456) | (428) | - | - | (46,884) | - | - | - | - | - | - | - | - | - | - | - | - | (46,884) | | |
| Total (C) | | 1,444,227 | 72,089 | 7,337 | - | 1,523,653 | 140,121 | - | 3,988 | - | - | 144,109 | 282,363 | 91,750 | 27,608 | 544 | 759 | 403,024 | 2,070,786 | | |
| Surplus/(deficit) (D) = (A)-(B)-(C) | | 45,153 | 3,199 | 237 | - | 48,589 | 5,505 | - | 2,458 | - | - | 7,963 | - | - | - | (43) | 61 | 18 | 56,570 | | |
| Provision for taxation | | | | | | | | | | | | | | | | | | | | | |
| (a) Current tax credit/(charge) | | - | - | - | - | - | (4,255) | - | - | - | - | (4,255) | - | - | - | - | - | - | (4,255) | (4,255) | |
| (b) Deferred tax credit/(charge) | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| Surplus/(deficit) after tax | | 45,153 | 3,199 | 237 | - | 48,589 | 1,250 | - | 2,458 | - | - | 3,708 | - | - | - | (43) | 61 | 18 | 52,315 | | |
| Amount transferred from Shareholders' Account (Non-technical Account) | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| Amount available for appropriation | | 45,153 | 3,199 | 237 | - | 48,589 | 1,250 | - | 2,458 | - | - | 3,708 | - | - | - | (43) | 61 | 18 | 52,315 | | |
| Appropriations | | | | | | | | | | | | | | | | | | | | | |
| Transfer to Shareholders' Account | | 45,153 | 3,199 | 237 | - | 48,589 | - | - | - | - | - | - | - | - | - | (43) | 61 | 18 | 48,607 | | |
| Transfer to Other Reserves | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | | |
| Balance being Funds for Future Appropriations | | - | - | - | - | - | 1,250 | - | 2,458 | - | - | 3,708 | - | - | - | - | - | - | 3,708 | | |
| Total | | 45,153 | 3,199 | 237 | - | 48,589 | 1,250 | - | 2,458 | - | - | 3,708 | - | - | - | (43) | 61 | 18 | 52,315 | | |
| Funds for future appropriation | | | | | | | | | | | | | | | | | | | | | |
| Opening balance as at July 1, 2022 | | - | - | - | - | - | 115,178 | - | 33,049 | - | - | 148,227 | - | - | - | - | - | - | 148,227 | | |
| Add: Current period appropriation | | - | - | - | - | - | 1,250 | - | 2,458 | - | - | 3,708 | - | - | - | - | - | - | 3,708 | | |
| Balance carried forward to Balance Sheet | | - | - | - | - | - | 116,428 | - | 35,507 | - | - | 151,935 | - | - | - | - | - | - | 151,935 | | |

* Represents the deemed realised gain as per norms specified by the Authority

** Represents Mathematical Reserves after allocation of bonus

The schedules referred to herein form an integral part of the Condensed Standalone Revenue Account.

| Particulars | Schedule Ref. Form No. | Linked Business | | | | | Non-Linked Business | | | | | | | | | | | Grand Total | | | |
|-----------------------------------------------------------------------|------------------------|-----------------|---------------|----------------|--------------------|----------------|---------------------|----------|---------------|----------|--------------------|-------------------|----------------|---------------|--------------|--------------|--------------------|------------------|-----------|--|--|
| | | Life | Pension | Health | Variable Insurance | Total | Participating | | | | | Non-Participating | | | | | | | | | |
| | | | | | | | Life | Annuity | Pension | Health | Variable Insurance | Total | Life | Annuity | Pension | Health | Variable Insurance | | Total | | |
| Premiums earned – net | | | | | | | | | | | | | | | | | | | | | |
| (a) Premium | L-4 | 801,350 | 21,331 | 2,680 | - | 825,361 | 190,714 | - | 861 | - | - | 191,575 | 502,005 | 130,685 | 64,202 | 1,836 | 365 | 699,093 | 1,716,029 | | |
| (b) Reinsurance ceded | | (1,380) | - | (2,707) | - | (4,087) | (290) | - | - | - | (290) | (64,643) | - | - | (457) | - | - | (65,100) | (69,477) | | |
| (c) Reinsurance accepted | | - | - | - | - | - | - | - | - | - | - | 40 | - | - | - | - | - | 40 | 40 | | |
| Income from Investments | | | | | | | | | | | | | | | | | | | | | |
| (a) Interest, Dividends & Rent – Gross | | 179,756 | 18,025 | 1,633 | - | 199,414 | 78,423 | - | 6,046 | - | 84,469 | 121,317 | 35,091 | 2,178 | 252 | 1,085 | 159,923 | 443,806 | | | |
| (b) Profit on sale/redemption of investments | | 418,188 | 33,138 | 3,651 | - | 454,977 | 18,905 | - | 3,805 | - | 22,710 | 20,451 | 325 | - | 216 | 20,992 | 498,679 | | | | |
| (c) (Loss on sale/ redemption of investments) | | (129,545) | (13,364) | (2,091) | - | (145,000) | (13,550) | - | (466) | - | (14,016) | (1,831) | (1,050) | - | (362) | (3,243) | (162,259) | | | | |
| (d) Transfer/Gain on revaluation/change in fair value* | | (355,975) | (34,459) | (2,800) | - | (393,234) | - | - | - | - | - | (3,041) | - | - | - | (3,041) | (396,275) | | | | |
| (e) (Amortisation of Premium)/ Discount on investments | | 23,168 | 3,051 | 176 | - | 26,395 | (966) | - | (144) | - | (1,110) | 4,154 | 1,454 | (8) | (1) | (30) | 5,569 | 30,854 | | | |
| Other Income | | | | | | | | | | | | | | | | | | | | | |
| (b) Income on unclaimed amount of policyholders | | 2,407 | - | - | - | 2,407 | - | - | - | - | 2,407 | - | - | - | - | - | - | 2,407 | 2,407 | | |
| (c) Fees and charges | | 2 | - | - | - | 2 | 2,573 | - | - | - | 2,573 | 2,116 | 9 | - | 1 | - | - | 2,126 | 4,701 | | |
| (d) Miscellaneous income | | 13 | 1 | - | - | 14 | 3 | - | - | - | 3 | 9 | 3 | - | - | - | - | 12 | 29 | | |
| Contribution from Shareholders' A/c | | | | | | | | | | | | | | | | | | | | | |
| (a) Towards Excess Expenses of Management | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | | |
| (b) Towards deficit funding and others | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | | |
| Total (A) | | 937,984 | 27,723 | 542 | - | 966,249 | 275,812 | - | 10,102 | - | 285,914 | 644,406 | 178,065 | 66,625 | 1,631 | 1,426 | 892,153 | 2,144,316 | | | |
| Commission | L-5 | 23,304 | 25 | 6 | - | 23,335 | 13,804 | - | 3 | - | 13,807 | 31,859 | 2,805 | 10 | 83 | - | 34,757 | 71,899 | | | |
| Operating Expenses related to Insurance Business | L-6 | 49,230 | 636 | 236 | - | 50,102 | 19,141 | - | 79 | - | 19,220 | 121,294 | 5,633 | 284 | 645 | 12 | 127,868 | 197,190 | | | |
| Provision for doubtful debts | | 49 | (1) | 1 | - | 49 | 37 | - | - | - | 37 | 55 | 6 | - | 3 | - | 64 | 150 | | | |
| Bad debts written off | | 125 | - | - | - | 125 | 43 | - | - | - | 43 | 93 | 23 | - | 1 | - | 117 | 285 | | | |
| Provisions (other than taxation) | | - | - | - | - | - | - | - | 30 | - | 30 | - | - | - | - | - | - | 30 | | | |
| (a) For diminution in the value of investments (Net) | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | | | |
| (b) For others | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | | | |
| Goods and Services Tax on ULIP Charges | | 29,779 | 1,564 | 896 | - | 32,239 | - | - | - | - | - | - | - | - | - | - | - | 32,239 | | | |
| Total (B) | | 102,487 | 2,224 | 1,139 | - | 105,850 | 33,025 | - | 112 | - | 33,137 | 153,301 | 8,467 | 294 | 732 | 12 | 162,806 | 301,793 | | | |
| Benefits Paid (Net) | L-7 | 1,031,930 | 63,214 | 2,364 | - | 1,097,508 | 77,215 | - | 20,504 | - | 97,719 | 97,040 | 29,265 | 188 | 282 | 21,467 | 148,242 | 1,343,469 | | | |
| Interim Bonuses Paid | | - | - | - | - | - | 9,948 | - | 20 | - | 9,968 | - | - | - | - | - | - | 9,968 | | | |
| Change in valuation of liability in respect of life policies | | | | | | | | | | | | | | | | | | | | | |
| (a) Gross** | | 3,892 | 2,400 | 187 | - | 6,479 | 137,616 | - | (14,795) | - | 122,821 | 418,554 | 140,333 | 66,143 | 820 | (20,174) | 605,676 | 734,976 | | | |
| (b) Amount ceded in Reinsurance | | - | - | - | - | - | - | - | - | - | - | (24,487) | - | - | (215) | - | (24,702) | (24,702) | | | |
| (c) Amount accepted in Reinsurance | | - | - | - | - | - | - | - | - | - | - | (2) | - | - | - | - | (2) | (2) | | | |
| (d) Fund Reserve for Linked Policies | | (207,471) | (44,702) | (4,116) | - | (256,289) | - | - | - | - | - | - | - | - | - | - | - | (256,289) | | | |
| (e) Fund for Discontinued Policies | | (64,168) | (1,205) | - | - | (65,373) | - | - | - | - | - | - | - | - | - | - | - | (65,373) | | | |
| Total (C) | | 764,183 | 19,707 | (1,565) | - | 782,325 | 224,779 | - | 5,729 | - | 230,508 | 491,105 | 169,598 | 66,331 | 887 | 1,293 | 729,214 | 1,742,047 | | | |
| Surplus/(deficit) (D) = (A)-(B)-(C) | | 71,314 | 5,792 | 968 | - | 78,074 | 18,008 | - | 4,261 | - | 22,269 | - | - | - | 12 | 121 | 133 | 100,476 | | | |
| Provision for taxation | | | | | | | | | | | | | | | | | | | | | |
| (a) Current tax credit/(charge) | | - | - | - | - | - | (8,666) | - | - | - | (8,666) | - | - | - | - | - | - | (8,666) | | | |
| (b) Deferred tax credit/(charge) | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | | | |
| Surplus/(deficit) after tax | | 71,314 | 5,792 | 968 | - | 78,074 | 9,342 | - | 4,261 | - | 13,603 | - | - | - | 12 | 121 | 133 | 91,810 | | | |
| Amount transferred from Shareholders' Account (Non-technical Account) | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | | | |
| Amount available for appropriation | | 71,314 | 5,792 | 968 | - | 78,074 | 9,342 | - | 4,261 | - | 13,603 | - | - | - | 12 | 121 | 133 | 91,810 | | | |
| Appropriations | | | | | | | | | | | | | | | | | | | | | |
| Transfer to Shareholders' Account | | 71,314 | 5,792 | 968 | - | 78,074 | - | - | - | - | - | - | - | - | 12 | 121 | 133 | 78,207 | | | |
| Transfer to Other Reserves | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | | | |
| Balance being Funds for Future Appropriations | | - | - | - | - | - | 9,342 | - | 4,261 | - | 13,603 | - | - | - | - | - | - | 13,603 | | | |
| Total | | 71,314 | 5,792 | 968 | - | 78,074 | 9,342 | - | 4,261 | - | 13,603 | - | - | - | 12 | 121 | 133 | 91,810 | | | |
| Funds for future appropriation | | | | | | | | | | | | | | | | | | | | | |
| Opening balance as at April 1, 2022 | | - | - | - | - | - | 107,086 | - | 31,246 | - | 138,332 | - | - | - | - | - | - | 138,332 | | | |
| Add: Current period appropriation | | - | - | - | - | - | 9,342 | - | 4,261 | - | 13,603 | - | - | - | - | - | - | 13,603 | | | |
| Balance carried forward to Balance Sheet | | - | - | - | - | - | 116,428 | - | 35,507 | - | 151,935 | - | - | - | - | - | - | 151,935 | | | |

* Represents the deemed realised gain as per norms specified by the Authority

** Represents Mathematical Reserves after allocation of bonus

The schedules referred to herein form an integral part of the Condensed Standalone Revenue Account.

FORM L-2-A-PL

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Regn.No. 105 dated 24.11.2000

Condensed Standalone Profit & Loss Account for the quarter and half year ended September 30, 2023

Shareholders' Account (Non-technical Account)

(₹ Lakhs)

| Particulars | Schedule Ref. Form No. | Quarter ended September 30, 2023 | Half year ended September 30, 2023 | Quarter ended September 30, 2022 | Half year ended September 30, 2022 |
|------------------------------------------------------------------------|------------------------|----------------------------------|------------------------------------|----------------------------------|------------------------------------|
| Amounts transferred from the Policyholders Account (Technical Account) | | 36,466 | 74,426 | 48,607 | 78,207 |
| Income From Investments | | | | | |
| (a) Interest, Dividends & Rent – Gross | | 16,402 | 32,063 | 14,316 | 32,183 |
| (b) Profit on sale/redemption of investments | | 26,984 | 44,881 | 6,915 | 6,915 |
| (c) (Loss on sale/ redemption of investments) | | (457) | (467) | (595) | (595) |
| (d) Amortisation of Premium / Discount on Investments (Net) | | (330) | (711) | (306) | (691) |
| Other Income | | 15 | 201 | 29 | 53 |
| Total (A) | | 79,079 | 150,393 | 68,966 | 116,072 |
| Expense other than those directly related to the insurance business | L-6A | 390 | 609 | 142 | 282 |
| Contribution to Policyholders' A/c | | | | | |
| (a) Towards Excess Expenses of Management | | - | - | - | - |
| (b) towards deficit funding and others | | 51,373 | 95,937 | 46,570 | 75,782 |
| Managerial Remuneration* | | 361 | 361 | 143 | 275 |
| Interest on subordinated debt | | 2,072 | 4,122 | 2,072 | 4,121 |
| Expenses towards CSR activities | | 52 | 99 | 91 | 95 |
| Penalties | | - | - | - | - |
| Bad debts written off | | - | - | - | - |
| Amount Transferred to Policyholders' Account | | - | - | - | - |
| Provisions (Other than taxation) | | | | | |
| (a) For diminution in the value of investments (Net) | | - | 3,587 | - | - |
| (b) Provision for doubtful debts | | - | - | - | - |
| (c) Others | | - | - | - | - |
| Total (B) | | 54,248 | 104,715 | 49,018 | 80,555 |
| Profit/ (Loss) before tax | | 24,831 | 45,678 | 19,948 | 35,517 |
| Provision for Taxation | | | | | |
| (a) Current tax credit/(charge) | | (406) | (563) | - | - |
| (b) Deferred tax credit/(charge) | | - | - | - | - |
| Profit / (Loss) after tax | | 24,425 | 45,115 | 19,948 | 35,517 |
| Appropriations | | | | | |
| (a) Balance at the beginning of the period | | 501,611 | 480,921 | 415,423 | 407,760 |
| (b) Interim dividend paid | | - | - | - | - |
| (c) Final dividend paid | | 8,633 | 8,633 | - | 7,906 |
| (d) Transfer to reserves/ other accounts | | - | - | - | - |
| Profit/Loss carried forward to Balance Sheet | | 517,403 | 517,403 | 435,371 | 435,371 |

*in excess of the allowable limits as prescribed by IRDAI

The Schedules referred to herein form an integral part of the Condensed Standalone Profit and Loss Account.

FORM L-3-A-BS

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Regn.No. 105 dated 24.11.2000

Condensed Standalone Balance Sheet as at September 30, 2023

| (₹ Lakhs) | | | | |
|-----------------------------------------------------------------------|------------------------|--------------------------|----------------------|--------------------------|
| Particulars | Schedule Ref. Form No. | As at September 30, 2023 | As at March 31, 2023 | As at September 30, 2022 |
| Sources Of Funds | | | | |
| Shareholders' Funds: | | | | |
| Share Capital | L-8, L-9, L-9A | 143,962 | 143,857 | 143,855 |
| Share Application Money Pending Allotment | | 39 | 19 | - |
| Reserves And Surplus | L-10 | 877,868 | 837,295 | 791,413 |
| Credit/(Debit) Fair Value Change Account | | 49,732 | 28,007 | 27,685 |
| Sub-Total | | 1,071,601 | 1,009,178 | 962,953 |
| Borrowings | | | | |
| Policyholders' Funds: | | | | |
| Credit/(Debit) Fair Value Change Account* | | 417,922 | 283,269 | 285,741 |
| Policy liabilities | | 9,882,443 | 9,030,735 | 8,078,486 |
| Funds for discontinued policies | | | | |
| (i) Discontinued on account of non-payment of premium | | 816,656 | 880,087 | 963,098 |
| (ii) Others | | 1,832 | 2,484 | 4,019 |
| Insurance Reserves | | - | - | - |
| Provision for Linked Liabilities | | 14,673,480 | 13,523,235 | 13,797,852 |
| Sub-Total | | 25,912,333 | 23,839,810 | 23,249,196 |
| Funds For Future Appropriations | | | | |
| Linked | | - | - | - |
| Non-Linked (Non-Par) | | - | - | - |
| Non-Linked (Par) | | 142,654 | 166,927 | 151,935 |
| Deferred Tax Liabilities (Net) | | - | - | - |
| Total | | 27,126,588 | 25,015,915 | 24,364,084 |
| Application Of Funds | | | | |
| Investments | | | | |
| Shareholders' | L-12 | 1,019,757 | 985,141 | 958,653 |
| Policyholders' | L-13 | 10,283,892 | 9,431,095 | 8,417,212 |
| Assets Held To Cover Linked Liabilities | L-14, L-14A | 15,491,968 | 14,405,806 | 14,764,969 |
| Loans | L-15 | 152,062 | 131,412 | 109,452 |
| Fixed Assets - net block | L-16 | 66,932 | 59,555 | 52,380 |
| Deferred Tax Assets (Net) | | - | - | - |
| Current Assets | | | | |
| Cash And Bank Balances | L-17 | 72,808 | 77,086 | 53,989 |
| Advances And Other Assets | L-18 | 541,748 | 494,625 | 442,499 |
| Sub-Total (A) | | 614,556 | 571,711 | 496,488 |
| Current Liabilities | | | | |
| Provisions | L-19 | 499,861 | 566,244 | 433,161 |
| Sub-Total (B) | L-20 | 502,579 | 568,805 | 435,070 |
| Net Current Assets (C) = (A-B) | | 111,977 | 2,906 | 61,418 |
| Miscellaneous Expenditure (To The Extent Not Written Off Or Adjusted) | L-21 | - | - | - |
| Debit Balance In Profit & Loss Account (Shareholders' Account) | | - | - | - |
| Deficit In Revenue Account (Policyholders' Account) | | - | - | - |
| Total | | 27,126,588 | 25,015,915 | 24,364,084 |

*Includes Revaluation reserve on Investment property

The Schedules referred to herein form an integral part of the Condensed Standalone Balance Sheet.

Contingent Liabilities

| (₹ Lakhs) | | | |
|--------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------|--------------------------|
| Particulars | As at September 30, 2023 | As at March 31, 2023 | As at September 30, 2022 |
| Partly paid-up investments* | 30,291 | 47,776 | 63,360 |
| Claims, other than against policies, not acknowledged as debts by the company comprising of: | | | |
| -Claims made by vendors for disputed payments | 3 | 5 | 5 |
| -Claims for damages made by landlords (of premises taken on lease) | 59 | 59 | 75 |
| -Claims made by employees and advisors for disputed dues and compensation | 48 | 48 | 89 |
| Underwriting commitments outstanding (in respect of shares and securities) | - | - | - |
| Guarantees given by or on behalf of the Company by various banks in favour of government authorities, hospital and court | - | - | - |
| Statutory demands/ liabilities in dispute, not provided for# | 49,273 | 67 | - |
| Reinsurance obligations to the extent not provided for in accounts | - | - | - |
| Others | | | |
| (a) Policy related claims under litigation in different consumer forums: | | | |
| -Claims for service deficiency | 1,084 | 881 | 904 |
| -Claims against repudiation | 23,010 | 20,933 | 18,465 |
| Total | 103,768 | 69,769 | 82,898 |

*in respect of partly paid debentures & equity shares

#amount pertains to objections raised by office of the Commissioner of Service tax, Goods and Service tax Mumbai on certain tax positions taken by the Company.

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Schedules forming part of condensed standalone financial statements

FORM L-4-PREMIUM SCHEDULE
PREMIUM

For the quarter ended September 30, 2023

(₹ Lakhs)

| Particulars | Linked Business | | | | | Non-Linked Business | | | | | | | | | | Grand Total | | |
|---------------------------------------|-----------------|--------------|--------------|--------------------|----------------|---------------------|----------|------------|----------|--------------------|-------------------|----------------|---------------|--------------|------------|-------------|--------------------|------------------|
| | | | | | | Participating | | | | | Non-Participating | | | | | | | |
| | Life | Pension | Health | Variable Insurance | Total | Life | Annuity | Pension | Health | Variable Insurance | Total | Life | Annuity | Pension | Health | | Variable Insurance | Total |
| First year premiums | 81,440 | 159 | - | - | 81,599 | 28,247 | - | - | - | - | 28,247 | 35,335 | 7,618 | - | 105 | - | 43,058 | 152,904 |
| Renewal premiums | 345,067 | 4,723 | 1,341 | - | 351,131 | 99,934 | - | 144 | - | - | 100,078 | 131,387 | 5,706 | - | 867 | - | 137,960 | 589,169 |
| Single premiums | 60,431 | 4,911 | - | - | 65,342 | - | - | - | - | - | - | 188,271 | 43,201 | 3,679 | 8 | 16 | 235,175 | 300,517 |
| Total Premium | 486,938 | 9,793 | 1,341 | - | 498,072 | 128,181 | - | 144 | - | - | 128,325 | 354,993 | 56,525 | 3,679 | 980 | 16 | 416,193 | 1,042,590 |
| Premium Income from business written: | | | | | | | | | | | | | | | | | | |
| In India | 486,938 | 9,793 | 1,341 | - | 498,072 | 128,181 | - | 144 | - | - | 128,325 | 354,993 | 56,525 | 3,679 | 980 | 16 | 416,193 | 1,042,590 |
| Outside India | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Total Premium | 486,938 | 9,793 | 1,341 | - | 498,072 | 128,181 | - | 144 | - | - | 128,325 | 354,993 | 56,525 | 3,679 | 980 | 16 | 416,193 | 1,042,590 |

For the half year ended September 30, 2023

(₹ Lakhs)

| Particulars | Linked Business | | | | | Non-Linked Business | | | | | | | | | | Grand Total | | |
|---------------------------------------|-----------------|---------------|--------------|--------------------|----------------|---------------------|----------|------------|----------|--------------------|-------------------|----------------|---------------|---------------|--------------|--------------|--------------------|------------------|
| | | | | | | Participating | | | | | Non-Participating | | | | | | | |
| | Life | Pension | Health | Variable Insurance | Total | Life | Annuity | Pension | Health | Variable Insurance | Total | Life | Annuity | Pension | Health | | Variable Insurance | Total |
| First year premiums | 132,161 | 306 | - | - | 132,467 | 46,260 | - | - | - | - | 46,260 | 63,563 | 12,675 | - | 208 | - | 76,446 | 255,173 |
| Renewal premiums | 595,042 | 9,472 | 2,498 | - | 607,012 | 162,340 | - | 309 | - | - | 162,649 | 224,529 | 9,100 | - | 1,626 | - | 235,255 | 1,004,916 |
| Single premiums | 86,077 | 13,293 | - | - | 99,370 | - | - | - | - | - | - | 320,497 | 77,233 | 21,283 | 29 | 1,565 | 420,607 | 519,977 |
| Total Premium | 813,280 | 23,071 | 2,498 | - | 838,849 | 208,600 | - | 309 | - | - | 208,909 | 608,589 | 99,008 | 21,283 | 1,863 | 1,565 | 732,308 | 1,780,066 |
| Premium Income from business written: | | | | | | | | | | | | | | | | | | |
| In India | 813,280 | 23,071 | 2,498 | - | 838,849 | 208,600 | - | 309 | - | - | 208,909 | 608,589 | 99,008 | 21,283 | 1,863 | 1,565 | 732,308 | 1,780,066 |
| Outside India | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Total Premium | 813,280 | 23,071 | 2,498 | - | 838,849 | 208,600 | - | 309 | - | - | 208,909 | 608,589 | 99,008 | 21,283 | 1,863 | 1,565 | 732,308 | 1,780,066 |

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Schedules forming part of condensed standalone financial statements

FORM L-4-PREMIUM SCHEDULE
PREMIUM

For the quarter ended September 30, 2022

(₹ Lakhs)

| Particulars | Linked Business | | | | | Non-Linked Business | | | | | | | | | | Grand Total | | |
|---------------------------------------|-----------------|---------------|--------------|--------------------|----------------|---------------------|----------|------------|----------|--------------------|-------------------|----------------|---------------|---------------|------------|-------------|--------------------|----------------|
| | | | | | | Participating | | | | | Non-Participating | | | | | | | |
| | Life | Pension | Health | Variable Insurance | Total | Life | Annuity | Pension | Health | Variable Insurance | Total | Life | Annuity | Pension | Health | | Variable Insurance | Total |
| First year premiums | 74,935 | 194 | - | - | 75,129 | 18,962 | - | - | - | - | 18,962 | 43,618 | 6,632 | - | 98 | - | 50,348 | 144,439 |
| Renewal premiums | 362,687 | 5,281 | 1,394 | - | 369,362 | 95,793 | - | 168 | - | - | 95,961 | 98,086 | - | - | 853 | - | 98,939 | 564,262 |
| Single premiums | 46,457 | 5,551 | - | - | 52,008 | - | - | - | - | - | - | 138,587 | 63,650 | 26,225 | 22 | 365 | 228,849 | 280,857 |
| Total Premium | 484,079 | 11,026 | 1,394 | - | 496,499 | 114,755 | - | 168 | - | - | 114,923 | 280,291 | 70,282 | 26,225 | 973 | 365 | 378,136 | 989,558 |
| Premium Income from business written: | | | | | | | | | | | | | | | | | | |
| In India | 484,079 | 11,026 | 1,394 | - | 496,499 | 114,755 | - | 168 | - | - | 114,923 | 280,291 | 70,282 | 26,225 | 973 | 365 | 378,136 | 989,558 |
| Outside India | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Total Premium | 484,079 | 11,026 | 1,394 | - | 496,499 | 114,755 | - | 168 | - | - | 114,923 | 280,291 | 70,282 | 26,225 | 973 | 365 | 378,136 | 989,558 |

For the half year ended September 30, 2022

(₹ Lakhs)

| Particulars | Linked Business | | | | | Non-Linked Business | | | | | | | | | | Grand Total | | |
|---------------------------------------|-----------------|---------------|--------------|--------------------|----------------|---------------------|----------|------------|----------|--------------------|-------------------|----------------|----------------|---------------|--------------|-------------|--------------------|------------------|
| | | | | | | Participating | | | | | Non-Participating | | | | | | | |
| | Life | Pension | Health | Variable Insurance | Total | Life | Annuity | Pension | Health | Variable Insurance | Total | Life | Annuity | Pension | Health | | Variable Insurance | Total |
| First year premiums | 129,931 | 439 | - | - | 130,370 | 31,003 | - | - | - | - | 31,003 | 76,472 | 10,261 | - | 184 | - | 86,917 | 248,290 |
| Renewal premiums | 612,842 | 10,177 | 2,680 | - | 625,699 | 159,711 | - | 861 | - | - | 160,572 | 165,783 | - | - | 1,614 | - | 167,397 | 953,668 |
| Single premiums | 58,577 | 10,715 | - | - | 69,292 | - | - | - | - | - | - | 259,750 | 120,424 | 64,202 | 38 | 365 | 444,779 | 514,071 |
| Total Premium | 801,350 | 21,331 | 2,680 | - | 825,361 | 190,714 | - | 861 | - | - | 191,575 | 502,005 | 130,685 | 64,202 | 1,836 | 365 | 699,093 | 1,716,029 |
| Premium Income from business written: | | | | | | | | | | | | | | | | | | |
| In India | 801,350 | 21,331 | 2,680 | - | 825,361 | 190,714 | - | 861 | - | - | 191,575 | 502,005 | 130,685 | 64,202 | 1,836 | 365 | 699,093 | 1,716,029 |
| Outside India | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Total Premium | 801,350 | 21,331 | 2,680 | - | 825,361 | 190,714 | - | 861 | - | - | 191,575 | 502,005 | 130,685 | 64,202 | 1,836 | 365 | 699,093 | 1,716,029 |

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Schedules forming part of condensed standalone financial statements

FORM L-5-COMMISSION SCHEDULE
COMMISSION EXPENSES

For the quarter ended September 30, 2023

(₹ Lakhs)

| Particulars | Linked Business | | | | | Non-Linked Business | | | | | | | | | | | | Grand Total | |
|--------------------------------------------------------------------------------------------|-----------------|-----------|----------|--------------------|---------------|---------------------|----------|----------|----------|--------------------|-------------------|---------------|--------------|----------|-----------|--------------------|----------|---------------|---------------|
| | | | | | | Participating | | | | | Non-Participating | | | | | | | | |
| | Life | Pension | Health | Variable Insurance | Total | Life | Annuity | Pension | Health | Variable Insurance | Total | Life | Annuity | Pension | Health | Variable Insurance | Total | | |
| Commission | | | | | | | | | | | | | | | | | | | |
| Direct – First year premiums | 7,175 | 2 | - | - | 7,177 | 11,630 | - | - | - | - | 11,630 | 15,480 | 2,475 | - | 27 | - | - | 17,982 | 36,789 |
| – Renewal premiums | 3,506 | 6 | 1 | - | 3,513 | 3,737 | - | 1 | - | - | 3,738 | 3,773 | 96 | - | 24 | - | - | 3,893 | 11,144 |
| – Single premiums | 84 | - | - | - | 84 | - | - | - | - | - | - | 15,717 | 540 | - | - | - | - | 16,257 | 16,341 |
| Gross Commission | 10,765 | 8 | 1 | - | 10,774 | 15,367 | - | 1 | - | - | 15,368 | 34,970 | 3,111 | - | 51 | - | - | 38,132 | 64,274 |
| Add: Commission on re-insurance accepted | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Less: Commission on re-insurance ceded | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Net Commission | 10,765 | 8 | 1 | - | 10,774 | 15,367 | - | 1 | - | - | 15,368 | 34,970 | 3,111 | - | 51 | - | - | 38,132 | 64,274 |
| Rewards | 1,827 | 3 | 1 | - | 1,831 | 5,025 | - | - | - | - | 5,025 | 5,099 | 854 | - | 7 | - | - | 5,960 | 12,816 |
| Net Commission including rewards | 12,592 | 11 | 2 | - | 12,605 | 20,392 | - | 1 | - | - | 20,393 | 40,069 | 3,965 | - | 58 | - | - | 44,092 | 77,090 |
| Channel wise break-up of Commission and Rewards (Excluding Reinsurance commission): | | | | | | | | | | | | | | | | | | | |
| Individual agents | 3,390 | 11 | 2 | - | 3,403 | 6,346 | - | 1 | - | - | 6,347 | 5,667 | 1,043 | - | 25 | - | - | 6,735 | 16,485 |
| Corporate Agents -Others | 9,108 | 1 | - | - | 9,109 | 10,127 | - | - | - | - | 10,127 | 24,506 | 1,293 | - | 31 | - | - | 25,830 | 45,066 |
| Brokers | 92 | - | - | - | 92 | 3,843 | - | - | - | - | 3,843 | 9,627 | 1,581 | - | 2 | - | - | 11,210 | 15,145 |
| Micro Agents | - | - | - | - | - | - | - | - | - | - | - | 60 | - | - | - | - | - | 60 | 60 |
| Direct Business - Online | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Direct Business - Others | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Common Service Centre (CSC) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Web Aggregators | - | - | - | - | - | - | - | - | - | - | - | 34 | - | - | - | - | - | 34 | 34 |
| Insurance Marketing Firm | 1 | - | - | - | 1 | 76 | - | - | - | - | 76 | 175 | 21 | - | - | - | - | 196 | 273 |
| Others | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| -Point of sale (POS) | - | - | - | - | - | - | - | - | - | - | - | 27 | - | - | - | - | - | 27 | 27 |
| Net Commission including rewards | 12,591 | 12 | 2 | - | 12,605 | 20,392 | - | 1 | - | - | 20,393 | 40,069 | 3,965 | - | 58 | - | - | 44,092 | 77,090 |
| Commission and Rewards on (Excluding Reinsurance) Business written : | | | | | | | | | | | | | | | | | | | |
| In India | 12,591 | 12 | 2 | - | 12,605 | 20,392 | - | 1 | - | - | 20,393 | 40,069 | 3,965 | - | 58 | - | - | 44,092 | 77,090 |
| Outside India | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Net Commission including rewards | 12,591 | 12 | 2 | - | 12,605 | 20,392 | - | 1 | - | - | 20,393 | 40,069 | 3,965 | - | 58 | - | - | 44,092 | 77,090 |

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Schedules forming part of condensed standalone financial statements

FORM L-5-COMMISSION SCHEDULE
COMMISSION EXPENSES

For the half year ended September 30, 2023

(₹ Lakhs)

| Particulars | Linked Business | | | | | Non-Linked Business | | | | | | | | | | | | Grand Total | |
|--------------------------------------------------------------------------------------------|-----------------|-----------|----------|--------------------|---------------|---------------------|----------|----------|----------|--------------------|-------------------|---------------|--------------|----------|------------|--------------------|----------|---------------|----------------|
| | | | | | | Participating | | | | | Non-Participating | | | | | | | | |
| | Life | Pension | Health | Variable Insurance | Total | Life | Annuity | Pension | Health | Variable Insurance | Total | Life | Annuity | Pension | Health | Variable Insurance | Total | | |
| Commission | | | | | | | | | | | | | | | | | | | |
| Direct – First year premiums | 12,044 | 6 | - | - | 12,050 | 17,611 | - | - | - | - | 17,611 | 25,809 | 3,376 | - | 52 | - | - | 29,237 | 58,898 |
| – Renewal premiums | 6,168 | 12 | 1 | - | 6,181 | 6,012 | - | 2 | - | - | 6,014 | 6,269 | 156 | - | 46 | - | - | 6,471 | 18,666 |
| – Single premiums | 143 | 1 | - | - | 144 | - | - | - | - | - | - | 22,349 | 975 | - | - | - | - | 23,324 | 23,468 |
| Gross Commission | 18,355 | 19 | 1 | - | 18,375 | 23,623 | - | 2 | - | - | 23,625 | 54,427 | 4,507 | - | 98 | - | - | 59,032 | 101,032 |
| Add: Commission on re-insurance accepted | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Less: Commission on re-insurance ceded | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Net Commission | 18,355 | 19 | 1 | - | 18,375 | 23,623 | - | 2 | - | - | 23,625 | 54,427 | 4,507 | - | 98 | - | - | 59,032 | 101,032 |
| Rewards | 2,161 | 4 | 2 | - | 2,167 | 5,418 | - | - | - | - | 5,418 | 5,888 | 922 | - | 8 | - | - | 6,818 | 14,403 |
| Net Commission including rewards | 20,516 | 23 | 3 | - | 20,542 | 29,041 | - | 2 | - | - | 29,043 | 60,315 | 5,429 | - | 106 | - | - | 65,850 | 115,435 |
| Channel wise break-up of Commission and Rewards (Excluding Reinsurance commission): | | | | | | | | | | | | | | | | | | | |
| Individual agents | 5,386 | 21 | 3 | - | 5,410 | 10,151 | - | 2 | - | - | 10,153 | 9,529 | 1,475 | - | 46 | - | - | 11,050 | 26,613 |
| Corporate Agents - Others | 14,998 | 2 | - | - | 15,000 | 13,196 | - | - | - | - | 13,196 | 34,670 | 1,843 | - | 57 | - | - | 36,570 | 64,766 |
| Brokers | 129 | - | - | - | 129 | 5,569 | - | - | - | - | 5,569 | 15,670 | 2,025 | - | 3 | - | - | 17,698 | 23,396 |
| Micro Agents | - | - | - | - | - | - | - | - | - | - | - | 144 | - | - | - | - | - | 144 | 144 |
| Direct Business - Online | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Direct Business - Others | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Common Service Centre (CSC) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Web Aggregators | - | - | - | - | - | - | - | - | - | - | - | 52 | - | - | - | - | - | 52 | 52 |
| Insurance Marketing Firm | 3 | - | - | - | 3 | 125 | - | - | - | - | 125 | 250 | 29 | - | - | - | - | 279 | 407 |
| Others | - | - | - | - | - | - | - | - | - | - | - | - | 57 | - | - | - | - | 57 | 57 |
| -Point of sale (POS) | - | - | - | - | - | - | - | - | - | - | - | - | 57 | - | - | - | - | 57 | 57 |
| Net Commission including rewards | 20,516 | 23 | 3 | - | 20,542 | 29,041 | - | 2 | - | - | 29,043 | 60,315 | 5,429 | - | 106 | - | - | 65,850 | 115,435 |
| Commission and Rewards on (Excluding Reinsurance) Business | | | | | | | | | | | | | | | | | | | |
| In India | 20,516 | 23 | 3 | - | 20,542 | 29,041 | - | 2 | - | - | 29,043 | 60,315 | 5,429 | - | 106 | - | - | 65,850 | 115,435 |
| Outside India | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Net Commission including rewards | 20,516 | 23 | 3 | - | 20,542 | 29,041 | - | 2 | - | - | 29,043 | 60,315 | 5,429 | - | 106 | - | - | 65,850 | 115,435 |

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Schedules forming part of condensed standalone financial statements

FORM L-5-COMMISSION SCHEDULE
COMMISSION EXPENSES

For the half year ended September 30, 2022

(₹ Lakhs)

| Particulars | Linked Business | | | | | Non-Linked Business | | | | | | | | | | | Grand Total | | |
|--------------------------------------------------------------------------------------------|-----------------|-----------|----------|--------------------|---------------|---------------------|----------|----------|----------|--------------------|-------------------|---------------|--------------|-----------|-----------|--------------------|---------------|---------------|--|
| | | | | | | Participating | | | | | Non-Participating | | | | | | | | |
| | Life | Pension | Health | Variable Insurance | Total | Life | Annuity | Pension | Health | Variable Insurance | Total | Life | Annuity | Pension | Health | Variable Insurance | | Total | |
| Commission | | | | | | | | | | | | | | | | | | | |
| Direct – First year premiums | 14,772 | 4 | - | - | 14,776 | 7,114 | - | - | - | - | 7,114 | 18,925 | 729 | - | 32 | - | 19,686 | 41,576 | |
| – Renewal premiums | 7,095 | 15 | 4 | - | 7,114 | 5,929 | - | 3 | - | - | 5,932 | 4,796 | - | - | 48 | - | 4,844 | 17,890 | |
| – Single premiums | 116 | 1 | - | - | 117 | - | - | - | - | - | - | 5,534 | 1,874 | 10 | - | - | 7,418 | 7,535 | |
| Gross Commission | 21,983 | 20 | 4 | - | 22,007 | 13,043 | - | 3 | - | - | 13,046 | 29,255 | 2,603 | 10 | 80 | - | 31,948 | 67,001 | |
| Add: Commission on re-insurance accepted | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| Less: Commission on re-insurance ceded | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| Net Commission | 21,983 | 20 | 4 | - | 22,007 | 13,043 | - | 3 | - | - | 13,046 | 29,255 | 2,603 | 10 | 80 | - | 31,948 | 67,001 | |
| Rewards | 1,321 | 5 | 2 | - | 1,328 | 761 | - | - | - | - | 761 | 2,604 | 202 | - | 3 | - | 2,809 | 4,898 | |
| Net Commission including rewards | 23,304 | 25 | 6 | - | 23,335 | 13,804 | - | 3 | - | - | 13,807 | 31,859 | 2,805 | 10 | 83 | - | 34,757 | 71,899 | |
| Channel wise break-up of Commission and Rewards (Excluding Reinsurance commission): | | | | | | | | | | | | | | | | | | | |
| Individual agents | 5,351 | 23 | 6 | - | 5,380 | 8,104 | - | 3 | - | - | 8,107 | 10,289 | 1,155 | 10 | 35 | - | 11,489 | 24,976 | |
| Corporate Agents -Others | 17,859 | 2 | - | - | 17,861 | 3,729 | - | - | - | - | 3,729 | 14,388 | 1,492 | - | 46 | - | 15,926 | 37,516 | |
| Brokers | 90 | - | - | - | 90 | 1,907 | - | - | - | - | 1,907 | 6,850 | 131 | - | 2 | - | 6,983 | 8,980 | |
| Micro Agents | - | - | - | - | - | - | - | - | - | - | - | 131 | - | - | - | - | 131 | 131 | |
| Direct Business - Online | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| Direct Business - Others | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| Common Service Centre (CSC) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| Web Aggregators | - | - | - | - | - | 1 | - | - | - | - | 1 | 63 | - | - | - | - | 63 | 64 | |
| Insurance Marketing Firm | 4 | - | - | - | 4 | 63 | - | - | - | - | 63 | 138 | 21 | - | - | - | 159 | 226 | |
| Others | - | - | - | - | - | - | - | - | - | - | - | - | 6 | - | - | - | 6 | 6 | |
| -Point of sale (POS) | - | - | - | - | - | - | - | - | - | - | - | - | 6 | - | - | - | 6 | 6 | |
| Net Commission including rewards | 23,304 | 25 | 6 | - | 23,335 | 13,804 | - | 3 | - | - | 13,807 | 31,859 | 2,805 | 10 | 83 | - | 34,757 | 71,899 | |
| Commission and Rewards on (Excluding Reinsurance) Business written : | | | | | | | | | | | | | | | | | | | |
| In India | 23,304 | 25 | 6 | - | 23,335 | 13,804 | - | 3 | - | - | 13,807 | 31,859 | 2,805 | 10 | 83 | - | 34,757 | 71,899 | |
| Outside India | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| Net Commission including rewards | 23,304 | 25 | 6 | - | 23,335 | 13,804 | - | 3 | - | - | 13,807 | 31,859 | 2,805 | 10 | 83 | - | 34,757 | 71,899 | |

FORM L-6-OPERATING EXPENSES SCHEDULE
OPERATING EXPENSES RELATED TO INSURANCE BUSINESS

For the quarter ended September 30, 2023

(₹ Lakhs)

| Particulars | Linked Business | | | | | Non-Linked Business | | | | | | | | | | | | Grand Total |
|---------------------------------------------------------|-----------------|------------|------------|--------------------|---------------|---------------------|----------|-----------|----------|--------------------|-------------------|---------------|--------------|-----------|------------|--------------------|---------------|----------------|
| | Life | Pension | Health | Variable Insurance | Total | Participating | | | | | Non-Participating | | | | | | | |
| | | | | | | Life | Annuity | Pension | Health | Variable Insurance | Total | Life | Annuity | Pension | Health | Variable Insurance | Total | |
| Employees' remuneration and welfare benefits | 13,478 | 166 | 61 | - | 13,705 | 8,665 | - | 11 | - | - | 8,676 | 15,366 | 2,139 | 15 | 148 | - | 17,668 | 40,049 |
| Travel, conveyance and vehicle running expenses | 755 | 8 | 3 | - | 766 | 265 | - | - | - | - | 265 | 532 | 82 | 1 | 7 | - | 622 | 1,653 |
| Training expenses | 140 | - | - | - | 140 | 62 | - | - | - | - | 62 | 100 | 17 | - | 3 | - | 120 | 322 |
| Rents, rates and taxes | 898 | 7 | 2 | - | 907 | 307 | - | - | - | - | 307 | 571 | 99 | - | 4 | - | 674 | 1,888 |
| Repairs | 441 | 3 | 1 | - | 445 | 202 | - | - | - | - | 202 | 294 | 50 | - | 4 | - | 348 | 995 |
| Printing and stationery | 34 | 1 | 1 | - | 36 | 19 | - | - | - | - | 19 | 48 | 3 | 2 | 1 | 1 | 55 | 110 |
| Communication expenses | 777 | 25 | 7 | - | 809 | 465 | - | 2 | - | - | 467 | 862 | 67 | 5 | 21 | 2 | 957 | 2,233 |
| Legal and professional charges | 1,499 | 24 | 11 | - | 1,534 | 1,039 | - | 2 | - | - | 1,041 | 3,151 | 257 | 7 | 30 | - | 3,445 | 6,020 |
| Medical fees | 118 | - | - | - | 118 | 38 | - | - | - | - | 38 | 1,126 | - | - | - | - | 1,126 | 1,282 |
| Auditors' fees, expenses etc. | | | | | | | | | | | | | | | | | | |
| (a) as auditor | 24 | 1 | - | - | 25 | 14 | - | - | - | - | 14 | 23 | 3 | - | 1 | - | 27 | 66 |
| (b) as advisor or in any other capacity, in respect of | | | | | | | | | | | | | | | | | | |
| (i) Taxation matters | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (ii) Insurance matters | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (iii) Management Services; and | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (c) in any other capacity (for Certification) | | | | | | | | | | | | | | | | | | |
| Advertisement and publicity | 518 | 3 | 1 | - | 522 | 552 | - | - | - | - | 552 | 30,798 | 121 | - | 1 | - | 30,920 | 31,994 |
| Interest and bank charges | 500 | 6 | 2 | - | 508 | 142 | - | 1 | - | - | 143 | 178 | 59 | - | 1 | - | 238 | 889 |
| Depreciation | 1,295 | 10 | 2 | - | 1,307 | 429 | - | - | - | - | 429 | 818 | 142 | - | 7 | - | 967 | 2,703 |
| Brand/Trade Mark usage fee/charges | 100 | 2 | - | - | 102 | 26 | - | - | - | - | 26 | 73 | 12 | 1 | - | - | 86 | 214 |
| Business Development and Sales Promotion Expenses | 1,578 | 6 | 3 | - | 1,587 | 2,219 | - | - | - | - | 2,219 | 2,477 | 488 | - | 5 | - | 2,970 | 6,776 |
| Stamp duty on policies | 227 | 1 | - | - | 228 | 76 | - | - | - | - | 76 | 3,640 | 21 | - | 5 | - | 3,666 | 3,970 |
| Information Technology Expenses | 1,951 | 23 | 14 | - | 1,988 | 991 | - | 2 | - | - | 993 | 1,641 | 235 | - | 66 | - | 1,942 | 4,923 |
| Goods and Services Tax (GST) | 196 | 5 | 4 | - | 205 | 105 | - | 1 | - | - | 106 | 166 | 18 | - | 9 | 3 | 196 | 507 |
| Others | | | | | | | | | | | | | | | | | | |
| Business conferences and meetings | 531 | 3 | 1 | - | 535 | 746 | - | - | - | - | 746 | 4,797 | 170 | - | 3 | - | 4,970 | 6,251 |
| Office running expenses | 303 | 2 | 1 | - | 306 | 109 | - | - | - | - | 109 | 204 | 34 | - | 3 | - | 241 | 656 |
| Data entry related expenses | 459 | 20 | 10 | - | 489 | 258 | - | 2 | - | - | 260 | 572 | 43 | 4 | 21 | - | 640 | 1,389 |
| Miscellaneous expenses | 219 | 17 | 5 | - | 241 | (5) | - | - | - | - | (5) | 97 | (4) | - | - | - | 93 | 329 |
| Total | 26,041 | 333 | 129 | - | 26,503 | 16,724 | - | 21 | - | - | 16,745 | 67,534 | 4,056 | 35 | 340 | 6 | 71,971 | 115,219 |
| Operating Expenses Related To Insurance Business | | | | | | | | | | | | | | | | | | |
| In India | 26,004 | 333 | 129 | - | 26,466 | 16,700 | - | 21 | - | - | 16,721 | 67,437 | 4,050 | 35 | 340 | 6 | 71,868 | 115,055 |
| Outside India | 37 | - | - | - | 37 | 24 | - | - | - | - | 24 | 97 | 6 | - | - | - | 103 | 164 |
| Total | 26,041 | 333 | 129 | - | 26,503 | 16,724 | - | 21 | - | - | 16,745 | 67,534 | 4,056 | 35 | 340 | 6 | 71,971 | 115,219 |

FORM L-6-OPERATING EXPENSES SCHEDULE
OPERATING EXPENSES RELATED TO INSURANCE BUSINESS

For the half year ended September 30, 2023

(₹ Lakhs)

| Particulars | Linked Business | | | | | Non-Linked Business | | | | | | | | | | | Grand Total | |
|---------------------------------------------------------|-----------------|------------|------------|--------------------|---------------|---------------------|----------|-----------|----------|--------------------|-------------------|----------------|--------------|------------|------------|--------------------|----------------|----------------|
| | Life | Pension | Health | Variable Insurance | Total | Participating | | | | | Non-Participating | | | | | | | |
| | | | | | | Life | Annuity | Pension | Health | Variable Insurance | Total | Life | Annuity | Pension | Health | Variable Insurance | | Total |
| Employees' remuneration and welfare benefits | 27,564 | 381 | 131 | - | 28,076 | 16,401 | - | 22 | - | - | 16,423 | 32,028 | 4,001 | 111 | 369 | 11 | 36,520 | 81,019 |
| Trevel, conveyance and vehicle running expenses | 1,296 | 14 | 4 | - | 1,314 | 452 | - | 1 | - | - | 453 | 963 | 151 | 5 | 10 | - | 1,129 | 2,896 |
| Training expenses | 214 | 1 | - | - | 215 | 93 | - | - | - | - | 93 | 155 | 29 | - | 5 | - | 189 | 497 |
| Rents, rates and taxes | 1,659 | 14 | 5 | - | 1,678 | 584 | - | - | - | - | 584 | 1,178 | 197 | 2 | 10 | - | 1,387 | 3,649 |
| Repairs | 834 | 8 | 3 | - | 845 | 367 | - | - | - | - | 367 | 623 | 101 | 1 | 9 | - | 734 | 1,946 |
| Printing and stationery | 79 | 2 | 1 | - | 82 | 49 | - | - | - | - | 49 | 87 | 10 | - | 5 | - | 102 | 233 |
| Communication expenses | 1,506 | 49 | 11 | - | 1,566 | 948 | - | 4 | - | - | 952 | 2,766 | 143 | 1 | 47 | - | 2,957 | 5,475 |
| Legal and professional charges | 2,595 | 44 | 20 | - | 2,659 | 1,748 | - | 4 | - | - | 1,752 | 6,791 | 423 | 16 | 57 | 1 | 7,288 | 11,699 |
| Medical fees | 171 | - | - | - | 171 | 67 | - | - | - | - | 67 | 2,221 | - | - | - | - | 2,221 | 2,459 |
| Auditors' fees, expenses etc. | | | | | | | | | | | | | | | | | | |
| (a) as auditor | 45 | 1 | 1 | - | 47 | 28 | - | - | - | - | 28 | 48 | 6 | - | 3 | - | 57 | 132 |
| (b) as advisor or in any other capacity, in respect of | | | | | | | | | | | | | | | | | | |
| (i) Taxation matters | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (ii) Insurance matters | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (iii) Management Services; and | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (c) in any other capacity (for Certification) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Advertisement and publicity | 1,313 | 7 | 2 | - | 1,322 | 4,142 | - | - | - | - | 4,142 | 64,275 | 242 | - | 3 | - | 64,520 | 69,984 |
| Interest and bank charges | 956 | 12 | 4 | - | 972 | 254 | - | 1 | - | - | 255 | 344 | 112 | 1 | 3 | - | 460 | 1,687 |
| Depreciation | 2,344 | 20 | 6 | - | 2,370 | 799 | - | 1 | - | - | 800 | 1,646 | 276 | 3 | 14 | - | 1,939 | 5,109 |
| Brand/Trade Mark usage fee/charges | 194 | 5 | 1 | - | 200 | 49 | - | - | - | - | 49 | 146 | 24 | 6 | - | - | 176 | 425 |
| Business Development and Sales Promotion Expenses | 1,691 | 7 | 3 | - | 1,701 | 2,346 | - | - | - | - | 2,346 | 2,681 | 515 | - | 6 | - | 3,202 | 7,249 |
| Stamp duty on policies | 347 | 1 | - | - | 348 | 122 | - | - | - | - | 122 | 7,159 | 34 | - | 9 | - | 7,202 | 7,672 |
| Information Technology Expenses | 3,495 | 45 | 27 | - | 3,567 | 1,907 | - | 4 | - | - | 1,911 | 3,368 | 480 | 1 | 148 | - | 3,997 | 9,475 |
| Goods and Services Tax (GST) | 317 | 9 | 6 | - | 332 | 184 | - | 1 | - | - | 185 | 302 | 33 | - | 16 | 5 | 356 | 873 |
| Others | | | | | | | | | | | | | | | | | | |
| Business conferences and meetings | 1,098 | 4 | 2 | - | 1,104 | 1,157 | - | - | - | - | 1,157 | 6,417 | 256 | - | 4 | - | 6,677 | 8,938 |
| Office running expenses | 541 | 5 | 2 | - | 548 | 201 | - | - | - | - | 201 | 405 | 66 | 1 | 6 | - | 478 | 1,227 |
| Data entry related expenses | 872 | 45 | 19 | - | 936 | 518 | - | 3 | - | - | 521 | 1,157 | 89 | 21 | 46 | 5 | 1,318 | 2,775 |
| Miscellaneous expenses | 730 | 61 | - | - | 791 | 17 | - | 3 | - | - | 20 | 29 | 79 | - | 4 | - | 112 | 923 |
| Total | 49,861 | 735 | 248 | - | 50,844 | 32,433 | - | 44 | - | - | 32,477 | 134,789 | 7,267 | 169 | 774 | 22 | 143,021 | 226,342 |
| Operating Expenses Related To Insurance Business | | | | | | | | | | | | | | | | | | |
| In India | 49,775 | 734 | 248 | - | 50,757 | 32,377 | - | 44 | - | - | 32,421 | 134,557 | 7,255 | 169 | 773 | 22 | 142,776 | 225,954 |
| Outside India | 86 | 1 | - | - | 87 | 56 | - | - | - | - | 56 | 232 | 12 | - | 1 | - | 245 | 388 |
| Total | 49,861 | 735 | 248 | - | 50,844 | 32,433 | - | 44 | - | - | 32,477 | 134,789 | 7,267 | 169 | 774 | 22 | 143,021 | 226,342 |

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Schedules forming part of condensed standalone financial statements

FORM L-6-OPERATING EXPENSES SCHEDULE
OPERATING EXPENSES RELATED TO INSURANCE BUSINESS

For the quarter ended September 30, 2022

(₹ Lakhs)

| Particulars | Linked Business | | | | | Non-Linked Business | | | | | | | | | | Grand Total | | | |
|---------------------------------------------------------|-----------------|------------|------------|--------------------|---------------|---------------------|----------|-----------|----------|--------------------|-------------------|---------------|---------------|--------------|------------|-------------|--------------------|---------------|----------------|
| | Life | Pension | Health | Variable Insurance | Total | Participating | | | | | Non-Participating | | | | | | | | |
| | | | | | | Life | Annuity | Pension | Health | Variable Insurance | Total | Life | Annuity | Pension | Health | | Variable Insurance | Total | |
| Employees' remuneration and welfare benefits | 13,604 | 175 | 62 | - | 13,841 | 5,298 | - | 11 | - | - | - | 5,309 | 13,946 | 1,704 | 75 | 146 | 1 | 15,872 | 35,022 |
| Travel, conveyance and vehicle running expenses | 742 | 9 | 3 | - | 754 | 202 | - | - | - | - | - | 202 | 547 | 90 | 5 | 7 | - | 649 | 1,605 |
| Training expenses | 157 | 1 | - | - | 158 | 70 | - | - | - | - | - | 70 | 111 | 25 | - | 4 | - | 140 | 368 |
| Rents, rates and taxes | 854 | 8 | 3 | - | 865 | 213 | - | 4 | - | - | - | 217 | 605 | 105 | 2 | 4 | - | 716 | 1,798 |
| Repairs | 429 | 4 | 2 | - | 435 | 131 | - | 9 | - | - | - | 140 | 314 | 54 | 1 | 4 | - | 373 | 948 |
| Printing and stationery | 42 | 1 | 1 | - | 44 | 28 | - | - | - | - | - | 28 | 39 | 5 | - | 3 | - | 47 | 119 |
| Communication expenses | 1,040 | 30 | 6 | - | 1,076 | 689 | - | 3 | - | - | - | 692 | 1,800 | 111 | 1 | 33 | - | 1,945 | 3,713 |
| Legal and professional charges | 2,217 | 28 | 11 | - | 2,256 | 737 | - | 4 | - | - | - | 741 | 6,061 | 290 | 4 | 23 | 1 | 6,379 | 9,376 |
| Medical fees | 68 | - | 2 | - | 70 | 32 | - | - | - | - | - | 32 | 603 | - | 1 | - | - | 604 | 706 |
| Auditors' fees, expenses etc. | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (a) as auditor | 23 | 1 | - | - | 24 | 16 | - | - | - | - | - | 16 | 22 | 3 | - | 1 | - | 26 | 66 |
| (b) as advisor or in any other capacity, in respect of | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (i) Taxation matters | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (ii) Insurance matters | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (iii) Management Services; and | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (c) in any other capacity (for Certification) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Advertisement and publicity | 522 | 2 | 1 | - | 525 | 1,447 | - | - | - | - | - | 1,447 | 40,458 | 64 | - | 1 | - | 40,523 | 42,495 |
| Interest and bank charges | 499 | 7 | 2 | - | 508 | 122 | - | 1 | - | - | - | 123 | 151 | 71 | - | 1 | - | 223 | 854 |
| Depreciation | 966 | 9 | 3 | - | 978 | 236 | - | - | - | - | - | 236 | 684 | 118 | 2 | 5 | - | 809 | 2,023 |
| Brand/Trade Mark usage fee/charges | 99 | 3 | - | - | 102 | 24 | - | - | - | - | - | 24 | 59 | 14 | 5 | - | - | 77 | 203 |
| Business Development and Sales Promotion Expenses | 929 | 3 | 1 | - | 933 | 434 | - | - | - | - | - | 434 | 1,094 | 141 | - | 2 | - | 1,237 | 2,604 |
| Stamp duty on policies | 179 | 1 | - | - | 180 | 43 | - | - | - | - | - | 43 | 3,861 | 23 | - | 4 | - | 3,888 | 4,111 |
| Information Technology Expenses | 1,650 | 28 | 13 | - | 1,691 | 909 | - | 3 | - | - | - | 912 | 1,326 | 235 | 1 | 61 | - | 1,623 | 4,226 |
| Goods and Services Tax (GST) | 92 | 3 | 2 | - | 97 | 54 | - | - | - | - | - | 54 | 77 | 9 | - | 5 | 3 | 94 | 245 |
| Others | | | | | | | | | | | | | | | | | | | |
| Business conferences and meetings | (1,583) | (5) | (2) | - | (1,590) | (664) | - | - | - | - | - | (664) | (3,870) | (635) | - | (2) | - | (4,507) | (6,761) |
| Office running expenses | 272 | 2 | 1 | - | 275 | 77 | - | - | - | - | - | 77 | 200 | 34 | 1 | 3 | - | 238 | 590 |
| Data entry related expenses | 449 | 21 | 10 | - | 480 | 262 | - | 2 | - | - | - | 264 | 472 | 43 | 19 | 21 | - | 555 | 1,299 |
| Miscellaneous expenses | (78) | (88) | (7) | - | (173) | 52 | - | (3) | - | - | - | 49 | (76) | (53) | - | (4) | - | (133) | (257) |
| Total | 23,172 | 243 | 114 | - | 23,529 | 10,412 | - | 34 | - | - | - | 10,446 | 68,483 | 2,451 | 117 | 322 | 5 | 71,378 | 105,353 |
| Operating Expenses Related To Insurance Business | | | | | | | | | | | | | | | | | | | |
| In India | 23,143 | 243 | 114 | - | 23,500 | 10,399 | - | 34 | - | - | - | 10,433 | 68,397 | 2,448 | 117 | 322 | 5 | 71,289 | 105,222 |
| Outside India | 29 | - | - | - | 29 | 13 | - | - | - | - | - | 13 | 86 | 3 | - | - | - | 89 | 131 |
| Total | 23,172 | 243 | 114 | - | 23,529 | 10,412 | - | 34 | - | - | - | 10,446 | 68,483 | 2,451 | 117 | 322 | 5 | 71,378 | 105,353 |

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Schedules forming part of condensed standalone financial statements
FORM L-6-OPERATING EXPENSES SCHEDULE
OPERATING EXPENSES RELATED TO INSURANCE BUSINESS

For the half year ended September 30, 2022

(₹ Lakhs)

| Particulars | Linked Business | | | | | Non-Linked Business | | | | | | | | | | Grand Total | | |
|---------------------------------------------------------|-----------------|------------|------------|--------------------|---------------|---------------------|----------|-----------|----------|--------------------|-------------------|----------------|--------------|------------|------------|-------------|--------------------|----------------|
| | Life | Pension | Health | Variable Insurance | Total | Participating | | | | | Non-Participating | | | | | | | |
| | | | | | | Life | Annuity | Pension | Health | Variable Insurance | Total | Life | Annuity | Pension | Health | | Variable Insurance | Total |
| Employees' remuneration and welfare benefits | 27,884 | 378 | 128 | - | 28,390 | 9,714 | - | 27 | - | - | 9,741 | 27,375 | 3,368 | 183 | 302 | - | 31,230 | 69,361 |
| Travel, conveyance and vehicle running expenses | 1,319 | 17 | 5 | - | 1,341 | 343 | - | 1 | - | - | 344 | 985 | 159 | 12 | 12 | - | 1,168 | 2,853 |
| Training expenses | 230 | 1 | - | - | 231 | 90 | - | - | - | - | 90 | 159 | 37 | - | 4 | - | 200 | 521 |
| Rents, rates and taxes | 1,658 | 17 | 5 | - | 1,680 | 389 | - | 8 | - | - | 397 | 1,191 | 197 | 5 | 10 | - | 1,403 | 3,480 |
| Repairs | 831 | 9 | 3 | - | 843 | 236 | - | 16 | - | - | 252 | 619 | 103 | 3 | 8 | - | 733 | 1,828 |
| Printing and stationery | 92 | 2 | 1 | - | 95 | 55 | - | - | - | - | 55 | 86 | 12 | - | 5 | - | 103 | 253 |
| Communication expenses | 1,964 | 55 | 11 | - | 2,030 | 1,223 | - | 5 | - | - | 1,228 | 3,560 | 216 | 2 | 63 | - | 3,841 | 7,099 |
| Legal and professional charges | 4,453 | 61 | 22 | - | 4,536 | 1,528 | - | 9 | - | - | 1,537 | 10,827 | 600 | 6 | 48 | 1 | 11,482 | 17,555 |
| Medical fees | 120 | 1 | 2 | - | 123 | 56 | - | - | - | - | 56 | 1,335 | - | 4 | - | - | 1,339 | 1,518 |
| Auditors' fees, expenses etc. | | | | | | | | | | | | | | | | | | |
| (a) as auditor | 47 | 1 | 1 | - | 49 | 29 | - | - | - | - | 29 | 43 | 6 | - | 2 | - | 51 | 129 |
| (b) as advisor or in any other capacity, in respect of | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (i) Taxation matters | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (ii) Insurance matters | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (iii) Management Services; and | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (c) in any other capacity (for Certification) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Advertisement and publicity | 1,071 | 5 | 1 | - | 1,077 | 1,968 | - | - | - | - | 1,968 | 63,846 | 135 | - | 2 | - | 63,983 | 67,028 |
| Interest and bank charges | 834 | 13 | 4 | - | 851 | 200 | - | 1 | - | - | 201 | 254 | 126 | 1 | 2 | - | 383 | 1,435 |
| Depreciation | 1,802 | 19 | 5 | - | 1,826 | 416 | - | 1 | - | - | 417 | 1,296 | 216 | 5 | 10 | - | 1,527 | 3,770 |
| Brand/Trade Mark usage fee/charges | 186 | 6 | 1 | - | 193 | 44 | - | - | - | - | 44 | 119 | 31 | 16 | - | - | 165 | 402 |
| Business Development and Sales Promotion Expenses | 926 | 3 | 1 | - | 930 | 433 | - | - | - | - | 433 | 1,091 | 141 | - | 2 | - | 1,234 | 2,597 |
| Stamp duty on policies | 318 | 1 | - | - | 319 | 71 | - | - | - | - | 71 | 6,982 | 39 | - | 7 | - | 7,028 | 7,418 |
| Information Technology Expenses | 3,087 | 55 | 23 | - | 3,165 | 1,546 | - | 6 | - | - | 1,552 | 2,519 | 443 | 3 | 113 | - | 3,078 | 7,795 |
| Goods and Services Tax (GST) | 218 | 8 | 5 | - | 231 | 133 | - | 1 | - | - | 134 | 200 | 25 | - | 11 | 8 | 244 | 609 |
| Others | | | | | | | | | | | | | | | | | | |
| Business conferences and meetings | 650 | 4 | 1 | - | 655 | (47) | - | - | - | - | (47) | (2,525) | (382) | 7 | 2 | - | (2,898) | (2,290) |
| Office running expenses | 521 | 5 | 2 | - | 528 | 138 | - | - | - | - | 138 | 389 | 65 | 2 | 5 | - | 461 | 1,127 |
| Data entry related expenses | 873 | 40 | 19 | - | 932 | 495 | - | 4 | - | - | 499 | 904 | 87 | 35 | 43 | 1 | 1,070 | 2,501 |
| Miscellaneous expenses | 146 | (65) | (4) | - | 77 | 81 | - | - | - | - | 81 | 40 | 9 | - | (6) | - | 43 | 201 |
| Total | 49,230 | 636 | 236 | - | 50,102 | 19,141 | - | 79 | - | - | 19,220 | 121,294 | 5,633 | 284 | 645 | 12 | 127,868 | 197,190 |
| Operating Expenses Related To Insurance Business | | | | | | | | | | | | | | | | | | |
| In India | 49,162 | 635 | 236 | - | 50,033 | 19,115 | - | 79 | - | - | 19,194 | 121,127 | 5,625 | 284 | 644 | 12 | 127,692 | 196,919 |
| Outside India | 68 | 1 | - | - | 69 | 26 | - | - | - | - | 26 | 167 | 8 | 1 | - | - | 176 | 271 |
| Total | 49,230 | 636 | 236 | - | 50,102 | 19,141 | - | 79 | - | - | 19,220 | 121,294 | 5,633 | 284 | 645 | 12 | 127,868 | 197,190 |

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Schedules forming part of condensed standalone financial statements

FORM L-6A-OPERATING EXPENSES SCHEDULE
EXPENSES OTHER THAN THOSE DIRECTLY RELATED TO THE INSURANCE BUSINESS

For the half year ended September 30, 2023

(₹ Lakhs)

| Particulars | For the quarter ended September 30, 2023 | For the half year ended September 30, 2023 | For the quarter ended September 30, 2022 | For the half year ended September 30, 2022 |
|-------------------------------------------------|------------------------------------------------|--------------------------------------------------|------------------------------------------------|--------------------------------------------------|
| Employees' remuneration and welfare benefits | 26 | 53 | 21 | 49 |
| Travel, conveyance and vehicle running expenses | - | 1 | 1 | 1 |
| Rents, rates and taxes | 44 | 91 | 39 | 77 |
| Repairs | (7) | 65 | 32 | 58 |
| Printing and stationery | 9 | 9 | - | - |
| Legal and professional charges | 44 | 87 | 44 | 78 |
| Interest and bank charges | 3 | 6 | 3 | 5 |
| Information Technology Expenses | - | 11 | 5 | 11 |
| Others | | | | |
| - Miscellaneous expenses | 271 | 286 | (3) | 3 |
| Total | 390 | 609 | 142 | 282 |

FORM L-8- SHARE CAPITAL SCHEDULE
SHARE CAPITAL

(₹ Lakhs)

| Particulars | As at September 30, 2023 | As at March 31, 2023 | As at September 30, 2022 |
|-----------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------|--------------------------|
| Authorised capital | | | |
| 1,500,000,000 Equity shares of ₹ 10/- each | 150,000 | 150,000 | 150,000 |
| Preference shares of ₹ each | - | - | - |
| Issued Capital | | | |
| 1,438,545,246 Equity shares of ₹ 10/- each fully paid up (March 31, 2022: 1,437,306,101; September 30, 2021: 1,437,121,151) | 143,962 | 143,857 | 143,855 |
| Preference shares of ₹ each | - | - | - |
| Subscribed Capital | | | |
| 1,438,545,246 Equity shares of ₹ 10/- each fully paid up (March 31, 2022: 1,437,306,101; September 30, 2021: 1,437,121,151) | 143,962 | 143,857 | 143,855 |
| Preference shares of ₹ each | - | - | - |
| Called up Capital | | | |
| 1,438,545,246 Equity shares of ₹ 10/- each fully paid up (March 31, 2022: 1,437,306,101; September 30, 2021: 1,437,121,151) | 143,962 | 143,857 | 143,855 |
| Less : Calls unpaid | - | - | - |
| Add : Shares forfeited (Amount originally paid up) | - | - | - |
| Less : Par value of Equity Shares bought back | - | - | - |
| Less : Preliminary Expenses | - | - | - |
| Less : Expenses including commission or brokerage | - | - | - |
| Less : Underwriting or subscription of shares | - | - | - |
| Preference shares of ₹ Each | - | - | - |
| Total | 143,962 | 143,857 | 143,855 |

Out of the total equity share capital, 737,605,504 equity shares (March 31, 2023 - 737,605,504 equity shares; September 30, 2022 - 737,605,504 equity shares) of ₹ 10/- each are held by the holding company, ICICI Bank Limited.

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Schedules forming part of condensed standalone financial statements

FORM L9- PATTERN OF SHAREHOLDING SCHEDULE
PATTERN OF SHAREHOLDING
[As certified by the Management]

| Particular | As at September 30, 2023 | | As at March 31, 2023 | | As at September 30, 2022 | |
|---------------------------------------------------|--------------------------|---------------|----------------------|---------------|--------------------------|---------------|
| | Number of Shares | % of Holding | Number of Shares | % of Holding | Number of Shares | % of Holding |
| Promoters | | | | | | |
| Indian (ICICI Bank Limited) | 737,605,504 | 51.24 | 737,605,504 | 51.27 | 737,605,504 | 51.27 |
| Foreign (Prudential Corporation Holdings Limited) | 317,517,279 | 22.06 | 317,517,279 | 22.07 | 317,517,279 | 22.07 |
| Investors | | | | | | |
| Indian | 129,625,718 | 9.00 | 132,941,853 | 9.24 | 112,623,635 | 7.83 |
| Foreign | 254,869,895 | 17.70 | 250,506,760 | 17.41 | 270,798,828 | 18.82 |
| Others | - | - | - | - | - | - |
| Total | 1,439,618,396 | 100.00 | 1,438,571,396 | 100.00 | 1,438,545,246 | 100.00 |

FORM L-9A-SHAREHOLDING PATTERN

Details Of Equity Holding Of Insurers

Part A:

Particulars Of The Shareholding Pattern Of ICICI Prudential Life Insurance Company
As at Quarter ended September 30, 2023

| Sr. No. | Category | No. of Investors | No. of shares held | % of share-holdings | Paid up equity (Rs. In lakhs) | Shares pledged or otherwise encumbered | | Shares under Lock in Period | |
|--------------|----------------------------------------------------------------------------------------------|------------------|----------------------|---------------------|-------------------------------|----------------------------------------|-------------------------------------------------------------|-----------------------------|--------------------------------------------------------------|
| | | | | | | Number of shares (VI) | As a percentage of Total Shares held (VII) = (VI)/(III)*100 | Number of shares (VIII) | As a percentage of Total Shares held (IX) = (VIII)/(III)*100 |
| (I) | (II) | | (III) | (IV) | (V) | | | | |
| A | Promoters & Promoters Group | | | | | | | | |
| A.1 | Indian Promoters | - | - | - | - | - | - | - | - |
| i) | Individuals/HUF (Names of major shareholders): | | | | | | | | |
| | (i) | - | - | - | - | - | - | - | - |
| | (ii) | - | - | - | - | - | - | - | - |
| | (iii) | - | - | - | - | - | - | - | - |
| ii) | Bodies Corporate: | | | | | | | | |
| | (i) | - | - | - | - | - | - | - | - |
| | (ii) | - | - | - | - | - | - | - | - |
| | (iii) | - | - | - | - | - | - | - | - |
| iii) | Financial Institutions/ Banks | 1 | 737,605,504 | 51.24 | 73,761 | - | - | - | - |
| | ICICI Bank Limited | 1 | 737,605,504 | 51.24 | 73,761 | - | - | - | - |
| iv) | Central Government/ State Government(s) / President of India | - | - | - | - | - | - | - | - |
| v) | Persons acting in concert (Please specify) | - | - | - | - | - | - | - | - |
| vi) | Any other (Please specify) | - | - | - | - | - | - | - | - |
| A.2 | Foreign Promoters | | | | | | | | |
| i) | Individuals (Name of major shareholders): | | | | | | | | |
| | (i) | - | - | - | - | - | - | - | - |
| | (ii) | - | - | - | - | - | - | - | - |
| | (iii) | - | - | - | - | - | - | - | - |
| ii) | Bodies Corporate: | 1 | 317,517,279 | 22.06 | 31,752 | - | - | - | - |
| | (i) PRUDENTIAL CORPORATION HOLDINGS LIMITED | 1 | 317,517,279 | 22.06 | 31,752 | - | - | - | - |
| | (ii) | - | - | - | - | - | - | - | - |
| | (iii) | - | - | - | - | - | - | - | - |
| iii) | Any other (Please specify) | - | - | - | - | - | - | - | - |
| B. | Non Promoters | | | | | | | | |
| B.1 | Public Shareholders | | | | | | | | |
| 1.1) | Institutions | | | | | | | | |
| i) | Mutual Funds | 26 | 67,345,934 | 4.68 | 6,735 | NA | NA | - | - |
| | SBI FUNDS MANAGEMENT PRIVATE LIMITED AND VARIOUS MUTUAL FUND ACCOUNTS | 1 | 22,346,123 | 1.55 | 2,235 | NA | NA | - | - |
| ii) | Foreign Portfolio Investors | 574 | 223,072,726 | 15.50 | 22,307 | NA | NA | - | - |
| | CAMAS INVESTMENTS PTE. LTD. | 1 | 25,533,617 | 1.77 | 2,553 | NA | NA | - | - |
| iii) | Financial Institutions/Banks | 2 | 8,004 | 0.00 | 1 | NA | NA | - | - |
| iv) | Insurance Companies | 23 | 17,622,823 | 1.22 | 1,762 | NA | NA | - | - |
| v) | FI belonging to Foreign promoter | - | - | - | - | NA | NA | - | - |
| vi) | FI belonging to Foreign Promoter of Indian Promoter | - | - | - | - | NA | NA | - | - |
| vii) | Provident Fund/Pension Fund | 1 | 4,667,203 | 0.32 | 467 | NA | NA | - | - |
| viii) | Alternative Investment Fund | 20 | 2,120,020 | 0.15 | 212 | NA | NA | - | - |
| ix) | Any other (Please specify) | 1 | 186,872 | 0.01 | 19 | NA | NA | - | - |
| | Foreign Institutional Investors | 1 | 186,872 | 0.01 | 19 | NA | NA | - | - |
| 1.2) | Central Government/ State Government(s)/ President of India | - | - | - | - | NA | NA | - | - |
| 1.3) | Shareholding by Companies or Bodies Corporate where Central / State Government is a promoter | 0 | 0 | - | - | NA | NA | - | - |
| 1.4) | Non-Institutions | | | | | | | | |
| i) | Individual share capital upto Rs. 2 Lacs | 335,137 | 29,061,478 | 2.02 | 2,906 | NA | NA | - | - |
| ii) | Individual share capital in excess of Rs. 2 Lacs | 86 | 4,612,974 | 0.32 | 461 | NA | NA | - | - |
| iii) | NBFCs registered with RBI | 2 | 21,250 | 0.00 | 2 | NA | NA | - | - |
| iv) | Others: | 16,520 | 7,058,581 | 0.49 | 706 | NA | NA | - | - |
| | - Trusts | 19 | 69,724 | 0.00 | 7 | NA | NA | - | - |
| | - Non Resident Indian | 5,461 | 2,892,549 | 0.20 | 289 | NA | NA | - | - |
| | - Clearing Members | 8 | 96,032 | 0.01 | 10 | NA | NA | - | - |
| | - HUF | 9,838 | 1,031,729 | 0.07 | 103 | NA | NA | - | - |
| | - Bodies Corporate | 1,191 | 2,909,532 | 0.20 | 291 | NA | NA | - | - |
| | - Key Managerial Personnel | 1 | 50,274 | 0.00 | 5 | NA | NA | - | - |
| | - Directors and their relatives | | | | | | | | |
| | (excluding independent directors and nominee directors) | 2 | 8,741 | 0.00 | 1 | NA | NA | - | - |
| | - IEPF | | | | | | | | |
| v) | Any other (Please Specify) | 1 | 28,717,748 | 1.99 | 2,872 | NA | NA | - | - |
| | FOREIGN NATIONALS | - | - | - | - | NA | NA | - | - |
| | FOREIGN BODIES | 1 | 28,717,748 | 1.99 | 2,872 | NA | NA | - | - |
| | COMPASSVALE INVESTMENTS PTE. LTD. | 1 | 28,717,748 | 1.99 | 2,872 | NA | NA | - | - |
| B.2 | Non Public Shareholders | - | - | - | - | NA | NA | - | - |
| 2.1) | Custodian/DR Holder | - | - | - | - | NA | NA | - | - |
| 2.2) | Employee Benefit Trust | - | - | - | - | NA | NA | - | - |
| 2.3) | Any other (Please specify) | - | - | - | - | NA | NA | - | - |
| Total | | 352,395 | 1,439,618,396 | 100 | 143,962 | NA | NA | - | - |

Details Of Equity Holding Of Insurers

Particulars Of The Shareholding Pattern In The Indian Promoter Company(S) /Indian Investor(S) As Indicated At (A) Above

Part B:

Name of the Indian Promoter / Indian Investor: ICICI Bank Limited

| Sl. No. | Category | No. of Investors | No. of shares held | % of share-holdings | Paid up equity (Rs. in lakhs) | Shares pledged or otherwise encumbered | | Shares under Lock in Period | |
|--------------|-------------------------------------------------------------------|------------------|----------------------|---------------------|-------------------------------|----------------------------------------|-------------------------------------------------------------|-----------------------------|--------------------------------------------------------------|
| | | | | | | Number of shares (VI) | As a percentage of Total Shares held (VII) = (VI)/(III)*100 | Number of shares (VIII) | As a percentage of Total Shares held (IX) = (VIII)/(III)*100 |
| (I) | (II) | | (III) | (IV) | (V) | | | | |
| A | Promoters & Promoters Group | | | | | | | | |
| A.1 | Indian Promoters | | | | | | | | |
| i) | Individuals/HUF (Names of major shareholders): | | | | | | | | |
| (i) | | - | - | - | - | - | - | - | - |
| (ii) | | - | - | - | - | - | - | - | - |
| (iii) | | - | - | - | - | - | - | - | - |
| ii) | Bodies Corporate: | | | | | | | | |
| (i) | | - | - | - | - | - | - | - | - |
| (ii) | | - | - | - | - | - | - | - | - |
| (iii) | | - | - | - | - | - | - | - | - |
| iii) | Financial Institutions/ Banks | - | - | - | - | - | - | - | - |
| iv) | Central Government/ State Government(s) / President of India | - | - | - | - | - | - | - | - |
| v) | Persons acting in concert (Please specify) | - | - | - | - | - | - | - | - |
| vi) | Any other (Please specify) | - | - | - | - | - | - | - | - |
| A.2 | Foreign Promoters | | | | | | | | |
| i) | Individuals (Name of major shareholders): | | | | | | | | |
| (i) | | - | - | - | - | - | - | - | - |
| (ii) | | - | - | - | - | - | - | - | - |
| (iii) | | - | - | - | - | - | - | - | - |
| ii) | Bodies Corporate: | | | | | | | | |
| (i) | | - | - | - | - | - | - | - | - |
| (ii) | | - | - | - | - | - | - | - | - |
| (iii) | | - | - | - | - | - | - | - | - |
| iii) | Any other (Please specify) | - | - | - | - | - | - | - | - |
| B. | Non Promoters | | | | | | | | |
| B.1 | Public Shareholders | | | | | | | | |
| 1.1) | Institutions | | | | | | | | |
| i) | Mutual Funds | 83 | 1,672,168,229 | 23.88 | 33,443 | 9,805,789 | 0.59 | - | - |
| | MIRAE ASSET MUTUAL FUND | 1 | 81,105,463 | 1.16 | 1,622 | - | - | - | - |
| | NIPPON LIFE INDIA MUTUAL FUND | 1 | 85,325,585 | 1.22 | 1,707 | - | - | - | - |
| | KOTAK MAHINDRA MUTUAL FUND | 1 | 88,081,258 | 1.26 | 1,762 | - | - | - | - |
| | ADITYA BIRLA SUN LIFE MUTUAL FUND | 1 | 94,591,235 | 1.35 | 1,892 | - | - | - | - |
| | UTI MUTUAL FUND | 1 | 135,998,392 | 1.94 | 2,720 | - | - | - | - |
| | HDFC MUTUAL FUND | 1 | 181,947,900 | 2.60 | 3,639 | - | - | - | - |
| | ICICI PRUDENTIAL MUTUAL FUND | 1 | 223,884,864 | 3.20 | 4,478 | - | - | - | - |
| | SBI MUTUAL FUND | 1 | 417,227,059 | 5.96 | 8,345 | - | - | - | - |
| ii) | Foreign Portfolio Investors | 1,665 | 2,501,511,510 | 35.72 | 50,030 | - | - | - | - |
| | GOVERNMENT OF SINGAPORE | 1 | 180,303,488 | 2.57 | 3,606 | - | - | - | - |
| iii) | Financial Institutions/Banks | 187 | 5,473,021 | 0.08 | 109 | - | - | - | - |
| iv) | Insurance Companies | 41 | 683,831,848 | 9.76 | 13,677 | - | - | - | - |
| | SBI LIFE INSURANCE COMPANY LIMITED | 1 | 82,296,690 | 1.18 | 1,646 | - | - | - | - |
| | LIFE INSURANCE CORPORATION OF INDIA | 1 | 413,867,641 | 5.91 | 8,277 | - | - | - | - |
| v) | FII belonging to Foreign promoter | - | - | - | - | - | - | - | - |
| vi) | FII belonging to Foreign Promoter of Indian Promoter | - | - | - | - | - | - | - | - |
| vii) | Provident Fund/Pension Fund | 1 | 149,244,795 | 2.13 | 2,985 | - | - | - | - |
| | NPS Trust | 1 | 149,244,795 | 2.13 | 2,985 | - | - | - | - |
| viii) | Alternative Investment Fund | 103 | 40,375,393 | 0.58 | 808 | 1,423,594 | 3.53 | - | - |
| ix) | Any other (Please specify) | 6 | 220,546 | 0.00 | 4 | - | - | - | - |
| | Foreign banks | 6 | 220,546 | 0.00 | 4 | - | - | - | - |
| 1.2) | Central Government/ State Government(s)/ President of India | 13 | 14,500,013 | 0.21 | 290 | - | - | - | - |
| 1.3) | Non-Institutions | | | | | | | | |
| i) | Individual share capital upto Rs. 2 Lacs | 1,721,356 | 364,663,477 | 5.21 | 7,293 | 28,820,444 | 7.90 | - | - |
| ii) | Individual share capital in excess of Rs. 2 Lacs | 221 | 68,913,295 | 0.98 | 1,378 | 2,924,743 | 4.24 | - | - |
| iii) | NBFCs registered with RBI | 26 | 13,957,853 | 0.20 | 279 | 281,350 | 2.02 | - | - |
| iv) | Others: | 46,499 | 104,577,613 | 1.49 | 2,092 | 9,895,184 | 9.46 | - | - |
| | - Trusts | 92 | 1,841,714 | 0.03 | 37 | 42,653 | 2.32 | - | - |
| | - Non Resident Indian | 21,572 | 12,709,012 | 0.18 | 254 | 75,494 | 0.59 | - | - |
| | - Clearing Members | 17,914 | 12,217,689 | 0.17 | 244 | 60,477 | 0.49 | - | - |
| | - Non Resident Indian Non Repatriable | 29 | 507,310 | 0.01 | 10 | - | - | - | - |
| | - Bodies Corporate | 6,891 | 68,415,760 | 0.98 | 1,368 | 9,716,560 | 14.20 | - | - |
| | - IEPF | 1 | 8,886,128 | 0.13 | 178 | - | - | - | - |
| v) | Any other (Please Specify) | 20,677 | 17,005,897 | 0.24 | 340 | 1,317,812 | 7.75 | - | - |
| | HUF | 20,652 | 8,509,365 | 0.12 | 170 | 1,317,812 | 15.49 | - | - |
| | OCBs2 | 1 | 3,300 | 0.00 | 0 | - | - | - | - |
| | FOREIGN COMPANIES | 3 | 155,019 | 0.00 | 3 | - | - | - | - |
| | FOREIGN NATIONALS/ FOREIGN PORTFOLIO INVESTOR (INDIVIDUAL) | 14 | 19,420 | 0.00 | 0 | - | - | - | - |
| | FOREIGN BODIES - DR | 5 | 8,222,437 | 0.12 | 164 | - | - | - | - |
| | Unclaimed Shares Suspense Account | 1 | 93,427 | 0.00 | 2 | - | - | - | - |
| | Suspense Escrow Account | 1 | 2,929 | 0.00 | 0 | - | - | - | - |
| B.2 | Non Public Shareholders | | | | | | | | |
| 2.1) | Custodian/DR Holder | 1 | 1,366,644,297 | 19.51 | 27,333 | - | - | - | - |
| | Deutsche Bank Trust Company Americas (Depository for ADS holders) | 1 | 1,366,644,297 | 19.51 | 27,333 | - | - | - | - |
| 2.2) | Employee Benefit Trust | - | - | - | - | - | - | - | - |
| 2.3) | Any other (Please specify) | - | - | - | - | - | - | - | - |
| Total | | 1,790,879 | 7,003,087,787 | 100 | 140,062 | 54,468,916 | 1 | | |

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Schedules forming part of condensed standalone financial statements

FORM L-10-RESERVES AND SURPLUS SCHEDULE
RESERVES AND SURPLUS

(₹ Lakhs)

| Particulars | As at September 30, 2023 | As at March 31, 2023 | As at September 30, 2022 |
|-------------------------------------------------|--------------------------|----------------------|--------------------------|
| Capital reserves | - | - | - |
| Capital redemption reserve | - | - | - |
| Share premium : | | | |
| - Opening balance | 352,996 | 348,251 | 348,251 |
| - Add:- Addition made during the period ended | 4,091 | 4,745 | 4,648 |
| - Less:- Reduction made during the period ended | - | - | - |
| Closing balance | 357,087 | 352,996 | 352,899 |
| Revaluation reserve: | | | |
| - Opening balance | 3,378 | 3,143 | 3,143 |
| - Add:- Addition made during the period ended | - | 235 | - |
| - Less:- Reduction made during the period ended | - | - | - |
| Closing balance | 3,378 | 3,378 | 3,143 |
| General reserve | | | |
| Opening balance | - | - | - |
| Less: Transfer to Profit and Loss | - | - | - |
| Closing balance | - | - | - |
| Less: Debit balance in Profit and Loss Account | - | - | - |
| Less: Amount utilized for buy-back | - | - | - |
| Less: Amount utilized for issue of Bonus shares | - | - | - |
| Catastrophe reserve | - | - | - |
| Other reserves | - | - | - |
| Balance of profit in Profit and Loss Account | 517,403 | 480,921 | 435,371 |
| Total | 877,868 | 837,295 | 791,413 |

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Schedules forming part of condensed standalone financial statements

FORM L11-BORROWING SCHEDULE
BORROWINGS

(₹ Lakhs)

| Particulars | As at September 30, 2023 | As at March 31, 2023 | As at September 30, 2022 |
|-----------------------------------|--------------------------|----------------------|--------------------------|
| In the form of Debentures/ Bonds* | 120,000 | 120,000 | 120,000 |
| From Banks | - | - | - |
| From Financial Institutions | - | - | - |
| From Others | - | - | - |
| Total | 120,000 | 120,000 | 120,000 |

*Amounts due within 12 months from the date of Balance Sheet: Nil

Unsecured, subordinated, nonconvertible debentures issued as per IRDAI (Other Forms of Capital) Regulations, 2015

ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED
SCHEDULES FORMING PART OF CONDENSED STANDALONE FINANCIAL STATEMENTS

FORM L-12-INVESTMENTS SHAREHOLDERS SCHEDULE
INVESTMENTS- SHAREHOLDERS

(₹ Lakhs)

| Particulars | September 30, 2023 | March 31, 2023 | September 30, 2022 |
|------------------------------------------------------------------------|--------------------|----------------|--------------------|
| LONG TERM INVESTMENT | | | |
| Government securities and Government guaranteed bonds including | | | |
| Treasury Bills^{2,3} | 455,983 | 393,595 | 392,952 |
| (Market value at September 30, 2023: ₹ 431,518 Lakhs) | | | |
| (Market value at March 31, 2023: ₹ 369,041 Lakhs) | | | |
| (Market value at September 30, 2022: ₹ 363,692 Lakhs) | | | |
| Other approved securities | 42,739 | 42,898 | 49,181 |
| (Market value at September 30, 2023: ₹ 41,543 Lakhs) | | | |
| (Market value at March 31, 2023: ₹ 41,601 Lakhs) | | | |
| (Market value at September 30, 2022: ₹ 47,802 Lakhs) | | | |
| Other investments(approved investments) | | | |
| Equity shares | 112,997 | 54,881 | 60,031 |
| (Historical value at September 30, 2023: ₹ 99,063 Lakhs) | | | |
| (Historical value at March 31, 2023: ₹ 49,221 Lakhs) | | | |
| (Historical value at September 30, 2022: ₹ 49,352 Lakhs) | | | |
| Preference shares | - | - | - |
| (Market value at September 30, 2023: Nil) | | | |
| (Market value at March 31, 2022: Nil) | | | |
| (Market value at September 30, 2022: Nil) | | | |
| Mutual fund | - | - | - |
| (Historical value at September 30, 2023: Nil) | | | |
| (Historical value at March 31, 2022: Nil) | | | |
| (Historical value at September 30, 2022: Nil) | | | |
| Derivative Instruments | - | - | - |
| (Historical value at September 30, 2023: Nil) | | | |
| (Historical value at March 31, 2022: Nil) | | | |
| (Historical value at September 30, 2022: Nil) | | | |
| Debentures/Bonds | 88,459 | 56,052 | 34,633 |
| (Market value at September 30, 2023: ₹ 88,378 Lakhs) | | | |
| (Market value at March 31, 2023: ₹ 55,314 Lakhs) | | | |
| (Market value at September 30, 2022: ₹ 33,904 Lakhs) | | | |
| CCIL deposit | 2,425 | 2,425 | 2,425 |
| (Market value at September 30, 2023: ₹ 2,425 Lakhs) | | | |
| (Market value at March 31, 2023: ₹ 2,425 Lakhs) | | | |
| (Market value at September 30, 2022: ₹ 2,425 Lakhs) | | | |
| Fixed deposits | 15,950 | 15,080 | 14,225 |
| (Market value at September 30, 2023: ₹ 15,950 Lakhs) | | | |
| (Market value at March 31, 2023: ₹ 15,080 Lakhs) | | | |
| (Market value at September 30, 2022: ₹ 14,225 Lakhs) | | | |
| Investments in subsidiary | 6,000 | 6,000 | 6,000 |
| Investment Properties - Real Estate | 39,888 | 39,888 | 39,654 |
| (Historical value at September 30, 2023: ₹ 36,510 Lakhs) | | | |
| (Historical value at March 31, 2023: ₹ 36,510 Lakhs) | | | |
| (Historical value at September 30, 2022: ₹ 36,510 Lakhs) | | | |
| Investments in infrastructure/housing sector | | | |
| Other investments(approved investments) | | | |
| Equity shares ⁷ | 7,817 | 7,387 | 7,180 |
| (Historical value at September 30, 2023: ₹ 5,273 Lakhs) | | | |
| (Historical value at March 31, 2023: ₹ 6,638 Lakhs) | | | |
| (Historical value at September 30, 2022: ₹ 6,456 Lakhs) | | | |

| Particulars | September 30, 2023 | March 31, 2023 | September 30, 2022 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|----------------|--------------------|
| Debtures/Bonds (Market value at September 30, 2023: ₹ 108,975 Lakhs) (Market value at March 31, 2023: ₹ 116,212 Lakhs) (Market value at September 30, 2022: ₹ 121,638 Lakhs) | 108,524 | 116,158 | 121,440 |
| Other than approved investments | | | |
| Equity shares (Historical value at September 30, 2023: Nil) (Historical value at March 31, 2023: Nil) (Historical value at September 30, 2022: Nil) | - | - | - |
| Other than approved investments | | | |
| Equity shares ⁷ (Historical value at September 30, 2023: ₹ 60,723 Lakhs) (Historical value at March 31, 2023: ₹ 94,280 Lakhs) (Historical value at September 30, 2022: ₹ 123,997 Lakhs) | 93,978 | 115,878 | 139,666 |
| Preference shares (Market value at September 30, 2023: ₹ 3,500 Lakhs) (Market value at March 31, 2023: ₹ 3,500 Lakhs) (Market value at September 30, 2022: ₹ 3,500 Lakhs) | 3,500 | 3,500 | 3,500 |
| Mutual fund (Historical value at September 30, 2023: Nil) (Historical value at March 31, 2023: Nil) (Historical value at September 30, 2022: Nil) | - | - | - |
| Debtures/Bonds (Market value at September 30, 2023: Nil) (Market value at March 31, 2023: Nil) (Market value at September 30, 2022: Nil) | - | - | - |
| SHORT TERM INVESTMENT | | | |
| Government securities and Government guaranteed bonds including Treasury Bills^{2,3} (Market value at September 30, 2023: Nil) (Market value at March 31, 2023: ₹ 5,240 Lakhs) (Market value at September 30, 2022: ₹ 5,284 Lakhs) | - | 4,625 | 4,584 |
| Other approved securities (Market value at September 30, 2023: ₹ 6,121 Lakhs) (Market value at March 31, 2023: ₹ 9,078 Lakhs) (Market value at September 30, 2022: ₹ 3,977 Lakhs) | 6,106 | 9,044 | 3,951 |
| Other investments(approved investments) | | | |
| Equity shares (Historical value at September 30, 2023: Nil) (Historical value at March 31, 2023: Nil) (Historical value at September 30, 2022: Nil) | - | - | - |
| Preference shares (Market value at September 30, 2023: Nil) (Market value at March 31, 2023: Nil) (Market value at September 30, 2022: Nil) | - | - | - |
| Mutual fund (Historical value at September 30, 2023: Nil) (Historical value at March 31, 2023: Nil) (Historical value at September 30, 2022: ₹ 20,001 Lakhs) | - | - | 20,614 |
| Derivative Instruments (Historical value at September 30, 2023: Nil) (Historical value at March 31, 2023: Nil) (Historical value at September 30, 2022: Nil) | - | - | - |
| Debtures/Bonds (Market value at September 30, 2023: Nil) (Market value at March 31, 2023: Nil) (Market value at September 30, 2022: Nil) | - | - | - |

| Particulars | September 30, 2023 | March 31, 2023 | September 30, 2022 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|----------------|--------------------|
| Fixed deposits ⁴ (Market value at September 30, 2023: ₹ 20,080 Lakhs) (Market value at March 31, 2023: ₹ 30,550 Lakhs) (Market value at September 30, 2022: ₹ 20,055 Lakhs) | 20,080 | 30,550 | 20,055 |
| Triparty Repo (Market value at September 30, 2023: ₹ 5,316 Lakhs) (Market value at March 31, 2023: ₹ 84,674 Lakhs) (Market value at September 30, 2022: ₹ 29,532 Lakhs) | 5,316 | 84,674 | 29,532 |
| Commercial papers (Market value at September 30, 2023: Nil) (Market value at March 31, 2023: Nil) (Market value at September 30, 2022: Nil) | - | - | - |
| Investments in subsidiary | - | - | - |
| Investment Properties - Real Estate (Historical value at September 30, 2023: Nil) (Historical value at March 31, 2023: Nil) (Historical value at September 30, 2022: Nil) | - | - | - |
| Investments in infrastructure/housing sector | | | |
| Other investments (approved investments) | | | |
| Debentures/Bonds (Market value at September 30, 2023: ₹ 9,921 Lakhs) (Market value at March 31, 2023: ₹ 2,496 Lakhs) (Market value at September 30, 2022: ₹ 9,034 Lakhs) | 9,995 | 2,506 | 9,031 |
| Commercial papers (Market value at September 30, 2023: Nil) (Market value at March 31, 2023: Nil) (Market value at September 30, 2022: Nil) | - | - | - |
| Certificate of deposits (Market value at September 30, 2023: Nil) (Market value at March 31, 2023: Nil) (Market value at September 30, 2019: ₹ Nil) | - | - | - |
| Other than approved investments | | | |
| Debentures/Bonds (Market value at September 30, 2023: Nil) (Market value at March 31, 2023: Nil) (Market value at September 30, 2022: Nil) | - | - | - |
| Total | 1,019,757 | 985,141 | 958,653 |
| In India | 1,019,757 | 985,141 | 958,653 |
| Total | 1,019,757 | 985,141 | 958,653 |

NOTES TO FORM L-12

(₹ Lakhs)

| Sr. No. | Particulars | September 30, 2023 | March 31, 2023 | September 30, 2022 |
|---------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|----------------|--------------------|
| | Aggregate amount of company's investments: | | | |
| 1 | a) Other than equity securities, mutual fund, investments in subsidiary, investment in property and derivative instruments | 759,077 | 761,108 | 685,508 |
| | b) Market value of above Investments | 733,727 | 735,211 | 655,068 |
| | c) Aggregate amount of company's investments in mutual fund, equity and investments in subsidiary and investment in property (at cost subject to impairment) | 207,570 | 192,649 | 242,316 |
| 2 | Government Securities deposited with the Clearing Corporation of India Ltd (CCIL) as Settlement Guarantee Fund (SGF) deposit and Tri-Party Repo deposit | | | |
| | a) Amortised cost | Nil | Nil | Nil |
| | b) Market Value of above investment | Nil | Nil | Nil |
| 3 | Government Securities deposited with the Clearing Corporation of India Ltd (CCIL) as CCIL default fund deposit | | | |
| | a) Amortised cost | 1,074 | 799 | 796 |
| | b) Market Value of above investment | 1,021 | 800 | 802 |
| 4 | Deposits towards margin requirement for equity trade settlement - Deposited with National Securities Clearing Corporation Limited (NSCCL) | Nil | Nil | 10,536 |
| 5 | Investment in holding company at amortised cost | Nil | Nil | Nil |
| 6 | Investment in subsidiary company at acquisition cost | 6,000 | 6,000 | 6,000 |
| 7 | Equity shares includes shares transferred under securities lending and borrowing scheme (SLB) where the Company retains all the associated risk and rewards on these | Nil | Nil | Nil |
| 8 | Investment made out of catastrophe reserve | Nil | Nil | Nil |

Note : Debt Securities are held to maturity and reduction in market values represent market conditions and not a permanent diminution in value of investments, if any.

ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED
SCHEDULES FORMING PART OF CONDENSED STANDALONE FINANCIAL STATEMENTS

FORM L-13-INVESTMENTS POLICYHOLDERS SCHEDULE
INVESTMENTS - POLICYHOLDERS

(₹ Lakhs)

| Particulars | As at September 30, 2023 | | | | | | | | | | | | Grand Total |
|-------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|---------|---------|--------|--------------------|------------------|-------------------|-----------|---------|--------|--------------------|------------------|------------------|
| | Non-Linked Business | | | | | | | | | | | | |
| | Participating | | | | | | Non-Participating | | | | | | |
| | Life | Annuity | Pension | Health | Variable Insurance | Total | Life | Annuity | Pension | Health | Variable Insurance | Total | |
| LONG TERM INVESTMENT | | | | | | | | | | | | | |
| Government securities and Government guaranteed bonds including Treasury Bills ^{2,3} (Market value: ₹ 5,987,438 Lakhs) | 1,418,217 | - | 79,502 | - | - | 1,497,719 | 3,588,238 | 1,024,876 | 14,235 | 20,494 | - | 4,647,843 | 6,145,562 |
| Other approved securities (Market value: ₹ 737,897 Lakhs) | 163,446 | - | 22,622 | - | - | 186,068 | 398,534 | 96,652 | 55,289 | 2,051 | 2,710 | 555,236 | 741,303 |
| Other investments (approved investments) | | | | | | | | | | | | | |
| Equity shares (Historical value: ₹ 465,889 Lakhs) | 529,974 | - | 11,156 | - | - | 541,131 | 168,050 | - | - | - | - | 168,050 | 709,180 |
| Preference shares (Market value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Mutual fund (Historical value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Derivative Instruments (Historical value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Debentures/Bonds (Market value: ₹ 465,691 Lakhs) | 130,008 | - | 24,147 | - | - | 154,155 | 211,851 | 41,638 | 50,929 | - | 2,940 | 307,357 | 461,512 |
| Fixed deposits (Market value: ₹ 44,516 Lakhs) | - | - | - | - | - | - | 44,516 | - | - | - | - | 44,516 | 44,516 |
| Investments in subsidiary Investment Properties - Real Estate (Historical value: ₹ 5,404 Lakhs) | 9,042 | - | - | - | - | 9,042 | - | - | - | - | - | - | 9,042 |
| Investments in infrastructure/housing sector | | | | | | | | | | | | | |
| Other investments (approved investments) | | | | | | | | | | | | | |
| Equity shares ³ (Historical value: ₹ 44,272 Lakhs) | 66,719 | - | 1,504 | - | - | 68,222 | 21,710 | - | - | - | - | 21,710 | 89,932 |
| Debentures/Bonds (Market value: ₹ 1,422,344 Lakhs) | 625,039 | - | 16,038 | - | - | 641,078 | 631,821 | 145,363 | 16,771 | 506 | 5,978 | 800,439 | 1,441,516 |
| Other than approved investments | | | | | | | | | | | | | |
| Equity shares (Historical value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Debentures/Bonds (Market value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Other than approved investments | | | | | | | | | | | | | |
| Equity shares (Historical value: ₹ 88,362 Lakhs) | 119,073 | - | - | - | - | 119,073 | 59,667 | - | - | - | - | 59,667 | 178,740 |
| Mutual fund (Historical value: ₹ 25,061 Lakhs) | 30,747 | - | - | - | - | 30,747 | - | - | - | - | - | - | 30,747 |
| Debentures/Bonds (Market value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - |
| SHORT TERM INVESTMENT | | | | | | | | | | | | | |
| Government securities and Government guaranteed bonds including Treasury Bills (Market value: ₹ 28,258 Lakhs) | 13,484 | - | 2,505 | - | - | 15,988 | 12,403 | - | - | - | - | 12,403 | 28,391 |
| Other approved securities (Market value: ₹ 6,916 Lakhs) | 2,129 | - | - | - | - | 2,129 | 4,118 | 159 | - | 500 | - | 4,778 | 6,906 |

ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED
SCHEDULES FORMING PART OF CONDENSED STANDALONE FINANCIAL STATEMENTS

FORM L-13-INVESTMENTS POLICYHOLDERS SCHEDULE
INVESTMENTS - POLICYHOLDERS

(₹ Lakhs)

| Particulars | As at September 30, 2023 | | | | | | | | | | | | Grand Total |
|------------------------------------------------------------------|--------------------------|----------|----------------|----------|--------------------|------------------|-------------------|------------------|----------------|---------------|--------------------|------------------|-------------------|
| | Non-Linked Business | | | | | | | | | | | | |
| | Participating | | | | | | Non-Participating | | | | | | |
| | Life | Annuity | Pension | Health | Variable Insurance | Total | Life | Annuity | Pension | Health | Variable Insurance | Total | |
| Other investments(approved investments) | | | | | | | | | | | | | |
| Equity shares (Market value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Preference shares (Market value: ₹ 2,450 Lakhs) | 555 | - | - | - | - | 555 | 222 | - | - | - | - | 222 | 777 |
| Mutual fund (Market value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Derivative Instruments (Historical value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Debentures/Bonds (Market value: ₹ 16,144 Lakhs) | 4,021 | - | 1 | - | - | 4,022 | 11,522 | - | 502 | - | - | 12,024 | 16,046 |
| Certificate of deposits (Market value: ₹ 27,040 Lakhs) | 22,190 | - | - | - | - | 22,190 | 2,443 | - | 2,406 | - | - | 4,850 | 27,040 |
| Commercial papers (Market value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Triparty Repo (Market value: ₹ 276,790 Lakhs) | 137,418 | - | 9,287 | - | - | 146,705 | 107,370 | 9,288 | 8,160 | 760 | 4,507 | 130,085 | 276,790 |
| Fixed deposits (Market value: ₹ 20,000 Lakhs) | 20,000 | - | - | - | - | 20,000 | - | - | - | - | - | - | 20,000 |
| Investments in subsidiary Property (Historical value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Investments in infrastructure/housing sector | | | | | | | | | | | | | |
| Other investments(approved investments) | | | | | | | | | | | | | |
| Debentures/Bonds (Market value: ₹ 55,381 Lakhs) | 39,447 | - | 3,000 | - | - | 42,448 | 13,250 | - | - | - | - | 13,250 | 55,698 |
| Commercial papers (Market value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Certificate of deposits (Market value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Other than approved investments | | | | | | | | | | | | | |
| Debentures/Bonds (Market value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Venture fund (Market value: ₹ 240 Lakhs) | 193 | - | - | - | - | 193 | - | - | - | - | - | - | 193 |
| Total | 3,331,702 | - | 169,762 | - | - | 3,501,464 | 5,275,714 | 1,317,975 | 148,293 | 24,312 | 16,134 | 6,782,428 | 10,283,892 |
| In India | 3,331,702 | - | 169,762 | - | - | 3,501,464 | 5,275,714 | 1,317,975 | 148,293 | 24,312 | 16,134 | 6,782,428 | 10,283,892 |
| Total | 3,331,702 | - | 169,762 | - | - | 3,501,464 | 5,275,714 | 1,317,975 | 148,293 | 24,312 | 16,134 | 6,782,428 | 10,283,892 |

ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED
SCHEDULES FORMING PART OF CONDENSED STANDALONE FINANCIAL STATEMENTS

FORM L-13-INVESTMENTS POLICYHOLDERS SCHEDULE
INVESTMENTS - POLICYHOLDERS

(₹ Lakhs)

| Particulars | As at March 31, 2023 | | | | | | | | | | | | Grand Total |
|----------------------------------------------------------------------------------------------------------------------------------------|----------------------|---------|---------|--------|--------------------|-----------|-------------------|---------|---------|--------|--------------------|-----------|-------------|
| | Non-Linked Business | | | | | | | | | | | | |
| | Participating | | | | | | Non-Participating | | | | | | |
| | Life | Annuity | Pension | Health | Variable Insurance | Total | Life | Annuity | Pension | Health | Variable Insurance | Total | |
| LONG TERM INVESTMENT | | | | | | | | | | | | | |
| Government securities and Government guaranteed bonds including Treasury Bills² (Market value: ₹ 4,968,430 Lakhs) | 1,191,032 | - | 74,502 | - | - | 1,265,534 | 3,263,170 | 931,879 | 12,366 | 17,861 | - | 4,225,275 | 5,490,809 |
| Other approved securities (Market value: ₹ 612,165 Lakhs) | 163,257 | - | 24,346 | - | - | 187,603 | 393,996 | 96,215 | 43,073 | 2,051 | 2,712 | 538,047 | 725,650 |
| Other investments (approved investments) | | | | | | | | | | | | | |
| Equity shares (Historical value: ₹ 438,870 Lakhs) | 456,139 | - | 10,595 | - | - | 466,734 | 181,485 | - | - | - | - | 181,485 | 648,219 |
| Preference shares (Market value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Mutual fund (Historical value: Q1) | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Derivative Instruments (Historical value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Debentures/Bonds (Market value: ₹ 280,553 Lakhs) | 79,594 | - | 12,025 | - | - | 91,620 | 173,175 | 11,632 | 25,334 | - | 1,853 | 211,996 | 303,615 |
| Fixed deposits (Market value: ₹ 37,496 Lakhs) | - | - | - | - | - | - | 39,836 | - | - | - | - | 39,836 | 39,836 |
| Investments in subsidiary Investment Properties - Real Estate (Historical value: ₹ 5,404 Lakhs) | 9,042 | - | - | - | - | 9,042 | - | - | - | - | - | - | 9,042 |
| Investments in infrastructure/housing sector | | | | | | | | | | | | | |
| Other investments (approved investments) | | | | | | | | | | | | | |
| Equity shares (Historical value: ₹ 47,010 Lakhs) | 55,335 | - | 1,195 | - | - | 56,529 | 21,792 | - | - | - | - | 21,792 | 78,321 |
| Debentures/Bonds (Market value: ₹ 1,208,509 Lakhs) | 608,869 | - | 35,104 | - | - | 643,973 | 468,984 | 171,030 | 32,959 | 506 | 7,087 | 680,567 | 1,324,540 |
| Other than approved investments | | | | | | | | | | | | | |
| Equity shares (Historical value: ₹ 95,698 Lakhs) | 92,087 | - | - | - | - | 92,087 | 61,311 | - | - | - | - | 61,311 | 153,399 |
| Mutual fund (Historical value: ₹ 24,792 Lakhs) | 28,466 | - | - | - | - | 28,466 | - | - | - | - | - | - | 28,466 |
| Debentures/Bonds (Market value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - |
| SHORT TERM INVESTMENT | | | | | | | | | | | | | |
| Government securities and Government guaranteed (Market value: ₹ 45,465 Lakhs) | 25,505 | - | 3,522 | - | - | 29,027 | 10,550 | - | 1,405 | 1,131 | - | 13,086 | 42,113 |
| Other approved securities (Market value: ₹ 29,502 Lakhs) | 15,221 | - | - | - | - | 15,221 | 5,625 | 160 | - | 503 | - | 6,288 | 21,509 |

ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED
SCHEDULES FORMING PART OF CONDENSED STANDALONE FINANCIAL STATEMENTS

FORM L-13-INVESTMENTS POLICYHOLDERS SCHEDULE
INVESTMENTS - POLICYHOLDERS

(₹ Lakhs)

| Particulars | As at March 31, 2023 | | | | | | | | | | | | Grand Total |
|----------------------------------------------------------------|----------------------|----------|----------------|----------|--------------------|------------------|-------------------|------------------|----------------|---------------|--------------------|------------------|------------------|
| | Non-Linked Business | | | | | | | | | | | | |
| | Participating | | | | | | Non-Participating | | | | | | |
| | Life | Annuity | Pension | Health | Variable Insurance | Total | Life | Annuity | Pension | Health | Variable Insurance | Total | |
| Other investments (approved investments) | | | | | | | | | | | | | |
| Equity shares (Market value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Preference shares (Market value: ₹ 805 Lakhs) | 198 | - | - | - | - | 198 | 120 | - | - | - | - | 120 | 318 |
| Mutual fund (Market value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Derivative Instruments (Historical value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Debentures/Bonds (Market value: ₹ 999 Lakhs) | 3,998 | - | - | - | - | 3,998 | 5,042 | - | 505 | - | - | 5,547 | 9,545 |
| Certificate of deposits (Market value: ₹ 18,755 Lakhs) | 31,218 | - | 2,426 | - | - | 33,644 | 9,319 | 7,963 | 1,907 | 194 | - | 19,382 | 53,026 |
| Commercial papers (Market value: Nil) | - | - | - | - | - | - | 9,985 | - | - | - | - | 9,985 | 9,985 |
| Triparty Repo (Market value: ₹ 322,389 Lakhs) | 158,250 | - | 8,134 | - | - | 166,384 | 125,738 | 9,014 | 4,621 | 409 | 3,477 | 143,259 | 309,643 |
| Fixed deposits (Market value: ₹ 13,000 Lakhs) | 25,000 | - | - | - | - | 25,000 | - | - | - | - | - | - | 25,000 |
| Investments in subsidiary | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Investment Properties - Real Estate (Historical value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Investments in infrastructure/housing sector | | | | | | | | | | | | | |
| Other investments (approved investments) | | | | | | | | | | | | | |
| Debentures/Bonds (Market value: ₹ 151,378 Lakhs) | 108,784 | - | 3,000 | - | - | 111,784 | 31,089 | - | - | - | - | 31,089 | 142,873 |
| Commercial papers (Market value: Nil) | - | - | - | - | - | - | 14,993 | - | - | - | - | 14,993 | 14,993 |
| Certificate of deposits (Market value: ₹ 14,915 Lakhs) | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Other than approved investments | | | | | | | | | | | | | |
| Debentures/Bonds (Market value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Venture fund (Market value: ₹ 330 Lakhs) | 193 | - | - | - | - | 193 | - | - | - | - | - | - | 193 |
| Total | 3,052,189 | - | 174,848 | - | - | 3,227,037 | 4,816,210 | 1,227,892 | 122,171 | 22,654 | 15,130 | 6,204,058 | 9,431,095 |
| In India | 3,052,189 | - | 174,848 | - | - | 3,227,037 | 4,816,210 | 1,227,892 | 122,171 | 22,654 | 15,130 | 6,204,058 | 9,431,095 |
| Total | 3,052,189 | - | 174,848 | - | - | 3,227,037 | 4,816,210 | 1,227,892 | 122,171 | 22,654 | 15,130 | 6,204,058 | 9,431,095 |

ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED
SCHEDULES FORMING PART OF CONDENSED STANDALONE FINANCIAL STATEMENTS

FORM L-13-INVESTMENTS POLICYHOLDERS SCHEDULE
INVESTMENTS - POLICYHOLDERS

(₹ Lakhs)

| Particulars | As at September 30, 2022 | | | | | | | | | | | | Grand Total |
|----------------------------------------------------------------------------------------------------------------------------------------|--------------------------|---------|---------|--------|--------------------|------------------|---------------------|---------|---------|--------|--------------------|------------------|------------------|
| | Participating | | | | | | Non-Linked Business | | | | | | |
| | Life | Annuity | Pension | Health | Variable Insurance | Total | Life | Annuity | Pension | Health | Variable Insurance | Total | |
| LONG TERM INVESTMENT | | | | | | | | | | | | | |
| Government securities and Government guaranteed bonds including Treasury Bills² (Market value: ₹ 46,58,515 Lakhs) | 1,108,369 | - | 71,739 | - | - | 1,180,108 | 2,806,066 | 856,285 | 16,721 | 17,132 | - | 3,696,204 | 4,876,312 |
| Other approved securities (Market value: ₹ 586,973 Lakhs) | 164,312 | - | 22,882 | - | - | 187,194 | 302,893 | 72,697 | 31,069 | 2,255 | 2,717 | 411,631 | 598,825 |
| Other investments (approved investments) | | | | | | | | | | | | | |
| Equity shares (Historical value: ₹ 437,534 Lakhs) | 426,418 | - | 10,546 | - | - | 436,964 | 203,539 | - | - | - | - | 203,539 | 640,503 |
| Preference shares (Market value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Mutual fund (Historical value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Derivative Instruments (Historical value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Debentures/Bonds (Market value: ₹ 214,442 Lakhs) | 40,393 | - | 7,765 | - | - | 48,157 | 134,841 | 5,141 | 19,926 | - | 1,859 | 161,767 | 209,924 |
| Fixed deposits (Market value: ₹ 35,156 Lakhs) | - | - | - | - | - | - | 35,156 | - | - | - | - | 35,156 | 35,156 |
| Investments in subsidiary Investment Properties - Real Estate (Historical value: ₹ 54,04 Lakhs) | 8,900 | - | - | - | - | 8,900 | - | - | - | - | - | - | 8,900 |
| Investments in infrastructure/housing sector | | | | | | | | | | | | | |
| Other investments (approved investments) | | | | | | | | | | | | | |
| Equity shares (Historical value: ₹ 47,905 Lakhs) | 49,221 | - | 1,146 | - | - | 50,366 | 22,647 | - | - | - | - | 22,647 | 73,014 |
| Debentures/Bonds (Market value: ₹ 1,182,738 Lakhs) | 560,275 | - | 38,179 | - | - | 598,454 | 399,732 | 170,141 | 31,361 | 506 | 7,105 | 608,845 | 1,207,299 |
| Other than approved investments | | | | | | | | | | | | | |
| Equity shares (Historical value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Debentures/Bonds (Market value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Other than approved investments | | | | | | | | | | | | | |
| Equity shares (Historical value: ₹ 97,170 Lakhs) | 85,892 | - | - | - | - | 85,892 | 59,307 | - | - | - | - | 59,307 | 145,199 |
| Mutual fund (Historical value: ₹ 38,990 Lakhs) | 42,940 | - | - | - | - | 42,940 | - | - | - | - | - | - | 42,940 |
| Debentures/Bonds (Market value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - |
| SHORT TERM INVESTMENT | | | | | | | | | | | | | |
| Government securities and Government guaranteed bonds including Treasury Bills (Market value: ₹ 35,307 Lakhs) | 22,359 | - | 1,002 | - | - | 23,361 | 4,792 | - | 1,384 | 1,113 | - | 7,289 | 30,650 |
| Other approved securities (Market value: ₹ 31,572 Lakhs) | 28,276 | - | 48 | - | - | 28,324 | 2,566 | 584 | - | - | - | 3,150 | 31,474 |

ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED
SCHEDULES FORMING PART OF CONDENSED STANDALONE FINANCIAL STATEMENTS

FORM L-13-INVESTMENTS POLICYHOLDERS SCHEDULE
INVESTMENTS - POLICYHOLDERS

(₹ Lakhs)

| Particulars | As at September 30, 2022 | | | | | | | | | | | | Grand Total | |
|----------------------------------------------------------------|--------------------------|----------|----------------|----------|--------------------|------------------|-------------------|------------------|----------------|---------------|--------------------|------------------|------------------|---|
| | Non-Linked Business | | | | | | | | | | | | | |
| | Participating | | | | | | Non-Participating | | | | | | | |
| | Life | Annuity | Pension | Health | Variable Insurance | Total | Life | Annuity | Pension | Health | Variable Insurance | Total | | |
| Other investments (approved investments) | | | | | | | | | | | | | | |
| Equity shares (Market value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Preference shares (Market value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Mutual fund (Market value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Derivative Instruments (Historical value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Debentures/Bonds (Market value: ₹ 1,504 Lakhs) | 500 | - | - | - | - | 500 | - | - | - | - | 1,000 | 1,000 | 1,499 | |
| Certificate of deposits (Market value: ₹ 9,418 Lakhs) | - | - | - | - | - | - | 9,418 | - | - | - | - | 9,418 | 9,418 | |
| Commercial papers (Market value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Triparty Repo (Market value: ₹ 359,697 Lakhs) | 223,722 | - | 20,737 | - | - | 244,459 | 100,025 | 4,717 | 7,513 | 256 | 2,727 | 115,238 | 359,697 | |
| Fixed deposits (Market value: ₹ 13,000 Lakhs) | 7,000 | - | - | - | - | 7,000 | 6,000 | - | - | - | - | 6,000 | 13,000 | |
| Investments in subsidiary | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Investment Properties - Real Estate (Historical value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Investments in infrastructure/housing sector | | | | | | | | | | | | | | |
| Other investments (approved investments) | | | | | | | | | | | | | | |
| Debentures/Bonds (Market value: ₹ 112,402 Lakhs) | 91,301 | - | 499 | - | - | 91,800 | 20,674 | 499 | - | - | - | 21,174 | 112,974 | |
| Commercial papers (Market value: Nil) | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Certificate of deposits (Market value: ₹ 14,740 Lakhs) | 14,740 | - | - | - | - | 14,740 | - | - | - | - | - | - | 14,740 | |
| Other than approved investments | | | | | | | | | | | | | | |
| Debentures/Bonds (Market value: ₹ 5,480 Lakhs) | 500 | - | - | - | - | 500 | 4,997 | - | - | - | - | 4,997 | 5,498 | |
| Venture fund (Market value: ₹ 330 Lakhs) | 193 | - | - | - | - | 193 | - | - | - | - | - | - | 193 | |
| Total | 2,875,310 | - | 174,543 | - | - | 3,049,853 | 4,112,652 | 1,110,064 | 107,974 | 21,263 | 15,407 | 5,367,359 | 8,417,212 | |
| In India | 2,875,310 | - | 174,543 | - | - | 3,049,853 | 4,112,652 | 1,110,064 | 107,974 | 21,263 | 15,407 | 5,367,359 | 8,417,212 | |
| Total | 2,875,310 | - | 174,543 | - | - | 3,049,853 | 4,112,652 | 1,110,064 | 107,974 | 21,263 | 15,407 | 5,367,359 | 8,417,212 | |

NOTES TO FORM L-13

(₹ Lakhs)

| Sr. No. | Particulars | September 30, 2023 | March 31, 2023 | September 30, 2022 |
|---------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|----------------|--------------------|
| 1 | Aggregate amount of company's investments: | | | |
| | a) Other than equity securities, mutual fund, investments in subsidiary, investment in property and derivative instruments | 9,266,250 | 8,513,648 | 7,506,657 |
| | b) Market value of above investments | 9,091,106 | 8,328,176 | 7,261,273 |
| | c) Aggregate amount of company's investments in mutual fund, equity and investments in subsidiary and investment in property (at cost subject to impairment) | 628,988 | 639,623 | 627,004 |
| 2 | Government Securities deposited with the Clearing Corporation of India Ltd (CCIL) as Settlement Guarantee Fund (SGF) deposit and Tri-Party Repo deposit | | | |
| | a) Amortised cost | 47,134 | 47,175 | 49,135 |
| | b) Market Value of above investment | 45,525 | 45,435 | 46,097 |
| 3 | Investment in holding company at amortised cost | Nil | Nil | Nil |
| 4 | Investment in subsidiary company at acquisition cost | Nil | Nil | Nil |
| 5 | Equity shares includes shares transferred under securities lending and borrowing scheme (SLB) where the Company retains all the associated risk and rewards on these securities | Nil | Nil | Nil |
| 6 | Investment made out of catastrophe reserve | Nil | Nil | Nil |

Note: Debt Securities are held to maturity and reduction in market values represent market conditions and not a permanent diminution in value of investments, if any.

ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED
SCHEDULES FORMING PART OF CONDENSED STANDALONE FINANCIAL STATEMENTS

FORM L-14-ASSETS HELD TO COVER LINKED LIABILITIES SCHEDULE
ASSET HELD TO COVER LINKED LIABILITIES

(₹ Lakhs)

| Particulars | As at September 30, 2023 | | | | |
|--------------------------------------------------------------------------------------------------------------------------------|--------------------------|------------------|----------------|--------------------|-------------------|
| | Linked Business | | | | |
| | Life | Pension | Health | Variable Insurance | Total |
| LONG TERM INVESTMENTS | | | | | |
| Government securities and Government guaranteed bonds including Treasury Bills (Historical value: ₹ 1,139,263 Lakhs) | 940,626 | 179,141 | 12,843 | - | 1,132,610 |
| Other approved securities (Historical value: ₹ 94,092 Lakhs) | 78,594 | 12,077 | 680 | - | 91,352 |
| Other investments(approved investments) | | | | | |
| Equity shares ¹ (Historical value: ₹ 6,051,737 Lakhs) | 7,485,785 | 385,454 | 53,379 | - | 7,924,618 |
| Preference shares (Historical value: Nil) | - | - | - | - | - |
| Mutual fund (Historical value: ₹ 4,604 Lakhs) | 4,618 | - | - | - | 4,618 |
| Derivative Instruments (Historical value: Nil) | - | - | - | - | - |
| Debentures/Bonds (Historical value: ₹ 716,953 Lakhs) | 619,289 | 91,848 | 6,730 | - | 717,867 |
| Fixed deposits (Historical value: Nil) | - | - | - | - | - |
| Investments in subsidiary | - | - | - | - | - |
| Property (Historical value: Nil) | - | - | - | - | - |
| Investments in infrastructure/housing sector | | | | | |
| Other investments(approved investments) | | | | | |
| Equity shares ¹ (Historical value: ₹ 544,194 Lakhs) | 985,550 | 42,686 | 5,690 | - | 1,033,926 |
| Debentures/Bonds (Historical value: ₹ 472,059 Lakhs) | 391,535 | 71,378 | 4,531 | - | 467,443 |
| Other than approved investments | | | | | |
| Equity shares (Historical value: ₹ 6,910 Lakhs) | 622 | - | - | - | 622 |
| Debentures/Bonds (Historical value: Nil) | - | - | - | - | - |
| Other than approved investments | | | | | |
| Equity shares (Historical value: ₹ 924,733 Lakhs) | 1,051,426 | 45,063 | 6,835 | - | 1,103,324 |
| Mutual fund (Historical value: ₹ 900,439 Lakhs) | 1,150,798 | 60,306 | 8,113 | - | 1,219,216 |
| Debentures/Bonds (Historical value: Nil) | - | - | - | - | - |
| SHORT TERM INVESTMENTS | | | | | |
| Government securities and Government guaranteed bonds including Treasury Bills (Historical value: ₹ 641,410 Lakhs) | 609,194 | 26,498 | 221 | - | 635,914 |
| Other approved securities (Historical value: ₹ 68 Lakhs) | 66 | - | - | - | 66 |
| Other investments(approved investments) | | | | | |
| Equity shares (Historical value: Nil) | - | - | - | - | - |
| Preference shares (Historical value: ₹ 411 Lakhs) | 1,296 | 193 | 5 | - | 1,494 |
| Mutual fund (Historical value: Nil) | - | - | - | - | - |
| Derivative Instruments (Historical value: Nil) | - | - | - | - | - |
| Debentures/Bonds (Historical value: ₹ 147,526 Lakhs) | 117,952 | 29,072 | 942 | - | 147,966 |
| Certificate of deposits (Historical value: ₹ 93,824 Lakhs) | 88,658 | 7,085 | 24 | - | 95,767 |
| Commercial papers (Historical value: ₹ 194,519 Lakhs) | 178,900 | 19,809 | 288 | - | 198,997 |
| Fixed deposits (Historical value: Nil) | - | - | - | - | - |
| Triparty Repo (Historical value: ₹ 389,159 Lakhs) | 336,906 | 49,243 | 3,156 | - | 389,305 |
| Investments in subsidiary | - | - | - | - | - |
| Investment Properties - Real Estate (Historical value: Nil) | - | - | - | - | - |
| Investments in infrastructure/housing sector | | | | | |
| Other investments(approved investments) | | | | | |
| Debentures/Bonds (Historical value: ₹ 95,503 Lakhs) | 74,086 | 21,171 | 123 | - | 95,380 |
| Certificate of deposits (Historical value: ₹ 116,283 Lakhs) | 110,926 | 10,396 | 72 | - | 121,395 |
| Commercial papers (Historical value: ₹ 21,476 Lakhs) | 21,572 | 200 | - | - | 21,772 |
| Other than approved investments | | | | | |
| Debentures/Bonds (Historical value: Nil) | - | - | - | - | - |
| Venture Fund (Historical value: Nil) | - | - | - | - | - |
| Net current asset | 80,801 | 7,006 | 509 | - | 88,316 |
| Total | 14,329,201 | 1,058,626 | 104,142 | - | 15,491,968 |
| In India | 14,329,201 | 1,058,626 | 104,142 | - | 15,491,968 |
| Total | 14,329,201 | 1,058,626 | 104,142 | - | 15,491,968 |

FORM L-14-ASSETS HELD TO COVER LINKED LIABILITIES SCHEDULE
ASSET HELD TO COVER LINKED LIABILITIES

(₹ Lakhs)

| Particulars | As at March 31, 2023 | | | | |
|--------------------------------------------------------------------------------------------------------------------------------|----------------------|------------------|---------------|--------------------|-------------------|
| | Linked Business | | | | |
| | Life | Pension | Health | Variable Insurance | Total |
| LONG TERM INVESTMENTS | | | | | |
| Government securities and Government guaranteed bonds including Treasury Bills (Historical value: ₹ 1,068.336 Lakhs) | 806,243 | 161,387 | 12,123 | - | 979,753 |
| Other approved securities (Historical value: ₹ 105,858 Lakhs) | 96,407 | 17,395 | 984 | - | 114,787 |
| Other investments (approved investments) | | | | | |
| Equity shares ⁴ (Historical value: ₹ 5,828,791 Lakhs) | 6,678,431 | 354,670 | 46,667 | - | 7,079,767 |
| Preference shares (Historical value: Nil) | - | - | - | - | - |
| Mutual fund (Historical value: ₹ 3,686 Lakhs) | 3,518 | - | - | - | 3,518 |
| Derivative Instruments (Historical value: Nil) | - | - | - | - | - |
| Debentures/Bonds (Historical value: ₹ 449,528 Lakhs) | 357,973 | 48,968 | 3,523 | - | 410,463 |
| Fixed deposits (Historical value: Nil) | - | - | - | - | - |
| Investments in subsidiary Investment Properties - Real Estate (Historical value: Nil) | - | - | - | - | - |
| Investments in infrastructure/housing sector | | | | | |
| Other investments (approved investments) | | | | | |
| Equity shares (Historical value: ₹ 557,202 Lakhs) | 777,138 | 35,823 | 4,580 | - | 817,541 |
| Debentures/Bonds (Historical value: ₹ 720,627 Lakhs) | 648,811 | 109,564 | 6,645 | - | 765,020 |
| Other than approved investments | | | | | |
| Equity shares (Historical value: ₹ 6,682 Lakhs) | 201 | - | - | - | 201 |
| Debentures/Bonds (Historical value: Nil) | - | - | - | - | - |
| Other than approved investments | | | | | |
| Equity shares (Historical value: ₹ 951,440 Lakhs) | 1,005,940 | 52,215 | 7,249 | - | 1,065,404 |
| Mutual fund (Historical value: ₹ 823,502 Lakhs) | 1,014,573 | 57,628 | 7,261 | - | 1,079,462 |
| Debentures/Bonds (Historical value: Nil) | - | - | - | - | - |
| SHORT TERM INVESTMENTS | | | | | |
| Government securities and Government guaranteed bonds including Treasury Bills (Historical value: ₹ 605,046 Lakhs) | 587,365 | 17,429 | 167 | - | 604,961 |
| Other approved securities (Historical value: ₹ 38,590 Lakhs) | - | - | - | - | - |
| Other investments (approved investments) | | | | | |
| Equity shares (Historical value: Nil) | - | - | - | - | - |
| Preference shares (Historical value: ₹ 411 Lakhs) | 426 | 64 | 2 | - | 491 |
| Mutual fund (Historical value: Nil) | - | - | - | - | - |
| Derivative Instruments (Historical value: Nil) | - | - | - | - | - |
| Debentures/Bonds (Historical value: ₹ 133,700 Lakhs) | 206,839 | 37,760 | 723 | - | 245,322 |
| Certificate of deposits (Historical value: ₹ 431,768 Lakhs) | 277,225 | 18,999 | 636 | - | 296,860 |
| Commercial papers (Historical value: ₹ 102,102 Lakhs) | 68,152 | 13,725 | 236 | - | 82,113 |
| Fixed deposits (Historical value: ₹ 18,000 Lakhs) | - | - | - | - | - |
| Triparty Repo (Historical value: ₹ 385,627 Lakhs) | 307,565 | 41,316 | 3,669 | - | 352,550 |
| Investments in subsidiary Investment Properties - Real Estate (Historical value: Nil) | - | - | - | - | - |
| Investments in infrastructure/housing sector | | | | | |
| Other investments (approved investments) | | | | | |
| Debentures/Bonds (Historical value: ₹ 113,026 Lakhs) | 86,153 | 21,937 | 185 | - | 108,275 |
| Certificate of deposits (Historical value: ₹ 109,086 Lakhs) | 105,741 | 6,653 | 51 | - | 112,445 |
| Commercial papers (Historical value: ₹ 184,020 Lakhs) | 130,309 | 8,669 | 68 | - | 139,045 |
| Other than approved investments | | | | | |
| Debentures/Bonds (Historical value: ₹ 11,450 Lakhs) | 9,214 | 1,827 | - | - | 11,041 |
| Venture Fund (Historical value: Nil) | - | - | - | - | - |
| Net current asset | 119,659 | 16,165 | 963 | - | 136,787 |
| Total | 13,287,883 | 1,022,193 | 95,729 | - | 14,405,806 |
| In India | 13,287,883 | 1,022,193 | 95,729 | - | 14,405,806 |
| Total | 13,287,883 | 1,022,193 | 95,729 | - | 14,405,806 |

ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED
SCHEDULES FORMING PART OF CONDENSED STANDALONE FINANCIAL STATEMENTS

FORM L-14-ASSETS HELD TO COVER LINKED LIABILITIES SCHEDULE
ASSETS HELD TO COVER LINKED LIABILITIES

(₹ Lakhs)

| Particulars | As at Sept 30, 2022 | | | | |
|--------------------------------------------------------------------------------------------------------------------------------|---------------------|------------------|---------------|--------------------|-------------------|
| | Linked Business | | | | |
| | Life | Pension | Health | Variable Insurance | Total |
| LONG TERM INVESTMENTS | | | | | |
| Government securities and Government guaranteed bonds including Treasury Bills (Historical value: ₹ 1,173,890 Lakhs) | 974,598 | 158,534 | 11,642 | - | 1,144,774 |
| Other approved securities (Historical value: ₹ 126,670 Lakhs) | 101,346 | 18,929 | 993 | - | 121,268 |
| Other investments (approved investments) | | | | | |
| Equity shares ⁴ (Historical value: ₹ 5,681,190 Lakhs) | 6,658,584 | 376,442 | 48,472 | - | 7,083,497 |
| Preference shares (Historical value: Nil) | - | - | - | - | - |
| Mutual fund (Historical value: ₹ 3,167 Lakhs) | 2,660 | - | - | - | 2,660 |
| Derivative Instruments (Historical value: Nil) | - | - | - | - | - |
| Debentures/Bonds (Historical value: ₹ 435,714 Lakhs) | 373,431 | 52,977 | 3,358 | - | 429,767 |
| Fixed deposits (Historical value: Nil) | - | - | - | - | - |
| Investments in subsidiary Investment Properties - Real Estate (Historical value: Nil) | - | - | - | - | - |
| Investments in infrastructure/housing sector | | | | | |
| Other investments (approved investments) | | | | | |
| Equity shares (Historical value: ₹ 534,039 Lakhs) | 753,183 | 34,940 | 4,076 | - | 792,198 |
| Debentures/Bonds (Historical value: ₹ 666,561 Lakhs) | 554,386 | 99,094 | 5,720 | - | 659,200 |
| Other than approved investments | | | | | |
| Equity shares (Historical value: ₹ 6,684 Lakhs) | 314 | - | - | - | 314 |
| Debentures/Bonds (Historical value: Nil) | - | - | - | - | - |
| Other than approved investments | | | | | |
| Equity shares (Historical value: ₹ 963,852 Lakhs) | 1,058,109 | 53,275 | 7,199 | - | 1,118,583 |
| Mutual fund (Historical value: ₹ 970,261 Lakhs) | 1,154,412 | 68,131 | 9,083 | - | 1,231,625 |
| Debentures/Bonds (Historical value: ₹ 11,450 Lakhs) | 9,295 | 1,843 | - | - | 11,138 |
| SHORT TERM INVESTMENTS | | | | | |
| Government securities and Government guaranteed bonds including Treasury Bills (Historical value: ₹ 545,824 Lakhs) | 511,895 | 39,765 | 1,205 | - | 552,865 |
| Other approved securities (Historical value: ₹ 71,117 Lakhs) | 65,137 | 807 | - | - | 65,945 |
| Other investments (approved investments) | | | | | |
| Equity shares (Historical value: Nil) | - | - | - | - | - |
| Preference shares (Historical value: Nil) | - | - | - | - | - |
| Mutual fund (Historical value: Nil) | - | - | - | - | - |
| Derivative Instruments (Historical value: Nil) | - | - | - | - | - |
| Debentures/Bonds (Historical value: ₹ 100,081 Lakhs) | 81,592 | 17,173 | 381 | - | 99,146 |
| Certificate of deposits (Historical value: ₹ 360,050 Lakhs) | 330,408 | 33,067 | 1,057 | - | 364,532 |
| Commercial papers (Historical value: ₹ 30,499 Lakhs) | 24,960 | 5,744 | 155 | - | 30,860 |
| Fixed deposits (Historical value: ₹ 18,000 Lakhs) | 18,000 | - | - | - | 18,000 |
| Triparty Repo (Historical value: ₹ 561,778 Lakhs) | 496,980 | 60,853 | 4,034 | - | 561,867 |
| Investments in subsidiary Investment Properties - Real Estate (Historical value: Nil) | - | - | - | - | - |
| Investments in infrastructure/housing sector | | | | | |
| Other investments (approved investments) | | | | | |
| Debentures/Bonds (Historical value: ₹ 144,634 Lakhs) | 115,667 | 26,740 | 522 | - | 142,929 |
| Certificate of deposits (Historical value: ₹ 110,346 Lakhs) | 103,041 | 9,626 | 69 | - | 112,735 |
| Commercial papers (Historical value: ₹ 178,329 Lakhs) | 164,539 | 15,567 | 640 | - | 180,746 |
| Other than approved investments | | | | | |
| Debentures/Bonds (Historical value: ₹ 7,795 Lakhs) | 6,949 | 572 | - | - | 7,520 |
| Venture Fund (Historical value: ₹ 40 Lakhs) | 16 | - | - | - | 16 |
| Net current asset | 28,524 | 4,611 | 351 | - | 32,784 |
| Total | 13,588,026 | 1,078,688 | 98,255 | - | 14,764,969 |
| In India | 13,588,026 | 1,078,688 | 98,255 | - | 14,764,969 |
| Total | 13,588,026 | 1,078,688 | 98,255 | - | 14,764,969 |

NOTES TO FORM L-14

(₹ Lakhs)

| Sr. No. | Particulars | September 30, 2023 | March 31, 2023 | September 30, 2022 |
|---------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|----------------|--------------------|
| 1 | Aggregate amount of company's investments: | | | |
| | a) other than equity securities, mutual fund, investments in subsidiary, investment in property and derivative instruments | 4,146,562 | 4,246,568 | 4,560,879 |
| | b) Market value of above investments | 4,117,326 | 4,223,125 | 4,503,307 |
| | c) Aggregate amount of company's investments in mutual fund, equity and investments in subsidiary and investment in property (at Historical cost) | 8,432,619 | 8,344,487 | 8,159,191 |
| 2 | Investment in holding company at amortised cost | Nil | Nil | Nil |
| 3 | Investment in subsidiary company at acquisition cost | Nil | Nil | Nil |
| 4 | Equity shares includes shares transferred under securities lending and borrowing scheme (SLB) where the Company retains all the associated risk and rewards on these securities | Nil | Nil | Nil |
| 5 | Investment made out of catastrophe reserve | Nil | Nil | Nil |

ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED

L-14A - Aggregate value of Investments other than Listed Equity Securities and Derivative Instruments

(₹ Lakhs)

| Particulars | Shareholders | | | Policyholders | | | Assets held to cover Linked Liabilities | | | Total | | |
|--------------------------------|---------------|--------------|---------------|---------------|--------------|---------------|-----------------------------------------|--------------|---------------|---------------|--------------|---------------|
| | Sept 30, 2023 | Mar 31, 2023 | Sept 30, 2022 | Sept 30, 2023 | Mar 31, 2023 | Sept 30, 2022 | Sept 30, 2023 | Mar 31, 2023 | Sept 30, 2022 | Sept 30, 2023 | Mar 31, 2023 | Sept 30, 2022 |
| Long Term Investments: | | | | | | | | | | | | |
| Book Value | 717,580 | 629,708 | 618,356 | 8,834,410 | 7,884,450 | 6,927,515 | 2,422,367 | 2,281,033 | 2,414,285 | 11,974,357 | 10,795,192 | 9,960,157 |
| Market Value | 692,289 | 603,173 | 587,187 | 8,657,886 | 7,694,762 | 6,677,824 | 2,409,272 | 2,270,023 | 2,366,148 | 11,759,447 | 10,567,958 | 9,631,158 |
| Short Term Investments: | | | | | | | | | | | | |
| Book Value | 41,497 | 131,399 | 67,152 | 431,841 | 629,198 | 579,141 | 1,724,194 | 1,965,535 | 2,146,594 | 2,197,531 | 2,726,131 | 2,792,888 |
| Market Value | 41,439 | 132,038 | 67,881 | 433,220 | 633,414 | 583,449 | 1,708,054 | 1,953,102 | 2,137,160 | 2,182,713 | 2,718,554 | 2,788,490 |

Note : Aggregate amount of company's investments other than equity securities, mutual fund, investments in subsidiary, investment in property and derivative instruments.

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Schedules forming part of condensed standalone financial statements

FORM L-15-LOANS SCHEDULE
LOANS

(₹ Lakhs)

| Particulars | As at September 30, 2023 | As at March 31, 2023 | As at September 30, 2022 |
|---------------------------------------------|--------------------------|----------------------|--------------------------|
| 1. Security-wise classifications | | | |
| Secured | | | |
| (a) On mortgage of property | | | |
| (aa) In India | - | - | - |
| (bb) Outside India | - | - | - |
| (b) On Shares, Bonds, Govt Securities, etc. | - | - | - |
| (c) Loans against policies | 152,062 | 131,412 | 109,452 |
| (d) Others | - | - | - |
| Unsecured | - | - | - |
| Total | 152,062 | 131,412 | 109,452 |
| 2. Borrower wise classification | | | |
| (a) Central and State Governments | - | - | - |
| (b) Banks and Financial Institutions | - | - | - |
| (c) Subsidiaries | - | - | - |
| (d) Companies | - | - | - |
| (e) Policyholders - Loans against policies | 152,062 | 131,412 | 109,452 |
| (f) Others | - | - | - |
| Total | 152,062 | 131,412 | 109,452 |
| 3. Performance-wise classification | | | |
| (a) Loans classified as standard | | | |
| (aa) In India | 152,062 | 131,412 | 109,452 |
| (bb) Outside India | - | - | - |
| (b) Non-standard loans less provisions | | | |
| (aa) In India | - | - | - |
| (bb) Outside India | - | - | - |
| Total | 152,062 | 131,412 | 109,452 |
| 4. Maturity-wise classification | | | |
| (a) Short-term | 5,585 | 3,334 | 994 |
| (b) Long-term | 146,478 | 128,078 | 108,458 |
| Total | 152,062 | 131,412 | 109,452 |

Note:

(₹ Lakhs)

| Provisions against Non-performing Loans | | |
|-----------------------------------------|-------------|-----------|
| Non-Performing Loans | Loan Amount | Provision |
| Sub-standard | - | - |
| Doubtful | - | - |
| Loss | - | - |
| Total | - | - |

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Schedules forming part of condensed standalone financial statements

FORM L-16-FIXED ASSETS SCHEDULE
FIXED ASSETS

(₹ Lakhs)

| Particulars | Gross Block | | | | Depreciation | | | | Net Block | | |
|------------------------------------|---------------------------|---------------|---------------|--------------------------------|---------------------------|----------------|-------------------------|--------------------------------|--------------------------------|----------------------------|--------------------------------|
| | As at April 1, 2023 | Additions | Deductions | As at September 30, 2023 | As at April 1, 2023 | For the period | On Sales/ Adjustment | As at September 30, 2023 | As at September 30, 2023 | As at March 31, 2023 | As at September 30, 2022 |
| | | | | | | | | | | | |
| Goodwill | - | - | - | - | - | - | - | - | - | - | - |
| Intangible assets | | | | | | | | | | | |
| Software ¹ | 22,505 | 2,834 | 2 | 25,337 | 15,629 | 1,986 | - | 17,615 | 7,722 | 6,876 | 5,379 |
| Tangible assets | | | | | | | | | | | |
| Land-Freehold | 9,033 | - | - | 9,033 | - | - | - | - | 9,033 | 9,033 | 9,033 |
| Improvements to leasehold property | 13,503 | 548 | 340 | 13,711 | 11,285 | 384 | 340 | 11,329 | 2,382 | 2,218 | 2,224 |
| Buildings | 21,325 | - | - | 21,325 | 2,093 | 187 | - | 2,280 | 19,045 | 19,231 | 19,358 |
| Furniture & Fittings | 3,095 | 323 | 153 | 3,265 | 2,725 | 67 | 127 | 2,665 | 600 | 371 | 393 |
| Information technology equipment | 7,823 | 1,386 | 323 | 8,886 | 5,541 | 674 | 316 | 5,899 | 2,987 | 2,282 | 1,909 |
| Vehicles | 423 | 5 | 31 | 397 | 402 | 25 | 31 | 396 | 1 | 21 | 48 |
| Office equipment | 5,600 | 255 | 228 | 5,627 | 4,448 | 272 | 219 | 4,501 | 1,126 | 1,151 | 1,042 |
| Communication networks | 17,534 | 3,202 | 1 | 20,735 | 10,868 | 1,513 | 1 | 12,380 | 8,355 | 6,666 | 5,576 |
| Total | 100,841 | 8,553 | 1,078 | 108,316 | 52,991 | 5,108 | 1,034 | 57,065 | 51,251 | 47,849 | 44,962 |
| Work in progress | 11,706 | 12,851 | 8,876 | 15,681 | - | - | - | - | 15,681 | 11,706 | 7,418 |
| Total | 112,547 | 21,404 | 9,954 | 123,997 | 52,991 | 5,108 | 1,034 | 57,065 | 66,932 | 59,555 | 52,380 |
| As at March 31, 2023 | 95,351 | 48,917 | 31,722 | 112,546 | 46,629 | 8,351 | 1,988 | 52,992 | | | |
| As at September 30, 2022 | 95,351 | 13,608 | 6,683 | 102,277 | 46,629 | 3,769 | 501 | 49,897 | | | |

¹ All software are other than those generated internally.

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Schedules forming part of condensed standalone financial statements

FORM L-17-CASH AND BANK BALANCE SCHEDULE

CASH AND BANK BALANCES

(₹ Lakhs)

| Particulars | As at September 30, 2023 | As at March 31, 2023 | As at September 30, 2022 |
|---------------------------------------------------------------------|--------------------------|----------------------|--------------------------|
| Cash (including cheques, drafts and stamps)* | 6,621 | 14,181 | 6,335 |
| Bank Balance | | | |
| (a) Deposit Account : | | | |
| (aa) Short-term (due within 12 months of the date of balance sheet) | - | - | - |
| (bb) Others | - | - | - |
| (b) Current accounts | 66,111 | 62,829 | 47,578 |
| (c) Unclaimed dividend accounts | 76 | 76 | 76 |
| Money at call and short notice | | | |
| (a) With Banks | - | - | - |
| (b) With other Institutions | - | - | - |
| Others | - | - | - |
| Total | 72,808 | 77,086 | 53,989 |
| Balances with non-scheduled banks included above | - | - | - |
| Cash and Bank Balances | | | |
| In India | 72,749 | 77,012 | 53,945 |
| Outside India | 59 | 74 | 44 |
| Total | 72,808 | 77,086 | 53,989 |

includes cheques in hand amounting to ₹ 2,446 Lakhs (₹ 10,408 Lakhs as at March 31, 2023; ₹ 3,416 Lakhs as at September 30, 2022)

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Schedules forming part of condensed standalone financial statements

FORM L-18-ADVANCE AND OTHER ASSETS SCHEDULE
ADVANCES AND OTHER ASSETS

(₹ Lakhs)

| Particulars | As at September 30, 2023 | As at March 31, 2023 | As at September 30, 2022 |
|-----------------------------------------------------------------------------------|--------------------------|----------------------|--------------------------|
| Advances | | | |
| Reserve deposits with ceding companies | - | - | - |
| Application money for investments | - | - | - |
| Prepayments | 4,267 | 3,827 | 4,148 |
| Advances to Directors/Officers | - | - | - |
| Advance tax paid and taxes deducted at source (Net of provision for taxation) | 21,871 | 15,729 | 16,384 |
| Others | | | |
| - Advances to Employees | 1 | - | - |
| - Deposits | | | |
| Gross | 24,181 | 23,581 | 13,929 |
| Less: Provision for doubtful deposits | (200) | (107) | (98) |
| Net | 23,981 | 23,474 | 13,831 |
| - Other advances | | | |
| Gross | 11,875 | 21,943 | 17,364 |
| Less: Provision for doubtful advances | (62) | (61) | (61) |
| Net | 11,813 | 21,882 | 17,303 |
| - Other receivables | | | |
| Gross | 21,568 | 24,458 | 12,468 |
| Less: Provision for doubtful receivables | (259) | (450) | (522) |
| Net | 21,309 | 24,008 | 11,946 |
| Total (A) | 83,242 | 88,920 | 63,612 |
| OTHER ASSETS | | | |
| Income accrued on investments | 235,512 | 190,148 | 184,272 |
| Outstanding premiums | 29,769 | 36,036 | 24,377 |
| Agents' balances | | | |
| Gross | 429 | 255 | 149 |
| Less: Provision for doubtful agents' balance | (145) | (156) | (98) |
| Net | 284 | 99 | 51 |
| Foreign agencies balances | - | - | - |
| Due from other entities carrying on insurance business (including reinsurers) | 21,412 | 25,371 | 25,891 |
| Due from subsidiary / holding company | 60 | 104 | 53 |
| Deposit with Reserve Bank of India | - | - | - |
| Assets held for unclaimed amount of policyholders* | 91,626 | 90,907 | 109,183 |
| Income on unclaimed amount of policyholders (net of fund administration expenses) | 9,095 | 7,742 | 7,570 |
| Others | | | |
| - Receivable towards investments sold | 33,719 | 15,721 | 2,344 |
| - Goods & Service tax un-utilised credit | 28,419 | 26,641 | 21,324 |
| - Derivative Assets | 8,025 | - | - |
| - Margin money paid** | - | 12,936 | 3,822 |
| - Receivable from unit fund | 585 | - | - |
| Total (B) | 458,506 | 405,705 | 378,887 |
| Total (A+B) | 541,748 | 494,625 | 442,499 |

*excluding Income on unclaimed amount of policyholders (net of fund administration expenses).

**including interest receivable on margin paid

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Schedules forming part of condensed standalone financial statements

FORM L-19-CURRENT LIABILITIES SCHEDULE
CURRENT LIABILITIES

(₹ Lakhs)

| Particulars | As at September 30, 2023 | As at March 31, 2023 | As at September 30, 2022 |
|-----------------------------------------------------------------|--------------------------|----------------------|--------------------------|
| Agents' balances | 23,085 | 34,328 | 11,628 |
| Balance due to other insurance companies (including reinsurers) | 9,215 | 1,562 | 527 |
| Deposits held on re-insurance ceded | - | - | - |
| Premium received in advance | 18,402 | 22,119 | 20,546 |
| Unallocated premium | 41,964 | 45,837 | 24,127 |
| Sundry creditors | 2,131 | 1,909 | 848 |
| Due to subsidiaries/ holding company | 7,823 | 8,956 | 10,091 |
| Claims outstanding | 52,150 | 47,633 | 59,765 |
| Annuities Due | 14 | 7 | 14 |
| Due to Officers/Directors | - | - | - |
| Unclaimed amount of Policyholders ¹ | 91,626 | 90,907 | 109,183 |
| Income accrued on Unclaimed amounts | 9,095 | 7,742 | 7,570 |
| Interest accrued but not due on borrowings | 7,409 | 3,288 | 7,409 |
| Others: | | | |
| - Deposits | 1,060 | 1,060 | 1,430 |
| - Expenses payable | 127,101 | 146,529 | 88,161 |
| - TDS payable | 4,237 | 6,391 | 2,895 |
| - Payable towards investments purchased | 28,379 | 42,395 | 4,426 |
| - Payable to unit fund | - | 23,108 | 8,679 |
| - Goods & Service tax/Service tax payable | 30,771 | 38,416 | 26,582 |
| - Payable to Policyholders | 29,311 | 32,343 | 40,285 |
| - Other liabilities ² | 1,041 | 1,126 | 1,105 |
| - Derivatives Liabilities | - | 10,588 | 7,890 |
| - Margin money received ³ | 15,047 | - | - |
| Total | 499,861 | 566,244 | 433,161 |

¹ excluding interest on unclaimed amount of policyholders.

² Includes unclaimed dividend amounting to ₹ 76 Lakhs (₹ 76 Lakhs at March 31, 2023; ₹ 76 Lakhs at September 30, 2022)

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Schedules forming part of condensed standalone financial statements

FORM L-20-PROVISIONS SCHEDULE
PROVISIONS

(₹ Lakhs)

| Particulars | As at September 30, 2023 | As at March 31, 2023 | As at September 30, 2022 |
|-----------------------------------------------------------|--------------------------|----------------------|--------------------------|
| For taxation (less payments and taxes deducted at source) | - | - | - |
| For Employee Benefits | 2,718 | 2,561 | 1,909 |
| Total | 2,718 | 2,561 | 1,909 |

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Schedules forming part of condensed standalone financial statements

FORM L-21-MISC EXPENDITURE SCHEDULE
MISCELLANEOUS EXPENDITURE
(To the extent not written off or adjusted)

(₹ Lakhs)

| Particulars | As at September 30, 2023 | As at March 31, 2023 | As at September 30, 2022 |
|------------------------------------------------|--------------------------|----------------------|--------------------------|
| Discount allowed in issue of shares/debentures | - | - | - |
| Others | - | - | - |
| Total | - | - | - |

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

FORM L-22 Standalone Analytical Ratios
For the quarter ended September 30, 2023

| Sr No. | Particulars | For the quarter ended September 30, 2023 | Upto the quarter ended September 30, 2023 | For the quarter ended September 30, 2022 | Upto the quarter ended September 30, 2022 |
|-----------|----------------------------------------------------------------------------------------------------------------------------|------------------------------------------|-------------------------------------------|------------------------------------------|-------------------------------------------|
| 1 | New business premium income growth (segment-wise) | | | | |
| | (i) Linked Business: | | | | |
| | a) Life | 16.9% | 15.8% | (8.3%) | (16.6%) |
| | b) Pension | (11.8%) | 21.9% | (65.0%) | (45.9%) |
| | c) Health | (100.0%) | (66.7%) | (220.0%) | (14.3%) |
| | d) Variable Insurance | NA | NA | NA | NA |
| | (ii) Non-Linked Business: | | | | |
| | Participating: | | | | |
| | a) Life | 49.0% | 49.2% | 14.9% | 15.8% |
| | b) Annuity | NA | NA | NA | NA |
| | c) Pension | 0.0% | (100.0%) | (100.0%) | (350.0%) |
| | d) Health | NA | NA | NA | NA |
| | e) Variable Insurance | NA | NA | NA | NA |
| | Non Participating: | | | | |
| | a) Life | 22.7% | 14.2% | 33.1% | 38.7% |
| | b) Annuity | (27.7%) | (31.2%) | (10.8%) | (3.0%) |
| | c) Pension | (86.0%) | (66.8%) | 323.0% | 682.9% |
| | d) Health | (6.2%) | 6.6% | (45.6%) | (47.1%) |
| | e) Variable Insurance | (95.6%) | 329.2% | (95.9%) | (96.5%) |
| 2 | Percentage of Single Premium (Individual Business) to Total New Business Premium (Individual Business) | 24.9% | 26.0% | 32.3% | 34.7% |
| 3 | Percentage of Linked New Business Premium (Individual Business) to Total New Business Premium (Individual Business) | 43.7% | 41.9% | 37.5% | 37.0% |
| 4 | Net retention ratio | 96.1% | 95.7% | 96.8% | 96.0% |
| 5 | Conservation Ratio (Segment wise) | | | | |
| | (i) Linked Business: | | | | |
| | a) Life | 78.9% | 80.1% | 79.4% | 76.6% |
| | b) Pension | 86.3% | 89.2% | 85.7% | 80.7% |
| | c) Health | 96.1% | 93.2% | 92.4% | 90.9% |
| | d) Variable Insurance | NA | NA | NA | NA |
| | (ii) Non-Linked Business: | | | | |
| | Participating: | | | | |
| | a) Life | 87.1% | 85.1% | 86.2% | 85.9% |
| | b) Annuity | NA | NA | NA | NA |
| | c) Pension | 85.7% | 35.8% | 0.5% | 2.8% |
| | d) Health | NA | NA | NA | NA |
| | e) Variable Insurance | NA | NA | NA | NA |
| | Non Participating: | | | | |
| | a) Life | 92.7% | 92.7% | 92.9% | 92.2% |
| | b) Annuity | 86.0% | 88.7% | NA | NA |
| | c) Pension | NA | NA | NA | NA |
| | d) Health | 91.1% | 90.4% | 84.9% | 83.3% |
| | e) Variable Insurance | NA | NA | NA | NA |
| 6 | Expense of Management to Gross Direct Premium Ratio | 18.4% | 19.2% | 14.8% | 15.7% |
| 7 | Commission Ratio (Gross commission paid to Gross premium) | 7.4% | 6.5% | 4.2% | 4.2% |
| 8 | Business Development and Sales Promotion Expenses to New Business Premium | 1.5% | 0.9% | 0.6% | 0.3% |
| 9 | Brand/Trade Mark usage fee/charges to New Business Premium | 0.0% | 0.1% | 0.0% | 0.1% |
| 10 | Ratio of policyholders fund to shareholders funds | 2,420.2% | 2,420.2% | 2,417.7% | 2,417.7% |
| 11 | Change in net worth (Amount in Rs. Lakhs) | 62,423 | 62,423 | 46,646 | 46,646 |
| 12 | Growth in Networth | 6.2% | 6.2% | 5.1% | 5.1% |
| 13 | Ratio of surplus to policyholders liability | | | | |
| | (i) Linked Business: | | | | |
| | a) Life | 0.2% | 0.5% | 0.3% | 0.5% |
| | b) Pension | 0.3% | 0.6% | 0.3% | 0.5% |
| | c) Health | 0.5% | 1.7% | 0.2% | 0.9% |
| | d) Variable Insurance | NA | NA | NA | NA |
| | (ii) Non-Linked Business: | | | | |
| | Participating: | | | | |
| | a) Life | (0.2%) | (0.8%) | 0.0% | 0.3% |
| | b) Annuity | NA | NA | NA | NA |
| | c) Pension | 1.0% | 1.8% | 1.5% | 2.5% |
| | d) Health | NA | NA | NA | NA |
| | e) Variable Insurance | NA | NA | NA | NA |
| | Non Participating: | | | | |
| | a) Life | (0.8%) | (1.5%) | (1.0%) | (1.6%) |
| | b) Annuity | (0.8%) | (1.2%) | (0.6%) | (1.0%) |
| | c) Pension | (0.0%) | 0.1% | 0.4% | (0.3%) |
| | d) Health | (1.8%) | (1.8%) | 0.2% | 0.2% |
| | e) Variable Insurance | 0.2% | (0.1%) | 0.4% | (0.2%) |
| 14 | Profit after tax/Total income | 1.4% | 1.1% | 0.9% | 1.7% |
| 15 | (Total Real Estate + Loans)/(Cash & Invested Assets) | 0.9% | 0.9% | 0.8% | 0.8% |
| 16 | Total Investments/(Capital + Reserves and Surplus) | 2,500.5% | 2,500.5% | 2,507.0% | 2,507.0% |
| 17 | Total Affiliated Investments/(Capital+ Reserves and Surplus) | 0.6% | 0.6% | 0.9% | 0.9% |

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

FORM L-22 Standalone Analytical Ratios
For the quarter ended September 30, 2023

| Sr No. | Particulars | For the quarter ended September 30, 2023 | Upto the quarter ended September 30, 2023 | For the quarter ended September 30, 2022 | Upto the quarter ended September 30, 2022 |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------|------------------------------------------|-------------------------------------------|------------------------------------------|-------------------------------------------|
| 18 | Investment Yield (Gross and Net) | | | | |
| | A. Without unrealised gains | | | | |
| | - Shareholders' Fund | 17.6% | 14.8% | 8.6% | 8.1% |
| | - Policyholders' Fund | | | | |
| | Non Linked Par | 10.5% | 9.1% | 7.8% | 6.6% |
| | Non Linked Non Par | 9.0% | 8.8% | 8.0% | 7.7% |
| | Linked Non Par | 12.1% | 10.2% | 7.7% | 6.8% |
| | B. With unrealised gains | | | | |
| | - Shareholders' Fund | 15.7% | 19.5% | 27.0% | 6.7% |
| | - Policyholders' Fund | | | | |
| | Non Linked Par | 8.3% | 14.9% | 20.1% | 2.0% |
| | Non Linked Non Par | 6.2% | 10.4% | 18.9% | 1.5% |
| | Linked Non Par | 11.1% | 26.6% | 34.4% | 0.3% |
| 15 | Persistence Ratio¹ | | | | |
| | Premium Basis (Regular Premium/Limited Premium Payment under individual category) | | | | |
| | 13th month | 85.6% | 86.9% | 83.2% | 85.7% |
| | 25th month | 78.6% | 78.9% | 74.4% | 77.1% |
| | 37th month | 68.9% | 71.4% | 69.1% | 69.1% |
| | 49th month | 67.9% | 65.8% | 62.3% | 63.5% |
| | 61st month | 61.9% | 65.0% | 64.1% | 62.4% |
| | Premium Basis (Single Premium/Fully paid-up under individual category) | | | | |
| | 13th month | 99.8% | 99.9% | 100.0% | 100.0% |
| | 25th month | 99.6% | 99.7% | 99.8% | 99.9% |
| | 37th month | 99.2% | 99.6% | 100.0% | 99.9% |
| | 49th month | 99.9% | 99.5% | 96.9% | 96.8% |
| | 61st month | 98.3% | 98.2% | 98.2% | 99.1% |
| | Number of Policy Basis (Regular Premium/Limited Premium Payment under individual category) | | | | |
| | 13th month | 73.8% | 75.9% | 75.7% | 76.8% |
| | 25th month | 71.5% | 70.5% | 65.3% | 68.3% |
| | 37th month | 61.2% | 64.1% | 62.6% | 60.4% |
| | 49th month | 59.1% | 57.1% | 53.4% | 54.9% |
| | 61st month | 48.4% | 48.5% | 45.3% | 42.3% |
| | Number of Policy Basis (Single Premium/Fully paid-up under individual category) | | | | |
| | 13th month | 99.9% | 99.9% | 99.9% | 99.9% |
| | 25th month | 99.7% | 99.7% | 99.9% | 100.0% |
| | 37th month | 99.7% | 99.8% | 100.0% | 99.4% |
| | 49th month | 99.9% | 97.9% | 94.5% | 94.5% |
| | 61st month | 97.4% | 97.5% | 97.8% | 98.9% |
| 16 | NPA Ratio | | | | |
| | Policyholders' Funds | | | | |
| | Gross NPA Ratio | NIL | NIL | NIL | NIL |
| | Net NPA Ratio | NIL | NIL | NIL | NIL |
| | Shareholders' Funds | | | | |
| | Gross NPA Ratio | NIL | NIL | NIL | NIL |
| | Net NPA Ratio | NIL | NIL | NIL | NIL |
| 17 | Solvency Ratio | 199.4% | 199.4% | 200.7% | 200.7% |
| 18 | Debt Equity Ratio | 0.11 | 0.11 | 0.12 | 0.12 |
| 19 | Debt Service Coverage Ratio | 14.29 | 13.32 | 11.60 | 10.53 |
| 20 | Interest Service Coverage Ratio | 14.29 | 13.32 | 11.60 | 10.53 |
| 21 | Average ticket size in Rs. - Individual premium (Non-Single) | 113,908 | 109,493 | 111,164 | 106,620 |
| Equity Holding Pattern for Life Insurers | | | | | |
| 1 | No. of shares | 1,439,618,396 | 1,439,618,396 | 1,438,545,246 | 1,438,545,246 |
| 2 | Percentage of shareholding | | | | |
| | a. Indian | 60.2% | 60.2% | 59.1% | 59.1% |
| | b. Foreign | 39.8% | 39.8% | 40.9% | 40.9% |
| 3 | Percentage of Government holding (in case of public sector insurance companies) | NA | NA | NA | NA |
| 4 | Basic and diluted EPS before extraordinary items (net of tax expense) for the period (not to be annualized) | | | | |
| | - Basic | 1.70 | 3.14 | 1.39 | 2.47 |
| | - Diluted | 1.69 | 3.12 | 1.38 | 2.46 |
| 5 | Basic and diluted EPS after extraordinary items (net of tax expense) for the period (not to be annualized) | | | | |
| | - Basic | 1.70 | 3.14 | 1.39 | 2.47 |
| | - Diluted | 1.69 | 3.12 | 1.38 | 2.46 |
| 6 | Book value per share (Rs) | 74.44 | 74.44 | 66.94 | 66.94 |

Notes:-

- 1) Calculations are in accordance with the IRDAI circular IRDA/ACT/CIR/GEN/21/02/2010 dated February 11, 2010 .
 - a) Persistence ratios for the quarter ended September 30, 2022 have been calculated on September 30, 2022 for the policies issued in June to August period of the relevant years. For example, the 13th month persistence for quarter ended September 30, 2022 is calculated for policies issued from June 1, 2021 to August 31, 2021
 - b) Persistence ratios for the year ended September 30, 2022 have been calculated on September 30, 2022 for the policies issued in September to August period of the relevant years. For example, the 13th month persistence for year ended September 30, 2022 is calculated for policies issued from September 1, 2020 to August 31, 2021
 - c) Persistence ratios for the quarter ended September 30, 2021 have been calculated on October 31, 2021 for the policies issued in July to December period of the relevant years. For example, the 13th month persistence for quarter ended September 30, 2021 is calculated for policies issued from July 1, 2020 to December 31, 2020.
 - e) Persistence ratios for year ended September 30, 2021 have been calculated on October 31, 2021 for the policies issued in October to September period of the relevant years. For example, the 13th month persistence for year ended September 30, 2021 is calculated for policies issued from October 1, 2019 to September 30, 2020.
 - e) Group policies and policies under micro insurance products are excluded.

FORM L-23-RECEIPT AND PAYMENTS SCHEDULE

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Condensed Standalone Receipt & Payment Account for the half year ended September 30, 2023

(₹ Lakhs)

| Particulars | April 1, 2023 to September 30, 2023 | April 1, 2022 to March 31, 2023 | April 1, 2022 to September 30, 2022 |
|--------------------------------------------------------------------------------|----------------------------------------|------------------------------------|----------------------------------------|
| CASH FLOWS FROM OPERATING ACTIVITIES | | | |
| Premium and other receipts (net of Goods and Service tax) | 1,977,479 | 4,356,222 | 1,874,613 |
| Interest received on tax refund | - | - | - |
| Payments to the re-insurers, net of commissions and claims/ Benefits | 980 | 6,490 | (918) |
| Payments to co-insurers, net of claims / benefit recovery | - | - | - |
| Payments of claims/benefits | (1,801,875) | (3,280,908) | (1,421,912) |
| Payments of commission and brokerage ¹ | (125,178) | (164,183) | (79,341) |
| Payments of other operating expenses ² | (410,543) | (738,631) | (370,239) |
| Preliminary and pre-operative expenses | - | - | - |
| Deposits and advances | (600) | (19,909) | (10,257) |
| Income taxes paid (Net) | (10,323) | (27,484) | (10,008) |
| Goods and Service tax paid (Net) | (62,440) | (122,833) | (52,904) |
| Other payments | - | (4,347,458) | - |
| Cash flows before extraordinary items | (432,500) | 8,764 | (70,966) |
| Cash flow from extraordinary operations | - | - | - |
| Net cash flow from / (for) operating activities (A) | (432,500) | 8,764 | (70,966) |
| CASH FLOWS FROM INVESTING ACTIVITIES | | | |
| Purchase of fixed assets | (12,553) | (19,228) | (7,473) |
| Sale of fixed assets | 81 | 170 | 75 |
| Purchase of investments | (6,308,991) | (11,579,096) | (5,599,427) |
| Investment in Subsidiary | - | - | - |
| Loans disbursed | - | - | - |
| Loans against policies | (20,650) | (37,400) | (15,440) |
| Sale of investments | 5,956,694 | 10,724,506 | 5,582,772 |
| Repayments received | - | - | - |
| Advance/deposit for investment property | - | (370) | - |
| Interest & rent received (net of tax deducted at source) | 414,053 | 784,438 | 366,314 |
| Dividend received | 92,504 | 128,345 | 86,269 |
| Investments in money market instruments and in liquid mutual funds (Net) | 225,757 | (113,001) | (335,510) |
| Expense related to investment | (1,348) | (3,060) | (1,507) |
| Net cashflow from/ (for) investing activities (B) | 345,547 | (114,696) | 76,073 |
| CASH FLOWS FROM FINANCING ACTIVITIES | | | |
| Proceeds from issuance of share capital ³ | 4,215 | 4,891 | 4,772 |
| Proceeds from borrowing | - | - | - |
| Repayments of borrowing | - | - | - |
| Interest paid | - | (8,220) | - |
| Final Dividend | (8,634) | (7,905) | (7,906) |
| Interim Dividend paid | - | - | - |
| Net cashflow from / (for) financing activities (C) | (4,419) | (11,234) | (3,134) |
| Effect of foreign exchange rates on cash and cash equivalents (net) (D) | - | 1 | 1 |
| Net increase/(decrease) in cash and cash equivalents (A+B+C+D) | (91,372) | (117,165) | 1,977 |
| Cash and cash equivalents at beginning of the year | 897,334 | 1,014,499 | 1,014,499 |
| Cash and cash equivalents at the end of the period/ end of the year | 805,962 | 897,334 | 1,016,476 |

Note:

Components of Cash and cash equivalents at the end of the year

| | | | |
|----------------------------------------------------------------------------------------------------------------------------------|----------------|----------------|------------------|
| - Cash (including cheques in hand and stamps in hand) | 6,621 | 14,181 | 6,335 |
| - Bank Balances and Money at call and short notice ⁴ | 66,762 | 63,385 | 48,010 |
| [Including bank balance for linked business of ₹ 575 Lakhs (₹ 481 Lakhs at March 31, 2023; ₹ 356 Lakhs at September 30, 2022) | | | |
| - Other short term liquid investment | | | |
| [Forming part of investments in financials and unclaimed assets as disclosed in Note L-18] | 736,740 | 823,529 | 965,037 |
| - Stamps on Hand | | | |
| [Part of Cash (including cheques, drafts and stamps) under Note L-17, however not a part of cash and cash equivalents] | (4,160) | (3,759) | (2,906) |
| | 805,963 | 897,336 | 1,016,476 |

Reconciliation of Cash and Cash Equivalents with Cash & Bank Balances (Note L-17)

| | | | |
|-----------------------------------------------|---------------|---------------|---------------|
| Cash and cash equivalents | 805,963 | 897,336 | 1,016,476 |
| Add: Stamps on hand and others | 4,160 | 3,759 | 2,906 |
| Less: Linked business bank balance | (575) | (481) | (355) |
| Less: Other short term liquid investment | (736,740) | (823,529) | (965,037) |
| Cash and Bank Balance as per Note L-17 | 72,808 | 77,085 | 53,990 |

¹ Including rewards and/or remuneration to agents, brokers or other intermediaries² Includes CSR expenses paid amounting to ₹ 149 Lakhs during the half year ended (₹ 418 Lakhs for year ended March 31, 2023; ₹ 166 Lakhs for the half year ended September 30, 2022)³ Includes movement in share application money and share issue expenses if any⁴ Includes balance in dividend account which is unclaimed amounting to ₹ 76 Lakhs at March 31, 2023; ₹ 76 Lakhs at September 30, 2022.

The above Receipts and payments account has been prepared as prescribed by Insurance Regulatory and Development Authority (Preparation of financial statements and auditor's report of insurance companies) Regulations, 2002 under the "Direct method" in accordance with Accounting Standard 3 Cash Flow Statements.

Form L-24 :- VALUATION OF NET LIABILITIES

Name of the Insurer :- ICICI Prudential Life Insurance Co. Ltd.

Date: 30th September 2023

| Net Liabilities (Rs. lakhs) (Frequency - Quarterly) | | | |
|-----------------------------------------------------|---------------------------|-------------------------------------------------|-------------------------------------------------|
| Type | Category of business | Mathematical Reserves as at 30th September 2023 | Mathematical Reserves as at 30th September 2022 |
| Par | Non-Linked -VIP | | |
| | Life | - | - |
| | General Annuity | - | - |
| | Pension | - | - |
| | Health | - | - |
| | Non-Linked -Others | | |
| | Life * | 3,066,133 | 2,662,912 |
| | General Annuity | - | - |
| | Pension* | 105,815 | 130,704 |
| | Health | - | - |
| | | | |
| | Linked -VIP | | |
| | Life | - | - |
| | General Annuity | - | - |
| | Pension | - | - |
| | Health | - | - |
| | Linked-Others | | |
| | Life | - | - |
| General Annuity | - | - | |
| Pension | - | - | |
| Health | - | - | |
| Total Par | | 3,171,948 | 2,793,616 |
| Non-Par | Non-Linked -VIP | | |
| | Life | 10,705 | 10,204 |
| | General Annuity | - | - |
| | Pension | 3,687 | 3,899 |
| | Health | - | - |
| | Non-Linked -Others | | |
| | Life* | 5,137,306 | 3,976,883 |
| | General Annuity | 1,342,016 | 1,121,950 |
| | Pension* | 137,343 | 98,583 |
| | Health | 6,214 | 5,263 |
| | | | |
| | Linked -VIP | | |
| | Life | - | - |
| | General Annuity | - | - |
| | Pension | - | - |
| | Health | - | - |
| | Linked-Others | | |
| | Life* | 14,389,251 | 13,638,326 |
| General Annuity | - | - | |
| Pension* | 1,062,898 | 1,083,237 | |
| Health | 113,043 | 111,492 | |
| Total Non Par | | 22,202,463 | 20,049,839 |
| Total Business | Non-Linked -VIP | | |
| | Life | 10,705 | 10,204 |
| | General Annuity | - | - |
| | Pension | 3,687 | 3,899 |
| | Health | - | - |
| | Non-Linked -Others | | |
| | Life | 8,203,439 | 6,639,796 |
| | General Annuity | 1,342,016 | 1,121,950 |
| | Pension | 243,159 | 229,286 |
| | Health | 6,214 | 5,263 |
| | | | |
| | Linked -VIP | | |
| | Life | - | - |
| | General Annuity | - | - |
| | Pension | - | - |
| | Health | - | - |
| | Linked-Others | | |
| | Life | 14,389,251 | 13,638,326 |
| General Annuity | - | - | |
| Pension | 1,062,898 | 1,083,237 | |
| Health | 113,043 | 111,492 | |
| Total | | 25,374,411 | 22,843,455 |

* Include liability of Group line of business.

FORM L-25- (i) : Geographical Distribution of Business: Individuals

Name of the Insurer: ICICI PRUDENTIAL LIFE INSURANCE CO. LTD.

Date: September 30, 2023

For the Quarter - Current Year

| Geographical Distribution of Total Business - Individuals | | | | | | | | | | | | |
|-----------------------------------------------------------|----------------------------------------|----------------------|--------------------|------------------------|----------------------|--------------------|------------------------|--------------------|--------------------|------------------------|-----------------------------|------------------------------------------------------|
| Sl.No. | State / Union Territory | New Business - Rural | | | New Business - Urban | | | Total New Business | | | Renewal Premium (Rs. Lakhs) | Total Premium (New Business and Renewal) (Rs. Lakhs) |
| | | No. of Policies | Premium (Rs Lakhs) | Sum Assured (Rs Lakhs) | No. of Policies | Premium (Rs lakhs) | Sum Assured (Rs Lakhs) | No. of Policies | Premium (Rs lakhs) | Sum Assured (Rs Lakhs) | | |
| STATES | | | | | | | | | | | | |
| 1 | Andhra Pradesh | 365 | 340 | 16,159 | 1,871 | 2,475 | 86,101 | 2,236 | 2,815.12 | 102,260.24 | 10,480.31 | 13,295.43 |
| 2 | Arunachal Pradesh | 12 | 18 | 265 | 22 | 25 | 613 | 34 | 43.45 | 878.32 | 230.14 | 273.59 |
| 3 | Assam | 653 | 613 | 8,687 | 1,123 | 1,229 | 18,860 | 1,776 | 1,841.79 | 27,547.34 | 5,849.62 | 7,691.41 |
| 4 | Bihar | 1,763 | 2,585 | 28,437 | 2,873 | 4,542 | 66,597 | 4,636 | 7,126.61 | 95,034.53 | 15,296.35 | 22,422.95 |
| 5 | Chattisgarh | 502 | 517 | 7,875 | 1,142 | 1,360 | 22,925 | 1,644 | 1,876.93 | 30,800.12 | 4,029.95 | 5,906.88 |
| 6 | Goa | 84 | 68 | 2,276 | 299 | 607 | 8,532 | 383 | 675.25 | 10,807.78 | 2,083.66 | 2,758.92 |
| 7 | Gujarat | 1,297 | 1,343 | 29,287 | 8,239 | 13,537 | 274,024 | 9,536 | 14,880.31 | 303,311.10 | 42,764.63 | 57,644.94 |
| 8 | Haryana | 481 | 457 | 17,721 | 2,867 | 4,776 | 186,412 | 3,348 | 5,232.54 | 204,132.75 | 17,751.00 | 22,983.54 |
| 9 | Himachal Pradesh | 511 | 721 | 9,984 | 411 | 514 | 10,805 | 922 | 1,234.82 | 20,788.73 | 3,488.56 | 4,723.38 |
| 10 | Jharkhand | 569 | 465 | 7,144 | 1,392 | 1,689 | 29,561 | 1,961 | 2,154.09 | 36,705.13 | 7,300.17 | 9,454.25 |
| 11 | Karnataka | 833 | 948 | 24,377 | 5,371 | 12,250 | 227,824 | 6,204 | 13,197.35 | 252,201.58 | 37,996.16 | 51,193.51 |
| 12 | Kerala | 1,215 | 1,604 | 23,293 | 5,837 | 12,193 | 126,074 | 7,052 | 13,797.32 | 149,366.69 | 28,463.31 | 42,260.63 |
| 13 | Madhya Pradesh | 1,152 | 1,385 | 18,749 | 3,658 | 5,233 | 105,548 | 4,810 | 6,618.14 | 124,296.89 | 13,709.28 | 20,327.43 |
| 14 | Maharashtra | 10,306 | 2,929 | 284,928 | 36,503 | 41,966 | 2,873,441 | 46,809 | 44,895.48 | 3,158,369.62 | 122,761.37 | 167,656.85 |
| 15 | Manipur | 38 | 43 | 585 | 152 | 290 | 2,020 | 190 | 332.75 | 2,605.71 | 904.45 | 1,237.20 |
| 16 | Meghalaya | 14 | 9 | 183 | 24 | 33 | 338 | 38 | 42.11 | 520.28 | 331.17 | 373.29 |
| 17 | Mizoram | 6 | 14 | 19 | 85 | 159 | 1,915 | 91 | 173.00 | 1,934.72 | 270.87 | 443.87 |
| 18 | Nagaland | 29 | 16 | 286 | 91 | 101 | 1,093 | 120 | 117.16 | 1,378.93 | 421.77 | 538.93 |
| 19 | Orissa | 1,285 | 1,161 | 19,157 | 2,149 | 3,680 | 41,963 | 3,434 | 4,840.53 | 61,119.39 | 12,862.75 | 17,703.28 |
| 20 | Punjab | 984 | 852 | 12,236 | 2,525 | 3,279 | 60,232 | 3,509 | 4,130.75 | 72,468.41 | 14,755.50 | 18,886.25 |
| 21 | Rajasthan | 1,648 | 1,204 | 56,536 | 5,481 | 5,855 | 283,991 | 7,129 | 7,058.94 | 340,527.53 | 28,334.00 | 35,392.94 |
| 22 | Sikkim | 57 | 37 | 532 | 86 | 90 | 1,399 | 143 | 127.21 | 1,930.87 | 364.68 | 491.90 |
| 23 | Tamil Nadu | 3,429 | 1,057 | 31,429 | 8,998 | 16,960 | 266,038 | 12,427 | 18,016.92 | 297,466.53 | 49,498.39 | 67,515.31 |
| 24 | Telangana | 391 | 375 | 20,326 | 3,205 | 5,991 | 184,198 | 3,596 | 6,366.35 | 204,523.61 | 22,797.25 | 29,163.60 |
| 25 | Tripura | 60 | 78 | 834 | 131 | 324 | 2,388 | 191 | 401.76 | 3,221.57 | 796.88 | 1,198.64 |
| 26 | Uttarakhand | 324 | 413 | 6,236 | 1,042 | 1,789 | 27,527 | 1,366 | 2,201.83 | 33,762.76 | 5,562.45 | 7,764.27 |
| 27 | Uttar Pradesh | 2,042 | 1,964 | 37,989 | 7,376 | 11,318 | 202,239 | 9,418 | 13,282.56 | 240,228.18 | 44,072.24 | 57,354.80 |
| 28 | West Bengal | 2,798 | 1,965 | 33,209 | 6,074 | 9,826 | 146,543 | 8,872 | 11,791.02 | 179,752.47 | 34,126.51 | 45,917.53 |
| | TOTAL | 32,848 | 23,180 | 698,738.72 | 109,027.00 | 162,092.22 | 5,259,203.08 | 141,875 | 185,272.09 | 5,957,941.80 | 527,303.44 | 712,575.53 |
| UNION TERRITORIES | | | | | | | | | | | | |
| 1 | Andaman & Nicobar Islands | - | - | - | - | - | - | - | - | - | 1.92 | 1.92 |
| 2 | Chandigarh | 89 | 78 | 1,258 | 823 | 1,230 | 22,961 | 912 | 1,308.13 | 24,219.16 | 5,452.76 | 6,760.89 |
| 3 | Dadra and Nagar Haveli and Daman & Diu | - | - | - | - | - | - | - | - | - | 0.38 | 0.38 |
| 4 | Delhi | 358 | 394 | 7,811 | 7,644 | 15,753 | 263,786 | 8,002 | 16,146.96 | 271,597.23 | 49,007.93 | 65,154.89 |
| 5 | Jammu & Kashmir | 189 | 150 | 2,532 | 393 | 383 | 8,460 | 582 | 532.74 | 10,992.05 | 2,581.48 | 3,114.22 |
| 6 | Ladakh | - | - | - | - | - | - | - | - | - | - | - |
| 7 | Lakshadweep | - | - | - | - | - | - | - | - | - | - | - |
| 8 | Puducherry | 32 | 30 | 744 | 209 | 337 | 8,057 | 241 | 367.27 | 8,801.03 | 1,126.76 | 1,494.03 |
| | TOTAL | 668 | 652.28 | 12,345.18 | 9,069 | 17,702.82 | 303,264.30 | 9,737 | 18,355.11 | 315,609.48 | 58,171.22 | 76,526.33 |
| | GRAND TOTAL | 33,516 | 23,832.16 | 711,083.90 | 118,096 | 179,795.04 | 5,562,467.38 | 151,612 | 203,627.20 | 6,273,551.28 | 585,474.66 | 789,101.86 |
| IN INDIA | | | | | | | | | | | | |
| | | | | | | | | 151,612 | 203,627.20 | 6,273,551.28 | 585,474.66 | 789,101.86 |
| OUTSIDE INDIA | | | | | | | | | | | | |
| | | | | | | | | - | - | - | - | - |

| Geographical Distribution of Total Business - GROUP | | | | | | | | | | | | | | | |
|-----------------------------------------------------|-------------------------------------------|---------------------------------|--------------|-----------------------|---------------------------|---------------------------------|-------------------|-----------------------|---------------------------|---------------------------|-------------------|-----------------------|---------------------------|-----------------------------------|---------------------------------------------------------------|
| Sl.No. | State / Union Territory | New Business - Rural (Group) | | | | New Business - Urban (Group) | | | | Total Business (Group) | | | | Renewal Premium (Rs. Lakhs) | Total Premium (New Business and Renewal) (Rs. Lakhs) |
| | | No. of Schemes | No. of Lives | Premium (Rs Lakhs) | Sum Assured (Rs Lakhs) | No. of Schemes | No. of Lives | Premium (Rs Lakhs) | Sum Assured (Rs Lakhs) | No. of Schemes | No. of Lives | Premium (Rs Lakhs) | Sum Assured (Rs Lakhs) | | |
| | STATES | | | | | | | | | | | | | | |
| 1 | Andhra Pradesh | - | - | - | - | - | 2 | 0.29 | 20.33 | - | - | 0.29 | 20.33 | - | 0.29 |
| 2 | Arunachal Pradesh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 3 | Assam | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 4 | Bihar | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 5 | Chhattisgarh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 6 | Goa | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 7 | Gujarat | - | - | - | - | 144 | 131,433 | 5,480.81 | 1,786,919.03 | 144 | 131,433 | 5,480.81 | 1,786,919.03 | 96.76 | 5,577.57 |
| 8 | Haryana | - | - | - | - | 62 | 3,298,680 | 41,500.73 | 2,544,475.05 | 62 | 3,298,680 | 41,500.73 | 2,544,475.05 | - | 41,500.73 |
| 9 | Himachal Pradesh | - | - | - | - | 1 | 69 | 3.90 | 240.31 | 1 | 69 | 3.90 | 240.31 | - | 3.90 |
| 10 | Jharkhand | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 11 | Karnataka | - | - | - | - | 626 | 454,286 | 32,626.15 | 13,402,476.58 | 626 | 454,286 | 32,626.15 | 13,402,476.58 | 3,077.52 | 35,703.68 |
| 12 | Kerala | - | - | - | - | - | 1,183 | 57.85 | 3,724.68 | - | 1,183 | 57.85 | 3,724.68 | - | 57.85 |
| 13 | Madhya Pradesh | - | - | - | - | - | - | (0.09) | - | - | - | (0.09) | - | - | (0.09) |
| 14 | Maharashtra | - | - | - | - | 814 | 17,210,889 | 262,039.33 | 27,205,735.12 | 814 | 17,210,889 | 262,039.33 | 27,205,735.12 | 1,521.40 | 263,560.73 |
| 15 | Manipur | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 16 | Meghalaya | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 17 | Mizoram | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 18 | Nagaland | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 19 | Odisha | - | - | - | - | 1 | 1,004 | 374.40 | 22,817.06 | 1 | 1,004 | 374.40 | 22,817.06 | - | 374.40 |
| 20 | Punjab | - | - | - | - | 1 | 597 | 0.00 | 5.97 | 1 | 597 | 0.00 | 5.97 | (0.00) | (0.00) |
| 21 | Rajasthan | - | - | - | - | - | 22,381 | 4,135.59 | 204,856.12 | - | 22,381 | 4,135.59 | 204,856.12 | - | 4,135.59 |
| 22 | Sikkim | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 23 | Tamil Nadu | - | - | - | - | 286 | 359,535 | 18,991.62 | 7,190,686.50 | 286 | 359,535 | 18,991.62 | 7,190,686.50 | 763.14 | 19,754.75 |
| 24 | Telangana | - | - | - | - | 215 | 198,518 | 22,239.46 | 3,120,093.52 | 215 | 198,518 | 22,239.46 | 3,120,093.52 | 232.28 | 22,471.74 |
| 25 | Tripura | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 26 | Uttarakhand | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 27 | Uttar Pradesh | - | - | - | - | 4 | 27,106 | 1,928.14 | 123,094.49 | 4 | 27,106 | 1,928.14 | 123,094.49 | - | 1,928.14 |
| 28 | West Bengal | - | - | - | - | 163 | 286,528 | 10,634.93 | 1,397,095.50 | 163 | 286,528 | 10,634.93 | 1,397,095.50 | 132.68 | 10,767.61 |
| | TOTAL | - | - | - | - | 2,317 | 21,992,211 | 400,013.12 | 57,002,240.27 | 2,317 | 21,992,211 | 400,013.12 | 57,002,240.27 | 5,823.77 | 405,836.90 |
| | UNION TERRITORIES | | | | | | | | | | | | | | |
| 1 | Andaman & Nicobar Islands | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 2 | Chandigarh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 3 | Dadra and Nagar Haveli and Daman & Diu | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 4 | Govt. of NCT of Delhi | - | - | - | - | 560 | 739,380 | 30,371 | 10,080,256 | 560 | 739,380 | 30,371.42 | 10,080,255.93 | 1,063.43 | 31,434.85 |
| 5 | Jammu & Kashmir | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 6 | Ladakh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 7 | Lakshadweep | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 8 | Puduchery | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | TOTAL | - | - | - | - | 560 | 739,380 | 30,371 | 10,080,256 | 560 | 739,380 | 30,371.42 | 10,080,255.93 | 1,063.43 | 31,434.85 |
| | GRAND TOTAL | - | - | - | - | 2,877 | 22,731,591 | 430,385 | 67,082,496 | 2,877 | 22,731,591 | 430,384.54 | 67,082,496.20 | 6,887.21 | 437,271.75 |
| | IN INDIA | | | | | | | | | | | | | | |
| | OUTSIDE INDIA | | | | | | | | | | | | | | |

Note: The report reflects unique count of lives at a State, Sector level

| Geographical Distribution of Total Business - GROUP | | | | | | | | | | | | | | | |
|-----------------------------------------------------|-------------------------------------------|---------------------------------|--------------|-----------------------|---------------------------|---------------------------------|-------------------|-----------------------|---------------------------|---------------------------|-------------------|-----------------------|---------------------------|-----------------------------------|---------------------------------------------------------------|
| Sl.No. | State / Union Territory | New Business - Rural (Group) | | | | New Business - Urban (Group) | | | | Total Business (Group) | | | | Renewal Premium (Rs. Lakhs) | Total Premium (New Business and Renewal) (Rs. Lakhs) |
| | | No. of Schemes | No. of Lives | Premium (Rs Lakhs) | Sum Assured (Rs Lakhs) | No. of Schemes | No. of Lives | Premium (Rs Lakhs) | Sum Assured (Rs Lakhs) | No. of Schemes | No. of Lives | Premium (Rs Lakhs) | Sum Assured (Rs Lakhs) | | |
| STATES | | | | | | | | | | | | | | | |
| 1 | Andhra Pradesh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 2 | Arunachal Pradesh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 3 | Assam | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 4 | Bihar | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 5 | Chhattisgarh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 6 | Goa | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 7 | Gujarat | - | - | - | - | 89 | 92,739 | 8,224.34 | 1,327,894.95 | 89 | 92,739 | 8,224.34 | 1,327,894.95 | 114.90 | 8,339.24 |
| 8 | Haryana | - | - | - | - | 10 | 1,841,302 | 15,762.93 | 969,216.33 | 10 | 1,841,302 | 15,762.93 | 969,216.33 | - | 15,762.93 |
| 9 | Himachal Pradesh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 10 | Jharkhand | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 11 | Karnataka | - | - | - | - | 364 | 416,989 | 16,220.77 | 10,670,597.30 | 364 | 416,989 | 16,220.77 | 10,670,597.30 | 3,051.10 | 19,271.87 |
| 12 | Kerala | - | - | - | - | - | 925 | 43.57 | 2,951.59 | - | 925 | 43.57 | 2,951.59 | - | 43.57 |
| 13 | Madhya Pradesh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 14 | Maharashtra | - | - | - | - | 666 | 16,258,331 | 263,869.33 | 30,861,321.39 | 666 | 16,258,331 | 263,869.33 | 30,861,321.39 | 1,494.92 | 265,364.24 |
| 15 | Manipur | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 16 | Meghalaya | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 17 | Mizoram | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 18 | Nagaland | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 19 | Orissa | - | - | - | - | - | 614 | 214.78 | 12,894.27 | - | 614 | 214.78 | 12,894.27 | - | 214.78 |
| 20 | Punjab | - | - | - | - | 1 | 632 | 0.00 | 6.32 | 1 | 632 | 0.00 | 6.32 | (0.00) | (0.00) |
| 21 | Rajasthan | - | - | - | - | 1 | 22,821 | 3,227.20 | 190,088.98 | 1 | 22,821 | 3,227.20 | 190,088.98 | - | 3,227.20 |
| 22 | Sikkim | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 23 | Tamil Nadu | - | - | - | - | 212 | 301,222 | 15,619.96 | 5,087,169.67 | 212 | 301,222 | 15,619.96 | 5,087,169.67 | 48.95 | 15,668.91 |
| 24 | Telangana | - | - | - | - | 126 | 135,636 | 12,181.07 | 1,921,305.31 | 126 | 135,636 | 12,181.07 | 1,921,305.31 | 187.61 | 12,368.68 |
| 25 | Tripura | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 26 | Uttarakhand | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 27 | Uttar Pradesh | - | - | - | - | - | 2,090 | 461.37 | 21,974.39 | - | 2,090 | 461.37 | 21,974.39 | - | 461.37 |
| 28 | West Bengal | - | - | - | - | 107 | 408,874 | 7,518.87 | 1,011,171.74 | 107 | 408,874 | 7,518.87 | 1,011,171.74 | 129.14 | 7,648.01 |
| | TOTAL | - | - | - | - | 1,576 | 19,482,175 | 343,344.20 | 52,076,592.23 | 1,576 | 19,482,175 | 343,344.20 | 52,076,592.23 | 5,026.60 | 348,370.80 |
| UNION TERRITORIES | | | | | | | | | | | | | | | |
| 1 | Andaman & Nicobar Islands | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 2 | Chandigarh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 3 | Dadra and Nagar Haveli and Daman & Diu | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 4 | Govt. of NCT of Delhi | - | - | - | - | 414 | 530,075 | 39,022.82 | 7,633,836.03 | 414 | 530,075 | 39,022.82 | 7,633,836.03 | 1,372.38 | 40,395.20 |
| 5 | Jammu & Kashmir | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 6 | Ladakh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 7 | Lakshadweep | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 8 | Puducherry | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | TOTAL | - | - | - | - | 414 | 530,075 | 39,022.82 | 7,633,836.03 | 414 | 530,075 | 39,022.82 | 7,633,836.03 | 1,372.38 | 40,395.20 |
| | GRAND TOTAL | - | - | - | - | 1,990 | 20,012,250 | 382,367.02 | 59,710,428.26 | 1,990 | 20,012,250 | 382,367.02 | 59,710,428.26 | 6,398.98 | 388,766.00 |
| IN INDIA | | | | | | | | | | | | | | | |
| | OUTSIDE INDIA | - | - | - | - | - | - | - | - | - | - | - | - | - | - |

Note: The report reflects unique count of lives at a State, Sector level

| Geographical Distribution of Total Business - GROUP | | | | | | | | | | | | | | | |
|-----------------------------------------------------|-------------------------------------------|---------------------------------|--------------|-----------------------|---------------------------|---------------------------------|-------------------|-----------------------|---------------------------|---------------------------|-------------------|-----------------------|---------------------------|-----------------------------------|---------------------------------------------------------------|
| Sl.No. | State / Union Territory | New Business - Rural (Group) | | | | New Business - Urban (Group) | | | | Total Business (Group) | | | | Renewal Premium (Rs. Lakhs) | Total Premium (New Business and Renewal) (Rs. Lakhs) |
| | | No. of Schemes | No. of Lives | Premium (Rs Lakhs) | Sum Assured (Rs Lakhs) | No. of Schemes | No. of Lives | Premium (Rs Lakhs) | Sum Assured (Rs Lakhs) | No. of Schemes | No. of Lives | Premium (Rs Lakhs) | Sum Assured (Rs Lakhs) | | |
| | STATES | | | | | | | | | | | | | | |
| 1 | Andhra Pradesh | - | - | - | - | - | 2 | 0.29 | 20 | - | 2 | 0.29 | 20.33 | - | 0.29 |
| 2 | Arunachal Pradesh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 3 | Assam | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 4 | Bihar | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 5 | Chhattisgarh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 6 | Goa | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 7 | Gujarat | - | - | - | - | 68 | 71,979 | 3,252.03 | 528,195 | 68 | 71,979 | 3,252.03 | 528,195.39 | 41 | 3,292.69 |
| 8 | Haryana | - | - | - | - | 43 | 2,323,217 | 28,713.00 | 1,818,217 | 43 | 2,323,217 | 28,713.00 | 1,818,216.51 | - | 28,713.00 |
| 9 | Himachal Pradesh | - | - | - | - | 1 | 69 | 3.90 | 240 | 1 | 69 | 3.90 | 240.31 | - | 3.90 |
| 10 | Jharkhand | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 11 | Karnataka | - | - | - | - | 274 | 228,117 | 15,717.37 | 5,561,942 | 274 | 228,117 | 15,717.37 | 5,561,941.70 | 1,415 | 17,132.45 |
| 12 | Kerala | - | - | - | - | - | 757 | 39.45 | 2,377 | - | 757 | 39.45 | 2,376.56 | - | 39.45 |
| 13 | Madhya Pradesh | - | - | - | - | - | - | (0.09) | - | - | - | (0.09) | - | - | (0.09) |
| 14 | Maharashtra | - | - | - | - | 357 | 9,850,562 | 161,364.23 | 17,840,116 | 357 | 9,850,562 | 161,364.23 | 17,840,116.15 | 562 | 161,926.68 |
| 15 | Manipur | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 16 | Meghalaya | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 17 | Mizoram | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 18 | Nagaland | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 19 | Orissa | - | - | - | - | 1 | 534 | 173.53 | 11,081 | 1 | 534 | 173.53 | 11,081.10 | - | 173.53 |
| 20 | Punjab | - | - | - | - | 1 | 597 | 0.00 | 6 | 1 | 597 | 0.00 | 5.97 | (0) | (0.00) |
| 21 | Rajasthan | - | - | - | - | - | 10,905 | 2,050.93 | 99,927 | - | 10,905 | 2,050.93 | 99,926.85 | - | 2,050.93 |
| 22 | Sikkim | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 23 | Tamil Nadu | - | - | - | - | 122 | 140,838 | 7,710.25 | 1,600,638 | 122 | 140,838 | 7,710.25 | 1,600,637.91 | 750 | 8,460.65 |
| 24 | Telangana | - | - | - | - | 103 | 85,060 | 13,076.13 | 1,523,244 | 103 | 85,060 | 13,076.13 | 1,523,244.24 | 222 | 13,298.12 |
| 25 | Tripura | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 26 | Uttarakhand | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 27 | Uttar Pradesh | - | - | - | - | 2 | 14,943 | 1,152.95 | 71,474 | 2 | 14,943 | 1,152.95 | 71,474.16 | - | 1,152.95 |
| 28 | West Bengal | - | - | - | - | 75 | 94,338 | 4,162.73 | 765,202 | 75 | 94,338 | 4,162.73 | 765,202.36 | 56 | 4,219.21 |
| | TOTAL | - | - | - | - | 1,047 | 12,821,918 | 237,416.71 | 29,822,679.53 | 1,047 | 12,821,918 | 237,416.71 | 29,822,679.53 | 3,047.06 | 240,463.77 |
| | UNION TERRITORIES | | | | | | | | | | | | | | |
| 1 | Andaman & Nicobar Islands | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 2 | Chandigarh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 3 | Dadra and Nagar Haveli and Daman & Diu | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 4 | Govt. of NCT of Delhi | - | - | - | - | 279 | 246,242 | 12,377.30 | 4,508,933.92 | 279 | 246,242 | 12,377.30 | 4,508,933.92 | 647.10 | 13,024.40 |
| 5 | Jammu & Kashmir | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 6 | Ladakh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 7 | Lakshadweep | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 8 | Puducherry | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | TOTAL | - | - | - | - | 279 | 246,242 | 12,377.30 | 4,508,933.92 | 279 | 246,242 | 12,377.30 | 4,508,933.92 | 647.10 | 13,024.40 |
| | GRAND TOTAL | - | - | - | - | 1,326 | 13,068,160 | 249,794.01 | 34,331,613.45 | 1,326 | 13,068,160 | 249,794.01 | 34,331,613.45 | 3,694.16 | 253,488.17 |
| | IN INDIA | | | | | | | | | | | | | | |
| | OUTSIDE INDIA | | | | | | | | | | | | | | |

Note: The report reflects unique count of lives at a State, Sector level

| Geographical Distribution of Total Business - GROUP | | | | | | | | | | | | | | | |
|-----------------------------------------------------|-------------------------------------------|---------------------------------|--------------|-----------------------|---------------------------|---------------------------------|-------------------|-----------------------|---------------------------|---------------------------|-------------------|--------------------------|---------------------------|-----------------------------------|---------------------------------------------------------------|
| Sl.No. | State / Union Territory | New Business - Rural (Group) | | | | New Business - Urban (Group) | | | | Total Business (Group) | | | | Renewal Premium (Rs. Lakhs) | Total Premium (New Business and Renewal) (Rs. Lakhs) |
| | | No. of Schemes | No. of Lives | Premium (Rs Lakhs) | Sum Assured (Rs Lakhs) | No. of Schemes | No. of Lives | Premium (Rs Lakhs) | Sum Assured (Rs Lakhs) | No. of Schemes | No. of Lives | Premium (Rs Lakhs) | Sum Assured (Rs Lakhs) | | |
| STATES | | | | | | | | | | | | | | | |
| 1 | Andhra Pradesh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 2 | Arunachal Pradesh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 3 | Assam | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 4 | Bihar | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 5 | Chhattisgarh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 6 | Goa | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 7 | Gujarat | - | - | - | - | 43 | 52,207 | 6,039 | 380,537 | 43 | 52,207 | 6,039 | 380,537 | 47 | 6,087 |
| 8 | Haryana | - | - | - | - | 10 | 948,181 | 8,576 | 496,289 | 10 | 948,181 | 8,576 | 496,289 | - | 8,576 |
| 9 | Himachal Pradesh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 10 | Jharkhand | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 11 | Karnataka | - | - | - | - | 175 | 229,591 | 7,549 | 7,661,738 | 175 | 229,591 | 7,549 | 7,661,738 | 1,808 | 9,357 |
| 12 | Kerala | - | - | - | - | - | (2,691) | 12 | 349 | - | (2,691) | 12 | 349 | - | 12 |
| 13 | Madhya Pradesh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 14 | Maharashtra | - | - | - | - | 311 | 9,365,234 | 141,634 | 13,389,882 | 311 | 9,365,234 | 141,634 | 13,389,882 | 571 | 142,205 |
| 15 | Manipur | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 16 | Meghalaya | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 17 | Mizoram | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 18 | Nagaland | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 19 | Orissa | - | - | - | - | - | (224) | 9 | 1,352 | - | (224) | 9 | 1,352 | - | 9 |
| 20 | Punjab | - | - | - | - | 1 | 632 | 0 | 6 | 1 | 632 | 0 | 6 | (0) | (0) |
| 21 | Rajasthan | - | - | - | - | 1 | 21,676 | 3,158 | 184,799 | 1 | 21,676 | 3,158 | 184,799 | - | 3,158 |
| 22 | Sikkim | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 23 | Tamil Nadu | - | - | - | - | 87 | 108,620 | 10,299 | 1,263,023 | 87 | 108,620 | 10,299 | 1,263,023 | 28 | 10,326 |
| 24 | Telangana | - | - | - | - | 60 | 65,094 | 3,497 | 1,314,405 | 60 | 65,094 | 3,497 | 1,314,405 | 176 | 3,673 |
| 25 | Tripura | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 26 | Uttarakhand | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 27 | Uttar Pradesh | - | - | - | - | - | 1,096 | 261 | 12,335 | - | 1,096 | 261 | 12,335 | - | 261 |
| 28 | West Bengal | - | - | - | - | 41 | 348,669 | 5,120 | 507,279 | 41 | 348,669 | 5,120 | 507,279 | 108 | 5,228 |
| | TOTAL | - | - | - | - | 729 | 11,138,085 | 186,154 | 25,211,993 | 729 | 11,138,085 | 186,154 | 25,211,993 | 2,738 | 188,891 |
| UNION TERRITORIES | | | | | | | | | | | | | | | |
| 1 | Andaman & Nicobar Islands | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 2 | Chandigarh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 3 | Dadra and Nagar Haveli and Daman & Diu | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 4 | Govt. of NCT of Delhi | - | - | - | - | 213 | 201,188 | 25,721 | 3,272,864 | 213 | 201,188 | 25,721 | 3,272,864 | 454 | 26,175 |
| 5 | Jammu & Kashmir | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 6 | Ladakh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 7 | Lakshadweep | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 8 | Puducherry | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | TOTAL | - | - | - | - | 213 | 201,188 | 25,721 | 3,272,864 | 213 | 201,188 | 25,721 | 3,272,864 | 454 | 26,175 |
| | GRAND TOTAL | - | - | - | - | 942 | 11,339,273 | 211,875 | 28,484,857 | 942 | 11,339,273 | 211,875 | 28,484,857 | 3,192 | 215,066 |
| IN INDIA | | | | | | | | | | | | | | | |
| | GRAND TOTAL | - | - | - | - | 942 | 11,339,273 | 211,875 | 28,484,857 | 942 | 11,339,273 | 211,875 | 28,484,857 | 3,192 | 215,066 |
| OUTSIDE INDIA | | | | | | | | | | | | | | | |
| | GRAND TOTAL | - | - | - | - | - | - | - | - | - | - | - | - | - | - |

Note: The report reflects unique count of lives at a State, Sector level

FORM L-26-INVESTMENT ASSETS(LIFE INSURERS)-3A

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Statement as on: September 30, 2023

Statement of Investment Assets (Life Insurers)

(Business within India)

Periodicity of Submission: Quarterly

PART - A

₹ Lakhs

Section I

| No | PARTICULARS | SCH | Amount |
|----|----------------------------------|-----|---------------|
| 1 | Investments (Shareholders) | 8 | 1,019,757.25 |
| | Investments (Policyholders) | 8A | 10,283,892.31 |
| | Investments (Linked Liabilities) | 8B | 15,491,968.43 |
| 2 | Loans | 9 | 152,062.47 |
| 3 | Fixed Assets | 10 | 66,931.46 |
| 4 | Current Assets | | |
| | a. Cash & Bank Balance | 11 | 72,807.96 |
| | b. Advances & Other Assets | 12 | 541,748.43 |
| | Deferred tax asset | | - |
| 5 | Current Liabilities | | |
| | a. Current Liabilities | 13 | 499,862.50 |
| | b. Provisions | 14 | 2717.74 |
| | c. Misc. Exp not Written Off | 15 | - |
| | d. Debit Balance of P&L A/c | | - |

Application of Funds as per Balance Sheet (A) 27,126,588.07

| | Less: Other Assets | SCH | Amount |
|----|----------------------------------|-----|------------|
| 1 | Loans (if any) | 9 | - |
| 2 | Fixed Assets (if any) | 10 | 66,931.46 |
| 3 | Cash & Bank Balance (if any) | 11 | 72,807.96 |
| 4 | Advances & Other Assets (if any) | 12 | 541,748.43 |
| 5 | Deferred tax asset | | - |
| 6 | Current Liabilities | 13 | 499,862.50 |
| 7 | Provisions | 14 | 2717.74 |
| 8 | Misc. Exp not Written Off | 15 | - |
| 9 | Investments held outside India | | - |
| 10 | Debit Balance of P&L A/c | | - |

TOTAL (B) 178,907.61
Investment Assets (A-B) 26,947,680.46

Reconciliation of Investment Assets

Total Investment Assets (as per Balance Sheet)

26,947,680.46

Balance Sheet Value of:

| | |
|-------------------------------------------------|----------------------|
| A. Life Fund | 9,675,648.97 |
| B. Pension & General Annuity and Group Business | 1,780,063.08 |
| C. Unit Linked Funds | 15,491,968.43 |
| | <u>26,947,680.49</u> |

Section II

₹ Lakhs

NON - LINKED BUSINESS

| A. LIFE FUND | % as per Reg | SH | | PH | | | Book Value (SH+PH) | Actual % | FVC Amount | Total Fund | Market Value | |
|--------------|--------------------------------------------------------------------------------|-------------------|------------------|-------------------|-------------------|---------------------|-----------------------|---------------------|----------------|-------------------|---------------------|---------------------|
| | | Balance | FRSM+ | UL-Non Unit Res | PAR | NON PAR | | | | | | |
| | | (a) | (b) | (c) | (d) | (e) | | | | | | |
| 1 | Central Govt. Sec | Not Less than 25% | - | 455,983.15 | 123,882.90 | 1,431,700.40 | 3,496,311.91 | 5,507,878.37 | 59.83% | - | 5,507,878.37 | 5,368,810.59 |
| 2 | Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1) above) | Not Less than 50% | - | 504,827.81 | 132,821.04 | 1,596,775.21 | 3,842,624.43 | 6,077,048.50 | 66.02% | - | 6,077,048.50 | 5,931,733.01 |
| 3 | Investment subject to Exposure Norms | | | | | | | | | | | |
| | a. Infrastructure/ Social/ Housing Sector | | | | | | | | | | | |
| | 1. Approved Investments | Not Less than 15% | - | 123,791.73 | 3,035.12 | 697,836.55 | 618,086.17 | 1,442,749.57 | 15.67% | 47,410.19 | 1,490,159.76 | 1,473,950.19 |
| | 2. Other Investments | | - | - | - | - | - | - | 0.00% | - | 0.00 | 0.00 |
| | b. i) Approved Investments | Not exceeding 35% | 6,000.00 | 267,803.82 | 40,288.92 | 776,738.36 | 449,221.87 | 1,540,052.97 | 16.67% | 261,229.39 | 1,801,282.36 | 1,807,624.52 |
| | ii) Other Investments | | 26,514.26 | 37,708.62 | - | 88,438.12 | 25,177.51 | 177,838.50 | 1.64% | 129,319.85 | 307,158.35 | 307,206.20 |
| | TOTAL LIFE FUND | 100% | 32,514.26 | 934,131.99 | 176,145.08 | 3,159,788.24 | 4,935,109.98 | 9,237,689.54 | 100.00% | 437,959.43 | 9,675,648.97 | 9,520,513.92 |

| B. PENSION & GENERAL ANNUITY AND GROUP BUSINESS | % as per Reg | PH | | Book Value | Actual % | FVC Amount | Total Fund | Market Value | | |
|-------------------------------------------------|--------------------------------------------------------------------------------|-------------------|---------|-------------------|---------------------|---------------------|----------------|-----------------|---------------------|---------------------|
| | | PAR | NON PAR | | | | | | | |
| | | (a) | (b) | | | | | | | |
| 1 | Central Govt. Sec | Not Less than 20% | | 82,006.62 | 1,040,050.76 | 1,122,057.38 | 63.17% | - | 1,122,057.38 | 1,078,403.41 |
| 2 | Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1) above) | Not Less than 40% | | 105,128.15 | 1,244,813.45 | 1,349,941.60 | 76.00% | - | 1,349,941.60 | 1,307,957.88 |
| 3 | Balance in Approved investment | Not Exceeding 60% | | 63,816.57 | 362,499.11 | 426,315.68 | 24.00% | 3,805.81 | 430,121.49 | 426,746.85 |
| | TOTAL PENSION, GENERAL ANNUITY FUND | 100% | | 168,944.72 | 1,607,312.56 | 1,776,257.28 | 100.00% | 3,805.81 | 1,780,063.08 | 1,734,704.73 |

LINKED BUSINESS

| C. LINKED FUNDS | % as per Reg | PH | | Total Fund | Actual % | | |
|-----------------|------------------------------------|-------------------|---------|------------|----------------------|----------------------|----------------|
| | | PAR | NON PAR | | | | |
| | | (a) | (b) | | | | |
| 1 | Approved Investments | Not Less than 75% | | - | 13,168,805.30 | 13,168,805.30 | 85.00% |
| 2 | Other Investments | Not More than 25% | | - | 2,323,163.13 | 2,323,163.13 | 15.00% |
| | TOTAL LINKED INSURANCE FUND | 100% | | - | 15,491,968.43 | 15,491,968.43 | 100.00% |

- Note:**
- (+) FRSM refers to 'Funds representing Solvency Margin'
 - Funds beyond Solvency Margin have a separate Custody Account.
 - Other Investments is as permitted as per Sec 27A (2) of Insurance Act, 1938 as amended from time to time
 - Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.
 - Exposure Norms apply to Funds held beyond Solvency Margin, held in a separate Custody Account

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2023

₹ Lakhs

| Particulars | ULIF 002 22/10/01 LBalancer1 105 | ULIF 014 17/05/04 LBalancer2 105 | ULIF 023 13/03/06 LBalancer3 105 | ULIF 039 27/08/07 LBalancer4 105 | ULIF 003 22/10/01 LProtect1 105 | ULIF 016 17/05/04 LProtect2 105 |
|-------------------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|------------------------------------|------------------------------------|
| | Balancer Fund | Balancer Fund II | Balancer Fund III | Balancer Fund IV | Protector Fund | Protector Fund II |
| Opening Balance (Market value) | 76,383.38 | 27,164.61 | 1,613.76 | 6,405.15 | 39,198.05 | 22,254.08 |
| Add: inflows during the quarter | 182.94 | 83.20 | 80.84 | 11.03 | 909.76 | 827.85 |
| Increase / (Decrease) value of investment (Net) | 1,148.11 | 502.72 | 24.28 | 120.01 | 304.87 | 212.17 |
| Less : Outflow during the quarter | (1,950.80) | (1,278.03) | (147.63) | (170.71) | (2,571.32) | (2,036.34) |
| Total Investible Funds (Market value) | 75,763.64 | 26,472.50 | 1,571.24 | 6,365.48 | 37,841.36 | 21,257.76 |

| Investment of Unit Fund | ULIF 002 22/10/01 LBalancer1 105 | | ULIF 014 17/05/04 LBalancer2 105 | | ULIF 023 13/03/06 LBalancer3 105 | | ULIF 039 27/08/07 LBalancer4 105 | | ULIF 003 22/10/01 LProtect1 105 | | ULIF 016 17/05/04 LProtect2 105 | |
|---------------------------------------------|-------------------------------------|-------------|-------------------------------------|-------------|-------------------------------------|-------------|-------------------------------------|-------------|------------------------------------|-------------|------------------------------------|-------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | | | |
| Central Government Securities | 22,946.63 | 30% | 8,007.52 | 30% | 487.38 | 31% | 2,176.21 | 34% | 16,931.74 | 45% | 7,773.04 | 37% |
| State Government Securities | - | 0% | - | 0% | - | 0% | - | 0% | 104.54 | 0% | 58.69 | 0% |
| Other Approved Securities | 1,057.83 | 1% | 378.98 | 1% | 28.82 | 2% | 87.52 | 1% | 705.18 | 2% | 493.14 | 2% |
| Corporate Bonds | 16,003.95 | 21% | 4,952.88 | 19% | 252.83 | 16% | 1,063.05 | 17% | 14,378.25 | 38% | 7,856.67 | 37% |
| Infrastructure Bonds | 5,347.15 | 7% | 2,459.44 | 9% | 142.76 | 9% | 386.22 | 6% | 4,576.84 | 12% | 4,008.18 | 19% |
| Equity | 21,863.71 | 29% | 7,658.78 | 29% | 453.20 | 29% | 1,841.11 | 29% | - | 0% | - | 0% |
| Money Market Investments | 2,486.05 | 3% | 885.62 | 3% | 95.54 | 6% | 326.87 | 5% | 533.62 | 1% | 767.73 | 4% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Deposit with Banks | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (A) | 69,705.33 | 92% | 24,343.23 | 92% | 1,460.54 | 93% | 5,880.98 | 92% | 37,230.17 | 98% | 20,957.44 | 99% |
| Current Assets: | | | | | | | | | | | | |
| Accrued Interest | 1,188.68 | 2% | 399.38 | 2% | 21.65 | 1% | 95.20 | 1% | 1,016.61 | 3% | 703.47 | 3% |
| Dividend Receivable | 0.78 | 0% | 0.27 | 0% | 0.02 | 0% | 0.07 | 0% | - | 0% | - | 0% |
| Bank Balance | 1.91 | 0% | 0.64 | 0% | 0.12 | 0% | 0.28 | 0% | 0.45 | 0% | 0.62 | 0% |
| Receivable for sale of Investments | 1,386.95 | 2% | 512.90 | 2% | 24.84 | 2% | 114.03 | 2% | 414.70 | 1% | 45.25 | 0% |
| Other Current Assets (For Investments) | 0.05 | 0% | 0.02 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% |
| Less : Current Liabilities | | | | | | | | | | | | |
| Payable for Investments | (1,607.30) | -2% | (561.31) | -2% | (34.90) | -2% | (137.25) | -2% | (710.08) | -2% | (400.04) | -2% |
| Fund Management Charges Payable | (9.29) | 0% | (1.44) | 0% | (0.19) | 0% | (0.35) | 0% | (3.11) | 0% | (0.87) | 0% |
| Other Current Liabilities (For Investments) | (12.47) | 0% | (9.70) | 0% | (5.83) | 0% | (17.71) | 0% | (107.39) | 0% | (48.10) | 0% |
| Sub Total (B) | 949.30 | 1% | 340.76 | 1% | 5.71 | 0% | 54.27 | 1% | 611.19 | 2% | 300.32 | 1% |
| Other Investments (<=25%) | | | | | | | | | | | | |
| Corporate Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Infrastructure Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Equity | 5,109.01 | 7% | 1,788.51 | 7% | 105.00 | 7% | 430.22 | 7% | - | 0% | - | 0% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Venture Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Others | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (C) | 5,109.01 | 7% | 1,788.51 | 7% | 105.00 | 7% | 430.22 | 7% | - | 0% | - | 0% |
| Total (A+B+C) | 75,763.64 | 100% | 26,472.50 | 100% | 1,571.24 | 100% | 6,365.48 | 100% | 37,841.36 | 100% | 21,257.76 | 100% |
| Fund carried forward (as per LB2) | 75,763.64 | | 26,472.50 | | 1,571.24 | | 6,365.48 | | 37,841.36 | | 21,257.76 | |

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A
FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2023

₹ Lakhs

| Particulars | ULIF 024 13/03/06 LProtect3 105 | ULIF 041 27/08/07 LProtect4 105 | ULIF 001 22/10/01 LMaximis1 105 | ULIF 012 17/05/04 LMaximis2 105 | ULIF 022 13/03/06 LMaximis3 105 | ULIF 037 27/08/07 LMaximis4 105 |
|-------------------------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|
| | Protector Fund III | Protector Fund IV | Maximiser Fund | Maximiser Fund II | Maximiser Fund III | Maximiser Fund IV |
| Opening Balance (Market value) | 2,357.25 | 33,412.44 | 354,444.17 | 98,126.39 | 13,543.25 | 1,673.20 |
| Add: Inflows during the quarter | 421.67 | 1,527.93 | 1,309.58 | 1,207.83 | 166.48 | 15.38 |
| Increase / (Decrease) value of investment (Net) | 19.33 | 359.80 | 8,698.41 | 2,669.66 | 340.24 | 44.58 |
| Less : Outflow during the quarter | (551.77) | (794.64) | (7,378.12) | (4,318.64) | (455.54) | (59.40) |
| Total Investible Funds (Market value) | 2,246.47 | 34,505.53 | 357,074.03 | 97,685.25 | 13,594.43 | 1,673.76 |

| Investment of Unit Fund | ULIF 024 13/03/06 LProtect3 105 | | ULIF 041 27/08/07 LProtect4 105 | | ULIF 001 22/10/01 LMaximis1 105 | | ULIF 012 17/05/04 LMaximis2 105 | | ULIF 022 13/03/06 LMaximis3 105 | | ULIF 037 27/08/07 LMaximis4 105 | |
|---------------------------------------------|---------------------------------|-------------|---------------------------------|-------------|---------------------------------|-------------|---------------------------------|-------------|---------------------------------|-------------|---------------------------------|-------------|
| | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | | | |
| Central Government Securities | 1,071.37 | 48% | 14,723.39 | 43% | - | 0% | - | 0% | - | 0% | - | 0% |
| State Government Securities | 6.42 | 0% | 82.53 | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Other Approved Securities | 38.29 | 2% | 775.01 | 2% | - | 0% | - | 0% | - | 0% | - | 0% |
| Corporate Bonds | 749.27 | 33% | 10,801.59 | 31% | - | 0% | - | 0% | - | 0% | - | 0% |
| Infrastructure Bonds | 303.61 | 14% | 4,937.94 | 14% | - | 0% | - | 0% | - | 0% | - | 0% |
| Equity | - | 0% | - | 0% | 276,888.56 | 78% | 76,290.47 | 78% | 10,584.03 | 78% | 1,296.61 | 77% |
| Money Market Investments | 150.36 | 7% | 2,663.24 | 8% | 5,872.33 | 2% | 973.40 | 1% | 206.64 | 2% | 30.06 | 2% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Deposit with Banks | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (A) | 2,319.32 | 103% | 33,983.70 | 98% | 282,760.89 | 79% | 77,263.88 | 79% | 10,790.67 | 79% | 1,326.66 | 79% |
| Current Assets: | | | | | | | | | | | | |
| Accrued Interest | 56.63 | 3% | 808.05 | 2% | - | 0% | - | 0% | - | 0% | - | 0% |
| Dividend Receivable | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Bank Balance | 0.16 | 0% | 1.32 | 0% | 4.44 | 0% | 0.78 | 0% | 0.20 | 0% | 0.07 | 0% |
| Receivable for sale of Investments | 23.64 | 1% | 478.41 | 1% | 259.96 | 0% | 83.33 | 0% | 9.90 | 0% | 1.71 | 0% |
| Other Current Assets (For Investments) | 0.00 | 0% | 0.00 | 0% | 8.41 | 0% | 0.02 | 0% | 0.00 | 0% | 0.09 | 0% |
| Less : Current Liabilities | | | | | | | | | | | | |
| Payable for Investments | (40.00) | -2% | (650.08) | -2% | (459.50) | 0% | (87.48) | 0% | (25.74) | 0% | (1.72) | 0% |
| Fund Management Charges Payable | (0.19) | 0% | (1.42) | 0% | (43.53) | 0% | (7.91) | 0% | (1.66) | 0% | (0.14) | 0% |
| Other Current Liabilities (For Investments) | (113.08) | -5% | (114.46) | 0% | (7.88) | 0% | (29.28) | 0% | (4.57) | 0% | (0.02) | 0% |
| Sub Total (B) | (72.85) | -3% | 521.83 | 2% | (238.09) | 0% | (40.55) | 0% | (21.87) | 0% | (0.00) | 0% |
| Other Investments (<=25%) | | | | | | | | | | | | |
| Corporate Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Infrastructure Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Equity | - | 0% | - | 0% | 74,551.24 | 21% | 20,461.91 | 21% | 2,825.63 | 21% | 347.10 | 21% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Venture Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Others | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (C) | - | 0% | - | 0% | 74,551.24 | 21% | 20,461.91 | 21% | 2,825.63 | 21% | 347.10 | 21% |
| Total (A+B+C) | 2,246.47 | 100% | 34,505.53 | 100% | 357,074.03 | 100% | 97,685.25 | 100% | 13,594.43 | 100% | 1,673.76 | 100% |
| Fund carried forward (as per LB2) | 2,246.47 | | 34,505.53 | | 357,074.03 | | 97,685.25 | | 13,594.43 | | 1,673.76 | |

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2023

₹ Lakhs

| Particulars | ULIF 114 15/03/11 LMaximis5 105 | ULIF 010 17/05/04 LPreserv1 105 | ULIF 021 13/03/06 LPreserv3 105 | ULIF 036 27/08/07 LPreserv4 105 | ULIF 031 20/03/07 LFlexiBal1 105 | ULIF 032 20/03/07 LFlexiBal2 105 |
|-------------------------------------------------|------------------------------------|------------------------------------|------------------------------------|------------------------------------|-------------------------------------|-------------------------------------|
| | Maximiser Fund V | Preserver Fund | Preserver Fund III | Preserver Fund IV | Flexi Balanced Fund | Flexi Balanced Fund II |
| Opening Balance (Market value) | 5,174,386.42 | 23,628.59 | 1,292.33 | 2,691.42 | 5,112.90 | 7,325.60 |
| Add: Inflows during the quarter | 112,138.98 | 2,362.46 | 330.91 | 129.59 | 123.20 | 490.19 |
| Increase / (Decrease) value of investment (Net) | 141,338.77 | 363.88 | 20.08 | 42.38 | 97.17 | 174.87 |
| Less : Outflow during the quarter | (202,574.35) | (3,786.23) | (435.48) | (199.32) | (383.88) | (862.94) |
| Total Investible Funds (Market value) | 5,225,289.81 | 22,568.70 | 1,207.84 | 2,664.07 | 4,949.40 | 7,127.72 |

| Investment of Unit Fund | ULIF 114 15/03/11 LMaximis5 105 | | ULIF 010 17/05/04 LPreserv1 105 | | ULIF 021 13/03/06 LPreserv3 105 | | ULIF 036 27/08/07 LPreserv4 105 | | ULIF 031 20/03/07 LFlexiBal1 105 | | ULIF 032 20/03/07 LFlexiBal2 105 | |
|---------------------------------------------|------------------------------------|-------------|------------------------------------|-------------|------------------------------------|-------------|------------------------------------|-------------|-------------------------------------|-------------|-------------------------------------|-------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | | | |
| Central Government Securities | - | 0% | - | 0% | - | 0% | - | 0% | 1,058.65 | 21% | 1,752.94 | 25% |
| State Government Securities | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Other Approved Securities | - | 0% | - | 0% | - | 0% | - | 0% | 67.84 | 1% | 116.54 | 2% |
| Corporate Bonds | - | 0% | 6,902.06 | 31% | 330.57 | 27% | 871.21 | 33% | 546.46 | 11% | 767.14 | 11% |
| Infrastructure Bonds | - | 0% | 2,185.01 | 10% | 109.75 | 9% | 269.39 | 10% | 232.69 | 5% | 303.91 | 4% |
| Equity | 4,069,884.54 | 78% | - | 0% | - | 0% | - | 0% | 2,314.79 | 47% | 3,339.84 | 47% |
| Money Market Investments | 69,801.76 | 1% | 13,214.85 | 59% | 748.44 | 62% | 1,498.60 | 56% | 113.49 | 2% | 13.38 | 0% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Deposit with Banks | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (A) | 4,139,686.30 | 79% | 22,301.92 | 99% | 1,188.76 | 98% | 2,639.20 | 99% | 4,333.93 | 88% | 6,293.76 | 88% |
| Current Assets: | | | | | | | | | | | | |
| Accrued Interest | 0.00 | 0% | 404.32 | 2% | 20.15 | 2% | 51.73 | 2% | 46.60 | 1% | 74.53 | 1% |
| Dividend Receivable | - | 0% | - | 0% | - | 0% | - | 0% | 0.02 | 0% | 0.03 | 0% |
| Bank Balance | 52.28 | 0% | 0.65 | 0% | 0.06 | 0% | 0.18 | 0% | 0.12 | 0% | 0.06 | 0% |
| Receivable for sale of Investments | 3,415.45 | 0% | - | 0% | - | 0% | - | 0% | 47.01 | 1% | 79.48 | 1% |
| Other Current Assets (For Investments) | 1,688.03 | 0% | 0.00 | 0% | - | 0% | - | 0% | 0.00 | 0% | 0.00 | 0% |
| Less : Current Liabilities | | | | | | | | | | | | |
| Payable for Investments | (6,512.02) | 0% | - | 0% | - | 0% | - | 0% | (67.37) | -1% | (102.89) | -1% |
| Fund Management Charges Payable | (380.03) | 0% | (0.93) | 0% | (0.05) | 0% | (0.11) | 0% | (0.61) | 0% | (0.39) | 0% |
| Other Current Liabilities (For Investments) | (68.95) | 0% | (137.26) | -1% | (1.08) | 0% | (26.93) | -1% | (15.53) | 0% | (28.47) | 0% |
| Sub Total (B) | (1,805.23) | 0% | 266.78 | 1% | 19.08 | 2% | 24.87 | 1% | 10.25 | 0% | 22.35 | 0% |
| Other Investments (<=25%) | | | | | | | | | | | | |
| Corporate Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Infrastructure Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Equity | 1,087,408.74 | 21% | - | 0% | - | 0% | - | 0% | 605.22 | 12% | 811.61 | 11% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Venture Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Others | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (C) | 1,087,408.74 | 21% | - | 0% | - | 0% | - | 0% | 605.22 | 12% | 811.61 | 11% |
| Total (A+B+C) | 5,225,289.81 | 100% | 22,568.70 | 100% | 1,207.84 | 100% | 2,664.07 | 100% | 4,949.40 | 100% | 7,127.72 | 100% |
| Fund carried forward (as per LB2) | 5,225,289.81 | | 22,568.70 | | 1,207.84 | | 2,664.07 | | 4,949.40 | | 7,127.72 | |

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2023

₹ Lakhs

| Particulars | ULIF 033 20/03/07 LFlexiBal3 105 | ULIF 040 27/08/07 LFlexiBal4 105 | ULIF 026 20/03/07 LFlexiGro1 105 | ULIF 027 20/03/07 LFlexiGro2 105 | ULIF 028 20/03/07 LFlexiGro3 105 | ULIF 038 27/08/07 LFlexiGro4 105 |
|-------------------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| | Flexi Balanced Fund III | Flexi Balanced Fund IV | Flexi Growth Fund | Flexi Growth Fund II | Flexi Growth Fund III | Flexi Growth Fund IV |
| Opening Balance (Market value) | 378.67 | 2,415.67 | 54,565.82 | 78,820.91 | 5,969.55 | 33,811.31 |
| Add: Inflows during the quarter | 9.70 | 51.40 | 213.10 | 685.08 | 372.55 | 66.07 |
| Increase / (Decrease) value of investment (Net) | 6.97 | 55.78 | 1,644.10 | 2,407.95 | 161.01 | 1,006.89 |
| Less : Outflow during the quarter | (41.27) | (111.41) | (1,733.85) | (3,877.40) | (532.29) | (3,088.74) |
| Total Investible Funds (Market value) | 354.08 | 2,411.45 | 54,689.16 | 78,036.54 | 5,970.81 | 31,795.53 |

| Investment of Unit Fund | ULIF 033 20/03/07 LFlexiBal3 105 | | ULIF 040 27/08/07 LFlexiBal4 105 | | ULIF 026 20/03/07 LFlexiGro1 105 | | ULIF 027 20/03/07 LFlexiGro2 105 | | ULIF 028 20/03/07 LFlexiGro3 105 | | ULIF 038 27/08/07 LFlexiGro4 105 | |
|---------------------------------------------|-------------------------------------|-------------|-------------------------------------|-------------|-------------------------------------|-------------|-------------------------------------|-------------|-------------------------------------|-------------|-------------------------------------|-------------|
| | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | | | |
| Central Government Securities | 59.23 | 17% | 509.93 | 21% | - | 0% | - | 0% | - | 0% | - | 0% |
| State Government Securities | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Other Approved Securities | 9.67 | 3% | 28.82 | 1% | - | 0% | - | 0% | - | 0% | - | 0% |
| Corporate Bonds | 50.82 | 14% | 241.83 | 10% | 2.62 | 0% | 3.79 | 0% | 0.27 | 0% | 2.05 | 0% |
| Infrastructure Bonds | - | 0% | 120.55 | 5% | - | 0% | - | 0% | - | 0% | - | 0% |
| Equity | 165.76 | 47% | 1,104.08 | 46% | 42,278.29 | 77% | 60,373.08 | 77% | 4,505.30 | 75% | 24,997.18 | 79% |
| Money Market Investments | 23.54 | 7% | 144.41 | 6% | 954.68 | 2% | 883.22 | 1% | 184.17 | 3% | 150.18 | 0% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Deposit with Banks | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (A) | 309.02 | 87% | 2,149.62 | 89% | 43,235.60 | 79% | 61,260.09 | 79% | 4,689.74 | 79% | 25,149.40 | 79% |
| Current Assets: | | | | | | | | | | | | |
| Accrued Interest | 2.72 | 1% | 21.09 | 1% | 0.05 | 0% | 0.07 | 0% | 0.00 | 0% | 0.04 | 0% |
| Dividend Receivable | 0.00 | 0% | 0.01 | 0% | 0.47 | 0% | 0.52 | 0% | 0.04 | 0% | 0.24 | 0% |
| Bank Balance | 0.07 | 0% | 0.16 | 0% | 0.76 | 0% | 0.71 | 0% | 0.19 | 0% | 0.16 | 0% |
| Receivable for sale of Investments | 2.55 | 1% | 24.77 | 1% | 123.84 | 0% | 177.13 | 0% | 13.27 | 0% | 72.79 | 0% |
| Other Current Assets (For Investments) | 0.00 | 0% | 0.00 | 0% | 0.01 | 0% | 0.02 | 0% | 60.76 | 1% | 0.01 | 0% |
| Less : Current Liabilities | | | | | | | | | | | | |
| Payable for Investments | (1.82) | -1% | (33.69) | -1% | - | 0% | - | 0% | - | 0% | - | 0% |
| Fund Management Charges Payable | (0.04) | 0% | (0.13) | 0% | (6.68) | 0% | (6.32) | 0% | (0.72) | 0% | (2.58) | 0% |
| Other Current Liabilities (For Investments) | (0.04) | 0% | (10.40) | 0% | (67.86) | 0% | (97.08) | 0% | (0.13) | 0% | (182.43) | -1% |
| Sub Total (B) | 3.43 | 1% | 1.81 | 0% | 50.60 | 0% | 75.05 | 0% | 73.41 | 1% | (111.78) | 0% |
| Other Investments (<=25%) | | | | | | | | | | | | |
| Corporate Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Infrastructure Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Equity | 41.63 | 12% | 260.02 | 11% | 11,402.97 | 21% | 16,701.40 | 21% | 1,207.66 | 20% | 6,757.90 | 21% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Venture Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Others | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (C) | 41.63 | 12% | 260.02 | 11% | 11,402.97 | 21% | 16,701.40 | 21% | 1,207.66 | 20% | 6,757.90 | 21% |
| Total (A+B+C) | 354.08 | 100% | 2,411.45 | 100% | 54,689.16 | 100% | 78,036.54 | 100% | 5,970.81 | 100% | 31,795.53 | 100% |
| Fund carried forward (as per LB2) | 354.08 | | 2,411.45 | | 54,689.16 | | 78,036.54 | | 5,970.81 | | 31,795.53 | |

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2023

₹ Lakhs

| Particulars | ULIF 005 03/05/02 PBalancer1 105 | ULIF 015 17/05/04 PBalancer2 105 | ULIF 006 03/05/02 PProtect1 105 | ULIF 017 17/05/04 PProtect2 105 | ULIF 004 03/05/02 PMaximis1 105 | ULIF 013 17/05/04 PMaximis2 105 |
|-------------------------------------------------|-------------------------------------|-------------------------------------|------------------------------------|------------------------------------|------------------------------------|------------------------------------|
| | Pension Balancer Fund | Pension Balancer Fund II | Pension Protector Fund | Pension Protector Fund II | Pension Maximiser Fund | Pension Maximiser Fund II |
| Opening Balance (Market value) | 22,818.94 | 24,383.66 | 44,526.72 | 18,622.14 | 52,450.28 | 87,337.98 |
| Add: Inflows during the quarter | 143.70 | 266.45 | 506.40 | 769.22 | 201.01 | 406.66 |
| Increase / (Decrease) value of investment (Net) | 340.03 | 457.80 | 326.81 | 181.17 | 1,605.56 | 2,868.13 |
| Less : Outflow during the quarter | (839.62) | (841.93) | (2,061.78) | (1,493.70) | (1,445.96) | (2,478.85) |
| Total Investible Funds (Market value) | 22,463.05 | 24,265.97 | 43,298.15 | 18,078.83 | 52,810.89 | 88,133.92 |

| Investment of Unit Fund | ULIF 005 03/05/02 PBalancer1 105 | | ULIF 015 17/05/04 PBalancer2 105 | | ULIF 006 03/05/02 PProtect1 105 | | ULIF 017 17/05/04 PProtect2 105 | | ULIF 004 03/05/02 PMaximis1 105 | | ULIF 013 17/05/04 PMaximis2 105 | |
|---------------------------------------------|-------------------------------------|-------------|-------------------------------------|-------------|------------------------------------|-------------|------------------------------------|-------------|------------------------------------|-------------|------------------------------------|-------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | | | |
| Central Government Securities | 6,920.54 | 31% | 7,107.96 | 29% | 19,911.18 | 46% | 6,791.28 | 38% | - | 0% | - | 0% |
| State Government Securities | - | 0% | - | 0% | 120.13 | 0% | 49.52 | 0% | - | 0% | - | 0% |
| Other Approved Securities | 548.10 | 2% | 547.60 | 2% | 1,574.13 | 4% | 454.82 | 3% | - | 0% | - | 0% |
| Corporate Bonds | 4,421.17 | 20% | 5,129.38 | 21% | 15,779.27 | 36% | 5,719.09 | 32% | 32.74 | 0% | 54.19 | 0% |
| Infrastructure Bonds | 1,677.34 | 7% | 1,694.80 | 7% | 4,113.12 | 9% | 4,323.73 | 24% | - | 0% | - | 0% |
| Equity | 6,497.32 | 29% | 7,003.74 | 29% | - | 0% | - | 0% | 42,186.81 | 80% | 70,372.79 | 80% |
| Money Market Investments | 673.82 | 3% | 894.29 | 4% | 1,113.30 | 3% | 522.34 | 3% | 492.27 | 1% | 846.27 | 1% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Deposit with Banks | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (A) | 20,738.29 | 92% | 22,377.78 | 92% | 42,611.13 | 98% | 17,860.78 | 99% | 42,711.82 | 81% | 71,273.26 | 81% |
| Current Assets: | | | | | | | | | | | | |
| Accrued Interest | 351.34 | 2% | 377.41 | 2% | 1,179.49 | 3% | 563.11 | 3% | 0.03 | 0% | 0.06 | 0% |
| Dividend Receivable | 0.23 | 0% | 0.25 | 0% | - | 0% | - | 0% | 1.52 | 0% | 2.54 | 0% |
| Bank Balance | 0.55 | 0% | 0.65 | 0% | 0.88 | 0% | 0.44 | 0% | 0.42 | 0% | 0.68 | 0% |
| Receivable for sale of Investments | 381.61 | 2% | 407.28 | 2% | 436.71 | 1% | 38.87 | 0% | 354.33 | 1% | 583.60 | 1% |
| Other Current Assets (For Investments) | 0.01 | 0% | 0.01 | 0% | 0.00 | 0% | 0.00 | 0% | 0.05 | 0% | 0.09 | 0% |
| Less : Current Liabilities | | | | | | | | | | | | |
| Payable for Investments | (473.96) | -2% | (510.69) | -2% | (820.09) | -2% | (340.04) | -2% | (85.22) | 0% | (145.49) | 0% |
| Fund Management Charges Payable | (2.76) | 0% | (1.32) | 0% | (3.56) | 0% | (0.74) | 0% | (6.45) | 0% | (7.15) | 0% |
| Other Current Liabilities (For Investments) | (51.25) | 0% | (22.94) | 0% | (106.41) | 0% | (43.59) | 0% | (44.16) | 0% | (71.80) | 0% |
| Sub Total (B) | 205.78 | 1% | 250.65 | 1% | 687.02 | 2% | 218.05 | 1% | 220.53 | 0% | 362.53 | 0% |
| Other Investments (<=25%) | | | | | | | | | | | | |
| Corporate Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Infrastructure Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Equity | 1,518.98 | 7% | 1,637.54 | 7% | - | 0% | - | 0% | 9,878.54 | 19% | 16,498.13 | 19% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Venture Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Others | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (C) | 1,518.98 | 7% | 1,637.54 | 7% | - | 0% | - | 0% | 9,878.54 | 19% | 16,498.13 | 19% |
| Total (A+B+C) | 22,463.05 | 100% | 24,265.97 | 100% | 43,298.15 | 100% | 18,078.83 | 100% | 52,810.89 | 100% | 88,133.92 | 100% |
| Fund carried forward (as per LB2) | 22,463.05 | | 24,265.97 | | 43,298.15 | | 18,078.83 | | 52,810.89 | | 88,133.92 | |

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2023

₹ Lakhs

| Particulars | ULGF 001 03/04/03 GBalancer 105 | ULGF 002 03/04/03 GDebt 105 | ULGF 040 30/04/13 GDebt2 105 | ULGF 003 03/04/03 GSTDebt 105 | ULGF 006 03/10/05 GCGBal1 105 | ULGF 010 21/03/07 GCGBal2 105 |
|-------------------------------------------------|------------------------------------|--------------------------------|---------------------------------|----------------------------------|------------------------------------------|---------------------------------------------|
| | Group Balanced Fund | Group Debt Fund | Group Debt Fund II | Group Short Term Debt fund | Group Capital Guarantee Balanced Fund | Group Capital Guarantee Balanced Fund II |
| Opening Balance (Market value) | 70,702.78 | 23,232.40 | 134,849.43 | 3,397.65 | 1,132.89 | 12,630.61 |
| Add: Inflows during the quarter | 845.77 | 1,401.63 | 10,862.54 | 176.00 | 3.22 | 75.83 |
| Increase / (Decrease) value of investment (Net) | 884.61 | 193.31 | 1,151.08 | 47.57 | 11.40 | 140.86 |
| Less : Outflow during the quarter | (1,690.05) | (1,612.26) | (3,305.96) | (184.63) | (17.84) | (237.82) |
| Total Investible Funds (Market value) | 70,743.11 | 23,215.08 | 143,557.08 | 3,436.59 | 1,129.67 | 12,609.48 |

| Investment of Unit Fund | ULGF 001 03/04/03 GBalancer 105 | | ULGF 002 03/04/03 GDebt 105 | | ULGF 040 30/04/13 GDebt2 105 | | ULGF 003 03/04/03 GSTDebt 105 | | ULGF 006 03/10/05 GCGBal1 105 | | ULGF 010 21/03/07 GCGBal2 105 | |
|---------------------------------------------|------------------------------------|-------------|--------------------------------|-------------|---------------------------------|-------------|----------------------------------|-------------|----------------------------------|-------------|----------------------------------|-------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | | | |
| Central Government Securities | 27,029.64 | 38% | 8,603.46 | 37% | 47,646.51 | 33% | - | 0% | 435.23 | 39% | 4,976.15 | 39% |
| State Government Securities | 191.12 | 0% | 72.29 | 0% | 1,816.03 | 1% | - | 0% | - | 0% | - | 0% |
| Other Approved Securities | 1,535.45 | 2% | 655.72 | 3% | 3,755.77 | 3% | - | 0% | 38.74 | 3% | 332.86 | 3% |
| Corporate Bonds | 18,288.49 | 26% | 8,870.67 | 38% | 54,263.94 | 38% | 790.95 | 23% | 251.79 | 22% | 3,030.92 | 24% |
| Infrastructure Bonds | 7,891.70 | 11% | 2,900.46 | 12% | 10,855.18 | 8% | 339.23 | 10% | 71.25 | 6% | 1,260.35 | 10% |
| Equity | 8,836.71 | 12% | - | 0% | - | 0% | - | 0% | 141.11 | 12% | 1,577.04 | 13% |
| Money Market Investments | 4,516.78 | 6% | 1,943.01 | 8% | 22,385.08 | 16% | 2,237.91 | 65% | 147.25 | 13% | 965.31 | 8% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Deposit with Banks | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (A) | 68,289.89 | 97% | 23,045.60 | 99% | 140,722.51 | 98% | 3,368.09 | 98% | 1,085.37 | 96% | 12,142.63 | 96% |
| Current Assets: | | | | | | | | | | | | |
| Accrued Interest | 1,301.40 | 2% | 572.88 | 2% | 3,283.49 | 2% | 48.44 | 1% | 18.03 | 2% | 222.60 | 2% |
| Dividend Receivable | 0.32 | 0% | - | 0% | - | 0% | - | 0% | 0.01 | 0% | 0.06 | 0% |
| Bank Balance | 3.12 | 0% | 1.50 | 0% | 15.53 | 0% | 0.35 | 0% | 0.14 | 0% | 0.73 | 0% |
| Receivable for sale of Investments | 246.23 | 0% | 45.54 | 0% | 338.42 | 0% | - | 0% | 13.51 | 1% | 79.93 | 1% |
| Other Current Assets (For Investments) | 0.01 | 0% | 0.00 | 0% | 1,869.80 | 1% | 20.00 | 1% | 0.00 | 0% | 0.00 | 0% |
| Less : Current Liabilities | | | | | | | | | | | | |
| Payable for Investments | (1,142.58) | -2% | (440.05) | -2% | (2,660.36) | -2% | - | 0% | (20.21) | -2% | (203.97) | -2% |
| Fund Management Charges Payable | (5.02) | 0% | (1.65) | 0% | (10.13) | 0% | (0.24) | 0% | (0.13) | 0% | (1.17) | 0% |
| Other Current Liabilities (For Investments) | (19.08) | 0% | (8.76) | 0% | (2.18) | 0% | (0.05) | 0% | (0.03) | 0% | (0.24) | 0% |
| Sub Total (B) | 384.40 | 1% | 169.48 | 1% | 2,834.58 | 2% | 68.50 | 2% | 11.31 | 1% | 97.93 | 1% |
| Other Investments (<=25%) | | | | | | | | | | | | |
| Corporate Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Infrastructure Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Equity | 2,068.83 | 3% | - | 0% | - | 0% | - | 0% | 32.99 | 3% | 368.91 | 3% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Venture Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Others | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (C) | 2,068.83 | 3% | - | 0% | - | 0% | - | 0% | 32.99 | 3% | 368.91 | 3% |
| Total (A+B+C) | 70,743.11 | 100% | 23,215.08 | 100% | 143,557.08 | 100% | 3,436.59 | 100% | 1,129.67 | 100% | 12,609.48 | 100% |
| Fund carried forward (as per LB2) | 70,743.11 | | 23,215.08 | | 143,557.08 | | 3,436.59 | | 1,129.67 | | 12,609.48 | |

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2023

₹ Lakhs

| Particulars | ULGF 049 27/08/13 GCGBal3 105 | ULGF 007 28/10/05 GCGDebt1 105 | ULGF 011 21/03/07 GCGDebt2 105 | ULGF 048 27/08/13 GCGDebt3 105 | ULGF 005 24/02/04 GCGSTDebt1 105 | ULGF 009 16/03/07 GCGSTDebt2 105 |
|-------------------------------------------------|----------------------------------------------|--------------------------------------|-----------------------------------------|------------------------------------------|-------------------------------------------------|----------------------------------------------------|
| | Group Capital Guarantee Balanced Fund III | Group Capital Guarantee Debt Fund | Group Capital Guarantee Debt Fund II | Group Capital Guarantee Debt Fund III | Group Capital Guarantee Short Term Debt Fund | Group Capital Guarantee Short Term Debt Fund II |
| Opening Balance (Market value) | 75,615.68 | 434.08 | 7,935.02 | 27,324.02 | 63.40 | 46,435.02 |
| Add: Inflows during the quarter | 1,840.42 | 1.58 | 39.52 | 727.65 | - | 390.70 |
| Increase / (Decrease) value of investment (Net) | 931.57 | 3.79 | 73.19 | 244.80 | 0.88 | 634.79 |
| Less : Outflow during the quarter | (1,551.92) | (1.28) | (379.40) | (362.21) | - | (1,137.12) |
| Total Investible Funds (Market value) | 76,835.75 | 438.17 | 7,668.33 | 27,934.26 | 64.28 | 46,323.39 |

| Investment of Unit Fund | ULGF 049 27/08/13 GCGBal3 105 | | ULGF 007 28/10/05 GCGDebt1 105 | | ULGF 011 21/03/07 GCGDebt2 105 | | ULGF 048 27/08/13 GCGDebt3 105 | | ULGF 005 24/02/04 GCGSTDebt1 105 | | ULGF 009 16/03/07 GCGSTDebt2 105 | |
|---------------------------------------------|----------------------------------|-------------|-----------------------------------|-------------|-----------------------------------|-------------|-----------------------------------|-------------|-------------------------------------|-------------|-------------------------------------|-------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | | | |
| Central Government Securities | 28,045.71 | 37% | 180.66 | 41% | 3,050.58 | 40% | 10,935.14 | 39% | - | 0% | - | 0% |
| State Government Securities | - | 0% | 51.54 | 12% | 122.34 | 2% | 226.16 | 1% | - | 0% | - | 0% |
| Other Approved Securities | 1,953.28 | 3% | 19.14 | 4% | 115.72 | 2% | 795.21 | 3% | - | 0% | - | 0% |
| Corporate Bonds | 17,940.48 | 23% | 130.97 | 30% | 2,676.14 | 35% | 8,063.16 | 29% | - | 0% | 14,513.86 | 31% |
| Infrastructure Bonds | 6,689.44 | 9% | 19.90 | 5% | 720.58 | 9% | 1,676.67 | 6% | - | 0% | 4,489.74 | 10% |
| Equity | 9,549.10 | 12% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Money Market Investments | 10,194.57 | 13% | 34.79 | 8% | 934.06 | 12% | 6,117.91 | 22% | 64.23 | 100% | 26,511.70 | 57% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Deposit with Banks | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (A) | 74,372.58 | 97% | 437.00 | 100% | 7,619.43 | 99% | 27,814.25 | 100% | 64.23 | 100% | 45,515.30 | 98% |
| Current Assets: | | | | | | | | | | | | |
| Accrued Interest | 1,167.60 | 2% | 10.22 | 2% | 172.65 | 2% | 528.93 | 2% | (0.00) | 0% | 846.58 | 2% |
| Dividend Receivable | 0.34 | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Bank Balance | 6.40 | 0% | 0.08 | 0% | 0.64 | 0% | 4.06 | 0% | 0.06 | 0% | 1.60 | 0% |
| Receivable for sale of Investments | 316.04 | 0% | 0.94 | 0% | 16.59 | 0% | 100.23 | 0% | - | 0% | - | 0% |
| Other Current Assets (For Investments) | 0.01 | 0% | 0.00 | 0% | 0.00 | 0% | 9.53 | 0% | - | 0% | - | 0% |
| Less : Current Liabilities | | | | | | | | | | | | |
| Payable for Investments | (1,245.71) | -2% | (10.00) | -2% | (140.02) | -2% | (520.07) | -2% | - | 0% | - | 0% |
| Fund Management Charges Payable | (5.87) | 0% | (0.04) | 0% | (0.65) | 0% | (2.14) | 0% | (0.00) | 0% | (3.67) | 0% |
| Other Current Liabilities (For Investments) | (4.69) | 0% | (0.02) | 0% | (0.31) | 0% | (0.53) | 0% | (0.00) | 0% | (36.42) | 0% |
| Sub Total (B) | 234.13 | 0% | 1.17 | 0% | 48.90 | 1% | 120.01 | 0% | 0.05 | 0% | 808.09 | 2% |
| Other Investments (<=25%) | | | | | | | | | | | | |
| Corporate Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Infrastructure Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Equity | 2,229.03 | 3% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Venture Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Others | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (C) | 2,229.03 | 3% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Total (A+B+C) | 76,835.75 | 100% | 438.17 | 100% | 7,668.33 | 100% | 27,934.26 | 100% | 64.28 | 100% | 46,323.39 | 100% |
| Fund carried forward (as per LB2) | 76,835.75 | | 438.17 | | 7,668.33 | | 27,934.26 | | 64.28 | | 46,323.39 | |

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2023

₹ Lakhs

| Particulars | ULGF 047 27/08/13 GCGSTDebt3 105 | ULGF 008 11/12/06 GCGGrowth1 105 | ULGF 012 05/07/07 GCGGrowth2 105 | ULGF 004 30/10/03 GGrowth 105 | ULIF 018 03/01/05 LInvShld 105 | ULIF 025 21/08/06 LInvShldNw 105 |
|-------------------------------------------------|-----------------------------------------------------|----------------------------------------|-------------------------------------------|----------------------------------|-----------------------------------|-------------------------------------|
| | Group Capital Guarantee Short Term Debt Fund III | Group Capital Guarantee Growth Fund | Group Capital Guarantee Growth Fund II | Group Growth Fund | Invest Shield Fund - Life | New Invest Shield Balanced Fund |
| Opening Balance (Market value) | 27,873.57 | 749.12 | 2,608.91 | 7,888.13 | 3,401.05 | 3,209.01 |
| Add: Inflows during the quarter | 1,257.71 | 3.01 | 23.10 | 40.90 | 10.20 | 3.83 |
| Increase / (Decrease) value of investment (Net) | 386.42 | 10.19 | 40.17 | 179.83 | 35.33 | 34.19 |
| Less : Outflow during the quarter | (1,157.60) | (19.41) | (82.61) | (43.42) | (76.37) | (85.97) |
| Total Investible Funds (Market value) | 28,360.10 | 742.91 | 2,589.56 | 8,065.44 | 3,370.21 | 3,161.06 |

| Investment of Unit Fund | ULGF 047 27/08/13 GCGSTDebt3 105 | | ULGF 008 11/12/06 GCGGrowth1 105 | | ULGF 012 05/07/07 GCGGrowth2 105 | | ULGF 004 30/10/03 GGrowth 105 | | ULIF 018 03/01/05 LInvShld 105 | | ULIF 025 21/08/06 LInvShldNw 105 | |
|---------------------------------------------|-------------------------------------|-------------|-------------------------------------|-------------|-------------------------------------|-------------|----------------------------------|-------------|-----------------------------------|-------------|-------------------------------------|-------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | | | |
| Central Government Securities | - | 0% | 220.49 | 30% | 747.45 | 29% | 1,633.30 | 20% | 1,300.81 | 39% | 1,232.43 | 39% |
| State Government Securities | - | 0% | 48.56 | 7% | - | 0% | - | 0% | - | 0% | - | 0% |
| Other Approved Securities | - | 0% | 19.35 | 3% | 38.74 | 1% | 48.41 | 1% | 58.17 | 2% | 77.84 | 2% |
| Corporate Bonds | 8,503.30 | 30% | 101.44 | 14% | 452.83 | 17% | 1,031.24 | 13% | 820.57 | 24% | 826.82 | 26% |
| Infrastructure Bonds | 2,743.73 | 10% | 60.33 | 8% | 181.48 | 7% | 321.49 | 4% | 304.01 | 9% | 404.68 | 13% |
| Equity | - | 0% | 215.54 | 29% | 744.93 | 29% | 3,614.84 | 45% | 411.74 | 12% | 378.91 | 12% |
| Money Market Investments | 16,587.96 | 58% | 23.25 | 3% | 239.22 | 9% | 536.41 | 7% | 338.55 | 10% | 120.63 | 4% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Deposit with Banks | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (A) | 27,834.99 | 98% | 688.95 | 93% | 2,404.66 | 93% | 7,185.70 | 89% | 3,233.85 | 96% | 3,041.30 | 96% |
| Current Assets: | | | | | | | | | | | | |
| Accrued Interest | 525.82 | 2% | 11.11 | 1% | 31.77 | 1% | 70.31 | 1% | 65.19 | 2% | 64.91 | 2% |
| Dividend Receivable | - | 0% | 0.01 | 0% | 0.03 | 0% | 0.13 | 0% | 0.00 | 0% | 0.00 | 0% |
| Bank Balance | 0.97 | 0% | 0.07 | 0% | 0.20 | 0% | 0.37 | 0% | 0.29 | 0% | 0.13 | 0% |
| Receivable for sale of Investments | - | 0% | 3.13 | 0% | 10.54 | 0% | 42.30 | 1% | 60.84 | 2% | 66.29 | 2% |
| Other Current Assets (For Investments) | 0.89 | 0% | 0.00 | 0% | 0.00 | 0% | 0.01 | 0% | 0.20 | 0% | 0.00 | 0% |
| Less : Current Liabilities | | | | | | | | | | | | |
| Payable for Investments | - | 0% | (10.30) | -1% | (31.79) | -1% | (79.97) | -1% | (87.41) | -3% | (85.59) | -3% |
| Fund Management Charges Payable | (2.17) | 0% | (0.11) | 0% | (0.29) | 0% | (0.57) | 0% | (0.23) | 0% | (0.22) | 0% |
| Other Current Liabilities (For Investments) | (0.40) | 0% | (0.02) | 0% | (0.06) | 0% | (0.11) | 0% | (0.05) | 0% | (3.82) | 0% |
| Sub Total (B) | 525.11 | 2% | 3.88 | 1% | 10.39 | 0% | 32.47 | 0% | 38.84 | 1% | 41.70 | 1% |
| Other Investments (<=25%) | | | | | | | | | | | | |
| Corporate Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Infrastructure Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Equity | - | 0% | 50.08 | 7% | 174.50 | 7% | 847.27 | 11% | 97.52 | 3% | 78.06 | 2% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Venture Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Others | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (C) | - | 0% | 50.08 | 7% | 174.50 | 7% | 847.27 | 11% | 97.52 | 3% | 78.06 | 2% |
| Total (A+B+C) | 28,360.10 | 100% | 742.91 | 100% | 2,589.55 | 100% | 8,065.44 | 100% | 3,370.21 | 100% | 3,161.06 | 100% |
| Fund carried forward (as per LB2) | 28,360.10 | | 742.91 | | 2,589.56 | | 8,065.44 | | 3,370.21 | | 3,161.06 | |

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2023

₹ Lakhs

| Particulars | ULIF 034 20/03/07 PFlexiBal1 105 | ULIF 035 20/03/07 PFlexiBal2 105 | ULIF 029 20/03/07 PFlexiGro1 105 | ULIF 030 20/03/07 PFlexiGro2 105 | ULIF 019 03/01/05 PlnvShld 105 | ULIF 011 17/05/04 PPreserv 105 |
|-------------------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-----------------------------------|-----------------------------------|
| | Pension Flexi Balanced Fund | Pension Flexi Balanced Fund II | Pension Flexi Growth Fund | Pension Flexi Growth Fund II | Invest Shield Fund - Pension | Pension Preserver Fund |
| Opening Balance (Market value) | 3,391.71 | 4,005.40 | 67,818.79 | 51,368.08 | 1,676.92 | 12,140.75 |
| Add: Inflows during the quarter | 137.73 | 136.76 | 87.28 | 414.90 | 4.06 | 1,824.97 |
| Increase / (Decrease) value of investment (Net) | 64.25 | 92.30 | 2,010.49 | 1,565.95 | 17.56 | 187.84 |
| Less : Outflow during the quarter | (294.74) | (305.98) | (3,240.02) | (2,637.64) | (43.50) | (2,497.49) |
| Total Investible Funds (Market value) | 3,298.95 | 3,928.48 | 66,676.53 | 50,711.29 | 1,655.04 | 11,656.07 |

| Investment of Unit Fund | ULIF 034 20/03/07 PFlexiBal1 105 | | ULIF 035 20/03/07 PFlexiBal2 105 | | ULIF 029 20/03/07 PFlexiGro1 105 | | ULIF 030 20/03/07 PFlexiGro2 105 | | ULIF 019 03/01/05 PlnvShld 105 | | ULIF 011 17/05/04 PPreserv 105 | |
|---------------------------------------------|-------------------------------------|-------------|-------------------------------------|-------------|-------------------------------------|-------------|-------------------------------------|-------------|-----------------------------------|-------------|-----------------------------------|-------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | | | |
| Central Government Securities | 728.51 | 22% | 846.69 | 22% | - | 0% | - | 0% | 666.37 | 40% | - | 0% |
| State Government Securities | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Other Approved Securities | 57.84 | 2% | 67.31 | 2% | - | 0% | - | 0% | 48.67 | 3% | - | 0% |
| Corporate Bonds | 364.09 | 11% | 404.19 | 10% | 3.75 | 0% | 2.90 | 0% | 383.64 | 23% | 3,015.76 | 26% |
| Infrastructure Bonds | 139.16 | 4% | 201.54 | 5% | - | 0% | - | 0% | 201.63 | 12% | 1,147.38 | 10% |
| Equity | 1,525.89 | 46% | 1,824.48 | 46% | 52,158.42 | 78% | 39,523.94 | 78% | 216.38 | 13% | - | 0% |
| Money Market Investments | 91.43 | 3% | 92.65 | 2% | 637.86 | 1% | 443.59 | 1% | 71.58 | 4% | 7,483.38 | 64% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Deposit with Banks | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (A) | 2,906.92 | 88% | 3,436.86 | 87% | 52,800.03 | 79% | 39,970.43 | 79% | 1,588.27 | 96% | 11,646.52 | 100% |
| Current Assets: | | | | | | | | | | | | |
| Accrued Interest | 30.13 | 1% | 36.64 | 1% | 0.07 | 0% | 0.05 | 0% | 32.80 | 2% | 189.57 | 2% |
| Dividend Receivable | 0.01 | 0% | 0.02 | 0% | 0.50 | 0% | 0.42 | 0% | 0.00 | 0% | - | 0% |
| Bank Balance | 0.12 | 0% | 0.12 | 0% | 0.53 | 0% | 0.38 | 0% | 0.10 | 0% | 0.88 | 0% |
| Receivable for sale of Investments | 34.22 | 1% | 37.91 | 1% | 151.47 | 0% | 115.10 | 0% | 39.36 | 2% | - | 0% |
| Other Current Assets (For Investments) | 0.00 | 0% | 4.60 | 0% | 0.02 | 0% | 20.27 | 0% | 0.23 | 0% | 0.00 | 0% |
| Less : Current Liabilities | | | | | | | | | | | | |
| Payable for Investments | (48.25) | -1% | (51.90) | -1% | - | 0% | - | 0% | (48.25) | -3% | - | 0% |
| Fund Management Charges Payable | (0.40) | 0% | (0.21) | 0% | (8.13) | 0% | (4.11) | 0% | (0.11) | 0% | (0.48) | 0% |
| Other Current Liabilities (For Investments) | (2.23) | 0% | (0.04) | 0% | (67.91) | 0% | (0.74) | 0% | (0.02) | 0% | (180.41) | -2% |
| Sub Total (B) | 13.60 | 0% | 27.13 | 1% | 76.54 | 0% | 131.37 | 0% | 24.10 | 1% | 9.55 | 0% |
| Other Investments (<=25%) | | | | | | | | | | | | |
| Corporate Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Infrastructure Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Equity | 378.43 | 11% | 464.49 | 12% | 13,799.96 | 21% | 10,609.49 | 21% | 42.67 | 3% | - | 0% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Venture Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Others | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (C) | 378.43 | 11% | 464.49 | 12% | 13,799.96 | 21% | 10,609.49 | 21% | 42.67 | 3% | - | 0% |
| Total (A+B+C) | 3,298.95 | 100% | 3,928.48 | 100% | 66,676.53 | 100% | 50,711.29 | 100% | 1,655.04 | 100% | 11,656.07 | 100% |
| Fund carried forward (as per LB2) | 3,298.95 | | 3,928.48 | | 66,676.53 | | 50,711.29 | | 1,655.04 | | 11,656.07 | |

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2023

₹ Lakhs

| Particulars | ULIF 008 11/08/03 LCashPlus 105 | ULIF 020 03/01/05 LInvCash 105 | ULIF 007 11/08/03 LSecPlus 105 | ULIF 042 22/11/07 LMultip1 105 | ULIF 044 25/02/08 LMultip2 105 | ULIF 046 25/02/08 LMultip3 105 |
|-------------------------------------------------|------------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|
| | Cash Plus Fund | Invest Shield Cash Fund | Secure Plus Fund | Multiplier Fund | Multiplier Fund II | Multiplier Fund III |
| Opening Balance (Market value) | 10,231.20 | 14,544.89 | 2,253.49 | 22,030.00 | 17,936.18 | 1,100.98 |
| Add: Inflows during the quarter | 14.49 | 1.40 | 4.07 | 307.83 | 207.63 | 102.41 |
| Increase / (Decrease) value of investment (Net) | 87.14 | 112.60 | 23.45 | 591.38 | 518.18 | 30.10 |
| Less : Outflow during the quarter | (280.49) | (1,353.03) | (207.28) | (745.72) | (912.90) | (89.55) |
| Total Investible Funds (Market value) | 10,052.34 | 13,305.87 | 2,073.73 | 22,183.50 | 17,749.09 | 1,143.93 |

| Investment of Unit Fund | ULIF 008 11/08/03 LCashPlus 105 | | ULIF 020 03/01/05 LInvCash 105 | | ULIF 007 11/08/03 LSecPlus 105 | | ULIF 042 22/11/07 LMultip1 105 | | ULIF 044 25/02/08 LMultip2 105 | | ULIF 046 25/02/08 LMultip3 105 | |
|---------------------------------------------|------------------------------------|-------------|-----------------------------------|-------------|-----------------------------------|-------------|-----------------------------------|-------------|-----------------------------------|-------------|-----------------------------------|-------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | | | |
| Central Government Securities | 4,542.55 | 45% | 6,597.85 | 50% | 901.84 | 43% | - | 0% | - | 0% | - | 0% |
| State Government Securities | 26.59 | 0% | 43.10 | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Other Approved Securities | 212.30 | 2% | 415.12 | 3% | 38.49 | 2% | - | 0% | - | 0% | - | 0% |
| Corporate Bonds | 3,146.12 | 31% | 4,278.51 | 32% | 445.90 | 22% | 9.32 | 0% | 7.73 | 0% | 0.47 | 0% |
| Infrastructure Bonds | 1,204.27 | 12% | 1,520.20 | 11% | 238.31 | 11% | - | 0% | - | 0% | - | 0% |
| Equity | - | 0% | - | 0% | 274.46 | 13% | 17,874.52 | 81% | 14,344.03 | 81% | 914.71 | 80% |
| Money Market Investments | 774.78 | 8% | 244.99 | 2% | 92.52 | 4% | 438.84 | 2% | 305.29 | 2% | 31.36 | 3% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Deposit with Banks | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (A) | 9,906.61 | 99% | 13,099.78 | 98% | 1,991.52 | 96% | 18,322.68 | 83% | 14,657.04 | 83% | 946.54 | 83% |
| Current Assets: | | | | | | | | | | | | |
| Accrued Interest | 243.61 | 2% | 353.50 | 3% | 40.47 | 2% | 0.02 | 0% | 0.01 | 0% | 0.00 | 0% |
| Dividend Receivable | - | 0% | - | 0% | 0.00 | 0% | - | 0% | - | 0% | - | 0% |
| Bank Balance | 0.58 | 0% | 0.23 | 0% | 0.11 | 0% | 0.38 | 0% | 0.28 | 0% | 0.07 | 0% |
| Receivable for sale of Investments | 100.29 | 1% | 139.08 | 1% | 38.05 | 2% | 100.15 | 0% | 82.75 | 0% | 4.23 | 0% |
| Other Current Assets (For Investments) | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% | 0.02 | 0% | 0.02 | 0% | 0.00 | 0% |
| Less : Current Liabilities | | | | | | | | | | | | |
| Payable for Investments | (190.02) | -2% | (250.03) | -2% | (54.64) | -3% | (54.35) | 0% | (41.90) | 0% | (3.08) | 0% |
| Fund Management Charges Payable | (0.69) | 0% | (0.91) | 0% | (0.14) | 0% | (2.70) | 0% | (1.43) | 0% | (0.14) | 0% |
| Other Current Liabilities (For Investments) | (8.05) | 0% | (35.79) | 0% | (2.77) | 0% | (3.91) | 0% | (16.63) | 0% | (0.08) | 0% |
| Sub Total (B) | 145.73 | 1% | 206.09 | 2% | 21.09 | 1% | 39.60 | 0% | 23.11 | 0% | 1.00 | 0% |
| Other Investments (<=25%) | | | | | | | | | | | | |
| Corporate Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Infrastructure Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Equity | - | 0% | - | 0% | 61.13 | 3% | 3,821.21 | 17% | 3,068.94 | 17% | 196.40 | 17% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Venture Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Others | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (C) | - | 0% | - | 0% | 61.13 | 3% | 3,821.21 | 17% | 3,068.94 | 17% | 196.40 | 17% |
| Total (A+B+C) | 10,052.34 | 100% | 13,305.87 | 100% | 2,073.73 | 100% | 22,183.50 | 100% | 17,749.09 | 100% | 1,143.93 | 100% |
| Fund carried forward (as per LB2) | 10,052.34 | | 13,305.87 | | 2,073.73 | | 22,183.50 | | 17,749.09 | | 1,143.93 | |

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2023

₹ Lakhs

| Particulars | ULIF 047 25/02/08 LMultip4 105 | ULIF 043 25/02/08 PMultip1 105 | ULIF 045 25/02/08 PMultip2 105 | ULIF 048 17/03/08 LRICH1 105 | ULIF 049 17/03/08 LRICH2 105 | ULIF 050 17/03/08 LRICH3 105 |
|-------------------------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|---------------------------------|---------------------------------|---------------------------------|
| | Multiplier Fund IV | Pension Multiplier Fund | Pension Multiplier Fund II | RICH Fund | RICH Fund II | RICH Fund III |
| Opening Balance (Market value) | 5,751.62 | 16,254.84 | 8,126.50 | 12,473.39 | 63,581.98 | 2,593.62 |
| Add: Inflows during the quarter | 28.69 | 152.52 | 139.59 | 32.94 | 60.66 | 143.84 |
| Increase / (Decrease) value of investment (Net) | 167.14 | 435.14 | 234.50 | 466.90 | 2,520.02 | 96.67 |
| Less : Outflow during the quarter | (314.96) | (730.01) | (568.54) | (825.57) | (3,820.18) | (192.10) |
| Total Investible Funds (Market value) | 5,632.49 | 16,112.48 | 7,932.05 | 12,147.65 | 62,342.47 | 2,642.04 |

| Investment of Unit Fund | ULIF 047 25/02/08 LMultip4 105 | | ULIF 043 25/02/08 PMultip1 105 | | ULIF 045 25/02/08 PMultip2 105 | | ULIF 048 17/03/08 LRICH1 105 | | ULIF 049 17/03/08 LRICH2 105 | | ULIF 050 17/03/08 LRICH3 105 | |
|---------------------------------------------|-----------------------------------|-------------|-----------------------------------|-------------|-----------------------------------|-------------|---------------------------------|-------------|---------------------------------|-------------|---------------------------------|-------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | | | |
| Central Government Securities | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| State Government Securities | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Other Approved Securities | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Corporate Bonds | 2.41 | 0% | 7.21 | 0% | 3.53 | 0% | 7.62 | 0% | 37.91 | 0% | 1.51 | 0% |
| Infrastructure Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Equity | 4,633.68 | 82% | 13,010.03 | 81% | 6,422.62 | 81% | 9,417.54 | 78% | 48,480.06 | 78% | 1,988.96 | 75% |
| Money Market Investments | 42.79 | 1% | 276.74 | 2% | 124.11 | 2% | 192.24 | 2% | 864.91 | 1% | 76.69 | 3% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Deposit with Banks | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (A) | 4,678.89 | 83% | 13,293.99 | 83% | 6,550.26 | 83% | 9,617.40 | 79% | 49,382.88 | 79% | 2,067.17 | 78% |
| Current Assets: | | | | | | | | | | | | |
| Accrued Interest | 0.00 | 0% | 0.01 | 0% | 0.01 | 0% | - | 0% | - | 0% | - | 0% |
| Dividend Receivable | - | 0% | - | 0% | - | 0% | 0.36 | 0% | 1.86 | 0% | 0.08 | 0% |
| Bank Balance | 0.08 | 0% | 0.26 | 0% | 0.14 | 0% | 0.19 | 0% | 0.69 | 0% | 0.11 | 0% |
| Receivable for sale of Investments | 32.60 | 1% | 78.14 | 0% | 40.63 | 1% | 85.63 | 1% | 452.24 | 1% | 14.83 | 1% |
| Other Current Assets (For Investments) | 0.01 | 0% | 0.01 | 0% | 0.01 | 0% | 0.02 | 0% | 0.09 | 0% | 40.92 | 2% |
| Less : Current Liabilities | | | | | | | | | | | | |
| Payable for Investments | (10.02) | 0% | (37.87) | 0% | (16.92) | 0% | (55.72) | 0% | (282.85) | 0% | (13.58) | -1% |
| Fund Management Charges Payable | (0.46) | 0% | (1.96) | 0% | (0.64) | 0% | (1.48) | 0% | (5.06) | 0% | (0.32) | 0% |
| Other Current Liabilities (For Investments) | (60.43) | -1% | (0.74) | 0% | (8.51) | 0% | (15.93) | 0% | (165.77) | 0% | (0.06) | 0% |
| Sub Total (B) | (38.22) | -1% | 37.86 | 0% | 14.72 | 0% | 13.07 | 0% | 1.20 | 0% | 41.97 | 2% |
| Other Investments (<=25%) | | | | | | | | | | | | |
| Corporate Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Infrastructure Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Equity | 991.82 | 18% | 2,780.63 | 17% | 1,367.07 | 17% | 2,517.18 | 21% | 12,958.39 | 21% | 532.89 | 20% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Venture Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Others | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (C) | 991.82 | 18% | 2,780.63 | 17% | 1,367.07 | 17% | 2,517.18 | 21% | 12,958.39 | 21% | 532.89 | 20% |
| Total (A+B+C) | 5,632.49 | 100% | 16,112.48 | 100% | 7,932.05 | 100% | 12,147.65 | 100% | 62,342.47 | 100% | 2,642.04 | 100% |
| Fund carried forward (as per LB2) | 5,632.49 | | 16,112.48 | | 7,932.05 | | 12,147.65 | | 62,342.47 | | 2,642.04 | |

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2023

₹ Lakhs

| Particulars | ULIF 051 17/03/08 LRICH4 105 | ULIF 052 17/03/08 PRICH1 105 | ULIF 053 17/03/08 PRICH2 105 | ULGF 013 02/04/08 GLEBal 105 | ULGF 014 02/04/08 GLEIncome 105 | ULIF 009 17/11/03 PSecPlus 105 |
|-------------------------------------------------|---------------------------------|---------------------------------|---------------------------------|----------------------------------------|---------------------------------------|-----------------------------------|
| | RICH Fund IV | Pension RICH Fund | Pension RICH Fund II | Group Leave Encashment Balance Fund | Group Leave Encashment Income Fund | Secure Plus Pension Fund |
| Opening Balance (Market value) | 23,871.27 | 45,717.88 | 27,721.77 | 3,444.99 | 372.25 | 1,393.24 |
| Add: Inflows during the quarter | 97.65 | 345.87 | 235.77 | - | - | 5.79 |
| Increase / (Decrease) value of investment (Net) | 960.35 | 1,728.79 | 1,108.12 | 41.54 | 3.51 | 15.13 |
| Less : Outflow during the quarter | (1,452.54) | (2,082.60) | (1,547.26) | (78.43) | - | (14.04) |
| Total Investible Funds (Market value) | 23,476.74 | 45,709.94 | 27,518.41 | 3,408.10 | 375.77 | 1,400.12 |

| Investment of Unit Fund | ULIF 051 17/03/08 LRICH4 105 | | ULIF 052 17/03/08 PRICH1 105 | | ULIF 053 17/03/08 PRICH2 105 | | ULGF 013 02/04/08 GLEBal 105 | | ULGF 014 02/04/08 GLEIncome 105 | | ULIF 009 17/11/03 PSecPlus 105 | |
|---------------------------------------------|---------------------------------|-------------|---------------------------------|-------------|---------------------------------|-------------|---------------------------------|-------------|------------------------------------|-------------|-----------------------------------|-------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | | | |
| Central Government Securities | - | 0% | - | 0% | - | 0% | 1,355.92 | 40% | 174.52 | 46% | 569.31 | 41% |
| State Government Securities | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Other Approved Securities | - | 0% | - | 0% | - | 0% | 127.80 | 4% | 18.94 | 5% | 38.74 | 3% |
| Corporate Bonds | 13.79 | 0% | 27.72 | 0% | 16.59 | 0% | 1,045.09 | 31% | 130.94 | 35% | 242.08 | 17% |
| Infrastructure Bonds | - | 0% | - | 0% | - | 0% | 207.87 | 6% | 39.55 | 11% | 100.35 | 7% |
| Equity | 18,486.27 | 79% | 35,525.79 | 78% | 21,441.78 | 78% | 424.25 | 12% | - | 0% | 168.73 | 12% |
| Money Market Investments | 255.47 | 1% | 493.80 | 1% | 273.16 | 1% | 124.68 | 4% | 12.41 | 3% | 227.59 | 16% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Deposit with Banks | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (A) | 18,755.54 | 80% | 36,047.31 | 79% | 21,731.53 | 79% | 3,285.60 | 96% | 376.36 | 100% | 1,346.80 | 96% |
| Current Assets: | | | | | | | | | | | | |
| Accrued Interest | - | 0% | - | 0% | - | 0% | 70.08 | 2% | 9.38 | 2% | 23.07 | 2% |
| Dividend Receivable | 0.72 | 0% | 1.36 | 0% | 0.82 | 0% | 0.01 | 0% | - | 0% | 0.00 | 0% |
| Bank Balance | 0.24 | 0% | 0.42 | 0% | 0.25 | 0% | 0.14 | 0% | 0.06 | 0% | 0.22 | 0% |
| Receivable for sale of Investments | 190.11 | 1% | 339.26 | 1% | 206.65 | 1% | 4.26 | 0% | - | 0% | 25.07 | 2% |
| Other Current Assets (For Investments) | 0.03 | 0% | 24.22 | 0% | 0.04 | 0% | 0.00 | 0% | 0.00 | 0% | 0.11 | 0% |
| Less : Current Liabilities | | | | | | | | | | | | |
| Payable for Investments | (101.45) | 0% | (205.24) | 0% | (122.90) | 0% | (51.18) | -2% | (10.00) | -3% | (35.51) | -3% |
| Fund Management Charges Payable | (1.92) | 0% | (5.58) | 0% | (2.23) | 0% | (0.24) | 0% | (0.03) | 0% | (0.10) | 0% |
| Other Current Liabilities (For Investments) | (303.28) | -1% | (1.01) | 0% | (30.95) | 0% | (0.05) | 0% | (0.01) | 0% | (0.02) | 0% |
| Sub Total (B) | (215.54) | -1% | 153.44 | 0% | 51.68 | 0% | 23.03 | 1% | (0.59) | 0% | 12.84 | 1% |
| Other Investments (<=25%) | | | | | | | | | | | | |
| Corporate Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Infrastructure Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Equity | 4,936.75 | 21% | 9,509.19 | 21% | 5,735.20 | 21% | 99.47 | 3% | - | 0% | 40.48 | 3% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Venture Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Others | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (C) | 4,936.75 | 21% | 9,509.19 | 21% | 5,735.20 | 21% | 99.47 | 3% | - | 0% | 40.48 | 3% |
| Total (A+B+C) | 23,476.74 | 100% | 45,709.94 | 100% | 27,518.41 | 100% | 3,408.10 | 100% | 375.77 | 100% | 1,400.12 | 100% |
| Fund carried forward (as per LB2) | 23,476.74 | | 45,709.94 | | 27,518.41 | | 3,408.10 | | 375.77 | | 1,400.12 | |

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2023

₹ Lakhs

| Particulars | ULIF 059 15/01/09 HBalancer 105 | ULIF 061 15/01/09 HProtect 105 | ULIF 057 15/01/09 HFlexiGro 105 | ULIF 060 15/01/09 HFlexiBal 105 | ULIF 058 15/01/09 HMultipl 105 | ULIF 056 15/01/09 HPreserv 105 |
|-------------------------------------------------|------------------------------------|-----------------------------------|------------------------------------|------------------------------------|-----------------------------------|-----------------------------------|
| | Health Balancer Fund | Health Protector Fund | Health Flexi Growth Fund | Health Flexi Balanced Fund | Health Multiplier Fund | Health Preserver Fund |
| Opening Balance (Market value) | 4,886.86 | 21,789.61 | 59,917.17 | 6,562.59 | 9,472.78 | 773.97 |
| Add: Inflows during the quarter | 4.42 | 374.31 | - | 4.89 | 38.26 | 44.38 |
| Increase / (Decrease) value of investment (Net) | 91.48 | 225.23 | 1,984.67 | 148.01 | 276.08 | 12.02 |
| Less : Outflow during the quarter | (109.36) | (42.70) | (1,967.86) | (130.03) | (156.75) | (58.22) |
| Total Investible Funds (Market value) | 4,873.39 | 22,346.46 | 59,933.98 | 6,585.46 | 9,630.37 | 772.15 |

| Investment of Unit Fund | ULIF 059 15/01/09 HBalancer 105 | | ULIF 061 15/01/09 HProtect 105 | | ULIF 057 15/01/09 HFlexiGro 105 | | ULIF 060 15/01/09 HFlexiBal 105 | | ULIF 058 15/01/09 HMultipl 105 | | ULIF 056 15/01/09 HPreserv 105 | |
|---------------------------------------------|------------------------------------|-------------|-----------------------------------|-------------|------------------------------------|-------------|------------------------------------|-------------|-----------------------------------|-------------|-----------------------------------|-------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | | | |
| Central Government Securities | 1,441.68 | 30% | 10,076.07 | 45% | - | 0% | 1,325.48 | 20% | - | 0% | - | 0% |
| State Government Securities | - | 0% | 56.86 | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Other Approved Securities | 77.84 | 2% | 458.54 | 2% | - | 0% | 87.19 | 1% | - | 0% | - | 0% |
| Corporate Bonds | 927.75 | 19% | 7,172.73 | 32% | 2.47 | 0% | 807.71 | 12% | 3.92 | 0% | 180.39 | 23% |
| Infrastructure Bonds | 291.18 | 6% | 2,584.55 | 12% | - | 0% | 290.17 | 4% | - | 0% | 69.84 | 9% |
| Equity | 1,404.82 | 29% | - | 0% | 46,844.78 | 78% | 2,985.76 | 45% | 7,833.97 | 81% | - | 0% |
| Money Market Investments | 351.79 | 7% | 1,644.45 | 7% | 800.26 | 1% | 365.42 | 6% | 87.01 | 1% | 511.99 | 66% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Deposit with Banks | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (A) | 4,495.07 | 92% | 21,993.19 | 98% | 47,647.51 | 80% | 5,861.73 | 89% | 7,924.91 | 82% | 762.22 | 99% |
| Current Assets: | | | | | | | | | | | | |
| Accrued Interest | 71.33 | 1% | 531.28 | 2% | 0.05 | 0% | 62.94 | 1% | 0.01 | 0% | 10.83 | 1% |
| Dividend Receivable | 0.05 | 0% | - | 0% | 0.51 | 0% | 0.03 | 0% | - | 0% | - | 0% |
| Bank Balance | 0.30 | 0% | 1.19 | 0% | 0.65 | 0% | 0.31 | 0% | 0.12 | 0% | 0.10 | 0% |
| Receivable for sale of Investments | 89.57 | 2% | 247.92 | 1% | 136.02 | 0% | 60.24 | 1% | 49.17 | 1% | - | 0% |
| Other Current Assets (For Investments) | 0.00 | 0% | 0.00 | 0% | 0.02 | 0% | 0.00 | 0% | 0.01 | 0% | 0.00 | 0% |
| Less : Current Liabilities | | | | | | | | | | | | |
| Payable for Investments | (103.44) | -2% | (420.05) | -2% | - | 0% | (87.41) | -1% | (19.33) | 0% | - | 0% |
| Fund Management Charges Payable | (0.27) | 0% | (0.92) | 0% | (4.86) | 0% | (0.36) | 0% | (0.78) | 0% | (0.03) | 0% |
| Other Current Liabilities (For Investments) | (8.15) | 0% | (6.16) | 0% | (87.78) | 0% | (6.99) | 0% | (6.28) | 0% | (0.97) | 0% |
| Sub Total (B) | 49.39 | 1% | 353.27 | 2% | 44.59 | 0% | 28.75 | 0% | 22.91 | 0% | 9.93 | 1% |
| Other Investments (<=25%) | | | | | | | | | | | | |
| Corporate Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Infrastructure Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Equity | 328.93 | 7% | - | 0% | 12,241.87 | 20% | 694.98 | 11% | 1,682.55 | 17% | - | 0% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Venture Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Others | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (C) | 328.93 | 7% | - | 0% | 12,241.87 | 20% | 694.98 | 11% | 1,682.55 | 17% | - | 0% |
| Total (A+B+C) | 4,873.39 | 100% | 22,346.46 | 100% | 59,933.98 | 100% | 6,585.46 | 100% | 9,630.37 | 100% | 772.15 | 100% |
| Fund carried forward (as per LB2) | 4,873.39 | | 22,346.46 | | 59,933.98 | | 6,585.46 | | 9,630.37 | | 772.15 | |

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2023

₹ Lakhs

| Particulars | ULIF 077 29/05/09 LSSavBuil 105 | ULIF 076 29/05/09 LSSavGtee 105 | ULIF 081 26/10/09 LPinnacle 105 | ULIF 116 15/03/11 LHighNavB 105 | ULIF 085 24/11/09 LMCapGro 105 | ULIF 088 24/11/09 LMCapBal 105 |
|-------------------------------------------------|------------------------------------|------------------------------------|------------------------------------|------------------------------------|-----------------------------------|-----------------------------------|
| | Secure Save Builder Fund | Secure Save Guarantee Fund | Pinnacle Fund | Highest NAV Fund B | Multi Cap Growth Fund | Multi Cap Balanced Fund |
| Opening Balance (Market value) | 142.98 | 403.51 | 5.82 | 19,606.48 | 1,791,243.61 | 213,794.18 |
| Add: Inflows during the quarter | - | - | - | 0.93 | 23,577.71 | 6,527.75 |
| Increase / (Decrease) value of investment (Net) | 3.48 | 5.96 | 0.07 | 144.88 | 73,842.92 | 5,842.38 |
| Less : Outflow during the quarter | - | (7.00) | - | (18,771.17) | (99,162.71) | (15,646.83) |
| Total Investible Funds (Market value) | 146.46 | 402.47 | 5.89 | 981.12 | 1,789,501.53 | 210,517.47 |

| Investment of Unit Fund | ULIF 077 29/05/09 LSSavBuil 105 | | ULIF 076 29/05/09 LSSavGtee 105 | | ULIF 081 26/10/09 LPinnacle 105 | | ULIF 116 15/03/11 LHighNavB 105 | | ULIF 085 24/11/09 LMCapGro 105 | | ULIF 088 24/11/09 LMCapBal 105 | |
|---------------------------------------------|------------------------------------|-------------|------------------------------------|-------------|------------------------------------|-------------|------------------------------------|--------------|-----------------------------------|-------------|-----------------------------------|-------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | | | |
| Central Government Securities | 12.35 | 8% | 102.89 | 26% | - | 0% | - | 0% | - | 0% | 43,341.69 | 21% |
| State Government Securities | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | 48.50 | 0% |
| Other Approved Securities | - | 0% | 9.67 | 2% | - | 0% | - | 0% | - | 0% | 2,172.92 | 1% |
| Corporate Bonds | 0.05 | 0% | 61.41 | 15% | - | 0% | - | 0% | - | 0% | 27,944.53 | 13% |
| Infrastructure Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | 7,711.15 | 4% |
| Equity | 85.89 | 59% | 125.43 | 31% | - | 0% | - | 0% | 1,360,314.63 | 76% | 94,831.37 | 45% |
| Money Market Investments | 29.77 | 20% | 71.11 | 18% | 5.84 | 99% | 2,370.04 | 242% | 22,346.34 | 1% | 5,577.77 | 3% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Deposit with Banks | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (A) | 128.06 | 87% | 370.52 | 92% | 5.84 | 99% | 2,370.04 | 242% | 1,382,660.97 | 77% | 181,627.94 | 86% |
| Current Assets: | | | | | | | | | | | | |
| Accrued Interest | 0.32 | 0% | 4.34 | 1% | - | 0% | - | 0% | - | 0% | 1,917.49 | 1% |
| Dividend Receivable | - | 0% | - | 0% | - | 0% | - | 0% | 45.35 | 0% | 3.13 | 0% |
| Bank Balance | 0.07 | 0% | 0.10 | 0% | 0.06 | 1% | 0.33 | 0% | 16.77 | 0% | 3.78 | 0% |
| Receivable for sale of Investments | 0.89 | 1% | 4.42 | 1% | - | 0% | - | 0% | 31,836.02 | 2% | 2,921.46 | 1% |
| Other Current Assets (For Investments) | 0.00 | 0% | 0.00 | 0% | - | 0% | - | 0% | 5.78 | 0% | 0.40 | 0% |
| Less : Current Liabilities | | | | | | | | | | | | |
| Payable for Investments | (1.19) | -1% | (3.19) | -1% | - | 0% | - | 0% | (18,649.06) | -1% | (2,952.17) | -1% |
| Fund Management Charges Payable | (0.01) | 0% | (0.04) | 0% | (0.00) | 0% | (0.22) | 0% | (130.35) | 0% | (15.43) | 0% |
| Other Current Liabilities (For Investments) | (0.00) | 0% | (0.01) | 0% | (0.00) | 0% | (1,389.02) | -142% | (618.35) | 0% | (79.23) | 0% |
| Sub Total (B) | 0.08 | 0% | 5.63 | 1% | 0.05 | 1% | (1,388.92) | -142% | 12,506.17 | 1% | 1,799.44 | 1% |
| Other Investments (<=25%) | | | | | | | | | | | | |
| Corporate Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Infrastructure Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Equity | 18.32 | 13% | 26.33 | 7% | - | 0% | - | 0% | 394,334.39 | 22% | 27,090.09 | 13% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Venture Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Others | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (C) | 18.32 | 13% | 26.33 | 7% | - | 0% | - | 0% | 394,334.39 | 22% | 27,090.09 | 13% |
| Total (A+B+C) | 146.46 | 100% | 402.47 | 100% | 5.89 | 100% | 981.12 | 100% | 1,789,501.53 | 100% | 210,517.47 | 100% |
| Fund carried forward (as per LB2) | 146.46 | | 402.47 | | 5.89 | | 981.12 | | 1,789,501.53 | | 210,517.47 | |

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2023

₹ Lakhs

| Particulars | ULIF 089 24/11/09 LIncome 105 | ULIF 090 24/11/09 LMoneyMkt 105 | ULIF 086 24/11/09 LOpport 105 | ULIF 087 24/11/09 LBluChip 105 | ULIF 097 11/01/10 LDynamicPE 105 | ULIF 093 11/01/10 PBluChip 105 |
|-------------------------------------------------|----------------------------------|------------------------------------|----------------------------------|-----------------------------------|-------------------------------------|-----------------------------------|
| | Income Fund | Money Market Fund | Opportunities Fund | Bluechip Fund | Dynamic P/E Fund | Pension Bluechip Fund |
| Opening Balance (Market value) | 979,631.93 | 333,122.79 | 232,576.74 | 403,815.22 | 47,454.02 | 6,830.78 |
| Add: Inflows during the quarter | 76,703.94 | 36,813.28 | 23,657.83 | 15,705.00 | 323.05 | 266.07 |
| Increase / (Decrease) value of investment (Net) | 8,508.19 | 5,031.05 | 9,640.25 | 11,975.40 | 712.80 | 200.00 |
| Less : Outflow during the quarter | (121,888.85) | (67,634.99) | (16,869.34) | (19,708.18) | (2,451.74) | (478.65) |
| Total Investible Funds (Market value) | 942,955.21 | 307,332.13 | 249,005.47 | 411,787.45 | 46,038.13 | 6,818.19 |

| Investment of Unit Fund | ULIF 089 24/11/09 LIncome 105 | | ULIF 090 24/11/09 LMoneyMkt 105 | | ULIF 086 24/11/09 LOpport 105 | | ULIF 087 24/11/09 LBluChip 105 | | ULIF 097 11/01/10 LDynamicPE 105 | | ULIF 093 11/01/10 PBluChip 105 | |
|---------------------------------------------|----------------------------------|-------------|------------------------------------|-------------|----------------------------------|-------------|-----------------------------------|-------------|-------------------------------------|-------------|-----------------------------------|-------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | | | |
| Central Government Securities | 370,289.63 | 39% | - | 0% | - | 0% | - | 0% | 10,956.54 | 24% | - | 0% |
| State Government Securities | 2,689.24 | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Other Approved Securities | 21,729.39 | 2% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Corporate Bonds | 378,454.97 | 40% | 82,841.89 | 27% | 131.33 | 0% | 163.53 | 0% | 1.79 | 0% | 2.86 | 0% |
| Infrastructure Bonds | 94,303.59 | 10% | 30,151.07 | 10% | - | 0% | - | 0% | 6.23 | 0% | - | 0% |
| Equity | - | 0% | - | 0% | 190,888.16 | 77% | 334,657.99 | 81% | 23,288.27 | 51% | 5,513.20 | 81% |
| Money Market Investments | 35,765.49 | 4% | 191,615.69 | 62% | 5,941.78 | 2% | 3,818.50 | 1% | 7,543.39 | 16% | 70.56 | 1% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Deposit with Banks | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (A) | 903,232.31 | 96% | 304,608.65 | 99% | 196,961.28 | 79% | 338,640.02 | 82% | 41,796.22 | 91% | 5,586.62 | 82% |
| Current Assets: | | | | | | | | | | | | |
| Accrued Interest | 25,006.14 | 3% | 5,221.15 | 2% | - | 0% | 0.20 | 0% | 110.66 | 0% | 0.00 | 0% |
| Dividend Receivable | - | 0% | - | 0% | 7.36 | 0% | - | 0% | - | 0% | - | 0% |
| Bank Balance | 26.88 | 0% | 0.85 | 0% | 4.50 | 0% | 2.91 | 0% | 5.65 | 0% | 0.10 | 0% |
| Receivable for sale of Investments | 30,518.30 | 3% | 42.49 | 0% | 1,558.89 | 1% | 2,055.06 | 0% | - | 0% | 42.71 | 1% |
| Other Current Assets (For Investments) | 1,947.35 | 0% | 0.00 | 0% | 688.55 | 0% | 205.21 | 0% | - | 0% | 16.65 | 0% |
| Less : Current Liabilities | | | | | | | | | | | | |
| Payable for Investments | (17,692.76) | -2% | (0.00) | 0% | (1,295.29) | -1% | (839.95) | 0% | - | 0% | (13.83) | 0% |
| Fund Management Charges Payable | (69.37) | 0% | (12.65) | 0% | (18.09) | 0% | (29.84) | 0% | (3.37) | 0% | (0.49) | 0% |
| Other Current Liabilities (For Investments) | (13.64) | 0% | (2,528.35) | -1% | (3.29) | 0% | (5.39) | 0% | (82.02) | 0% | (0.09) | 0% |
| Sub Total (B) | 39,722.90 | 4% | 2,723.49 | 1% | 942.62 | 0% | 1,388.19 | 0% | 30.91 | 0% | 45.05 | 1% |
| Other Investments (<=25%) | | | | | | | | | | | | |
| Corporate Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Infrastructure Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Equity | - | 0% | - | 0% | 51,101.58 | 21% | 71,759.25 | 17% | 4,211.00 | 9% | 1,186.52 | 17% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Venture Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Others | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (C) | - | 0% | - | 0% | 51,101.58 | 21% | 71,759.25 | 17% | 4,211.00 | 9% | 1,186.52 | 17% |
| Total (A+B+C) | 942,955.21 | 100% | 307,332.13 | 100% | 249,005.47 | 100% | 411,787.45 | 100% | 46,038.13 | 100% | 6,818.19 | 100% |
| Fund carried forward (as per LB2) | 942,955.21 | | 307,332.13 | | 249,005.47 | | 411,787.45 | | 46,038.13 | | 6,818.19 | |

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2023

₹ Lakhs

| Particulars | ULIF 091 11/01/10 PMCapGro 105 | ULIF 095 11/01/10 PIncome 105 | ULIF 094 11/01/10 PMCapBal 105 | ULIF 096 11/01/10 PMoneyMkt 105 | ULIF 092 11/01/10 POport 105 | ULIF 098 11/01/10 PDynamicPE 105 |
|-------------------------------------------------|-----------------------------------|----------------------------------|------------------------------------|------------------------------------|---------------------------------|-------------------------------------|
| | Pension Multi Cap Growth Fund | Pension Income Fund | Pension Multi Cap Balanced Fund | Pension Money Market Fund | Pension Opportunities Fund | Pension Dynamic P/E Fund |
| Opening Balance (Market value) | 43,356.69 | 22,683.02 | 5,163.49 | 5,903.72 | 14,044.33 | 24,235.74 |
| Add: Inflows during the quarter | 128.52 | 1,002.58 | 83.00 | 780.35 | 789.29 | 151.37 |
| Increase / (Decrease) value of investment (Net) | 1,279.63 | 187.33 | 115.19 | 92.71 | 567.56 | 369.27 |
| Less : Outflow during the quarter | (2,859.35) | (669.60) | (272.09) | (1,009.04) | (1,018.06) | (811.32) |
| Total Investible Funds (Market value) | 41,905.49 | 23,203.33 | 5,089.59 | 5,767.74 | 14,383.12 | 23,945.06 |

| Investment of Unit Fund | ULIF 091 11/01/10 PMCapGro 105 | | ULIF 095 11/01/10 PIncome 105 | | ULIF 094 11/01/10 PMCapBal 105 | | ULIF 096 11/01/10 PMoneyMkt 105 | | ULIF 092 11/01/10 POport 105 | | ULIF 098 11/01/10 PDynamicPE 105 | |
|---------------------------------------------|-----------------------------------|-------------|----------------------------------|-------------|-----------------------------------|-------------|------------------------------------|-------------|---------------------------------|-------------|-------------------------------------|-------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | | | |
| Central Government Securities | - | 0% | 8,376.80 | 36% | 1,080.40 | 21% | - | 0% | - | 0% | 5,599.61 | 23% |
| State Government Securities | - | 0% | 57.77 | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Other Approved Securities | - | 0% | 464.12 | 2% | 67.84 | 1% | - | 0% | - | 0% | - | 0% |
| Corporate Bonds | 2.22 | 0% | 8,125.91 | 35% | 503.93 | 10% | 1,542.55 | 27% | 8.22 | 0% | 0.51 | 0% |
| Infrastructure Bonds | - | 0% | 3,415.34 | 15% | 146.22 | 3% | 568.70 | 10% | - | 0% | - | 0% |
| Equity | 32,680.66 | 78% | - | 0% | 2,389.68 | 47% | - | 0% | 11,081.22 | 77% | 12,001.40 | 50% |
| Money Market Investments | 278.31 | 1% | 2,524.13 | 11% | 319.49 | 6% | 3,616.91 | 63% | 236.74 | 2% | 4,114.81 | 17% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Deposit with Banks | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (A) | 32,961.20 | 79% | 22,964.07 | 99% | 4,507.56 | 89% | 5,728.16 | 99% | 11,326.19 | 79% | 21,716.33 | 91% |
| Current Assets: | | | | | | | | | | | | |
| Accrued Interest | 0.04 | 0% | 629.57 | 3% | 41.14 | 1% | 98.49 | 2% | - | 0% | 57.12 | 0% |
| Dividend Receivable | 0.35 | 0% | - | 0% | 0.02 | 0% | - | 0% | 0.43 | 0% | - | 0% |
| Bank Balance | 0.26 | 0% | 1.92 | 0% | 0.28 | 0% | 0.20 | 0% | 0.23 | 0% | 3.10 | 0% |
| Receivable for sale of Investments | 95.40 | 0% | 42.18 | 0% | 52.08 | 1% | - | 0% | 96.46 | 1% | - | 0% |
| Other Current Assets (For Investments) | 0.01 | 0% | 7.70 | 0% | 0.00 | 0% | (0.00) | 0% | 51.69 | 0% | 0.67 | 0% |
| Less : Current Liabilities | | | | | | | | | | | | |
| Payable for Investments | - | 0% | (440.05) | -2% | (68.29) | -1% | - | 0% | (66.84) | 0% | - | 0% |
| Fund Management Charges Payable | (3.05) | 0% | (1.71) | 0% | (0.37) | 0% | (0.24) | 0% | (1.05) | 0% | (1.75) | 0% |
| Other Current Liabilities (For Investments) | (28.03) | 0% | (0.36) | 0% | (22.50) | 0% | (58.87) | -1% | (0.19) | 0% | (0.40) | 0% |
| Sub Total (B) | 64.98 | 0% | 239.25 | 1% | 2.36 | 0% | 39.59 | 1% | 80.73 | 1% | 58.75 | 0% |
| Other Investments (<=25%) | | | | | | | | | | | | |
| Corporate Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Infrastructure Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Equity | 8,879.31 | 21% | - | 0% | 579.67 | 11% | - | 0% | 2,976.21 | 21% | 2,169.99 | 9% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Venture Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Others | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (C) | 8,879.31 | 21% | - | 0% | 579.67 | 11% | - | 0% | 2,976.21 | 21% | 2,169.99 | 9% |
| Total (A+B+C) | 41,905.49 | 100% | 23,203.33 | 100% | 5,089.59 | 100% | 5,767.74 | 100% | 14,383.12 | 100% | 23,945.06 | 100% |
| Fund carried forward (as per LB2) | 41,905.49 | | 23,203.33 | | 5,089.59 | | 5,767.74 | | 14,383.12 | | 23,945.06 | |

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2023

₹ Lakhs

| Particulars | ULIF 127 01/12/11 PGROWTH 105 | ULIF 128 01/12/11 PSECURE 105 | ULIF 132 02/11/12 ERBF 105 | ULIF 133 02/11/12 ERSF 105 | ULIF 100 01/07/10 LDiscont 105 | ULIF 101 01/07/10 PDiscont 105 |
|-------------------------------------------------|----------------------------------|----------------------------------|----------------------------------|--------------------------------|-----------------------------------|-----------------------------------|
| | Pension Growth Fund | Pension Secure Fund | Easy Retirement Balanced Fund | Easy Retirement Secure Fund | Discontinued Fund - Life | Discontinued Fund - Pension |
| Opening Balance (Market value) | 562.43 | 2,869.10 | 47,310.68 | 10,508.10 | 880,289.42 | 4,003.02 |
| Add: Inflows during the quarter | 0.25 | 8.60 | 273.26 | 204.72 | 47,102.68 | 477.80 |
| Increase / (Decrease) value of investment (Net) | 14.69 | 19.23 | 526.10 | 81.11 | 13,422.12 | 63.08 |
| Less : Outflow during the quarter | (148.70) | (1,020.45) | (2,108.01) | (721.73) | (126,414.20) | (455.61) |
| Total Investible Funds (Market value) | 428.67 | 1,876.48 | 46,002.03 | 10,072.20 | 814,400.02 | 4,088.29 |

| Investment of Unit Fund | ULIF 127 01/12/11 PGROWTH 105 | | ULIF 128 01/12/11 PSECURE 105 | | ULIF 132 02/11/12 ERBF 105 | | ULIF 133 02/11/12 ERSF 105 | | ULIF 100 01/07/10 LDiscont 105 | | ULIF 101 01/07/10 PDiscont 105 | |
|---------------------------------------------|----------------------------------|-------------|----------------------------------|-------------|----------------------------|-------------|----------------------------|-------------|-----------------------------------|-------------|-----------------------------------|-------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | | | |
| Central Government Securities | - | 0% | 921.09 | 49% | 12,132.38 | 26% | 4,574.95 | 45% | 207,036.11 | 25% | - | 0% |
| State Government Securities | - | 0% | 13.76 | 1% | - | 0% | 28.43 | 0% | - | 0% | - | 0% |
| Other Approved Securities | - | 0% | 20.00 | 1% | 778.50 | 2% | 252.44 | 3% | - | 0% | - | 0% |
| Corporate Bonds | - | 0% | 803.32 | 43% | 7,422.50 | 16% | 3,819.04 | 38% | - | 0% | - | 0% |
| Infrastructure Bonds | - | 0% | 99.74 | 5% | 3,816.91 | 8% | 948.58 | 9% | - | 0% | - | 0% |
| Equity | 351.88 | 82% | - | 0% | 16,542.37 | 36% | - | 0% | - | 0% | - | 0% |
| Money Market Investments | 9.35 | 2% | 51.71 | 3% | 1,135.93 | 2% | 263.78 | 3% | 610,279.37 | 75% | 4,158.23 | 102% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Deposit with Banks | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (A) | 361.23 | 84% | 1,909.62 | 102% | 41,828.60 | 91% | 9,887.22 | 98% | 817,315.49 | 100% | 4,158.23 | 102% |
| Current Assets: | | | | | | | | | | | | |
| Accrued Interest | - | 0% | 52.70 | 3% | 608.09 | 1% | 272.34 | 3% | 4,912.10 | 1% | - | 0% |
| Dividend Receivable | - | 0% | - | 0% | 0.17 | 0% | - | 0% | - | 0% | - | 0% |
| Bank Balance | 0.06 | 0% | 0.09 | 0% | 0.79 | 0% | 0.25 | 0% | 27.86 | 0% | 1.52 | 0% |
| Receivable for sale of Investments | 6.70 | 2% | 19.22 | 1% | 710.45 | 2% | 122.00 | 1% | - | 0% | - | 0% |
| Other Current Assets (For Investments) | 0.00 | 0% | 0.00 | 0% | 29.96 | 0% | 0.00 | 0% | 0.00 | 0% | 0.00 | 0% |
| Less : Current Liabilities | | | | | | | | | | | | |
| Payable for Investments | - | 0% | (40.00) | -2% | (818.54) | -2% | (190.02) | -2% | - | 0% | - | 0% |
| Fund Management Charges Payable | (0.03) | 0% | (0.14) | 0% | (4.62) | 0% | (0.80) | 0% | (22.43) | 0% | (0.11) | 0% |
| Other Current Liabilities (For Investments) | (4.08) | -1% | (65.00) | -3% | (0.87) | 0% | (18.78) | 0% | (7,833.00) | -1% | (71.35) | -2% |
| Sub Total (B) | 2.64 | 1% | (33.14) | -2% | 525.42 | 1% | 184.98 | 2% | (2,915.47) | 0% | (69.94) | -2% |
| Other Investments (<=25%) | | | | | | | | | | | | |
| Corporate Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Infrastructure Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Equity | 64.80 | 15% | - | 0% | 3,648.01 | 8% | - | 0% | - | 0% | - | 0% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Venture Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Others | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (C) | 64.80 | 15% | - | 0% | 3,648.01 | 8% | - | 0% | - | 0% | - | 0% |
| Total (A+B+C) | 428.67 | 100% | 1,876.48 | 100% | 46,002.03 | 100% | 10,072.20 | 100% | 814,400.02 | 100% | 4,088.29 | 100% |
| Fund carried forward (as per LB2) | 428.67 | | 1,876.48 | | 46,002.03 | | 10,072.20 | | 814,400.02 | | 4,088.29 | |

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2023

₹ Lakhs

| Particulars | ULGF 041 30/04/13 GBalancer2 105 | ULGF 042 30/04/13 GGrowth2 105 | ULIF 134 19/09/13 LGF 105 | ULIF 135 19/09/13 LSF 105 | ULIF 136 25/03/13 ERSPBF 105 | ULGF 039 30/04/13 GSTDebt3 105 |
|-------------------------------------------------|-------------------------------------|-----------------------------------|---------------------------|---------------------------|-------------------------------------|-----------------------------------|
| | Group Balanced Fund II | Group Growth Fund II | Life Growth Fund | Life Secure Fund | Easy Retirement SP Balanced Fund | Group Short Term Debt Fund III |
| Opening Balance (Market value) | 315,001.74 | 16,804.90 | 81,050.34 | 264,190.67 | 2,772.78 | 5,504.84 |
| Add: Inflows during the quarter | 37,843.29 | 311.78 | 47.87 | 572.80 | 59.69 | 2,395.41 |
| Increase / (Decrease) value of investment (Net) | 4,243.75 | 385.59 | 2,524.97 | 1,857.94 | 37.38 | 78.67 |
| Less : Outflow during the quarter | (6,548.19) | (23.72) | (6,626.16) | (4,927.75) | (79.19) | (1,781.82) |
| Total Investible Funds (Market value) | 350,540.60 | 17,478.55 | 76,997.02 | 261,693.67 | 2,790.67 | 6,197.10 |

| Investment of Unit Fund | ULGF 041 30/04/13 GBalancer2 105 | | ULGF 042 30/04/13 GGrowth2 105 | | ULIF 134 19/09/13 LGF 105 | | ULIF 135 19/09/13 LSF 105 | | ULIF 136 25/03/13 ERSPBF 105 | | ULGF 039 30/04/13 GSTDebt3 105 | |
|---------------------------------------------|-------------------------------------|-------------|-----------------------------------|-------------|---------------------------|-------------|---------------------------|-------------|---------------------------------|-------------|-----------------------------------|-------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | | | |
| Central Government Securities | 121,969.82 | 35% | 3,269.39 | 19% | - | 0% | 106,010.12 | 41% | 744.98 | 27% | - | 0% |
| State Government Securities | 11,222.91 | 3% | - | 0% | - | 0% | 705.22 | 0% | - | 0% | - | 0% |
| Other Approved Securities | 12,077.92 | 3% | 271.18 | 2% | - | 0% | 6,764.27 | 3% | 28.82 | 1% | - | 0% |
| Corporate Bonds | 86,767.39 | 25% | 1,880.97 | 11% | 56.35 | 0% | 91,657.77 | 35% | 372.76 | 13% | 1,682.65 | 27% |
| Infrastructure Bonds | 38,134.29 | 11% | 596.09 | 3% | - | 0% | 29,637.49 | 11% | 149.55 | 5% | 548.75 | 9% |
| Equity | 43,560.55 | 12% | 7,809.05 | 45% | 61,732.42 | 80% | - | 0% | 996.44 | 36% | - | 0% |
| Money Market Investments | 23,738.03 | 7% | 1,799.96 | 10% | 554.55 | 1% | 24,874.59 | 10% | 265.95 | 10% | 3,868.54 | 62% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Deposit with Banks | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (A) | 337,470.90 | 96% | 15,626.64 | 89% | 62,343.33 | 81% | 259,649.45 | 99% | 2,558.48 | 92% | 6,099.93 | 98% |
| Current Assets: | | | | | | | | | | | | |
| Accrued Interest | 6,973.17 | 2% | 128.44 | 1% | 0.10 | 0% | 6,346.14 | 2% | 31.34 | 1% | 97.28 | 2% |
| Dividend Receivable | 1.57 | 0% | 0.28 | 0% | 2.23 | 0% | - | 0% | 0.01 | 0% | - | 0% |
| Bank Balance | 12.87 | 0% | 1.25 | 0% | 0.47 | 0% | 14.94 | 0% | 0.25 | 0% | 0.41 | 0% |
| Receivable for sale of Investments | 1,581.84 | 0% | 72.85 | 0% | 504.12 | 1% | 586.04 | 0% | 41.39 | 1% | - | 0% |
| Other Current Assets (For Investments) | 95.11 | 0% | 0.01 | 0% | 0.08 | 0% | 49.49 | 0% | 0.00 | 0% | - | 0% |
| Less : Current Liabilities | | | | | | | | | | | | |
| Payable for Investments | (5,714.27) | -2% | (174.81) | -1% | (118.23) | 0% | (4,920.53) | -2% | (50.07) | -2% | - | 0% |
| Fund Management Charges Payable | (24.85) | 0% | (1.23) | 0% | (7.72) | 0% | (26.46) | 0% | (0.23) | 0% | (0.44) | 0% |
| Other Current Liabilities (For Investments) | (5.14) | 0% | (0.83) | 0% | (155.38) | 0% | (5.40) | 0% | (0.06) | 0% | (0.08) | 0% |
| Sub Total (B) | 2,920.31 | 1% | 25.95 | 0% | 225.67 | 0% | 2,044.22 | 1% | 22.62 | 1% | 97.17 | 2% |
| Other Investments (<=25%) | | | | | | | | | | | | |
| Corporate Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Infrastructure Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Equity | 10,149.39 | 3% | 1,825.95 | 10% | 14,428.03 | 19% | - | 0% | 209.56 | 8% | - | 0% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Venture Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Others | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (C) | 10,149.39 | 3% | 1,825.95 | 10% | 14,428.03 | 19% | - | 0% | 209.56 | 8% | - | 0% |
| Total (A+B+C) | 350,540.60 | 100% | 17,478.55 | 100% | 76,997.02 | 100% | 261,693.67 | 100% | 2,790.67 | 100% | 6,197.10 | 100% |
| Fund carried forward (as per LB2) | 350,540.60 | | 17,478.55 | | 76,997.02 | | 261,693.67 | | 2,790.67 | | 6,197.10 | |

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2023

₹ Lakhs

| Particulars | ULGF 050 27/08/13 GCGGrowth3 105 | ULGF 046 27/08/13 GSTDebt2 105 | ULIF 136 11/20/14 MIF 105 | ULGF 043 30/04/13 GEquity2 105 | ULIF 138 15/02/17 AAABF 105 | ULGF 051 03/04/03 GSBLN 105 |
|-------------------------------------------------|--------------------------------------------|-----------------------------------|---------------------------|-----------------------------------|------------------------------------------|----------------------------------------|
| | Group Capital Guarantee Growth Fund III | Group Short Term Debt Fund II | Maximise India Fund | Group Equity Fund II | Active Asset Allocation Balanced Fund | Group Balanced Fund- Superannuation |
| Opening Balance (Market value) | 13,882.23 | 177.15 | 75,039.91 | 456.90 | 334,322.75 | 76,814.06 |
| Add: Inflows during the quarter | 601.00 | - | 2,620.47 | 14.00 | 1,781.82 | 881.80 |
| Increase / (Decrease) value of investment (Net) | 242.38 | 2.27 | 2,411.77 | 15.03 | 7,069.76 | 921.31 |
| Less : Outflow during the quarter | (217.81) | (31.87) | (3,837.65) | (25.00) | (21,106.02) | (7,794.42) |
| Total Investible Funds (Market value) | 14,507.80 | 147.55 | 76,234.49 | 460.93 | 322,068.31 | 70,822.75 |

| Investment of Unit Fund | ULGF 050 27/08/13 GCGGrowth3 105 | | ULGF 046 27/08/13 GSTDebt2 105 | | ULIF 136 11/20/14 MIF 105 | | ULGF 043 30/04/13 GEquity2 105 | | ULIF 138 15/02/17 AAABF 105 | | ULGF 051 03/04/03 GSBLN 105 | |
|---------------------------------------------|-------------------------------------|-------------|-----------------------------------|-------------|---------------------------|-------------|-----------------------------------|-------------|--------------------------------|-------------|--------------------------------|-------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | | | |
| Central Government Securities | 4,065.43 | 28% | - | 0% | - | 0% | - | 0% | 76,373.81 | 24% | 28,027.56 | 40% |
| State Government Securities | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Other Approved Securities | 240.10 | 2% | - | 0% | - | 0% | - | 0% | 5,383.40 | 2% | 1,144.24 | 2% |
| Corporate Bonds | 2,433.72 | 17% | 10.04 | 7% | - | 0% | 0.29 | 0% | 50,829.98 | 16% | 18,751.27 | 26% |
| Infrastructure Bonds | 835.05 | 6% | 19.96 | 14% | - | 0% | - | 0% | 16,186.09 | 5% | 6,065.79 | 9% |
| Equity | 4,111.63 | 28% | - | 0% | 60,460.54 | 79% | 365.47 | 79% | 134,090.98 | 42% | 8,876.49 | 13% |
| Money Market Investments | 1,794.23 | 12% | 116.31 | 79% | 1,625.54 | 2% | 6.80 | 1% | 4,644.09 | 1% | 5,247.09 | 7% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Deposit with Banks | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (A) | 13,480.16 | 93% | 146.31 | 99% | 62,086.08 | 81% | 372.56 | 81% | 287,508.35 | 89% | 68,112.44 | 96% |
| Current Assets: | | | | | | | | | | | | |
| Accrued Interest | 205.23 | 1% | 1.19 | 1% | - | 0% | 0.00 | 0% | 3,877.85 | 1% | 1,351.43 | 2% |
| Dividend Receivable | 0.15 | 0% | - | 0% | 0.78 | 0% | 0.01 | 0% | 4.76 | 0% | 0.32 | 0% |
| Bank Balance | 1.24 | 0% | 0.05 | 0% | 1.27 | 0% | 0.06 | 0% | 3.53 | 0% | 2.71 | 0% |
| Receivable for sale of Investments | 54.93 | 0% | - | 0% | 1,113.36 | 1% | 3.68 | 1% | 5,520.37 | 2% | 427.06 | 1% |
| Other Current Assets (For Investments) | 0.01 | 0% | - | 0% | 31.63 | 0% | 0.00 | 0% | 0.30 | 0% | 1.28 | 0% |
| Less : Current Liabilities | | | | | | | | | | | | |
| Payable for Investments | (194.03) | -1% | - | 0% | (609.88) | -1% | (0.89) | 0% | (5,648.48) | -2% | (1,141.39) | -2% |
| Fund Management Charges Payable | (1.15) | 0% | (0.01) | 0% | (5.56) | 0% | (0.03) | 0% | (23.63) | 0% | (5.02) | 0% |
| Other Current Liabilities (For Investments) | (4.81) | 0% | (0.00) | 0% | (1.01) | 0% | (0.01) | 0% | (318.58) | 0% | (1.08) | 0% |
| Sub Total (B) | 61.57 | 0% | 1.23 | 1% | 530.60 | 1% | 2.82 | 1% | 3,416.12 | 1% | 635.30 | 1% |
| Other Investments (<=25%) | | | | | | | | | | | | |
| Corporate Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Infrastructure Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Equity | 966.07 | 7% | - | 0% | 13,617.82 | 18% | 85.55 | 19% | 31,143.84 | 10% | 2,075.01 | 3% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Venture Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Others | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (C) | 966.07 | 7% | - | 0% | 13,617.82 | 18% | 85.55 | 19% | 31,143.84 | 10% | 2,075.01 | 3% |
| Total (A+B+C) | 14,507.80 | 100% | 147.55 | 100% | 76,234.49 | 100% | 460.93 | 100% | 322,068.31 | 100% | 70,822.75 | 100% |
| Fund carried forward (as per LB2) | 14,507.80 | | 147.55 | | 76,234.49 | | 460.93 | | 322,068.31 | | 70,822.75 | |

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2023

₹ Lakhs

| Particulars | ULGF 055 24/02/04 GSCGSTD 105 | ULGF 052 03/04/03 GSDBT 105 | ULGF 054 30/10/03 GSGF 105 | ULGF 053 03/04/03 GSSTD 105 | ULIF 139 24/11/17 VEF 105 | ULIF 140 24/11/17 SOF 105 | ULIF 142 04/02/19 FocusFifty 105 | ULIF 141 04/02/19 IndiaGrwth 105 |
|-------------------------------------------------|-----------------------------------------------------------------------|------------------------------------|--------------------------------------|----------------------------------------------|------------------------------|------------------------------|-------------------------------------|-------------------------------------|
| | Group Capital Guarantee Short Term Debt Fund- Superannuation | Group Debt Fund- Superannuation | Group Growth Fund- Superannuation | Group Short Term Debt Fund-Superannuation | Value Enhancer Fund | Secure Opportunities Fund | Focus 50 Fund | India Growth Fund |
| Opening Balance (Market value) | 1,072.03 | 31,917.37 | 52,101.46 | 11,332.12 | 689,792.50 | 55,614.81 | 360,348.37 | 340,519.27 |
| Add: Inflows during the quarter | 0.18 | 365.69 | 711.20 | 656.11 | 8,767.39 | 2,680.16 | 12,297.08 | 44,094.52 |
| Increase / (Decrease) value of investment (Net) | 15.42 | 281.27 | 1,189.31 | 160.40 | 27,086.66 | 738.48 | 8,619.85 | 12,100.69 |
| Less : Outflow during the quarter | (2.31) | (485.50) | (2,159.09) | (660.67) | (43,311.97) | (3,900.55) | (2,254.50) | (471.39) |
| Total Investible Funds (Market value) | 1,085.33 | 32,078.82 | 51,842.88 | 11,487.96 | 682,334.58 | 55,132.89 | 379,010.79 | 396,243.10 |

| Investment of Unit Fund | ULGF 055 24/02/04 GSCGSTD 105 | | ULGF 052 03/04/03 GSDBT 105 | | ULGF 054 30/10/03 GSGF 105 | | ULGF 053 03/04/03 GSSTD 105 | | ULIF 139 24/11/17 VEF 105 | | ULIF 140 24/11/17 SOF 105 | | ULIF 142 04/02/19 FocusFifty 105 | | ULIF 141 04/02/19 IndiaGrwth 105 | |
|---------------------------------------------|----------------------------------|-------------|--------------------------------|-------------|-------------------------------|-------------|--------------------------------|-------------|------------------------------|-------------|------------------------------|-------------|-------------------------------------|-------------|-------------------------------------|-------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | | | | | | | |
| Central Government Securities | - | 0% | 11,452.79 | 36% | 10,031.95 | 19% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| State Government Securities | - | 0% | 92.36 | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Other Approved Securities | - | 0% | 822.13 | 3% | 797.82 | 2% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Corporate Bonds | 310.50 | 29% | 12,161.91 | 38% | 6,079.19 | 12% | 3,215.15 | 28% | 316.28 | 0% | 28,154.46 | 51% | 9.46 | 0% | 173.61 | 0% |
| Infrastructure Bonds | 109.75 | 10% | 5,740.72 | 18% | 2,287.59 | 4% | 1,107.47 | 10% | - | 0% | 8,252.86 | 15% | - | 0% | - | 0% |
| Equity | - | 0% | - | 0% | 23,488.58 | 45% | - | 0% | 539,407.26 | 79% | - | 0% | 316,191.70 | 83% | 315,028.75 | 80% |
| Money Market Investments | 645.59 | 59% | 1,432.02 | 4% | 3,451.78 | 7% | 6,983.89 | 61% | 9,719.34 | 1% | 16,742.00 | 30% | 5,254.50 | 1% | 5,807.99 | 1% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Deposit with Banks | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (A) | 1,065.84 | 98% | 31,701.93 | 99% | 46,136.92 | 89% | 11,306.50 | 98% | 549,442.88 | 81% | 53,149.33 | 96% | 321,455.66 | 85% | 321,010.34 | 81% |
| Current Assets: | | | | | | | | | | | | | | | | |
| Accrued Interest | 19.49 | 2% | 843.51 | 3% | 405.76 | 1% | 181.83 | 2% | 1.05 | 0% | 1,544.78 | 3% | 0.17 | 0% | 0.05 | 0% |
| Dividend Receivable | - | 0% | - | 0% | 0.84 | 0% | - | 0% | 40.13 | 0% | - | 0% | - | 0% | 11.26 | 0% |
| Bank Balance | 0.07 | 0% | 0.99 | 0% | 2.17 | 0% | 0.98 | 0% | 7.32 | 0% | 11.84 | 0% | 3.98 | 0% | 4.40 | 0% |
| Receivable for sale of Investments | - | 0% | 137.72 | 0% | 290.32 | 1% | - | 0% | 12,442.47 | 2% | 264.82 | 0% | - | 0% | 2,402.85 | 1% |
| Other Current Assets (For Investments) | 0.00 | 0% | 0.00 | 0% | 2.53 | 0% | - | 0% | 2.42 | 0% | 167.05 | 0% | 686.32 | 0% | 1,661.31 | 0% |
| Less : Current Liabilities | | | | | | | | | | | | | | | | |
| Payable for Investments | - | 0% | (600.07) | -2% | (488.53) | -1% | - | 0% | (9,611.45) | -1% | (0.03) | 0% | (302.94) | 0% | (3,034.37) | -1% |
| Fund Management Charges Payable | (0.07) | 0% | (2.28) | 0% | (3.65) | 0% | (0.82) | 0% | (50.37) | 0% | (4.05) | 0% | (27.43) | 0% | (28.75) | 0% |
| Other Current Liabilities (For Investments) | (0.01) | 0% | (2.97) | 0% | (0.73) | 0% | (0.53) | 0% | (571.06) | 0% | (0.84) | 0% | (4.97) | 0% | (5.21) | 0% |
| Sub Total (B) | 19.48 | 2% | 376.90 | 1% | 208.70 | 0% | 181.46 | 2% | 2,260.51 | 0% | 1,983.57 | 4% | 355.13 | 0% | 1,011.53 | 0% |
| Other Investments (<=25%) | | | | | | | | | | | | | | | | |
| Corporate Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Infrastructure Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Equity | - | 0% | - | 0% | 5,497.25 | 11% | - | 0% | 130,631.18 | 19% | - | 0% | 57,200.00 | 15% | 74,221.22 | 19% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Venture Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Others | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% |
| Sub Total (C) | - | 0% | - | 0% | 5,497.25 | 11% | - | 0% | 130,631.18 | 19% | - | 0% | 57,200.00 | 15% | 74,221.22 | 19% |
| Total (A+B+C) | 1,085.33 | 100% | 32,078.82 | 100% | 51,842.88 | 100% | 11,487.96 | 100% | 682,334.58 | 100% | 55,132.89 | 100% | 379,010.79 | 100% | 396,243.10 | 100% |
| Fund carried forward (as per LB2) | 1,085.33 | | 32,078.82 | | 51,842.88 | | 11,487.96 | | 682,334.58 | | 55,132.89 | | 379,010.79 | | 396,243.10 | |

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2023

₹ Lakhs

| Particulars | ULIF 144 03/06/21 BalanceAdv 105 | ULIF 145 03/06/21 SustainEqu 105 | ULIF 146 28/06/22 MidCapFund 105 | ULIF 147 050123 MCHybrdGr 105 | ULIF 148 050123 ConstntMat 105 | ULIF 149 050723 McIndxFund 105 | Total of All Funds |
|-------------------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|----------------------------------|-----------------------------------|-----------------------------------|----------------------|
| | Balanced Advantage Fund | Sustainable Equity Fund | Mid Cap Fund | Mid Cap Hybrid Growth Fund | Constant Maturity Fund | Midcap Index Fund | |
| Opening Balance (Market value) | 138,531.85 | 62,602.18 | 80,152.67 | 18,679.81 | 10,601.25 | - | 15,418,643.84 |
| Add: Inflows during the quarter | 13,183.60 | 1,174.03 | 24,975.91 | 4,525.72 | 3,010.34 | 17,009.49 | 563,827.23 |
| Increase / (Decrease) value of investment (Net) | 4,556.91 | 2,120.26 | 9,166.54 | 1,635.10 | 85.03 | 25.97 | 408,582.19 |
| Less : Outflow during the quarter | (2,309.20) | (1,379.29) | (73.84) | (44.40) | (1,757.54) | - | (899,084.81) |
| Total Investible Funds (Market value) | 153,963.16 | 64,517.18 | 114,221.28 | 24,796.24 | 11,939.08 | 17,035.46 | 15,491,968.44 |

| Investment of Unit Fund | ULIF 144 03/06/21 BalanceAdv 105 | | ULIF 145 03/06/21 SustainEqu 105 | | ULIF 146 28/06/22 MidCapFund 105 | | ULIF 147 050123 MCHybrdGr 105 | | ULIF 148 050123 ConstntMat 105 | | ULIF 149 050723 McIndxFund 105 | | Total of All Funds | |
|------------------------------------------|-------------------------------------|-------------|-------------------------------------|-------------|-------------------------------------|-------------|----------------------------------|-------------|-----------------------------------|-------------|-----------------------------------|-------------|----------------------|-------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | | | | | |
| Central Government Securities | 16,185.04 | 11% | - | 0% | - | 0% | 5,806.34 | 23% | 7,791.25 | 65% | - | 0% | 1,339,646.30 | 9% |
| State Government Securities | - | 0% | - | 0% | - | 0% | - | 0% | 2,151.74 | 18% | - | 0% | 20,086.36 | 0% |
| Other Approved Securities | 300.64 | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | 71,330.90 | 0% |
| Corporate Bonds | 11,672.97 | 8% | 26.02 | 0% | - | 0% | 170.96 | 1% | 819.11 | 7% | - | 0% | 1,089,547.88 | 7% |
| Infrastructure Bonds | 3,043.30 | 2% | - | 0% | - | 0% | - | 0% | 361.67 | 3% | - | 0% | 340,602.57 | 2% |
| Equity | 94,968.35 | 62% | 54,947.85 | 85% | 82,934.88 | 73% | 14,739.69 | 59% | - | 0% | 9,603.87 | 56% | 8,963,162.46 | 58% |
| Money Market Investments | 6,698.86 | 4% | 1,142.42 | 2% | 6,305.70 | 6% | 14.77 | 0% | 648.76 | 5% | 1,987.18 | 12% | 1,256,112.38 | 8% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | 0.00 | 0% |
| Deposit with Banks | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | 0.00 | 0% |
| Sub Total (A) | 132,869.17 | 86% | 56,116.28 | 87% | 89,240.58 | 78% | 20,731.77 | 84% | 11,772.53 | 99% | 11,591.06 | 68% | 13,080,488.84 | 84% |
| Current Assets: | | | | | | | | | | | | | | |
| Accrued Interest | 751.02 | 0% | - | 0% | - | 0% | 78.41 | 0% | 145.44 | 1% | - | 0% | 79,945.78 | 1% |
| Dividend Receivable | 2.53 | 0% | 11.38 | 0% | 11.93 | 0% | 2.16 | 0% | - | 0% | - | 0% | 161.80 | 0% |
| Bank Balance | 5.06 | 0% | 0.91 | 0% | 4.77 | 0% | 0.06 | 0% | 0.53 | 0% | 271.06 | 2% | 567.24 | 0% |
| Receivable for sale of Investments | 2,991.86 | 2% | 251.09 | 0% | 818.80 | 1% | 142.35 | 1% | - | 0% | 904.09 | 5% | 114,426.51 | 1% |
| Other Current Assets (For Investments) | 0.56 | 0% | 0.03 | 0% | 2,868.70 | 3% | 95.12 | 0% | 21.62 | 0% | 4,888.04 | 29% | 17,274.30 | 0% |
| Sub Total (B) | (240.21) | 0% | 193.31 | 0% | 2,939.13 | 3% | 191.60 | 1% | 166.55 | 1% | 2,990.11 | 18% | 88,316.46 | 1% |
| Other Investments (<=25%) | | | | | | | | | | | | | | |
| Corporate Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | 0.00 | 0% |
| Infrastructure Bonds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | 0.00 | 0% |
| Equity | 21,334.21 | 14% | 8,207.59 | 13% | 22,041.57 | 19% | 3,872.87 | 16% | - | 0% | 2,454.29 | 14% | 2,323,163.13 | 15% |
| Mutual Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | 0.00 | 0% |
| Venture Funds | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | 0.00 | 0% |
| Others | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | - | 0% | 0.00 | 0% |
| Sub Total (C) | 21,334.21 | 14% | 8,207.59 | 13% | 22,041.57 | 19% | 3,872.87 | 16% | - | 0% | 2,454.29 | 14% | 2,323,163.13 | 15% |
| Total (A+B+C) | 153,963.16 | 100% | 64,517.18 | 100% | 114,221.28 | 100% | 24,796.24 | 100% | 11,939.08 | 100% | 17,035.46 | 100% | 15,491,968.44 | 100% |
| Fund carried forward (as per LB2) | 153,963.16 | | 64,517.18 | | 114,221.28 | | 24,796.24 | | 11,939.08 | | 17,035.46 | | 15,491,968.44 | |

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

| No | Fund Name | SFIN | Date of Launch | Par/Non Par | Assets Under Management on the above date (₹ Lakhs) | NAV as per LB 2 (₹) | NAV as on the above date*(₹) | 30-Sep-23 | 30-Jun-23 | 31-Mar-23 | 31-Dec-22 | 30-Sep-22 | Return/Yield** | 3 Year Rolling CAGR | Highest NAV since inception (₹) |
|----|--------------------------------------------------|----------------------------------|--------------------|-------------|-----------------------------------------------------|---------------------|------------------------------|----------------------|--------------------------|--------------------------|--------------------------|-----------|----------------|---------------------|---------------------------------|
| | | | | | | | | Previous Qtr NAV (₹) | 2nd Previous Qtr NAV (₹) | 3rd Previous Qtr NAV (₹) | 4th Previous Qtr NAV (₹) | | | | |
| 1 | Bluechip Fund | ULIF 087 24/11/09 LBUChip 105 | November 24, 2009 | Non Par | 411,787.45 | 36.9373 | 36.9373 | 35.8748 | 32.2554 | 33.5025 | 31.7058 | 16.50% | 21.18% | 37.8740 | |
| 2 | Dynamic P/E Fund | ULIF 097 11/01/10 LDynamicPE 105 | January 11, 2010 | Non Par | 46,038.13 | 30.1716 | 30.1716 | 29.7248 | 27.3550 | 28.0679 | 26.9368 | 12.01% | 11.87% | 30.6698 | |
| 3 | Easy Retirement Balanced Fund | ULIF 132 02/11/12 ERBF 105 | September 24, 2013 | Non Par | 46,002.03 | 23.0758 | 23.0758 | 22.8185 | 21.5940 | 21.9825 | 21.4226 | 7.72% | 10.04% | 23.3788 | |
| 4 | Easy Retirement Secure Fund | ULIF 133 02/11/12 ERSF 105 | September 24, 2013 | Non Par | 10,072.20 | 20.6296 | 20.6296 | 20.4678 | 20.0414 | 19.7568 | 19.4517 | 6.06% | 3.52% | 20.6638 | |
| 5 | Easy Retirement SP Balanced Fund | ULIF 136 25/03/13 ERSPBF 105 | April 23, 2014 | Non Par | 2,790.67 | 21.7256 | 21.7256 | 21.4332 | 20.2236 | 20.5071 | 19.9814 | 8.73% | 10.28% | 21.9894 | |
| 6 | Flexi Balanced Fund | ULIF 031 20/03/07 LFlexiBal1 105 | March 20, 2007 | Non Par | 4,949.40 | 37.2155 | 37.2155 | 36.5193 | 33.6919 | 34.2772 | 33.7567 | 10.25% | 10.96% | 37.7226 | |
| 7 | Flexi Balanced Fund II | ULIF 032 20/03/07 LFlexiBal2 105 | March 20, 2007 | Non Par | 7,127.72 | 47.3052 | 47.3052 | 46.2189 | 42.4538 | 43.0601 | 42.2870 | 11.87% | 12.62% | 47.8970 | |
| 8 | Flexi Balanced Fund III | ULIF 033 20/03/07 LFlexiBal3 105 | March 20, 2007 | Non Par | 354.08 | 37.1882 | 37.1882 | 36.4843 | 33.6838 | 34.1954 | 33.6516 | 10.51% | 11.09% | 37.6647 | |
| 9 | Flexi Balanced Fund IV | ULIF 040 27/08/07 LFlexiBal4 105 | August 27, 2007 | Non Par | 2,411.45 | 41.5749 | 41.5749 | 40.6325 | 37.3852 | 37.9481 | 37.2710 | 11.55% | 12.45% | 42.0805 | |
| 10 | Flexi Growth Fund | ULIF 026 20/03/07 LFlexiGro1 105 | March 20, 2007 | Non Par | 54,689.16 | 45.8796 | 45.8796 | 44.5250 | 39.1856 | 40.6724 | 39.9163 | 14.94% | 18.14% | 46.8139 | |
| 11 | Flexi Growth Fund II | ULIF 027 20/03/07 LFlexiGro2 105 | March 20, 2007 | Non Par | 78,036.54 | 52.2944 | 52.2944 | 50.7216 | 44.5581 | 46.2011 | 45.1796 | 15.75% | 19.32% | 53.4057 | |
| 12 | Flexi Growth Fund III | ULIF 028 20/03/07 LFlexiGro3 105 | March 20, 2007 | Non Par | 5,970.81 | 46.0840 | 46.0840 | 44.8559 | 39.6077 | 41.1557 | 40.3975 | 14.08% | 17.93% | 47.0785 | |
| 13 | Flexi Growth Fund IV | ULIF 038 27/08/07 LFlexiGro4 105 | August 27, 2007 | Non Par | 31,795.53 | 44.1708 | 44.1708 | 42.8571 | 37.6330 | 39.0111 | 38.2784 | 15.39% | 19.11% | 45.1047 | |
| 14 | Group Capital Guarantee Balanced Fund | ULGF 006 03/10/05 GCGBal1 105 | October 4, 2005 | Non Par | 1,129.67 | 36.8597 | 36.8597 | 36.4926 | 35.3655 | 35.2627 | 34.6660 | 6.33% | 5.08% | 37.0330 | |
| 15 | Group Capital Guarantee Balanced Fund II | ULGF 010 21/03/07 GCGBal2 105 | March 21, 2007 | Non Par | 12,609.48 | 35.4485 | 35.4485 | 35.0565 | 33.9118 | 33.7645 | 33.1329 | 6.99% | 5.69% | 35.6089 | |
| 16 | Group Capital Guarantee Balanced Fund III | ULGF 049 27/08/13 GCGBal3 105 | December 19, 2013 | Non Par | 76,835.75 | 20.8811 | 20.8811 | 20.6272 | 19.9422 | 19.8406 | 19.4534 | 7.34% | 5.94% | 20.9682 | |
| 17 | Group Capital Guarantee Debt Fund | ULGF 007 28/10/05 GCGDebt1 105 | October 28, 2005 | Non Par | 438.17 | 46.5105 | 46.5105 | 46.1083 | 45.1695 | 44.3256 | 43.6693 | 6.51% | 3.52% | 46.5874 | |
| 18 | Group Capital Guarantee Debt Fund II | ULGF 011 21/03/07 GCGDebt2 105 | March 21, 2007 | Non Par | 7,668.33 | 37.2427 | 37.2427 | 36.8987 | 36.1916 | 35.7307 | 35.2233 | 5.73% | 3.35% | 37.2934 | |
| 19 | Group Capital Guarantee Debt Fund III | ULGF 048 27/08/13 GCGDebt3 105 | December 3, 2013 | Non Par | 27,934.26 | 20.4146 | 20.4146 | 20.2347 | 19.8470 | 19.5890 | 19.3025 | 5.76% | 3.45% | 20.4415 | |
| 20 | Group Capital Guarantee Short Term Debt Fund | ULGF 005 24/02/04 GCGSTDebt1 105 | February 28, 2004 | Non Par | 64.28 | 33.8811 | 33.8811 | 33.4184 | 32.9727 | 32.5591 | 32.1755 | 5.30% | 3.66% | 33.8811 | |
| 21 | Group Capital Guarantee Short Term Debt Fund II | ULGF 009 16/03/07 GCGSTDebt2 105 | March 15, 2007 | Non Par | 46,323.39 | 28.4541 | 28.4541 | 28.0682 | 27.6721 | 27.3103 | 27.0032 | 5.37% | 3.43% | 28.4541 | |
| 22 | Group Capital Guarantee Short Term Debt Fund III | ULGF 047 27/08/13 GCGSTDebt3 105 | October 18, 2013 | Non Par | 28,360.10 | 16.9115 | 16.9115 | 16.6803 | 16.4429 | 16.2243 | 16.0367 | 5.45% | 3.46% | 16.9115 | |
| 23 | Group Capital Guarantee Growth Fund | ULGF 008 11/12/06 GCGGrowth1 105 | December 11, 2006 | Non Par | 742.91 | 35.0328 | 35.0328 | 34.5690 | 32.8841 | 33.1661 | 32.4157 | 8.07% | 8.02% | 35.3607 | |
| 24 | Group Capital Guarantee Growth Fund II | ULGF 012 05/07/07 GCGGrowth2 105 | July 13, 2007 | Non Par | 2,589.55 | 35.6336 | 35.6336 | 35.0861 | 33.3161 | 33.5750 | 32.7748 | 8.72% | 8.79% | 35.9488 | |
| 25 | Group Capital Guarantee Growth Fund III | ULGF 050 27/08/13 GCGGrowth3 105 | June 30, 2014 | Non Par | 14,507.80 | 19.7751 | 19.7751 | 19.4397 | 18.4246 | 18.5362 | 18.0574 | 9.51% | 9.60% | 19.9427 | |
| 26 | Group Equity Fund II | ULGF 043 30/04/13 GEQuity2 105 | August 25, 2015 | Non Par | 460.93 | 21.8717 | 21.8717 | 21.1642 | 18.8509 | 19.6205 | 18.6744 | 17.12% | 21.65% | 22.3975 | |
| 27 | Group Balanced Fund | ULGF 001 03/04/03 GBalancer 105 | April 4, 2003 | Non Par | 70,743.11 | 60.1384 | 60.1384 | 59.3923 | 57.3876 | 57.0258 | 55.8847 | 7.61% | 6.24% | 60.3914 | |
| 28 | Group Balanced Fund II | ULGF 041 30/04/13 GBalancer2 105 | February 24, 2014 | Non Par | 350,540.60 | 20.8869 | 20.8869 | 20.6228 | 19.9277 | 19.8303 | 19.4361 | 7.46% | 6.10% | 20.9736 | |
| 29 | Group Debt Fund | ULGF 002 03/04/03 GDebt 105 | April 4, 2003 | Non Par | 23,215.08 | 45.1756 | 45.1756 | 44.7928 | 43.8826 | 43.2348 | 42.5342 | 6.21% | 3.91% | 45.2305 | |
| 30 | Group Debt Fund II | ULGF 040 30/04/13 GDebt2 105 | December 2, 2013 | Non Par | 143,557.08 | 21.6216 | 21.6216 | 21.4393 | 20.9866 | 20.6731 | 20.3629 | 6.18% | 4.00% | 21.6460 | |
| 31 | Group Short Term Debt fund | ULGF 003 03/04/03 GSTDebt 105 | April 4, 2003 | Non Par | 3,436.59 | 35.4589 | 35.4589 | 34.9692 | 34.4592 | 33.9959 | 33.6006 | 5.53% | 3.59% | 35.4589 | |
| 32 | Group Short Term Debt Fund II | ULGF 046 27/08/13 GSTDebt2 105 | August 14, 2014 | Non Par | 147.55 | 15.7463 | 15.7463 | 15.5368 | 15.3293 | 15.1366 | 14.9636 | 5.23% | 3.39% | 15.7463 | |
| 33 | Group Short Term Debt Fund III | ULGF 039 30/04/13 GSTDebt3 105 | May 22, 2014 | Non Par | 6,197.10 | 16.3800 | 16.3800 | 16.1527 | 15.9181 | 15.6985 | 15.5145 | 5.58% | 3.63% | 16.3800 | |
| 34 | Group Leave Encashment Balance Fund | ULGF 013 02/04/08 GLEBal 105 | April 2, 2008 | Non Par | 3,408.10 | 34.3298 | 34.3298 | 33.9178 | 32.8020 | 32.5942 | 31.9196 | 7.55% | 6.11% | 34.4769 | |
| 35 | Group Leave Encashment Income Fund | ULGF 014 02/04/08 GLEIncome 105 | March 31, 2008 | Non Par | 375.77 | 30.1956 | 30.1956 | 29.9135 | 29.3482 | 28.8742 | 28.4351 | 6.19% | 3.64% | 30.2452 | |
| 36 | Group Growth Fund | ULGF 004 30/10/03 GGrowth 105 | November 7, 2003 | Non Par | 8,065.44 | 106.8401 | 106.8401 | 104.4525 | 97.0357 | 98.6931 | 95.4509 | 11.93% | 13.60% | 108.2795 | |
| 37 | Group Growth Fund II | ULGF 042 30/04/13 GGrowth2 105 | February 24, 2014 | Non Par | 17,478.55 | 24.8879 | 24.8879 | 24.3286 | 22.6125 | 22.9942 | 22.2443 | 11.88% | 13.47% | 25.2180 | |
| 38 | Health Balancer Fund | ULIF 059 15/01/09 HBalancer 105 | January 15, 2009 | Non Par | 4,873.39 | 43.3872 | 43.3872 | 42.5841 | 40.2784 | 40.4252 | 39.3243 | 10.33% | 10.29% | 43.7488 | |
| 39 | Health Protector Fund | ULIF 061 15/01/09 HProtect 105 | January 15, 2009 | Non Par | 22,346.46 | 33.9299 | 33.9299 | 33.5860 | 32.8388 | 32.3162 | 31.7663 | 6.81% | 4.34% | 33.9753 | |
| 40 | Health Flexi Balanced Fund | ULIF 060 15/01/09 HFlexiBal 105 | January 16, 2009 | Non Par | 6,585.46 | 50.7537 | 50.7537 | 49.6260 | 45.7648 | 46.4110 | 45.5655 | 11.39% | 12.49% | 51.3729 | |
| 41 | Health Flexi Growth Fund | ULIF 057 15/01/09 HFlexiGro 105 | January 16, 2009 | Non Par | 59,933.98 | 64.3455 | 64.3455 | 62.2560 | 54.6256 | 56.5845 | 55.4695 | 16.00% | 19.13% | 65.6008 | |
| 42 | Health Preserver Fund | ULIF 056 15/01/09 HPreserv 105 | January 15, 2009 | Non Par | 772.15 | 27.2966 | 27.2966 | 26.8736 | 26.4449 | 26.0479 | 25.7037 | 6.20% | 4.38% | 27.2966 | |
| 43 | Health Multiplier Fund | ULIF 058 15/01/09 HMultipl 105 | January 15, 2009 | Non Par | 9,630.37 | 70.4918 | 70.4918 | 68.4912 | 61.6093 | 63.9871 | 60.5994 | 16.32% | 21.10% | 72.2715 | |
| 44 | Income Fund | ULIF 089 24/11/09 LIncome 105 | November 24, 2009 | Non Par | 942,955.21 | 27.9630 | 27.9630 | 27.7150 | 27.1912 | 26.7520 | 26.3479 | 6.13% | 3.78% | 27.9662 | |
| 45 | Invest Shield Fund - Life | ULIF 018 03/01/05 LInvShld 105 | January 20, 2005 | Non Par | 3,370.21 | 48.5945 | 48.5945 | 48.0893 | 46.5721 | 46.3954 | 45.4948 | 6.81% | 6.05% | 48.8303 | |
| 46 | Balancer Fund | ULIF 002 22/10/01 LBalancer1 105 | November 19, 2001 | Non Par | 75,763.64 | 89.5116 | 89.5116 | 88.1767 | 83.7163 | 84.3742 | 82.3582 | 8.69% | 8.75% | 90.3193 | |
| 47 | Balancer Fund II | ULIF 014 17/05/04 LBalancer2 105 | May 25, 2004 | Non Par | 26,472.50 | 72.2612 | 72.2612 | 70.9259 | 67.0778 | 67.3635 | 65.5016 | 10.32% | 10.34% | 72.8712 | |
| 48 | Balancer Fund III | ULIF 023 13/03/06 LBalancer3 105 | March 14, 2006 | Non Par | 1,571.24 | 41.5359 | 41.5359 | 40.9328 | 38.8528 | 39.1628 | 38.2219 | 8.67% | 8.78% | 41.9166 | |
| 49 | Balancer Fund IV | ULIF 039 27/08/07 LBalancer4 105 | August 27, 2007 | Non Par | 6,365.48 | 44.1408 | 44.1408 | 43.3246 | 40.9982 | 41.1826 | 40.0444 | 10.23% | 10.35% | 44.5136 | |
| 50 | Protector Fund | ULIF 003 22/10/01 LProtect1 105 | November 19, 2001 | Non Par | 37,841.36 | 49.4012 | 49.4012 | 49.0158 | 48.0215 | 47.3699 | 46.6592 | 5.88% | 3.51% | 49.4825 | |
| 51 | Protector Fund II | ULIF 016 17/05/04 LProtect2 105 | May 20, 2004 | Non Par | 21,257.76 | 45.0333 | 45.0333 | 44.5980 | 43.5918 | 42.7614 | 41.9928 | 7.24% | 4.73% | 45.0872 | |
| 52 | Protector Fund III | ULIF 024 13/03/06 LProtect3 105 | March 14, 2006 | Non Par | 2,246.47 | 35.7328 | 35.7328 | 35.4490 | 34.7249 | 34.1456 | 33.6617 | 6.15% | 3.49% | 35.7869 | |
| 53 | Protector Fund IV | ULIF 041 27/08/07 LProtect4 105 | August 27, 2007 | Non Par | 34,505.53 | 36.2593 | 36.2593 | 35.8806 | 35.0823 | 34.5309 | 33.9485 | 6.81% | 4.36% | 36.3056 | |
| 54 | Discontinued Fund - Life | ULIF 100 01/07/10 LDIscont 105 | March 16, 2011 | Non Par | 814,400.02 | 22.2872 | 22.2872 | 21.9390 | 21.6014 | 21.3025 | 21.0013 | 6.12% | 4.39% | 22.2872 | |
| 55 | Life Growth Fund | ULIF 134 19/09/13 LGF 105 | February 28, 2014 | Non Par | 76,997.02 | 27.2885 | 27.2885 | 26.4436 | 23.5662 | 24.5853 | 23.4872 | 16.18% | 21.01% | 27.9443 | |
| 56 | Maximiser Fund | ULIF 001 22/10/01 LMaximis1 105 | November 19, 2001 | Non Par | 357,074.03 | 234.4796 | 234.4796 | 228.8429 | 204.9529 | 214.2376 | 201.8499 | 16.17% | 21.65% | 240.4721 | |
| 57 | Maximiser Fund II | ULIF 012 17/05/04 LMaximis2 105 | May 20, 2004 | Non Par | 97,685.25 | 147.3471 | 147.3471 | 143.4011 | 128.0578 | 133.6038 | 125.6083 | 17.31% | 22.85% | 151.0580 | |
| 58 | Maximiser Fund III | ULIF 022 13/03/06 LMaximis3 105 | March 14, 2006 | Non Par | 60,345.83 | 60.3458 | 60.3458 | 58.8667 | 52.6858 | 55.0747 | 51.9049 | 16.26% | 21.69% | 61.8899 | |
| 59 | Maximiser Fund IV | ULIF 037 27/08/07 LMaximis4 105 | August 27, 2007 | Non Par | 1,673.76 | 52.5399 | 52.5399 | 51.1717 | 45.7667 | 47.7191 | 44.8545 | 17.13% | 22.55% | 53.8689 | |
| 60 | Maximiser Fund V | ULIF 114 15/03/11 LMaximis5 105 | August 29, 2011 | Non Par | 5,225,089.81 | 42.1840 | 42.1840 | 41.0597 | 36.6883 | 38.2458 | 35.9549 | 17.32% | 22.84% | 43.2440 | |
| 61 | Preserver Fund | ULIF 010 17/05/04 LPreserv1 105 | June 28, 2004 | Non Par | 22,568.70 | 37.9354 | 37.9354 | 37.3429 | 36.7392 | 36.1849 | 35.7068 | 6.24% | 4.29% | 37.9354 | |
| 62 | Preserver Fund III | ULIF 021 13/03/06 LPreserv3 105 | March 14, 2006 | Non Par | 1,207.84 | 33.7206 | 33.7206 | 33.1986 | 32.6653 | 32.1700 | 31.7544 | 6.19% | 4.24% | 33.7206 | |
| 63 | Preserver Fund IV | ULIF 036 27/08/07 LPreserv4 105 | August 27, 2007 | Non Par | 2,664.07 | 30.5498 | 30.5498 | 30.0750 | 29.5895 | 29.1452 | 28.7611 | 6.22% | 4.27% | 30.5498 | |
| 64 | Life Secure Fund | ULIF 135 19/09/13 LSF 105 | February 28, 2014 | | | | | | | | | | | | |

FORM - L 28 - Statement of NAV of Segregated Funds

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to FORM 3A (Part B)

Statement for the period: September 30, 2023

Periodicity of Submission: Quarterly

Part - C

| No | Fund Name | SFIN | Date of Launch | Par/Non Par | Assets Under Management on the above date (₹ Lakhs) | NAV as per LB 2 (₹) | NAV as on the above date*(₹) | 30-Sep-23 | 30-Jun-23 | 31-Mar-23 | 31-Dec-22 | 30-Sep-22 | Return/Yield** | 3 Year Rolling CAGR | Highest NAV since inception (₹) |
|-----|-------------------------------------------------------------|------------------------------------|--------------------|-------------|-----------------------------------------------------|---------------------|------------------------------|----------------------|--------------------------|--------------------------|--------------------------|-----------|----------------|---------------------|---------------------------------|
| | | | | | | | | Previous Qtr NAV (₹) | 2nd Previous Qtr NAV (₹) | 3rd Previous Qtr NAV (₹) | 4th Previous Qtr NAV (₹) | | | | |
| 69 | Multiplier Fund II | ULIF 044 25/02/08 LMulti2 105 | February 25, 2008 | Non Par | 17,749.09 | 41.7764 | 41.7764 | 40.5941 | 36.5033 | 37.9257 | 35.9205 | 16.30% | 21.06% | 42.8351 | |
| 70 | Multiplier Fund III | ULIF 046 25/02/08 LMulti3 105 | February 25, 2008 | Non Par | 1,143.93 | 37.0057 | 37.0057 | 36.0504 | 32.4917 | 33.8296 | 32.1092 | 15.25% | 19.94% | 37.9566 | |
| 71 | Multiplier Fund IV | ULIF 047 25/02/08 LMulti4 105 | February 25, 2008 | Non Par | 5,632.49 | 41.6483 | 41.6483 | 40.4658 | 36.3975 | 37.8157 | 35.8114 | 16.30% | 21.03% | 42.7037 | |
| 72 | Money Market Fund | ULIF 090 24/11/09 LMoneyMkt 105 | November 24, 2009 | Non Par | 307,332.13 | 25.0635 | 25.0635 | 24.6736 | 24.2795 | 23.9145 | 23.5942 | 6.23% | 4.37% | 25.0635 | |
| 73 | New Invest Shield Balanced Fund | ULIF 025 21/08/06 LInvShldNw 105 | August 21, 2006 | Non Par | 3,161.06 | 42.6385 | 42.6385 | 42.1846 | 40.8936 | 40.6792 | 39.8617 | 6.97% | 6.15% | 42.8414 | |
| 74 | Opportunities Fund | ULIF 086 24/11/09 LOpport 105 | November 24, 2009 | Non Par | 249,005.47 | 44.2923 | 44.2923 | 42.5376 | 37.5580 | 39.1213 | 37.4143 | 18.38% | 23.28% | 45.2186 | |
| 75 | Pension Bluechip Fund | ULIF 093 11/01/10 PBlucChip 105 | January 11, 2010 | Non Par | 6,818.19 | 35.9382 | 35.9382 | 34.9018 | 31.3732 | 32.5799 | 30.8334 | 16.56% | 21.25% | 36.8387 | |
| 76 | Discontinued Fund -Pension | ULIF 101 01/07/10 PDiscont 105 | June 7, 2013 | Non Par | 4,088.29 | 17.8607 | 17.8607 | 17.5888 | 17.3419 | 17.1257 | 16.9281 | 5.51% | 4.40% | 17.8607 | |
| 77 | Pension Dynamic P/E Fund | ULIF 098 11/01/10 PDynamicPE 105 | January 11, 2010 | Non Par | 23,945.06 | 29.3909 | 29.3909 | 28.9497 | 26.6510 | 27.3227 | 26.2247 | 12.07% | 11.71% | 29.8699 | |
| 78 | Pension RICH Fund | ULIF 052 17/03/08 PRICH1 105 | March 18, 2008 | Non Par | 45,709.94 | 49.2844 | 49.2844 | 47.4571 | 41.9503 | 43.7972 | 42.0356 | 17.24% | 22.32% | 50.3410 | |
| 79 | Pension RICH Fund II | ULIF 053 17/03/08 PRICH2 105 | March 18, 2008 | Non Par | 27,518.41 | 55.6083 | 55.6083 | 53.4313 | 47.1371 | 49.1086 | 47.0250 | 18.25% | 23.35% | 56.7810 | |
| 80 | Pension Flexi Balanced Fund | ULIF 034 20/03/07 PFlexiBal1 105 | March 20, 2007 | Non Par | 3,298.95 | 39.4769 | 39.4769 | 38.7261 | 35.6813 | 36.2750 | 35.7389 | 10.46% | 11.01% | 39.9879 | |
| 81 | Pension Flexi Balanced Fund II | ULIF 035 20/03/07 PFlexiBal2 105 | March 20, 2007 | Non Par | 3,928.48 | 48.3954 | 48.3954 | 47.2883 | 43.4708 | 44.0340 | 43.2263 | 11.96% | 12.90% | 49.0020 | |
| 82 | Pension Flexi Growth Fund | ULIF 029 20/03/07 PFlexiGro1 105 | March 20, 2007 | Non Par | 66,676.53 | 48.7604 | 48.7604 | 47.3317 | 41.5501 | 43.1488 | 42.3176 | 15.22% | 18.55% | 49.7520 | |
| 83 | Pension Flexi Growth Fund II | ULIF 030 20/03/07 PFlexiGro2 105 | March 20, 2007 | Non Par | 50,711.29 | 56.7979 | 56.7979 | 55.0910 | 48.3709 | 50.1608 | 49.1585 | 15.54% | 19.33% | 57.9827 | |
| 84 | Pension Growth Fund | ULIF 127 01/12/11 PGROWTH 105 | January 18, 2013 | Non Par | 428.67 | 30.5729 | 30.5729 | 29.7419 | 26.8970 | 28.2785 | 27.0016 | 13.23% | 18.95% | 31.2956 | |
| 85 | Pension Income Fund | ULIF 095 11/01/10 PIncome 105 | January 11, 2010 | Non Par | 23,203.33 | 27.9526 | 27.9526 | 27.7260 | 27.1532 | 26.6949 | 26.2803 | 6.36% | 3.95% | 27.9865 | |
| 86 | Pinnacle Fund | ULIF 081 26/10/09 PPinnacle 105 | October 26, 2009 | Non Par | 5.89 | 22.6987 | 22.6987 | 22.4264 | 22.1624 | 21.9146 | 21.6817 | 4.69% | 2.80% | 22.6987 | |
| 87 | Highest NAV Fund B | ULIF 116 15/03/11 LHighNavB 105 | March 14, 2011 | Non Par | 981.12 | 19.6672 | 19.6672 | 19.4509 | 19.2427 | 19.0717 | 18.9722 | 3.66% | 2.25% | 19.6672 | |
| 88 | Invest Shield Fund - Pension | ULIF 109 03/01/05 PInvShld 105 | January 14, 2005 | Non Par | 1,655.04 | 47.4070 | 47.4070 | 46.9111 | 45.3676 | 45.2001 | 44.3050 | 7.00% | 5.88% | 47.6500 | |
| 89 | Pension Balancer Fund | ULIF 005 03/05/02 PBalancer1 105 | May 31, 2002 | Non Par | 22,463.05 | 75.7466 | 75.7466 | 74.6258 | 70.8093 | 71.3873 | 69.6707 | 8.72% | 8.79% | 76.4279 | |
| 90 | Pension Balancer Fund II | ULIF 015 17/05/04 PBalancer2 105 | May 21, 2004 | Non Par | 24,265.97 | 66.8581 | 66.8581 | 65.6158 | 62.0400 | 62.3093 | 60.5736 | 10.37% | 10.40% | 67.4154 | |
| 91 | Pension Protector Fund | ULIF 006 03/05/02 PProtect1 105 | May 31, 2002 | Non Par | 43,298.15 | 44.1148 | 44.1148 | 43.7898 | 42.8626 | 42.1488 | 41.5172 | 6.26% | 3.43% | 44.1914 | |
| 92 | Pension Protector Fund II | ULIF 017 17/05/04 PProtect2 105 | May 27, 2004 | Non Par | 18,078.83 | 43.9748 | 43.9748 | 43.5439 | 42.5520 | 41.7058 | 40.9894 | 7.28% | 4.72% | 44.0274 | |
| 93 | Pension Maximiser Fund | ULIF 004 03/05/02 PMaximis1 105 | May 31, 2002 | Non Par | 52,810.89 | 248.8486 | 248.8486 | 241.4300 | 215.4465 | 225.0370 | 215.2219 | 15.62% | 20.53% | 254.8842 | |
| 94 | Pension Maximiser Fund II | ULIF 013 17/05/04 PMaximis2 105 | May 20, 2004 | Non Par | 88,133.92 | 161.9103 | 161.9103 | 156.7337 | 139.5658 | 145.4620 | 138.8177 | 16.64% | 21.58% | 165.7672 | |
| 95 | Pension Multi Cap Balanced Fund | ULIF 094 11/01/10 PMultiCapBal 105 | January 11, 2010 | Non Par | 5,089.59 | 31.5475 | 31.5475 | 30.8475 | 28.3640 | 28.8191 | 28.3363 | 11.33% | 12.30% | 31.9595 | |
| 96 | Pension Multi Cap Growth Fund | ULIF 091 11/01/10 PMultiCapGro 105 | January 11, 2010 | Non Par | 41,905.49 | 37.9098 | 37.9098 | 36.7949 | 32.3376 | 33.5208 | 32.8100 | 15.54% | 19.21% | 38.7184 | |
| 97 | Pension Multiplier Fund | ULIF 043 25/02/08 PMulti1 105 | February 25, 2008 | Non Par | 16,112.48 | 32.7605 | 32.7605 | 31.9012 | 28.7464 | 29.9385 | 28.4164 | 15.29% | 20.05% | 33.6033 | |
| 98 | Pension Multiplier Fund II | ULIF 045 25/02/08 PMulti2 105 | February 25, 2008 | Non Par | 7,932.05 | 38.0435 | 38.0435 | 36.9633 | 33.2405 | 34.5356 | 32.7013 | 16.34% | 21.08% | 39.0004 | |
| 99 | Pension Money Market Fund | ULIF 096 11/01/10 PMoneyMkt 105 | January 11, 2010 | Non Par | 5,767.74 | 24.8928 | 24.8928 | 24.5046 | 24.1113 | 23.7492 | 23.4323 | 6.23% | 4.28% | 24.8928 | |
| 100 | Pension Opportunities Fund | ULIF 092 11/01/10 POpport 105 | January 11, 2010 | Non Par | 14,383.12 | 43.9289 | 43.9289 | 42.2094 | 37.2190 | 38.7555 | 37.0919 | 18.43% | 23.54% | 44.8476 | |
| 101 | Pension Preserver Fund | ULIF 011 17/05/04 PPreserv 105 | June 17, 2004 | Non Par | 11,656.07 | 37.5061 | 37.5061 | 36.9204 | 36.3289 | 35.7853 | 35.3030 | 6.24% | 4.25% | 37.5061 | |
| 102 | Pension Secure Fund | ULIF 128 01/12/11 PSECURE 105 | January 18, 2013 | Non Par | 1,876.48 | 21.3706 | 21.3706 | 21.2030 | 20.7257 | 20.3959 | 20.0539 | 6.57% | 3.81% | 21.4079 | |
| 103 | RICH Fund | ULIF 048 17/03/08 LRICH1 105 | March 17, 2008 | Non Par | 12,147.65 | 43.0153 | 43.0153 | 41.4296 | 36.6138 | 38.2306 | 36.6947 | 17.22% | 22.15% | 43.9417 | |
| 104 | RICH Fund II | ULIF 049 17/03/08 LRICH2 105 | March 17, 2008 | Non Par | 62,342.47 | 49.0013 | 49.0013 | 47.0928 | 41.5512 | 43.2922 | 41.4607 | 18.19% | 23.26% | 50.0355 | |
| 105 | RICH Fund III | ULIF 050 17/03/08 LRICH3 105 | March 17, 2008 | Non Par | 2,642.04 | 43.1201 | 43.1201 | 41.5313 | 36.7402 | 38.3580 | 36.8259 | 17.09% | 22.13% | 44.0465 | |
| 106 | RICH Fund IV | ULIF 051 17/03/08 LRICH4 105 | March 17, 2008 | Non Par | 23,476.74 | 48.7022 | 48.7022 | 46.8049 | 41.3236 | 43.0528 | 41.2317 | 18.12% | 23.12% | 49.7302 | |
| 107 | Secure Save Builder Fund | ULIF 077 29/05/09 LSSavBuil 105 | June 1, 2009 | Non Par | 146.46 | 36.5651 | 36.5651 | 35.6961 | 32.9079 | 33.6627 | 32.2470 | 13.39% | 16.05% | 37.2184 | |
| 108 | Secure Save Guarantee Fund | ULIF 076 29/05/09 LSSavGtee 105 | May 29, 2009 | Non Par | 402.47 | 29.0663 | 29.0663 | 28.6424 | 27.2113 | 27.3697 | 26.5887 | 9.32% | 9.50% | 29.3471 | |
| 109 | Cash Plus Fund | ULIF 008 11/08/03 LCashPlus 105 | August 27, 2003 | Non Par | 10,052.34 | 41.1400 | 41.1400 | 40.7900 | 39.9436 | 39.3712 | 38.7573 | 6.15% | 3.94% | 41.1998 | |
| 110 | Invest Shield Cash Fund | ULIF 020 03/01/05 LInvCash 105 | January 6, 2005 | Non Par | 13,305.87 | 39.1456 | 39.1456 | 38.8307 | 38.0113 | 37.4387 | 36.8295 | 6.29% | 3.79% | 39.2148 | |
| 111 | Secure Plus Fund | ULIF 007 11/08/03 LSecPlus 105 | August 22, 2003 | Non Par | 2,073.73 | 41.6433 | 41.6433 | 41.2036 | 39.8943 | 39.7622 | 39.0054 | 6.76% | 6.00% | 41.8608 | |
| 112 | Secure Plus Pension Fund | ULIF 009 17/11/03 PSecPlus 105 | December 2, 2003 | Non Par | 1,400.12 | 38.4090 | 38.4090 | 37.9954 | 36.7848 | 36.6650 | 35.9645 | 6.80% | 5.75% | 38.5901 | |
| 113 | Active Asset Allocation Balanced Fund | ULIF 138 15/02/17 AAABF 105 | June 12, 2017 | Non Par | 322,068.31 | 16.3846 | 16.3846 | 16.0388 | 14.9490 | 15.1667 | 14.6794 | 11.62% | 12.64% | 16.5882 | |
| 114 | Group Balanced Fund-Superannuation | ULGF 051 03/04/03 GSBLN 105 | April 4, 2003 | Non Par | 70,822.75 | 59.4264 | 59.4264 | 58.7027 | 56.7486 | 56.4431 | 55.3208 | 7.42% | 6.01% | 59.6870 | |
| 115 | Group Capital Guarantee Short Term Debt Fund-Superannuation | ULGF 055 24/02/04 GSCGSTD 105 | February 28, 2004 | Non Par | 1,085.33 | 34.1948 | 34.1948 | 33.7096 | 33.2185 | 32.7671 | 32.3768 | 5.62% | 3.73% | 34.1948 | |
| 116 | Group Debt Fund-Superannuation | ULGF 052 03/04/03 GSDBT 105 | April 4, 2003 | Non Par | 32,078.82 | 44.5028 | 44.5028 | 44.1122 | 43.2102 | 42.5531 | 41.9120 | 6.18% | 3.71% | 44.5540 | |
| 117 | Group Growth Fund-Superannuation | ULGF 054 30/10/03 GSGF 105 | November 7, 2003 | Non Par | 51,842.88 | 106.0915 | 106.0915 | 103.6942 | 96.3043 | 97.9316 | 94.7215 | 12.00% | 13.50% | 107.5235 | |
| 118 | Group Short Term Debt Fund-Superannuation | ULGF 053 03/04/03 GSSTD 105 | April 4, 2003 | Non Par | 11,487.96 | 35.3810 | 35.3810 | 34.8863 | 34.3852 | 33.9257 | 33.5267 | 5.53% | 3.59% | 35.3810 | |
| 119 | Value Enhancer Fund | ULIF 139 24/11/17 VEF 105 | July 23, 2018 | Non Par | 682,334.58 | 15.9330 | 15.9330 | 15.3219 | 13.0654 | 13.7181 | 13.7162 | 16.16% | 20.06% | 16.2656 | |
| 120 | Secure Opportunities Fund | ULIF 140 24/11/17 SOF 105 | July 23, 2018 | Non Par | 55,132.89 | 13.7901 | 13.7901 | 13.6080 | 13.3899 | 13.2280 | 13.0412 | 5.74% | 3.98% | 13.7901 | |
| 121 | Focus 50 Fund | ULIF 142 04/02/19 FocusFifty 105 | March 20, 2019 | Non Par | 379,010.79 | 16.1883 | 16.1883 | 15.8127 | 14.2734 | 14.9593 | 14.0933 | 14.87% | 19.90% | 16.6130 | |
| 122 | India Growth Fund | ULIF 141 04/02/19 IndiaGrwth 105 | June 17, 2019 | Non Par | 396,243.10 | 18.0741 | 18.0741 | 17.4785 | 15.5351 | 16.1896 | 15.4426 | 17.04% | 21.78% | 18.5047 | |
| 123 | Balanced Advantage Fund | ULIF 144 03/06/21 BalanceAdv 105 | August 31, 2021 | Non Par | 153,963.16 | 11.3286 | 11.3286 | 10.9711 | 9.9468 | 10.2803 | 9.9773 | 13.54% | NA | 11.5045 | |
| 124 | Sustainable Equity Fund | ULIF 145 03/06/21 SustainEqu 105 | October 29, 2021 | Non Par | 64,517.18 | 10.8533 | 10.8533 | 10.4990 | 9.2700 | 9.6259 | 9.4074 | 15.37% | NA | 11.1268 | |
| 125 | Midcap Fund | ULIF 146 28/06/22 MidCapFund 105 | September 23, 2022 | Non Par | 114,221.28 | 12.7158 | 12.7158 | 11.5068 | 9.7908 | 10.0994 | 10.1774 | 24.94% | NA | 12.9556 | |
| 126 | Midcap Hybrid Growth Fund | ULIF 147 05/01/23 MCHybridGr 105 | February 28, 2023 | Non Par | 24,796.24 | 12.0944 | 12.0944 | 11.2098 | 9.9241 | NA | NA | NA | NA | 12.2531 | |
| 127 | Constant Maturity Fund | ULIF 148 05/01/23 ConstMat 105 | May 29, 2023 | Non Par | 11,939.08 | 10.0858 | 10.0858 | 10.0111 | NA | NA | NA | NA | NA | 10.1216 | |
| 128 | Mid Cap Index Fund | ULIF 149 05/07/23 MchIdxFund 105 | September 25, 2023 | Non Par | 17,035.46 | 10.0174 | 10.0174 | NA | NA | NA | NA | NA | NA | 10.0434 | |
| | Total | | | | 15,491,968.43 | | | | | | | | | | |

Note

- * NAV reflects the published NAV on the reporting date
- ** Absolute return for the period of 1 year
- NAV is upto 4 decimal
- NA refers to 'Not Applicable' for funds that have not completed the relevant period under consideration or funds not in existence at relevant date

PERIODIC DISCLOSURES

FORM L-29-Detail regarding debt securities

Insurer: ICICI Prudential Life Insurance Company Limited

Date: September 30, 2023

(₹ in Lakhs)

| Details regarding debt securities- Non-ULIP | | | | | | | | |
|---------------------------------------------|-----------------------------|---------------------------------|-----------------------------|---------------------------------|-----------------------------|---------------------------------|-----------------------------|---------------------------------|
| | Market value | | | | Book value | | | |
| | As at September 30, 2023 | as % of total for this class | As at September 30, 2022 | as % of total for this class | As at September 30, 2023 | as % of total for this class | As at September 30, 2022 | as % of total for this class |
| Break down by credit rating | | | | | | | | |
| AAA rated ⁴ | 9,584,601 | 97.62% | 7,750,875 | 97.73% | 9,787,429 | 97.69% | 8,029,401 | 97.84% |
| AA or better | 214,067 | 2.18% | 164,344 | 2.07% | 211,780 | 2.11% | 161,763 | 1.97% |
| Rated below AA but above A (A or better) | - | 0.00% | 15,481 | 0.20% | - | 0.00% | 15,498 | 0.19% |
| Rated below A but above B | - | 0.00% | - | 0.00% | - | 0.00% | - | 0.00% |
| Any other | 20,000 | 0.20% | - | 0.00% | 20,000 | 0.20% | - | 0.00% |
| Total | 9,818,668 | 100.00% | 7,930,700 | 100.00% | 10,019,209 | 100.00% | 8,206,661 | 100.00% |
| Break down by residual maturity | | | | | | | | |
| Upto 1 year | 474,418 | 4.83% | 671,615 | 8.47% | 473,144 | 4.72% | 666,715 | 8.12% |
| more than 1 year and upto 3 years | 587,472 | 5.98% | 332,373 | 4.19% | 591,564 | 5.90% | 334,657 | 4.08% |
| More than 3 years and up to 7 years | 1,794,606 | 18.28% | 1,085,542 | 13.69% | 1,813,943 | 18.10% | 1,100,303 | 13.41% |
| More than 7 years and up to 10 years | 1,606,696 | 16.36% | 1,521,683 | 19.19% | 1,634,989 | 16.32% | 1,565,874 | 19.08% |
| More than 10 years and up to 15 years | 1,733,398 | 17.65% | 1,482,795 | 18.70% | 1,753,133 | 17.50% | 1,527,738 | 18.62% |
| More than 15 years and up to 20 years | 678,460 | 6.91% | 292,161 | 3.68% | 688,683 | 6.87% | 303,113 | 3.69% |
| Above 20 years | 2,943,616 | 29.98% | 2,544,532 | 32.08% | 3,063,753 | 30.58% | 2,708,261 | 33.00% |
| Total | 9,818,668 | 100.00% | 7,930,700 | 100.00% | 10,019,209 | 100.00% | 8,206,661 | 100.00% |
| Breakdown by type of the issuer | | | | | | | | |
| a. Central Government ⁵ | 6,883,999 | 70.11% | 5,611,429 | 70.76% | 7,071,418 | 70.58% | 5,857,831 | 71.38% |
| b. State Government | 637,798 | 6.50% | 510,922 | 6.44% | 637,678 | 6.36% | 519,325 | 6.33% |
| c. Corporate Securities | 2,296,871 | 23.39% | 1,808,349 | 22.80% | 2,310,113 | 23.06% | 1,829,505 | 22.29% |
| Total | 9,818,668 | 100.00% | 7,930,700 | 100.00% | 10,019,209 | 100.00% | 8,206,661 | 100.00% |

Note

1. In case of a debt instrument is rated by more than one agency, then the lowest rating will be taken for the purpose of classification.
2. The detail of ULIP and Non-ULIP will be given separately.
3. Market value of the securities will be in accordance with the valuation method specified by the Authority under Accounting/ Investment regulations.
4. All sovereign instruments and AAA equivalent rated instruments are disclosed under this category.
5. Includes T-Bill, investments fully guaranteed by Central Government and Triparty Repo.

PERIODIC DISCLOSURES

FORM L-29-Detail regarding debt securities

Insurer: ICICI Prudential Life Insurance Company Limited

Date: September 30, 2023

(₹ in Lakhs)

| Details regarding debt securities- ULIP | | | | | | | | |
|------------------------------------------|--------------------------|------------------------------|--------------------------|------------------------------|--------------------------|------------------------------|--------------------------|------------------------------|
| | Market value | | | | Book value | | | |
| | As at September 30, 2023 | as % of total for this class | As at September 30, 2022 | as % of total for this class | As at September 30, 2023 | as % of total for this class | As at September 30, 2022 | as % of total for this class |
| Break down by credit rating | | | | | | | | |
| AAA rated ⁴ | 3,835,206 | 93.15% | 4,366,012 | 96.95% | 3,835,206 | 93.15% | 4,366,012 | 96.95% |
| AA or better | 282,121 | 6.85% | 118,621 | 2.63% | 282,121 | 6.85% | 118,621 | 2.63% |
| Rated below AA but above A (A or better) | - | 0.00% | 18,659 | 0.41% | - | 0.00% | 18,659 | 0.41% |
| Rated below A but above B | - | 0.00% | - | 0.00% | - | 0.00% | - | 0.00% |
| Any other | - | 0.00% | - | 0.00% | - | 0.00% | - | 0.00% |
| Total | 4,117,326 | 100.00% | 4,503,292 | 100.00% | 4,117,326 | 100.00% | 4,503,292 | 100.00% |
| Break down by residual maturity | | | | | | | | |
| Upto 1 year | 1,680,618 | 40.82% | 2,097,545 | 46.58% | 1,680,618 | 40.82% | 2,097,545 | 46.58% |
| more than 1 year and upto 3 years | 334,653 | 8.13% | 524,348 | 11.64% | 334,653 | 8.13% | 524,348 | 11.64% |
| More than 3 years and up to 7 years | 972,063 | 23.61% | 964,480 | 21.42% | 972,063 | 23.61% | 964,480 | 21.42% |
| More than 7 years and up to 10 years | 894,532 | 21.73% | 770,059 | 17.10% | 894,532 | 21.73% | 770,059 | 17.10% |
| More than 10 years and up to 15 years | 144,126 | 3.50% | 105,384 | 2.34% | 144,126 | 3.50% | 105,384 | 2.34% |
| More than 15 years and up to 20 years | 280 | 0.01% | - | 0.00% | 280 | 0.01% | - | 0.00% |
| Above 20 years | 91,055 | 2.21% | 41,476 | 0.92% | 91,055 | 2.21% | 41,476 | 0.92% |
| Total | 4,117,326 | 100.00% | 4,503,292 | 100.00% | 4,117,326 | 100.00% | 4,503,292 | 100.00% |
| Breakdown by type of the issuer | | | | | | | | |
| a. Central Government ⁵ | 2,222,670 | 53.98% | 2,344,143 | 52.05% | 2,222,670 | 53.98% | 2,344,143 | 52.05% |
| b. State Government | 20,086 | 0.49% | 96,065 | 2.13% | 20,086 | 0.49% | 96,065 | 2.13% |
| c. Corporate Securities | 1,874,570 | 45.53% | 2,063,083 | 45.81% | 1,874,570 | 45.53% | 2,063,083 | 45.81% |
| Total | 4,117,326 | 100.00% | 4,503,292 | 100.00% | 4,117,326 | 100.00% | 4,503,292 | 100.00% |

Note

1. In case of a debt instrument is rated by more than one agency, then the lowest rating will be taken for the purpose of classification.
2. The detail of ULIP and Non-ULIP will be given separately.
3. Market value of the securities will be in accordance with the valuation method specified by the Authority under Accounting/ Investment regulations.
4. All sovereign instruments and AAA equivalent rated instruments are disclosed under this category.
5. Includes T-Bill, investments fully guaranteed by Central Government and Triparty Repo.

PART-A Related Party Transactions

| Sr.No. | Name of the Related Party | Nature of Relationship with the Company | Description of Transactions / Categories | Consideration paid / received (Rs. in Lakhs) | | | |
|--------|-----------------------------------------------------------------------------------------------------|------------------------------------------|------------------------------------------|----------------------------------------------|------------------|----------------------------------------------------|----------------------------------------|
| | | | | For the Quarter | Upto the Quarter | For the Corresponding Quarter of the Previous Year | Up to the Quarter of the Previous Year |
| 1 | ICICI Bank Limited | Holding Company | Premium Income | 21,132 | 36,896 | 16,570 | 36,237 |
| 2 | ICICI Bank Limited | Holding Company | Benefits paid | (5,506) | (11,026) | (1,300) | (5,643) |
| 3 | ICICI Bank Limited | Holding Company | Establishment & Other Expenditure | (8,986) | (16,212) | (11,495) | (21,725) |
| 4 | ICICI Bank Limited | Holding Company | Purchase of Securities | - | (23,300) | (40,105) | (49,663) |
| 5 | ICICI Bank Limited | Holding Company | Sale of Securities | - | 10,366 | - | - |
| 6 | ICICI Bank Limited | Holding Company | Dividend Paid | (4,426) | (4,426) | - | (4,057) |
| 7 | ICICI Bank Limited | Holding Company | Sale of Fixed Assets | - | - | 0 | 2 |
| 8 | ICICI Bank Limited | Holding Company | Purchase of Fixed Assets | - | (15) | - | - |
| 9 | ICICI Securities Limited | Fellow subsidiary | Premium Income | 2 | 3 | 1 | 1 |
| 10 | ICICI Securities Limited | Fellow subsidiary | Establishment & Other Expenditure | (1,483) | (2,461) | (1,744) | (2,846) |
| 11 | ICICI Securities Limited | Fellow subsidiary | Dividend Paid | (0) | (0) | - | (0) |
| 12 | ICICI Venture Funds Management Company Limited | Fellow subsidiary | Premium Income | 7 | 7 | - | 7 |
| 13 | ICICI Venture Funds Management Company Limited | Fellow subsidiary | Establishment & Other Expenditure | 0 | 1 | 0 | 1 |
| 14 | ICICI Venture Funds Management Company Limited | Fellow subsidiary | Sale of Fixed Assets | - | - | - | 2 |
| 15 | ICICI Home Finance Company Limited | Fellow subsidiary | Premium Income | 3,785 | 6,931 | 4,275 | 7,132 |
| 16 | ICICI Home Finance Company Limited | Fellow subsidiary | Benefits paid | (992) | (1,863) | (198) | (740) |
| 17 | ICICI Home Finance Company Limited | Fellow subsidiary | Establishment & Other Expenditure | (190) | (356) | (242) | (398) |
| 18 | ICICI Securities Primary Dealership Limited | Fellow subsidiary | Premium Income | 6 | 6 | 5 | 5 |
| 19 | ICICI Securities Primary Dealership Limited | Fellow subsidiary | Purchase of Securities | (37,129) | (69,798) | (117,800) | (127,456) |
| 20 | ICICI Securities Primary Dealership Limited | Fellow subsidiary | Sale of Securities | 30,808 | 46,542 | 33,574 | 39,507 |
| 21 | ICICI Securities Primary Dealership Limited | Fellow subsidiary | Interest Income | - | 16 | 58 | 115 |
| 22 | ICICI Securities Primary Dealership Limited | Fellow subsidiary | Maturity of Investments | - | 2,500 | - | - |
| 23 | ICICI Investment Management Company Limited | Fellow subsidiary | Premium Income | 0 | 0 | - | - |
| 24 | ICICI Prudential Asset Management Company Limited | Fellow subsidiary | Premium Income | 157 | 172 | 118 | 161 |
| 25 | ICICI Prudential Asset Management Company Limited | Fellow subsidiary | Benefits paid | (60) | (98) | (72) | (112) |
| 26 | ICICI Prudential Pension Funds Management Company Limited | Subsidiary | Premium Income | 5 | 5 | (2) | 2 |
| 27 | ICICI Prudential Pension Funds Management Company Limited | Subsidiary | Establishment & Other Expenditure | (59) | (92) | (22) | (46) |
| 28 | Prudential Corporation Holdings Limited | Substantial Interest | Establishment & Other Expenditure | - | - | (14) | (14) |
| 29 | Prudential Corporation Holdings Limited | Substantial Interest | Dividend Paid | (1,905) | (1,905) | - | (1,746) |
| 30 | ICICI Prudential Life Insurance Company Limited Employees' Group Gratuity Cum Life Insurance Scheme | Entities over which control is exercised | Premium Income | 1,685 | 2,191 | 1,520 | 1,577 |
| 31 | ICICI Prudential Life Insurance Company Limited Employees' Group Gratuity Cum Life Insurance Scheme | Entities over which control is exercised | Benefits paid | (519) | (1,822) | (609) | (998) |
| 32 | ICICI Prudential Life Insurance Company Limited Employees' Group Gratuity Cum Life Insurance Scheme | Entities over which control is exercised | Establishment & Other Expenditure | 653 | (1,520) | 579 | (920) |
| 33 | ICICI Prudential Life Insurance Company Limited Superannuation Scheme | Entities over which control is exercised | Premium Income | 48 | 76 | 36 | 56 |
| 34 | ICICI Prudential Life Insurance Company Limited Superannuation Scheme | Entities over which control is exercised | Benefits paid | (282) | (559) | (18) | (18) |
| 35 | ICICI Prudential Life Insurance Company Limited Superannuation Scheme | Entities over which control is exercised | Establishment & Other Expenditure | (27) | (55) | (28) | (56) |
| 36 | ICICI Prudential Life Insurance Company Limited Employees' Provident Fund | Entities over which control is exercised | Establishment & Other Expenditure | (780) | (1,545) | (772) | (1,438) |
| 37 | ICICI Foundation for Inclusive Growth | Entities controlled by holding company | Premium Income | 7 | 7 | 2 | 2 |
| 38 | ICICI Foundation for Inclusive Growth | Entities controlled by holding company | Benefits paid | (1) | (5) | - | - |
| 39 | ICICI Foundation for Inclusive Growth | Entities controlled by holding company | Establishment & Other Expenditure | (30) | (60) | (87) | (87) |
| 40 | Key management personnel | Key management personnel | Premium Income | 6 | 12 | - | - |
| 41 | Key management personnel | Key management personnel | Establishment & Other Expenditure | (475) | (1,023) | (199) | (595) |
| 42 | Key management personnel | Key management personnel | Dividend Paid | (0) | (0) | - | (1) |

1. 0 in the above table denotes amount less than Rs 50,000.

PART-B Related Party Transaction Balances - As at the end of the Quarter September 30,2023

| Sr.No. | Name of the Related Party | Nature of Relationship with the Company | Nature of Outstanding Balances | Whether Payable / Receivable | Amount of Outstanding Balances including Commitments (Rs. in Lakhs) | Whether Secured? If so, Nature of consideration to be provided at the time of settlement | Details of any Guarantees given or received | Balance under Provision for doubtful debts relating to the outstanding balance receivable (Rs. in Lakhs) | Expenses recognised up to the quarter end during the year in respect of bad or doubtful debts due from the related party (Rs. in Lakhs) |
|--------|-----------------------------------------------------------------------------------------------------|------------------------------------------|-----------------------------------|------------------------------|---------------------------------------------------------------------|------------------------------------------------------------------------------------------|---------------------------------------------|----------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|
| 1 | ICICI Bank Limited | Holding Company | Premium Income | Payable | (2,396) | No | NA | - | - |
| 2 | ICICI Bank Limited | Holding Company | Benefits paid | Payable | (2,491) | No | NA | - | - |
| 3 | ICICI Bank Limited | Holding Company | Establishment & Other Expenditure | Payable | (2,954) | No | NA | - | - |
| 4 | ICICI Bank Limited | Holding Company | Establishment & Other Expenditure | Receivable | 18 | No | NA | - | - |
| 5 | ICICI Bank Limited | Holding Company | Cash and Bank Balances | Receivable | 52,318 | No | NA | - | - |
| 6 | ICICI Securities Limited | Fellow subsidiary | Premium Income | Payable | (0) | No | NA | - | - |
| 7 | ICICI Securities Limited | Fellow subsidiary | Establishment & Other Expenditure | Payable | (282) | No | NA | - | - |
| 8 | ICICI Securities Limited | Fellow subsidiary | Establishment & Other Expenditure | Receivable | 0 | No | NA | - | - |
| 9 | ICICI Venture Funds Management Company Limited | Fellow subsidiary | Premium Income | Payable | (13) | No | NA | - | - |
| 10 | ICICI Venture Funds Management Company Limited | Fellow subsidiary | Establishment & Other Expenditure | Receivable | 1 | No | NA | - | - |
| 11 | ICICI Home Finance Company Limited | Fellow subsidiary | Premium Income | Payable | (1,906) | No | NA | - | - |
| 12 | ICICI Home Finance Company Limited | Fellow subsidiary | Benefits paid | Payable | (708) | No | NA | - | - |
| 13 | ICICI Home Finance Company Limited | Fellow subsidiary | Establishment & Other Expenditure | Payable | (119) | No | NA | - | - |
| 14 | ICICI Securities Primary Dealership Limited | Fellow subsidiary | Premium Income | Payable | (2) | No | NA | - | - |
| 15 | ICICI Investment Management Company Limited | Fellow subsidiary | Premium Income | Payable | (7) | No | NA | - | - |
| 16 | ICICI Prudential Asset Management Company Limited | Fellow subsidiary | Premium Income | Payable | (43) | No | NA | - | - |
| 17 | ICICI Prudential Asset Management Company Limited | Fellow subsidiary | Benefits paid | Payable | (10) | No | NA | - | - |
| 18 | ICICI Prudential Pension Funds Management Company Limited | Subsidiary | Premium Income | Payable | (1) | No | NA | - | - |
| 19 | ICICI Prudential Pension Funds Management Company Limited | Subsidiary | Establishment & Other Expenditure | Receivable | 60 | No | NA | - | - |
| 20 | ICICI Prudential Life Insurance Company Limited Employees' Group Gratuity Cum Life Insurance Scheme | Entities over which control is exercised | Premium Income | Payable | (59) | No | NA | - | - |
| 21 | ICICI Prudential Life Insurance Company Limited Employees' Group Gratuity Cum Life Insurance Scheme | Entities over which control is exercised | Establishment & Other Expenditure | Receivable | 159 | No | NA | - | - |
| 22 | ICICI Prudential Life Insurance Company Limited Superannuation Scheme | Entities over which control is exercised | Premium Income | Payable | (9) | No | NA | - | - |
| 23 | ICICI Prudential Life Insurance Company Limited Employees' Provident Fund | Entities over which control is exercised | Establishment & Other Expenditure | Payable | (563) | No | NA | - | - |
| 24 | ICICI Foundation for Inclusive Growth | Entities controlled by holding company | Premium Income | Payable | (0) | No | NA | - | - |
| 25 | Key management personnel | Key management personnel | ESOP Outstanding (In no's) | Payable | 332,300 | No | NA | - | - |

*0 in the above table denotes amount less than Rs 50,000.

FORM L-31 Board of Directors & Key Management Persons

Insurer : ICICI Prudential Life Insurance Company Limited

Date:September 30,2023

Board of Directors

| Sl. No. | Name of the Person | Designation /Role/Function | Details of change in the period if any |
|---------|---------------------------|-----------------------------------------------|---------------------------------------------|
| 1 | Mr. M. S. Ramachandran | Chairman (Non-Executive Independent Director) | NIL |
| 2 | Mr. Dilip Karnik | Non-Executive Independent Director | NIL |
| 3 | Mr. R. K. Nair | Non-Executive Independent Director | NIL |
| 4 | Mr. Dileep Choksi | Non-Executive Independent Director | NIL |
| 5 | Ms. Vibha Paul Rishi | Non-Executive Independent Director | NIL |
| 6 | Mr. Sandeep Batra | Non-Executive Director | NIL |
| 7 | Mr. Benjamin James Bulmer | Non-Executive Director | Ceased to be Director w.e.f August 22, 2023 |
| 8 | Mr. Solmaz Altin | Non-Executive Director | Appointed as Director w.e.f August 22, 2023 |
| 9 | Mr. Anuj Bhargava | Non-Executive Director | NIL |
| 10 | Mr. Anup Bagchi | Managing Director & CEO | NIL |

Key Management Persons

| Sl. No. | Name of the Person | Designation | Role/Function |
|---------|--------------------|---------------------------------|-----------------------------------------------------------------------------------------|
| 1 | Mr. Anup Bagchi | Managing Director & CEO | Managing Director & CEO |
| 2 | Mr. Judhajit Das | Chief Human Resources | Human Resources, Customer Service & Operations, Administration & IT Infrastructure, CSR |
| 3 | Mr. Amit Palta | Chief Distribution Officer | Sales & Distribution, Products, Marketing & e- Commerce |
| 4 | Mr. Manish Kumar | Chief Investment Officer | Investments |
| 5 | Mr. Deepak Kinger | Chief Risk & Compliance Officer | Risk, Compliance, Legal, Secretarial and Audit ¹ |
| 6 | Mr. Souvik Jash | Appointed Actuary | Actuarial |
| 7 | Mr. Dhiren Salian | Chief Financial Officer | Finance and Taxation |
| 8 | Ms. Sonali Chandak | Company Secretary | Secretarial |

¹ Head – Internal Audit reports to the Audit Committee of the Board and to the Chief Risk & Compliance Officer for day-to-day administration

(See Regulation 4)

Insurance Regulatory and Development Authority of India (Actuarial Report and Abstract) Regulations, 2016

Available Solvency Margin and Solvency Ratio

30-Sep-23

| | |
|----------------------------------------------------------------------|----------------------------------------|
| Name of Insurer: ICICI Prudential Life Insurance Company Ltd. | Form Code : 015 |
| Classification : Total Business | Registration Number : 11-127837 |
| Date of Registration : 11/24/2000 | Classification Code : BT |
| | Company Code : 0111 |

(₹ lakhs)

| Item No. | Description | Notes No... | Adjusted Value |
|----------|-------------------------------------------------|-------------|------------------|
| (1) | (2) | (3) | (4) |
| 01 | Available Assets in Policyholders' Fund | 1 | 26,000,417 |
| | Deduct: | | |
| 02 | Mathematical Reserves | 2 | 25,374,411 |
| 03 | Other Liabilities | 3 | 489,540 |
| 04 | Excess in Policyholders' funds(01-02-03) | | 136,465 |
| 05 | Available Assets in Shareholders Fund: | 4 | 1,099,496 |
| | Deduct: | | |
| 06 | Other Liabilities of shareholders' fund | 3 | 13,079 |
| 07 | Excess in Shareholders' funds(05-06) | - | 1,086,417 |
| 08 | Total ASM (04) + (07) | - | 1,222,882 |
| 09 | Total RSM | - | 613,244 |
| 10 | Solvency Ratio (ASM / RSM) | - | 199.4% |

Notes

- 1 Item No. 01 shall be the amount of the Total Admissible assets for Solvency as mentioned in Form IRDAI-Assets- AA under Policyholders A/C;
- 2 Item No. 02 shall be the amount of Mathematical Reserves as mentioned in Form H;
- 3 Item Nos. 03 and 06 shall be the amount of other liabilities as mentioned in the Balance Sheet;
- 4 Items No. 05 shall be the amount of the Total Admissible assets for Solvency as mentioned in Form IRDAI-Assets- AA under Shareholders A/C;

FORM L-33-NPAs

Name of Fund: Life fund

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration No.: 105

Statement as on: September 30, 2023

Details Of Non-Performing Assets

Periodicity of Submission: Quarterly

| No | Particulars | ₹ Lakhs | | | | | | | | | |
|----|---------------------------------------------|--------------------------------|---------------------------------|--------------------------------|---------------------------------|--------------------------------|---------------------------------|--------------------------------|---------------------------------|--------------------------------|---------------------------------|
| | | Bonds / Debentures | | Loans | | Other Debt Instruments | | All Other Assets ⁸ | | Total | |
| | | YTD (As on September 30, 2023) | Prev. FY (As on March 31, 2023) | YTD (As on September 30, 2023) | Prev. FY (As on March 31, 2023) | YTD (As on September 30, 2023) | Prev. FY (As on March 31, 2023) | YTD (As on September 30, 2023) | Prev. FY (As on March 31, 2023) | YTD (As on September 30, 2023) | Prev. FY (As on March 31, 2023) |
| 1 | Investments Assets (As per form 5) | 1,806,985.83 | 1,609,732.02 | 151,933.85 | 131,148.31 | 367,900.27 | 536,561.01 | 6,910,869.58 | 6,321,785.61 | 9,237,689.54 | 8,599,226.95 |
| 2 | Gross NPA | - | - | - | - | - | - | - | - | - | - |
| 3 | % of Gross NPA on Investment Assets (2/1) | - | - | - | - | - | - | - | - | - | - |
| 4 | Provision made on NPA | - | - | - | - | - | - | - | - | - | - |
| 5 | Provision as a % of NPA (4/2) | - | - | - | - | - | - | - | - | - | - |
| 6 | Provision on Standard Assets | - | - | - | - | - | - | - | - | - | - |
| 7 | Net Investment Assets (1-4) | 1,806,985.83 | 1,609,732.02 | 151,933.85 | 131,148.31 | 367,900.27 | 536,561.01 | 6,910,869.58 | 6,321,785.61 | 9,237,689.54 | 8,599,226.95 |
| 8 | Net NPA (2-4) | - | - | - | - | - | - | - | - | - | - |
| 9 | % of Net NPA to Net Investment Assets (8/7) | - | - | - | - | - | - | - | - | - | - |
| 10 | Write off made during the period | - | - | - | - | - | - | - | - | - | - |

Note:

- The above statement, in the case of 'Life' Insurers is prepared 'fund-wise' Viz. Life Fund, Pension & Group Fund, ULIP Fund and at Assets Under Management level also.
- Total Investment Assets reconciles with figures shown in Form 3A / 3B
- Gross NPA is investments classified as NPA, before any provisions
- Provision made on the 'Standard Assets' shall be as per Circular issued, as amended from time to time.
- Net Investment assets is net of 'provisions'
- Net NPA is gross NPAs less provisions
- Write off as approved by the Board
- All other Assets includes Central Government Securities, State Government Securities and Other Approved Securities.

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration No.: 105

Statement as on: September 30, 2023

Details Of Non-Performing Assets

Periodicity of Submission: Quarterly

₹ Lakhs

| No | Particulars | Bonds / Debentures | | Loans | | Other Debt Instruments | | All Other Assets ⁸ | | Total | |
|----|---------------------------------------------|--------------------------------|---------------------------------|--------------------------------|---------------------------------|--------------------------------|---------------------------------|--------------------------------|---------------------------------|--------------------------------|---------------------------------|
| | | YTD (As on September 30, 2023) | Prev. FY (As on March 31, 2023) | YTD (As on September 30, 2023) | Prev. FY (As on March 31, 2023) | YTD (As on September 30, 2023) | Prev. FY (As on March 31, 2023) | YTD (As on September 30, 2023) | Prev. FY (As on March 31, 2023) | YTD (As on September 30, 2023) | Prev. FY (As on March 31, 2023) |
| 1 | Investments Assets (As per form 5) | 375,541.46 | 345,875.33 | 128.62 | 264.02 | 41,791.47 | 46,226.11 | 1,358,795.73 | 1,246,846.51 | 1,776,257.28 | 1,639,211.96 |
| 2 | Gross NPA | - | - | - | - | - | - | - | - | - | - |
| 3 | % of Gross NPA on Investment Assets (2/1) | - | - | - | - | - | - | - | - | - | - |
| 4 | Provision made on NPA | - | - | - | - | - | - | - | - | - | - |
| 5 | Provision as a % of NPA (4/2) | - | - | - | - | - | - | - | - | - | - |
| 6 | Provision on Standard Assets | - | - | - | - | - | - | - | - | - | - |
| 7 | Net Investment Assets (1-4) | 375,541.46 | 345,875.33 | 128.62 | 264.02 | 41,791.47 | 46,226.11 | 1,358,795.73 | 1,246,846.51 | 1,776,257.28 | 1,639,211.96 |
| 8 | Net NPA (2-4) | - | - | - | - | - | - | - | - | - | - |
| 9 | % of Net NPA to Net Investment Assets (8/7) | - | - | - | - | - | - | - | - | - | - |
| 10 | Write off made during the period | - | - | - | - | - | - | - | - | - | - |

Note:

- The above statement, in the case of 'Life' Insurers is prepared 'fund-wise' Viz. Life Fund, Pension & Group Fund, ULIP Fund and at Assets Under Management level also.
- Total Investment Assets reconciles with figures shown in Form 3A / 3B
- Gross NPA is investments classified as NPA, before any provisions
- Provision made on the 'Standard Assets' shall be as per Circular issued, as amended from time to time.
- Net Investment assets is net of 'provisions'
- Net NPA is gross NPAs less provisions
- Write off as approved by the Board
- All other Assets includes Central Government Securities, State Government Securities and Other Approved Securities.

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration No.: 105

Statement as on: September 30, 2023

Details Of Non-Performing Assets

Periodicity of Submission: Quarterly

₹ Lakhs

| No | Particulars | Bonds / Debentures | | Loans | | Other Debt Instruments | | All Other Assets ⁸ | | Total | |
|----|---------------------------------------------|--------------------------------|---------------------------------|--------------------------------|---------------------------------|--------------------------------|---------------------------------|--------------------------------|---------------------------------|--------------------------------|---------------------------------|
| | | YTD (As on September 30, 2023) | Prev. FY (As on March 31, 2023) | YTD (As on September 30, 2023) | Prev. FY (As on March 31, 2023) | YTD (As on September 30, 2023) | Prev. FY (As on March 31, 2023) | YTD (As on September 30, 2023) | Prev. FY (As on March 31, 2023) | YTD (As on September 30, 2023) | Prev. FY (As on March 31, 2023) |
| 1 | Investments Assets (As per form 5) | 1,436,640.36 | 1,547,101.99 | 0.00 | 0.00 | 827,234.74 | 983,013.47 | 13,228,093.34 | 11,875,690.20 | 15,491,968.43 | 14,405,805.65 |
| 2 | Gross NPA | - | - | - | - | - | - | - | - | - | - |
| 3 | % of Gross NPA on Investment Assets (2/1) | - | - | - | - | - | - | - | - | - | - |
| 4 | Provision made on NPA | - | - | - | - | - | - | - | - | - | - |
| 5 | Provision as a % of NPA (4/2) | - | - | - | - | - | - | - | - | - | - |
| 6 | Provision on Standard Assets | - | - | - | - | - | - | - | - | - | - |
| 7 | Net Investment Assets (1-4) | 1,436,640.36 | 1,547,101.99 | 0.00 | 0.00 | 827,234.74 | 983,013.47 | 13,228,093.34 | 11,875,690.20 | 15,491,968.43 | 14,405,805.65 |
| 8 | Net NPA (2-4) | - | - | - | - | - | - | - | - | - | - |
| 9 | % of Net NPA to Net Investment Assets (8/7) | - | - | - | - | - | - | - | - | - | - |
| 10 | Write off made during the period | - | - | - | - | - | - | - | - | - | - |

Note:

1. The above statement, in the case of 'Life' Insurers is prepared 'fund-wise' Viz. Life Fund, Pension & Group Fund, ULIP Fund and at Assets Under Management level also.
2. Total Investment Assets reconciles with figures shown in Form 3A / 3B
3. Gross NPA is investments classified as NPA, before any provisions
4. Provision made on the 'Standard Assets' shall be as per Circular issued, as amended from time to time.
5. Net Investment assets is net of 'provisions'
6. Net NPA is gross NPAs less provisions
7. Write off as approved by the Board
8. All other Assets includes Central Government Securities, State Government Securities and Other Approved Securities.

FORM L - 34 Statement of Investment and Income on Investment
Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Registration Number: 105
Statement as on: September 30, 2023
Periodicity of Submission: Quarterly

Name of the Fund: Life Fund

| No. | Category of Investment | Category Code | Current Quarter | | | | Year to Date (current year) | | | | Year to Date (previous year) ³ | | | |
|-----|--------------------------------------------------------------------------------------------------|---------------|-----------------------------|--------------------------|--------------------------------|------------------------------|-----------------------------|--------------------------|--------------------------------|------------------------------|-------------------------------------------|--------------------------|--------------------------------|------------------------------|
| | | | Investment (₹) ¹ | Income on Investment (₹) | Gross Yield (%) ^{1,6} | Net Yield (%) ^{2,6} | Investment (₹) ¹ | Income on Investment (₹) | Gross Yield (%) ^{1,6} | Net Yield (%) ^{2,6} | Investment (₹) ¹ | Income on Investment (₹) | Gross Yield (%) ^{1,6} | Net Yield (%) ^{2,6} |
| 1 | Government Securities | | | | | | | | | | | | | |
| | Central Government Bonds | CGSB | 5,230,541.86 | 92,539.49 | 7.23% | 7.23% | 5,083,435.43 | 186,061.59 | 7.45% | 7.45% | 4,100,269.27 | 131,222.20 | 6.48% | 6.48% |
| 2 | Government Securities / Other Approved Securities | | | | | | | | | | | | | |
| | State Government Bonds / Development Loans | SGGB | 446,058.65 | 8,160.67 | 7.48% | 7.48% | 450,798.97 | 16,393.58 | 7.41% | 7.41% | 377,067.01 | 13,554.29 | 7.30% | 7.30% |
| | Other Approved Securities (excluding Infrastructure Investments) | SGOA | 129,042.96 | 2,320.84 | 7.35% | 7.35% | 129,034.01 | 4,618.73 | 7.29% | 7.29% | 135,043.67 | 4,875.88 | 7.33% | 7.33% |
| 3 | Investment Subject to Exposure Norms | | | | | | | | | | | | | |
| | (a) Housing and Loan to State Govt for Housing | | | | | | | | | | | | | |
| | Bonds / Debentures issued by NHB / Institutions accredited by NHB | HTDN | 305,081.61 | 5,385.30 | 7.21% | 7.21% | 335,161.81 | 11,363.48 | 6.90% | 6.90% | 326,378.81 | 10,629.91 | 6.60% | 6.60% |
| | Long term Bank Bonds Approved Investments-Affordable Housing | HLBH | 154,272.33 | 2,886.00 | 7.65% | 7.65% | 140,082.22 | 5,158.02 | 7.50% | 7.50% | 109,036.98 | 3,949.30 | 7.35% | 7.35% |
| | (b) Infrastructure Investments | | | | | | | | | | | | | |
| | Infrastructure - Other Approved Securities | ISAS | - | - | - | - | - | - | - | - | 14,568.32 | 343.20 | 4.75% | 4.75% |
| | Infrastructure - PSU - Equity shares - Quoted | ITPE | 2,586.98 | 116.71 | 19.19% | 19.19% | 2,676.42 | 339.66 | 26.99% | 26.99% | 3,139.21 | 172.17 | 11.24% | 11.24% |
| | Infrastructure - Corporate Securities - Equity shares-Quoted | ITCE | 47,233.19 | 2,568.82 | 23.45% | 23.45% | 48,697.23 | 5,375.23 | 23.29% | 23.29% | 51,045.10 | 1,608.56 | 6.38% | 6.38% |
| | Infrastructure - PSU - Debentures / Bonds | IPTD | 828,510.99 | 15,437.98 | 7.62% | 7.62% | 790,339.48 | 29,344.79 | 7.56% | 7.56% | 620,355.59 | 22,588.94 | 7.39% | 7.39% |
| | Infrastructure - PSU - CPs | IPCP | - | - | - | - | 14,998.28 | 6.89 | 8.77% | 8.77% | - | - | - | - |
| | Infrastructure - Other Corporate Securities - Debentures / Bonds | ICTD | 47,515.57 | 981.00 | 8.47% | 8.47% | 49,647.58 | 2,015.62 | 8.28% | 8.28% | 51,601.95 | 1,992.36 | 7.85% | 7.85% |
| | Infrastructure - PSU - Debentures / Bonds | IPFD | 19,257.04 | 403.11 | 8.59% | 8.59% | 19,257.80 | 794.45 | 8.42% | 8.42% | 21,271.39 | 866.44 | 8.29% | 8.29% |
| | (c) Approved Investments | | | | | | | | | | | | | |
| | PSU - Equity shares - Quoted | EAEQ | 35,144.99 | 3,316.60 | 43.15% | 43.15% | 23,063.24 | 3,424.57 | 31.90% | 31.90% | 5,368.50 | 126.95 | 4.77% | 4.77% |
| | Corporate Securities - Equity shares (Ordinary)-Quoted | EACE | 489,496.21 | 39,561.85 | 36.23% | 36.23% | 487,537.43 | 57,176.18 | 24.83% | 24.83% | 521,403.38 | 39,149.38 | 15.53% | 15.53% |
| | Corporate Securities - Preference Shares | EPNQ | 607.27 | 307.67 | 410.71% | 410.71% | 496.82 | 458.55 | 269.79% | 269.79% | - | - | - | - |
| | Corporate Securities - Debentures | ECOS | 274,439.91 | 5,648.79 | 8.44% | 8.44% | 227,596.94 | 9,362.22 | 8.40% | 8.40% | 174,457.31 | 7,338.37 | 8.57% | 8.57% |
| | Investment properties - Immovable | EINP | 41,914.08 | 1,369.53 | 13.65% | 13.65% | 41,914.08 | 2,182.89 | 10.69% | 10.69% | 38,709.70 | 2,016.34 | 10.66% | 10.66% |
| | Loans - Policy Loans | ELPL | 144,552.63 | 3,283.38 | 9.35% | 9.35% | 139,384.26 | 6,086.36 | 8.92% | 8.92% | 99,509.20 | 4,403.67 | 9.02% | 9.02% |
| | Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI | ECDB | 101,167.58 | 2,489.33 | 10.15% | 10.15% | 104,356.03 | 5,037.19 | 9.89% | 9.89% | 75,673.70 | 3,586.07 | 9.67% | 9.67% |
| | Deposits - CDs with Scheduled Banks | EDCD | 22,144.90 | 410.37 | 7.58% | 7.58% | 26,982.74 | 1,007.54 | 7.61% | 7.61% | 9,416.60 | 3.60 | 7.22% | 7.22% |
| | Deposits - Repo / Reverse Repo | ECMR | 459,895.67 | 7,535.97 | 6.68% | 6.68% | 483,706.16 | 15,756.04 | 6.62% | 6.62% | 443,094.40 | 10,336.15 | 4.71% | 4.71% |
| | Commercial Papers issued by a Company or All India Financial Institutions | ECCP | - | - | - | - | 5,994.35 | 14.61 | 9.32% | 9.32% | 24,997.36 | 7.93 | 3.94% | 3.94% |
| | Mutual Funds - (under Insurer's Promoter Group) | EMPG | - | - | - | - | - | - | - | - | 13,115.25 | - | 0.00% | 0.00% |
| | Corporate Securities - Investment in Subsidiaries | ECIS | 6,000.00 | - | 0.00% | 0.00% | 6,000.00 | - | 0.00% | 0.00% | 6,000.00 | - | 0.00% | 0.00% |
| | Debt Capital Instruments (DCI-Basel III) | EDCI | 118,039.29 | 2,302.35 | 7.99% | 7.99% | 118,026.88 | 4,537.53 | 7.84% | 7.84% | 24,492.67 | 790.85 | 6.54% | 6.54% |
| | (d) Other Investments | | | | | | | | | | | | | |
| | Bonds - PSU - Taxable | OBPT | - | - | - | - | - | - | - | - | 1,990.07 | 102.26 | 10.51% | 10.51% |
| | Equity Shares (incl Co-op Societies) | OESH | 113,674.19 | 40,378.51 | 235.09% | 235.09% | 119,757.86 | 60,907.95 | 127.59% | 127.59% | 140,238.91 | 6,855.28 | 9.99% | 9.99% |
| | Passively Managed Equity ETF (Promoter Group) | OETP | 19,293.73 | - | 0.00% | 0.00% | 19,293.73 | - | 0.00% | 0.00% | 41,909.11 | 92.05 | 0.44% | 0.44% |
| | Passively Managed Equity ETF (Non Promoter Group) | OETF | 5,868.14 | 74.77 | 5.17% | 5.17% | 6,173.24 | 74.77 | 2.44% | 2.44% | 11,793.26 | - | 0.00% | 0.00% |
| | Equity Shares (PSUs and Unlisted) | OEPU | - | - | - | - | 7,702.27 | 744.58 | 45.54% | 45.54% | 26,771.16 | 6,093.03 | 50.53% | 50.53% |

₹ Lakhs

| No. | Category of Investment | Category Code | Current Quarter | | | | Year to Date (current year) | | | | Year to Date (previous year) ³ | | | |
|-----|-------------------------------------------------------|---------------|-----------------------------|--------------------------|--------------------------------|------------------------------|-----------------------------|--------------------------|--------------------------------|------------------------------|-------------------------------------------|--------------------------|--------------------------------|------------------------------|
| | | | Investment (₹) ¹ | Income on Investment (₹) | Gross Yield (%) ^{1,6} | Net Yield (%) ^{2,6} | Investment (₹) ¹ | Income on Investment (₹) | Gross Yield (%) ^{1,6} | Net Yield (%) ^{2,6} | Investment (₹) ¹ | Income on Investment (₹) | Gross Yield (%) ^{1,6} | Net Yield (%) ^{2,6} |
| | Reclassified approved investments - Debt | ORAD | - | - | - | - | - | - | - | - | 3,514.78 | 144.25 | 8.35% | 8.35% |
| | SEBI approved Alternate Investment Fund (Category II) | OAFB | 192.81 | - | 0.00% | 0.00% | 192.81 | - | 0.00% | 0.00% | 193.37 | 7.54 | 7.92% | 7.92% |
| | Preference Shares | OPSH | 3,500.00 | (0.00) | 0.00% | 0.00% | 3,500.00 | (0.00) | 0.00% | 0.00% | 3,500.00 | 280.00 | 16.59% | 16.59% |
| | Reclassified approved investments - Equity | ORAE | 47,693.47 | 1,558.66 | 13.65% | 13.65% | 50,611.56 | 1,694.87 | 6.81% | 6.81% | 12,438.24 | 48.33 | 0.78% | 0.78% |
| | Total | | 9,093,726.03 | 239,037.69 | | | 8,936,419.62 | 429,937.92 | | | 7,488,364.28 | 273,185.28 | | |

Note: Category of investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple average of Investments at book value

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of previous financial year have been shown

4 FORM-1 is prepared in respect of each fund. In case of ULIP FORM 1 is prepared at Segregated Fund (SFIN) level and also at consolidated level.

5 YTD Income on investment is reconciled with figures in P&L and Revenue account

6 Signifies annualised yield

FORM L - 34 Statement of Investment and Income on Investment
Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Registration Number: 105
Statement as on: September 30, 2023
Periodicity of Submission: Quarterly

Name of the Fund: Pension Fund

| No. | Category of Investment | Category Code | Current Quarter | | | | Year to Date (current year) | | | | Year to Date (previous year) ³ | | | |
|-----|--------------------------------------------------------------------------------------------------|---------------|-----------------------------|--------------------------|--------------------------------|------------------------------|-----------------------------|--------------------------|--------------------------------|------------------------------|-------------------------------------------|--------------------------|--------------------------------|------------------------------|
| | | | Investment (₹) ¹ | Income on Investment (₹) | Gross Yield (%) ^{1,6} | Net Yield (%) ^{2,6} | Investment (₹) ¹ | Income on Investment (₹) | Gross Yield (%) ^{1,6} | Net Yield (%) ^{2,6} | Investment (₹) ¹ | Income on Investment (₹) | Gross Yield (%) ^{1,6} | Net Yield (%) ^{2,6} |
| 1 | Government Securities | | | | | | | | | | | | | |
| | Central Government Bonds | CGSB | 1,079,167.79 | 19,354.92 | 7.33% | 7.33% | 1,055,470.69 | 37,250.75 | 7.18% | 7.18% | 888,706.65 | 29,712.81 | 6.78% | 6.78% |
| 2 | Government Securities / Other Approved Securities | | | | | | | | | | | | | |
| | State Government Bonds / Development Loans | SGGB | 197,057.85 | 3,761.26 | 7.81% | 7.81% | 195,559.76 | 7,350.48 | 7.66% | 7.66% | 64,951.33 | 2,346.84 | 7.34% | 7.34% |
| | Other Approved Securities (excluding Infrastructure Investments) | SGOA | 30,323.80 | 565.70 | 7.63% | 7.63% | 30,311.67 | 1,123.22 | 7.55% | 7.55% | 26,555.71 | 840.58 | 6.41% | 6.41% |
| 3 | Investment Subject to Exposure Norms | | | | | | | | | | | | | |
| | (a) Housing and Loan to State Govt. for Housing | | | | | | | | | | | | | |
| | Bonds / Debentures issued by NHB / Institutions accredited by NHB | HTDN | 24,620.53 | 477.29 | 7.94% | 7.94% | 54,777.22 | 2,091.14 | 7.78% | 7.78% | 44,062.03 | 1,573.95 | 7.25% | 7.25% |
| | Long term Bank Bonds Approved Investments - Affordable Housing | HLBH | 13,438.40 | 248.58 | 7.56% | 7.56% | 11,499.73 | 366.95 | 6.48% | 6.48% | 10,950.03 | 397.58 | 7.37% | 7.37% |
| | (b) Infrastructure Investments | | | | | | | | | | | | | |
| | Infrastructure - PSU - Equity shares - Quoted | ITPE | 43.96 | 0.84 | 7.86% | 7.86% | 43.96 | 0.84 | 3.88% | 3.88% | 44.31 | 0.37 | 1.68% | 1.68% |
| | Infrastructure - Corporate Securities - Equity shares-Quoted | ITCE | 674.61 | 26.92 | 16.84% | 16.84% | 677.81 | 31.54 | 9.52% | 9.52% | 714.53 | 25.82 | 7.34% | 7.34% |
| | Infrastructure - PSU - Debentures / Bonds | IPTD | 185,939.95 | 3,509.47 | 7.72% | 7.72% | 182,575.21 | 6,785.93 | 7.57% | 7.57% | 212,498.31 | 7,466.27 | 7.13% | 7.13% |
| | Infrastructure - Other Corporate Securities - Debentures/ Bonds | ICTD | 2,595.59 | 65.38 | 10.40% | 10.40% | 2,595.59 | 130.59 | 10.32% | 10.32% | 2,595.70 | 131.13 | 10.33% | 10.33% |
| | (c) Approved Investments | | | | | | | | | | | | | |
| | PSU - Equity shares - Quoted | EAEQ | 270.08 | - | 0.00% | 0.00% | 270.08 | 4.59 | 3.43% | 3.43% | 22.38 | 1.47 | 13.51% | 13.51% |
| | Corporate Securities - Equity shares (Ordinary)- Quoted | EACE | 7,900.32 | 112.66 | 5.79% | 5.79% | 7,952.47 | 173.60 | 4.41% | 4.41% | 8,413.29 | 271.54 | 6.54% | 6.54% |
| | Debt Capital Instruments (DCI-Basel III) | EDCI | 14,777.61 | 281.84 | 7.81% | 7.81% | 14,774.03 | 555.61 | 7.66% | 7.66% | 1,471.06 | (11.76) | (2.30%) | (2.30%) |
| | Debt Instruments of REITs - Approved | EDRT | 7,501.78 | 134.90 | 7.35% | 7.35% | 7,501.90 | 268.35 | 7.28% | 7.28% | 7,366.28 | 262.86 | 7.24% | 7.24% |
| | Corporate Securities - Equity shares (Ordinary)- Quoted | ECOS | 115,159.02 | 2,207.65 | 7.85% | 7.85% | 82,136.68 | 3,156.07 | 7.83% | 7.83% | 29,945.06 | 1,191.81 | 8.10% | 8.10% |
| | Investment properties - Immovable | EINP | - | - | - | - | - | - | - | - | 927.59 | 3,642.10 | 8403.09% | 8403.09% |
| | Loans - Policy Loans | ELPL | 116.38 | 2.70 | 9.54% | 9.54% | 191.38 | 7.62 | 8.12% | 8.12% | 208.50 | 9.11 | 8.90% | 8.90% |
| | Deposits - CDs with Scheduled Banks | EDCD | 4,017.37 | 73.33 | 7.46% | 7.46% | 3,898.25 | 152.49 | 7.98% | 7.98% | - | - | - | - |
| | Deposits - Repo / Reverse Repo | ECMR | 46,980.54 | 770.11 | 6.68% | 6.68% | 42,866.72 | 1,395.67 | 6.62% | 6.62% | 60,079.77 | 1,407.91 | 4.73% | 4.73% |
| | Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI | | | | | | | | | | | | | |
| | Deposits - CDs with Scheduled Banks | | | | | | | | | | | | | |
| | Total | | 1,730,585.59 | 31,593.55 | | | 1,693,103.14 | 60,845.44 | | | 1,359,512.53 | 49,270.41 | | |

Note: Category of investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple average of Investments at book value

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of previous financial year have been shown

4 FORM-1 is prepared in respect of each fund. In case of ULIP FORM 1 is prepared at Segregated Fund (SFIN) level and also at consolidated level.

5 YTD Income on investment is reconciled with figures in P&L and Revenue account

6 Signifies annualised yield

FORM L - 34 Statement of Investment and Income on Investment
Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Registration Number: 105
Statement as on: September 30, 2023
Periodicity of Submission: Quarterly

Name of the Fund: Linked Fund

| No. | Category of Investment | Category Code | Current Quarter | | | | Year to Date (current year) | | | | Year to Date (previous year) ³ | | | |
|----------|--------------------------------------------------------------------------------------------------|---------------|-----------------------------|--------------------------|--------------------------------|------------------------------|-----------------------------|--------------------------|--------------------------------|------------------------------|-------------------------------------------|--------------------------|--------------------------------|------------------------------|
| | | | Investment (₹) ¹ | Income on Investment (₹) | Gross Yield (%) ^{1,6} | Net Yield (%) ^{2,6} | Investment (₹) ¹ | Income on Investment (₹) | Gross Yield (%) ^{1,6} | Net Yield (%) ^{2,6} | Investment (₹) ¹ | Income on Investment (₹) | Gross Yield (%) ^{1,6} | Net Yield (%) ^{2,6} |
| | | | ₹ Lakhs | | | | | | | | | | | |
| 1 | Government Securities | | | | | | | | | | | | | |
| | Central Government Bonds | CGSB | 1,316,997.25 | 14,580.87 | 4.48% | 4.48% | 1,295,712.76 | 45,142.98 | 7.09% | 7.09% | 1,433,780.87 | 2,749.99 | 0.38% | 0.38% |
| | Treasury Bills | CTRB | 423,630.87 | 7,083.33 | 6.82% | 6.82% | 401,846.40 | 13,114.55 | 6.63% | 6.63% | 446,670.65 | 10,134.52 | 4.58% | 4.58% |
| 2 | Government Securities / Other Approved Securities | | | | | | | | | | | | | |
| | State Government Bonds / Development Loans | SGGB | 23,457.04 | 380.97 | 6.62% | 6.62% | 26,579.39 | 1,213.17 | 9.34% | 9.34% | 180,944.66 | 2,498.45 | 2.77% | 2.77% |
| | Other Approved Securities (excluding Infrastructure Investments) | SGOA | 71,830.63 | 726.50 | 4.08% | 4.08% | 72,680.34 | 2,913.98 | 8.18% | 8.18% | 98,701.75 | (1,310.91) | (2.63%) | (2.63%) |
| 3 | Investment Subject to Exposure Norms | | | | | | | | | | | | | |
| | (a) Housing and Loan to State Govt. for Housing | | | | | | | | | | | | | |
| | Bonds / Debentures issued by NHB / Institutions accredited by NHB | HTDN | 189,164.30 | 2,559.35 | 5.49% | 5.49% | 288,616.84 | 12,689.79 | 8.99% | 8.99% | 269,195.67 | 2,213.19 | 8.63% | 8.63% |
| | Commercial Papers - NHB / Institutions accredited by NHB | H TLN | 22,715.35 | 413.73 | 7.44% | 7.44% | 65,572.89 | 2,278.41 | 7.07% | 7.07% | 134,836.39 | 3,467.64 | 5.20% | 5.20% |
| | Long term Bank Bonds Approved Investments- Affordable Housing | HLBH | 77,250.13 | 1,119.47 | 5.89% | 5.89% | 76,973.18 | 2,835.94 | 7.50% | 7.50% | 49,037.80 | (281.61) | (1.14%) | (1.14%) |
| | (b) Infrastructure Investments | | | | | | | | | | | | | |
| | Infrastructure - Other Approved Securities | ISAS | 122,453.85 | 2,280.78 | 7.62% | 7.62% | 118,001.69 | 4,374.95 | 7.55% | 7.55% | 102,223.53 | 2,396.71 | 4.73% | 4.73% |
| | Infrastructure - PSU - Equity shares - Quoted | ITPE | 113,367.80 | 25,086.60 | 121.50% | 121.50% | 104,580.19 | 34,097.78 | 75.84% | 75.84% | 93,846.13 | 10,681.06 | 23.99% | 23.99% |
| | Infrastructure - Corporate Securities - Equity shares-Quoted | ITCE | 857,471.04 | 117,485.59 | 66.67% | 66.67% | 813,060.12 | 231,594.58 | 65.08% | 65.08% | 679,283.36 | 41,692.80 | 12.62% | 12.62% |
| | Infrastructure - PSU - Debentures / Bonds | IPTD | 284,540.57 | 3,863.18 | 5.51% | 5.51% | 307,033.85 | 11,829.07 | 7.85% | 7.85% | 361,225.63 | (102.45) | (0.06%) | (0.06%) |
| | Infrastructure - PSU - CPs | IPCP | 11,911.40 | 170.33 | 6.71% | 6.71% | 9,860.45 | 268.48 | 6.92% | 6.92% | - | - | - | - |
| | Infrastructure - Other Corporate Securities - Debentures/ Bonds | ICTD | 50,661.12 | 870.31 | 7.01% | 7.01% | 50,778.69 | 1,851.69 | 7.43% | 7.43% | 95,453.36 | 916.11 | 1.92% | 1.92% |
| | Infrastructure - Other Corporate Securities - CPs | ICCP | 44,361.48 | 789.17 | 7.43% | 7.43% | 71,787.22 | 2,516.60 | 7.22% | 7.22% | 31,276.24 | 834.78 | 5.39% | 5.39% |
| | Infrastructure - Equity (including unlisted) | IOEQ | 261.05 | (0.33) | (8.72%) | (8.72%) | 261.05 | (0.33) | (8.72%) | (8.72%) | - | - | - | - |
| | Corporate Securities - Equity shares (Ordinary)- Quoted | IORE | 266.20 | 64.86 | 138.08% | 138.08% | 258.68 | 120.70 | 115.09% | 115.09% | 486.42 | (301.37) | (85.45%) | (85.45%) |
| | (c) Approved Investments | | | | | | | | | | | | | |
| | PSU - Equity shares - Quoted | EAEQ | 465,990.88 | 37,057.03 | 35.58% | 35.58% | 431,916.89 | 80,034.91 | 40.49% | 40.49% | 262,662.84 | (2,982.26) | (2.25%) | (2.25%) |
| | Equity Shares - Promoter Group | EEPG | 24.48 | 0.20 | 80.42% | 80.42% | 24.48 | 0.20 | 80.42% | 80.42% | - | - | - | - |
| | Deposits - Deposit with Scheduled Banks, Fls (incl. Bank Balance awaiting Investment), CCIL, RBI | EACE | 7,409,356.18 | 162,416.20 | 9.01% | 9.01% | 7,189,159.72 | 974,184.50 | 28.94% | 28.94% | 6,687,976.13 | (3,796.45) | (0.11%) | (0.11%) |
| | Deposits - CDs with Scheduled Banks | EPNQ | 1,479.38 | 43.30 | 12.16% | 12.16% | 1,050.75 | 1,003.04 | 282.04% | 282.04% | - | - | - | - |
| | Corporate Securities - Debentures | ECOS | 654,202.17 | 11,564.68 | 7.22% | 7.22% | 519,898.74 | 20,189.00 | 7.92% | 7.92% | 321,396.71 | 2,575.24 | 1.60% | 1.60% |
| | Corporate Securities - Debentures / Bonds / CPs / Longs - Promoter Group | EDPG | - | - | - | - | 2,501.27 | 13.36 | 8.11% | 8.11% | 2,555.98 | 31.21 | 2.45% | 2.45% |
| | Debt Instruments of REITs - Approved | EDRT | 44,646.94 | 673.45 | 6.14% | 6.14% | 44,723.70 | 1,615.09 | 7.35% | 7.35% | 44,283.59 | 321.08 | 1.45% | 1.45% |
| | Deposits - Deposit with Scheduled Banks, Fls (incl. Bank Balance awaiting Investment), CCIL | ECDB | - | - | - | - | - | - | - | - | 18,000.00 | 762.43 | 8.63% | 8.63% |
| | Deposits - CDs with Scheduled Banks | EDCD | 141,731.78 | 2,522.59 | 7.27% | 7.27% | 195,011.36 | 6,631.46 | 6.92% | 6.92% | 268,787.80 | 6,616.93 | 4.97% | 4.97% |
| | Deposits - Repo / Reverse Repo | ECMR | 450,867.37 | 7,428.52 | 6.72% | 6.72% | 441,123.32 | 14,422.98 | 6.65% | 6.65% | 469,052.17 | 10,752.90 | 4.62% | 4.62% |
| | Commercial Papers issued by a Company or All India Financial Institutions | ECCP | 190,303.15 | 3,474.82 | 7.46% | 7.46% | 143,049.26 | 5,172.36 | 7.36% | 7.36% | 38,073.16 | 785.90 | 4.16% | 4.16% |
| | Application Money | ECAM | 2,973.09 | - | 0.00% | 0.00% | 5,908.13 | - | 0.00% | 0.00% | 5,385.01 | - | 0.00% | 0.00% |
| | Net Current Assets (Only in respect of ULIP Business) | ENCA | 88,316.46 | - | 0.00% | 0.00% | 88,316.46 | - | 0.00% | 0.00% | 32,783.93 | - | 0.00% | 0.00% |
| | Debt Capital Instruments (DCI-BaseI III) | EDCI | 97,238.07 | (119.64) | (0.49%) | (0.49%) | 119,943.68 | 1,550.42 | 2.60% | 2.60% | 132,904.51 | (960.05) | (1.44%) | (1.44%) |

| No. | Category of Investment | Category Code | Current Quarter | | | | Year to Date (current year) | | | | Year to Date (previous year) ³ | | | |
|-----|---------------------------------------------------------------------------------------|---------------|-----------------------------|--------------------------|--------------------------------|------------------------------|-----------------------------|--------------------------|--------------------------------|------------------------------|-------------------------------------------|--------------------------|--------------------------------|------------------------------|
| | | | Investment (₹) ¹ | Income on Investment (₹) | Gross Yield (%) ^{1,6} | Net Yield (%) ^{2,6} | Investment (₹) ¹ | Income on Investment (₹) | Gross Yield (%) ^{1,6} | Net Yield (%) ^{2,6} | Investment (₹) ¹ | Income on Investment (₹) | Gross Yield (%) ^{1,6} | Net Yield (%) ^{2,6} |
| | Passively Managed Equity ETF (Non Promoter Group) | EETP | 2,314.50 | 181.05 | 34.94% | 34.94% | 2,208.34 | 259.15 | 24.85% | 24.85% | 1,785.10 | 9.60 | 0.00% | 0.00% |
| | Passively Managed Equity ETF (Non Promoter Group) | EETF | 2,187.81 | 170.86 | 34.87% | 34.87% | 2,064.85 | 239.22 | 24.51% | 24.51% | 874.82 | 2.82 | 0.00% | 0.00% |
| | (d) Other Investments | | | | | | | | | | | | | |
| | Equity Shares (incl Co-op Societies) | OESH | 799,623.14 | 69,058.60 | 39.03% | 39.03% | 731,741.15 | 202,416.38 | 62.98% | 62.98% | 614,139.44 | (8,723.93) | (2.81%) | (2.81%) |
| | Equity Shares (PSUs and Unlisted) | OEPU | 11,646.88 | 1,367.86 | 9044.92% | 9044.92% | 24,709.27 | 5,286.67 | 103.32% | 103.32% | 5,127.60 | 1,508.66 | 67.27% | 67.27% |
| | Passively Managed Equity ETF (Non Promoter Group) | OETF | 1,010,586.56 | 336.18 | 0.13% | 0.13% | 976,823.18 | 95,226.65 | 20.45% | 20.45% | 881,503.92 | 64,138.65 | 15.04% | 15.04% |
| | SEBI approved Alternate Investment Fund (Category II) | OAFB | - | - | - | - | - | - | - | - | 17.78 | (1.97) | (20.85%) | (20.85%) |
| | Reclassified Approved Investments - Equity (Point 6 under Note for Regulation 4 to 9) | ORAE | 323,455.81 | (5,162.64) | (6.20%) | (6.20%) | 385,266.35 | 87,158.61 | 50.36% | 50.36% | 438,145.20 | (22,573.74) | (10.01%) | (10.01%) |
| | Passively Managed Equity ETF (Promoter Group) | OETP | 221,124.79 | 1,374.69 | 2.50% | 2.50% | 214,451.27 | 23,300.98 | 22.91% | 22.91% | 315,176.06 | 13,365.73 | 8.64% | 8.64% |
| | Reclassified Approved Investments - Debt (Point 6 under Note for Regulation 4 to 9) | ORAD | 11,029.66 | 12.95 | 8.97% | 8.97% | 11,039.98 | 259.01 | 9.24% | 9.24% | 18,876.40 | 303.07 | 3.23% | 3.23% |
| | Total | | 15,539,439.20 | 469,875.41 | | | 15,234,535.88 | 1,885,806.31 | | | 14,536,470.61 | 137,724.74 | | |

Note: Category of investment (COI) shall be as per Guidelines, as amended from time to time

- 1 Based on daily simple average of Investments at market value
- 2 Yield netted for Tax
- 3 In the previous year column, the figures of the corresponding Year to date of previous financial year have been shown
- 4 FORM-1 is prepared in respect of each fund. In case of ULIP FORM 1 is prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 5 YTD Income on investment is reconciled with figures in P&L and Revenue account
- 6 Signifies annualised yield

FORM L - 35 - Statement of Down Graded Investments

Part - A

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Name of the Fund: Life Fund

Statement as on: September 30, 2023

Periodicity of Submission: Quarterly

| No | Name of the Security | COI | Amount (₹ Lakhs) | Date of Purchase ⁷ | Rating Agency | Origina Grade | Current Grade | Date of last Downgrade | Remarks |
|----|--------------------------------------------------|------|---------------------|-------------------------------|------------------|---------------|---------------|---------------------------|---------|
| A. | <u>During the Quarter</u> ¹ | | | | | | | | |
| | NIL | | | | | | | | |
| | | | | | | | | | |
| B. | <u>As on Date</u> ² | | | | | | | | |
| 1 | 8.23% Punjab National Bank 2025 (09-Feb-2025) | HLBH | 499.59 | February 9, 2015 | CARE | AAA | AA+ | May 24, 2018 ⁵ | |
| 2 | 8.70% IDFC First Bank Limited 2025 (23-Jun-2025) | ECOS | 11,492.83 | June 23, 2015 | ICRA | AAA | AA+ | May 21, 2019 ⁶ | |
| 3 | 9.36% IDFC First Bank Limited 2024 (21-Aug-2024) | ECOS | 6,497.26 | August 21, 2014 | ICRA | AAA | AA+ | May 21, 2019 ⁶ | |

Note:

- 1 Details of downgraded Investments during the Quarter.
- 2 Investments currently upgraded, listed as downgraded during earlier Quarter are deleted from the Cumulative listing.
- 3 FORM-2 is prepared in respect of each fund. In case of ULIP Form 2 is prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investmet (COI) is as per Guidelines issued by the Authority.
- 5 The security was earlier downgraded from AAA to AA+ on February 29, 2016. The security was further downgraded from AA+ to AA on May 24, 2018. Later, on October 08, 2020 the security was upgraded to AA+.
- 6 The security was earlier downgraded from AAA to AA+ on June 28, 2018. The security was further downgraded from AA+ to AA on May 21, 2019. Later, on May 23, 2023 the security was upgraded from AA to AA+.
- 7 In case of multiple purchases, earliest date of purchase is shown.
- 8 Of the above, securities of ₹ 17,989.99 lakhs are held in the funds where investment risk is borne by the policyholders.

FORM L - 35 - Statement of Down Graded Investments**Part - A****Name of the Insurer: ICICI Prudential Life Insurance Company Limited****Registration Number: 105****Statement as on: September 30, 2023****Periodicity of Submission: Quarterly**

| No | Name of the Security | COI | Amount (₹ Lakhs) | Date of Purchase ⁶ | Rating Agency | Original Grade | Current Grade | Date of last Downgrade | Remarks |
|-----------|--------------------------------------------------|------|---------------------|-------------------------------|------------------|----------------|---------------|---------------------------|---------|
| A. | During the Quarter ¹ | | | | | | | | |
| | NIL | | | | | | | | |
| B. | As on Date ² | | | | | | | | |
| 1 | 8.70% IDFC First Bank Limited 2025 (20-May-2025) | ECOS | 1,998.47 | May 20, 2015 | ICRA | AAA | AA+ | May 21, 2019 ⁵ | |
| 2 | 8.70% IDFC First Bank Limited 2025 (23-Jun-2025) | ECOS | 999.38 | June 23, 2015 | ICRA | AAA | AA+ | May 21, 2019 ⁵ | |

Note:

- 1 Details of downgraded Investments during the Quarter.
- 2 Investments currently upgraded, listed as downgraded during earlier Quarter are deleted from the Cumulative listing.
- 3 FORM-2 is prepared in respect of each fund. In case of ULIP Form 2 is prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investmet (COI) shall be as per Guidelines issued by the Authority.
- 5 The security was earlier downgraded from AAA to AA+ on June 28, 2018. The security was further downgraded from AA+ to AA on May 21, 2019. Later, on May 23, 2023 the security was upgraded from AA to AA+
- 6 In case of multiple purchases, earliest date of purchase is shown.

FORM L - 35 - Statement of Down Graded Investments**Part - A****Name of the Insurer: ICICI Prudential Life Insurance Company Limited****Registration Number: 105****Statement as on: September 30, 2023****Periodicity of Submission: Quarterly**

| No | Name of the Security | COI | Amount (₹ Lakhs) | Date of Purchase ⁵ | Rating Agency | Original Grade | Current Grade | Date of last Downgrade | Remarks |
|-----------|--------------------------------------------------|------|---------------------|-------------------------------|------------------|----------------|---------------|------------------------|---------|
| A. | During the Quarter ¹ | | | | | | | | |
| | NIL | | | | | | | | |
| B. | As on Date ² | | | | | | | | |
| 1 | 9.99% Jhajjar Power Limited 2025 (30-April-2025) | ICTD | 7,194.82 | April 9, 2015 | Fitch | AA+ | AA | June 9, 2023 | |
| 2 | 9.99% Jhajjar Power Limited 2026 (30-April-2026) | ICTD | 7,296.67 | April 9, 2015 | Fitch | AA+ | AA | June 9, 2023 | |

Note:

- 1 Details of downgraded Investments during the Quarter.
- 2 Investments currently upgraded, listed as downgraded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 is prepared in respect of each fund. In case of ULIP Form 2 is prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investmet (COI) shall be as per Guidelines issued by the Authority.
- 5 In case of multiple purchases, earliest date of purchase is shown.

| Sl. No | Particulars | For the Quarter - Current Year | | | | For the Quarter - Previous Year | | | | Up to the Quarter - Current Year | | | | Up to the Quarter - Previous Year | | | |
|----------|--------------------------------------------------|--------------------------------|--------------------|--------------|------------------------------------------------------|---------------------------------|--------------------|--------------|------------------------------------------------------|----------------------------------|--------------------|--------------|------------------------------------------------------|-----------------------------------|--------------------|--------------|------------------------------------------------------|
| | | Premium (Rs. In Lakhs) | No. of Policies | No. of Lives | Sum Insured, Wherever applicable (Rs.Lakhs) | Premium (Rs. In Lakhs) | No. of Policies | No. of Lives | Sum Insured, Wherever applicable (Rs.Lakhs) | Premium (Rs. In Lakhs) | No. of Policies | No. of Lives | Sum Insured, Wherever applicable (Rs.Lakhs) | Premium (Rs. In Lakhs) | No. of Policies | No. of Lives | Sum Insured, Wherever applicable (Rs.Lakhs) |
| 1 | First year Premium | | | | | | | | | | | | | | | | |
| | i Individual Single Premium- (ISP) | | | | | | | | | | | | | | | | |
| | From 0-10000 | 60.88 | 126 | - | 749.31 | 77.46 | 292 | - | 1,850.86 | 129.84 | 414 | - | 2,714.89 | 178.39 | 484 | - | 3,002.25 |
| | From 10,001-25,000 | 350.91 | 38 | - | 469.50 | 159.76 | 93 | - | 1,022.94 | 533.89 | 93 | - | 947.23 | 408.66 | 188 | - | 2,185.82 |
| | From 25001-50,000 | 558.26 | 592 | - | 1,932.15 | 286.14 | 434 | - | 1,864.09 | 864.42 | 892 | - | 3,404.15 | 568.93 | 763 | - | 3,333.89 |
| | From 50,001- 75,000 | 171.29 | 97 | - | 596.35 | 80.34 | 75 | - | 576.60 | 305.41 | 138 | - | 1,054.88 | 180.30 | 139 | - | 819.42 |
| | From 75,001-100,000 | 485.14 | 282 | - | 1,657.66 | 286.06 | 214 | - | 1,446.02 | 777.79 | 458 | - | 2,737.46 | 528.69 | 421 | - | 2,592.58 |
| | From 1,00,001 -1.25,000 | 184.46 | 48 | - | 651.51 | 89.21 | 50 | - | 520.36 | 250.46 | 72 | - | 915.05 | 146.15 | 75 | - | 665.94 |
| | Above Rs. 1.25,000 | 5,711.08 | 671 | - | 16,770.96 | 4,352.99 | 685 | - | 17,414.61 | 9,498.35 | 1,142 | - | 27,933.16 | 9,269.32 | 1,414 | - | 34,922.46 |
| | ii Individual Single Premium (ISPA)- Annuity | | | | | | | | | | | | | | | | |
| | From 0-50000 | 2,166.87 | 218 | - | 304.21 | 2,713.35 | 239 | - | 345.79 | 2,820.23 | 509 | - | 733.92 | 3,408.07 | 530 | - | 767.26 |
| | From 50,001-100,000 | 319.31 | 44 | - | 247.37 | 326.79 | 48 | - | 190.83 | 585.80 | 82 | - | 459.40 | 659.17 | 100 | - | 446.76 |
| | From 1,00,001-150,000 | 447.48 | 40 | - | 411.40 | 238.38 | 33 | - | 201.11 | 626.36 | 61 | - | 568.11 | 544.98 | 79 | - | 474.59 |
| | From 150,001- 2,00,000 | 321.94 | 37 | - | 263.18 | 347.08 | 66 | - | 295.12 | 624.38 | 82 | - | 554.88 | 825.44 | 172 | - | 724.79 |
| | From 2,00,001-250,000 | 661.10 | 195 | - | 644.18 | 1,163.08 | 399 | - | 1,053.69 | 1,291.69 | 431 | - | 1,284.48 | 2,426.67 | 881 | - | 2,271.42 |
| | From 2,50,001 -3,00,000 | 1,086.82 | 264 | - | 1,105.35 | 1,378.54 | 428 | - | 1,351.68 | 1,902.53 | 541 | - | 1,931.02 | 2,867.63 | 897 | - | 2,840.05 |
| | Above Rs. 3,00,000 | 38,197.2 | 3,346 | - | 39,440.67 | 57,482.73 | 4,728 | - | 57,998.50 | 69,381.52 | 6,647 | - | 73,014.41 | 109,692.09 | 9,480 | - | 112,064.37 |
| | iii Group Single Premium (GSP) | | | | | | | | | | | | | | | | |
| | From 0-10000 | (0.03) | - | 87,948 | 920.00 | 0.53 | - | 53,802 | 3,235.70 | 0.73 | - | 214,162 | 2,246.19 | 1.20 | - | 102,676 | 3,860.55 |
| | From 10,001-25,000 | 6.90 | - | (269) | 15,251.84 | (0.01) | - | 200 | (1,227.34) | 12.12 | - | 60,452 | 20,028.46 | 5.32 | - | 767 | 4,531.62 |
| | From 25001-50,000 | 24.44 | - | 2,147 | 21,538.39 | 19.97 | - | 2,542 | 14,635.99 | 52.30 | - | 7,133 | 43,284.16 | 34.28 | - | 3,721 | 25,738.50 |
| | From 50,001- 75,000 | 30.40 | - | 4,346 | 33,172.85 | 26.19 | - | 3,498 | 22,119.11 | 76.04 | - | 7,711 | 64,342.78 | 52.23 | - | 5,450 | 39,874.32 |
| | From 75,001-100,000 | 51.17 | - | 6,598 | 78,946.21 | 31.39 | - | 2,838 | 17,942.46 | 119.21 | - | 12,379 | 132,981.45 | 63.50 | - | 7,424 | 41,787.56 |
| | From 1,00,001 -1.25,000 | 59.30 | - | 18,066 | 43,971.53 | 44.84 | - | 4,349 | 36,674.62 | 142.21 | - | 28,050 | 115,825.58 | 87.57 | - | 8,169 | 60,858.37 |
| | Above Rs. 1.25,000 | 249,621.84 | - | 12,949,324 | 34,137,812.64 | 211,751.89 | - | 11,272,044 | 28,391,476.14 | 429,982.00 | - | 22,401,704 | 66,703,787.59 | 382,122.77 | - | 19,884,043 | 59,533,777.34 |
| | iv Group Single Premium- Annuity- GSPA | | | | | | | | | | | | | | | | |
| | From 0-50000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 50,001-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 1,00,001-150,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 150,001- 2,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 2,00,001-250,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 2,50,001 -3,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 3,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | v Individual non Single Premium- INSP | | | | | | | | | | | | | | | | |
| | From 0-10000 | 178.88 | 11,074 | - | 115,033.58 | 191.41 | 11,355 | - | 92,100.31 | 350.41 | 20,326 | - | 222,200.77 | 410.84 | 23,475 | - | 202,637.04 |
| | From 10,001-25,000 | 2,728.62 | 17,135 | - | 1,411,480.83 | 3,789.77 | 24,215 | - | 717,721.67 | 5,302.32 | 33,648 | - | 2,668,225.35 | 6,557.32 | 41,964 | - | 1,524,710.65 |
| | From 25001-50,000 | 14,695.97 | 40,468 | - | 1,551,506.21 | 14,156.03 | 34,738 | - | 806,695.35 | 26,013.45 | 71,625 | - | 2,876,247.68 | 26,045.27 | 65,633 | - | 1,594,061.78 |
| | From 50,001- 75,000 | 7,289.29 | 15,481 | - | 818,140.89 | 5,602.31 | 9,962 | - | 341,769.56 | 12,461.29 | 25,057 | - | 1,345,934.93 | 10,669.65 | 18,957 | - | 673,446.28 |
| | From 75,001-100,000 | 13,996.10 | 15,192 | - | 455,687.67 | 13,396.96 | 13,995 | - | 276,830.60 | 24,216.04 | 26,628 | - | 799,099.90 | 23,805.52 | 25,536 | - | 524,223.14 |
| | From 1,00,001 -1.25,000 | 11,758.69 | 11,496 | - | 398,598.33 | 10,365.71 | 11,084 | - | 294,088.52 | 20,487.36 | 19,529 | - | 676,039.78 | 19,676.77 | 19,323 | - | 524,461.56 |
| | Above Rs. 1.25,000 | 94,640.84 | 31,318 | - | 1,450,504.31 | 90,305.48 | 29,608 | - | 1,221,113.64 | 153,667.55 | 52,175 | - | 2,426,563.94 | 150,864.07 | 51,141 | - | 2,123,684.19 |
| | vi Individual non Single Premium- Annuity- INSPA | | | | | | | | | | | | | | | | |
| | From 0-50000 | 285.19 | 785 | - | 265.01 | 242.96 | 574 | - | 242.95 | 506.49 | 1,356 | - | 477.80 | 394.66 | 942.00 | - | 394.63 |
| | From 50,001-100,000 | 821.48 | 1,033 | - | 743.96 | 651.10 | 791 | - | 650.79 | 1,361.86 | 1,751 | - | 1,230.40 | 1,018.21 | 1,230 | - | 1,017.77 |
| | From 1,00,001-150,000 | 443.49 | 439 | - | 354.94 | 287.09 | 273 | - | 286.75 | 741.57 | 715 | - | 567.36 | 414.17 | 402.00 | - | 413.62 |
| | From 150,001- 2,00,000 | 681.71 | 351 | - | 650.66 | 664.18 | 356 | - | 664.15 | 1,091.58 | 575 | - | 1,035.69 | 971.14 | 514.00 | - | 971.12 |
| | From 2,00,001-250,000 | 285.90 | 129 | - | 254.56 | 220.44 | 101 | - | 220.32 | 440.64 | 201 | - | 382.00 | 295.06 | 142.00 | - | 294.93 |
| | From 2,50,001 -3,00,000 | 476.50 | 169 | - | 440.41 | 381.39 | 139 | - | 381.24 | 716.32 | 259 | - | 661.83 | 561.42 | 204.00 | - | 561.29 |
| | Above Rs. 3,00,000 | 4,621.85 | 544 | - | 4,646.14 | 4,184.43 | 493 | - | 4,184.49 | 7,816.29 | 863 | - | 7,797.70 | 6,606.06 | 749.00 | - | 6,606.11 |

FORM L-37-BUSINESS ACQUISITION THROUGH DIFFERENT CHANNELS (GROUP)

Name of the Insurer: ICICI PRUDENTIAL LIFE INSURANCE CO. LTD.

Date: September 30, 2023

| Sl.No. | Channels | Business Acquisition through different channels (Group) | | | | | | Quarter End: September 2023 | | | | | |
|--------|------------------------------|---------------------------------------------------------|----------------------|---------------------|---------------------------------|----------------------|---------------------|----------------------------------|----------------------|---------------------|-----------------------------------|----------------------|---------------------|
| | | For the Quarter - Current Year | | | For the Quarter - Previous Year | | | Up to the Quarter - Current Year | | | Up to the Quarter - Previous Year | | |
| | | No. of Schemes | No. of Lives Covered | Premium (Rs. Lakhs) | No. of Schemes | No. of Lives Covered | Premium (Rs. Lakhs) | No. of Schemes | No. of Lives Covered | Premium (Rs. Lakhs) | No. of Schemes | No. of Lives Covered | Premium (Rs. Lakhs) |
| 1 | Individual agents | 77 | 25,786 | 1,180 | 39 | 9,215 | 523 | 147 | 46,527 | 1,793 | 82 | 41,871 | 4,199 |
| 2 | Corporate Agents-Banks | 94 | 1,129,535 | 47,035 | 47 | 723,984 | 30,219 | 153 | 1,804,050 | 73,210 | 95 | 1,260,751 | 55,982 |
| 3 | Corporate Agents -Others | 17 | 883,240 | 20,536 | 11 | 525,911 | 12,871 | 63 | 1,474,682 | 36,075 | 17 | 869,979 | 22,607 |
| 4 | Brokers | 932 | 1,155,072 | 26,753 | 606 | 613,797 | 15,153 | 2,058 | 2,087,341 | 49,177 | 1,353 | 1,381,886 | 39,035 |
| 5 | Micro Agents | - | - | - | - | - | - | - | - | - | - | - | - |
| 6 | Direct Business | 204 | 9,760,250 | 153,574 | 239 | 9,198,547 | 152,442 | 453 | 17,130,138 | 268,963 | 443 | 16,013,780 | 259,461 |
| 7 | IMF | - | 6 | (0) | - | - | - | - | 26 | (0) | - | - | - |
| 8 | Others (Please Specify) | 2 | 1,606 | 21 | - | - | - | 3 | 1,682 | 22 | - | - | - |
| a | Web Aggregators | - | - | - | - | (1) | (0) | - | - | 0 | - | (1) | (0) |
| b | Online | - | 112,665 | 695 | - | - | (0) | - | 187,145 | 1,144 | - | - | 0 |
| c | MFI | 1,326 | 13,068,160 | 249,794 | - | 267,820 | 667 | 2,877 | 22,731,591 | 430,385 | - | 443,984 | 1,083 |
| | | - | - | - | - | - | - | - | - | - | - | - | - |
| | Total | 1,326 | 13,068,160 | 249,794 | 942 | 11,339,273 | 211,875 | 2,877 | 22,731,591 | 430,385 | 1,990 | 20,012,250 | 382,367 |
| | Referral Arrangements | - | - | - | - | - | - | - | - | - | - | - | - |

Note:

1. Premium means amount of premium received from business acquired by the source
2. No of Policies stand for no. of policies sold

FORM L-38 : BUSINESS ACQUISITION THROUGH DIFFERENT CHANNELS (INDIVIDUAL)

Name of the Insurer: ICICI PRUDENTIAL LIFE INSURANCE CO. LTD.

Date: September 30, 2023

| Sl.No. | Channels | Business Acquisition through different channels (Individuals) | | | | Quarter End: September 2023 | | | |
|--------|------------------------------------|---------------------------------------------------------------|---------------------|---------------------------------|---------------------|----------------------------------|---------------------|-----------------------------------|---------------------|
| | | For the Quarter - Current Year | | For the Quarter - Previous Year | | Up to the Quarter - Current Year | | Up to the Quarter - Previous Year | |
| | | No. of Policies | Premium (Rs. Lakhs) | No. of Policies | Premium (Rs. Lakhs) | No. of Policies | Premium (Rs. Lakhs) | No. of Policies | Premium (Rs. Lakhs) |
| 1 | Individual agents | 40,552 | 67,807.90 | 47,743 | 67,453 | 70,094 | 115,734 | 79,835 | 115,624 |
| 2 | Corporate Agents-Banks | 53,161 | 60,130.77 | 55,186 | 78,560 | 95,027 | 100,022 | 106,804 | 149,699 |
| 3 | Corporate Agents -Others | 5,772 | 8,512.80 | 7,408 | 12,363 | 10,518 | 14,057 | 12,759 | 19,707 |
| 4 | Brokers | 28,449 | 17,351.16 | 15,204 | 12,922 | 47,335 | 29,277 | 29,250 | 22,259 |
| 5 | Micro Agents | - | - | - | - | - | - | - | - |
| 6 | Direct Business | - | - | - | - | - | - | - | - |
| | - Online (Through Company Website) | 6,970 | 4,299.56 | 4,267 | 4,219 | 12,820 | 7,733 | 8,782 | 8,557 |
| | - Others | 15,200 | 42,967 | 15,128 | 36,660 | 28,250 | 73,501 | 27,334 | 62,357 |
| 7 | IMF | 626 | 1,313 | 450 | 960 | 911 | 1,883 | 790 | 1,377 |
| 8 | Common Service Centres | - | - | - | - | - | - | - | - |
| 9 | Web Aggregators | 72 | 26.13 | 44 | 28 | 146 | 53 | 90 | 159 |
| 10 | Point of Sales | 196 | 1,218.06 | - | - | - | - | - | - |
| 11 | Others (Please Specify) | - | - | - | - | 790 | 0 | - | - |
| 12 | MFI | 614 | 0.33 | - | - | 379 | 2,505 | 153 | 0 |
| | | | | 38 | 255 | | | 38 | 255 |
| | Total | 151,612 | 203,627.20 | 145,468 | 213,421 | 266,270 | 344,766 | 265,835 | 379,995 |
| | Referral Arrangements | - | - | - | - | - | - | - | - |

Note:

1. Premium means amount of premium received from business acquired by the source
2. No of Policies stand for no. of policies sold

FORM L-39-Data on Settlement of Claims (Individual)

Name of the Insurer:ICICI Prudential Life Insurance Co. Ltd.

Quarter End: September 2023

Date: September 30,2023

| Ageing of Claims | | | | | | | | | |
|------------------|---------------------|-----------------------|---------|--------------|--------------|-------------------|----------|--------------------------|--------------------------------------------|
| Sl.No. | Types of Claims | No. of claims paid | | | | | | Total No. of claims paid | Total amount of claims paid (Rs. In Lakhs) |
| | | On or before maturity | 1 month | 1 - 3 months | 3 - 6 months | 6 months - 1 year | > 1 year | | |
| 1 | Maturity Claims | 21,380 | 2,402 | 515 | 187 | 96 | 9 | 24,589 | 1,14,243.88 |
| 2 | Survival Benefit | 27,018 | 14,007 | 21,339 | 222 | 15 | 5 | 62,606 | 16,092.47 |
| 3 | Annuities / Pension | 22,691 | 3,913 | 60,402 | 477 | 792 | 233 | 88,508 | 15,429.88 |
| 4 | Surrender | - | 92,559 | 969 | 43 | 3 | - | 93,574 | 6,79,091.13 |
| 5 | Health | - | 3,729 | 2 | - | - | - | 3,731 | 2,888.89 |
| 6 | Other benefits | - | 25 | - | - | - | - | 25 | 234.30 |
| 7 | Death Claims | - | 2,957 | 87 | 5 | - | 3 | 3,052 | 42,402.48 |

FORM L-39-Data on Settlement of Claims (Group)

| Ageing of Claims | | | | | | | | | |
|------------------|---------------------|-----------------------|---------|--------------|--------------|-------------------|----------|--------------------------|--------------------------------------------|
| Sl.No. | Types of Claims | No. of claims paid | | | | | | Total No. of claims paid | Total amount of claims paid (Rs. In Lakhs) |
| | | On or before maturity | 1 month | 1 - 3 months | 3 - 6 months | 6 months - 1 year | > 1 year | | |
| 1 | Maturity Claims | - | - | - | - | - | - | - | - |
| 2 | Survival Benefit | - | - | - | - | - | - | - | - |
| 3 | Annuities / Pension | - | - | - | - | - | - | - | - |
| 4 | Surrender | - | 27,081 | 144 | 5 | 2 | - | 27,232 | 48,210.61 |
| 5 | Health | - | 4 | - | - | - | - | 4 | 42.76 |
| 6 | Other benefits | - | - | - | - | - | - | - | - |
| 7 | Death Claims | - | 68,998 | 934 | 110 | 35 | 25 | 70,102 | 56,578.05 |

FORM L-39-Data on Settlement of Claims (Individual)

Name of the Insurer:ICICI Prudential Life Insurance Co. Ltd.

Half Year End: September 2023

Date: September 30,2023

| Ageing of Claims | | | | | | | | | |
|------------------|---------------------|-----------------------|----------|--------------|--------------|-------------------|----------|--------------------------|--------------------------------------------|
| Sl.No. | Types of Claims | No. of claims paid | | | | | | Total No. of claims paid | Total amount of claims paid (Rs. In Lakhs) |
| | | On or before maturity | 1 month | 1 - 3 months | 3 - 6 months | 6 months - 1 year | > 1 year | | |
| 1 | Maturity Claims | 36,028 | 4,535 | 1,243 | 385 | 158 | 21 | 42,370 | 1,84,545.03 |
| 2 | Survival Benefit | 46,605 | 25,583 | 40,796 | 370 | 30 | 12 | 1,13,396 | 29,211.78 |
| 3 | Annuities / Pension | 43,369 | 8,381 | 1,18,208 | 1,045 | 1,190 | 524 | 1,72,717 | 29,516.65 |
| 4 | Surrender | - | 1,71,948 | 1,691 | 63 | 24 | 6 | 1,73,732 | 12,42,428.83 |
| 5 | Health | - | 7,652 | 32 | - | - | - | 7,684 | 5,830.08 |
| 6 | Other benefits | - | 48 | 1 | - | - | - | 49 | 425.09 |
| 7 | Death Claims | - | 5,928 | 159 | 9 | 1 | 4 | 6,101 | 79,104.35 |

FORM L-39-Data on Settlement of Claims (Group)

| Ageing of Claims | | | | | | | | | |
|------------------|---------------------|-----------------------|----------|--------------|--------------|-------------------|----------|--------------------------|--------------------------------------------|
| Sl.No. | Types of Claims | No. of claims paid | | | | | | Total No. of claims paid | Total amount of claims paid (Rs. In Lakhs) |
| | | On or before maturity | 1 month | 1 - 3 months | 3 - 6 months | 6 months - 1 year | > 1 year | | |
| 1 | Maturity Claims | - | - | - | - | - | - | - | - |
| 2 | Survival Benefit | - | - | - | - | - | - | - | - |
| 3 | Annuities / Pension | - | - | - | - | - | - | - | - |
| 4 | Surrender | - | 82,853 | 331 | 10 | 4 | - | 83,198 | 1,04,678.42 |
| 5 | Health | - | 7 | - | - | - | - | 7 | 102.89 |
| 6 | Other benefits | - | - | - | - | - | - | - | - |
| 7 | Death Claims | - | 1,30,850 | 1,646 | 206 | 47 | 30 | 1,32,779 | 1,09,600.77 |

FORM L-40 Quarterly Claims Data for Life

Name of the Insurer: ICICI Prudential Life Insurance Co. Ltd.

Date: September 30,2023 Quarter End: September 2023

Death Claims

No. of claims only

| Sl. No. | Claims Experience | Individual | Group |
|---------|----------------------------------------------------------|------------|--------|
| 1 | Claims O/S at the beginning of the period | 22 | 624 |
| 2 | Claims Intimated / Booked during the period | 3,088 | 70,058 |
| (a) | Less than 3 years from the date of acceptance of risk | 964 | 69,554 |
| (b) | Greater than 3 years from the date of acceptance of risk | 2,124 | 504 |
| 3 | Claims Paid during the period | 3,052 | 70,102 |
| 4 | Claims Repudiated during the period | 34 | 96 |
| 5 | Claims Rejected | | 10 |
| 6 | Unclaimed | 5 | - |
| 7 | Claims O/S at End of the period | 19 | 474 |
| | Outstanding Claims:- | | |
| | Less than 3months | 5 | 365 |
| | 3 months and less than 6 months | 1 | 32 |
| | 6 months and less than 1 year | - | 41 |
| | 1year and above | 13 | 36 |

Individual Claims

No. of claims only

| Sl. No. | Claims Experience | Maturity | Survival Benefit | Annuities/ Pension | Surrender | Health | Other Benefits |
|---------|-------------------------------------------|----------|------------------|-----------------------|-----------|--------|----------------|
| 1 | Claims O/S at the beginning of the period | 1,526 | 1,755 | 9,401 | 2,547 | 401 | 3 |
| 2 | Claims Booked during the period | 24,631 | 62,816 | 88,700 | 93,490 | 4,170 | 27 |
| 3 | Claims Paid during the period | 24,589 | 62,606 | 88,508 | 93,574 | 3,731 | 25 |
| 4 | Unclaimed | 823 | 39 | 1,644 | 102 | - | |
| 5 | Claims O/S at End of the period | 745 | 1,926 | 7,949 | 2,361 | 840 | 5 |
| | Outstanding Claims (Individual) | | | | | - | |
| | Less than 3months | 532 | 1,464 | 1,766 | 2,339 | 832 | 4 |
| | 3 months and less than 6 months | 183 | 313 | 1,857 | 21 | 8 | |
| | 6 months and less than 1 year | 9 | 108 | 1,191 | 1 | - | |
| | 1year and above | 21 | 41 | 3,135 | - | - | 1 |

FORM L-40 Quarterly Claims Data for Life

Name of the Insurer:ICI Prudential Life Insurance Co. Ltd.

Date: September 30,2023 Half Year End: September 2023

Death Claims

No. of claims only

| Sl. No. | Claims Experience | Individual | Group |
|---------|----------------------------------------------------------|------------|----------|
| 1 | Claims O/S at the beginning of the period | 20 | 2,006 |
| 2 | Claims Intimated / Booked during the period | 6,181 | 1,31,458 |
| (a) | Less than 3 years from the date of acceptance of risk | 1,777 | 1,30,587 |
| (b) | Greater than 3 years from the date of acceptance of risk | 4,404 | 871 |
| 3 | Claims Paid during the period | 6,101 | 1,32,779 |
| 4 | Claims Repudiated during the period | 76 | 181 |
| 5 | Claims Rejected | | 22 |
| 6 | Unclaimed | 5 | 8 |
| 7 | Claims O/S at End of the period | 19 | 474 |
| | Outstanding Claims:- | | |
| | Less than 3months | 5 | 365 |
| | 3 months and less than 6 months | 1 | 32 |
| | 6 months and less than 1 year | - | 41 |
| | 1year and above | 13 | 36 |

Individual Claims

No. of claims only

| Sl. No. | Claims Experience | Maturity | Survival Benefit | Annuities/ Pension | Surrender | Health | Other Benefits |
|---------|-------------------------------------------|----------|------------------|-----------------------|-----------|--------|----------------|
| 1 | Claims O/S at the beginning of the period | 2,156 | 1,339 | 8,072 | 1,972 | 358 | 5 |
| 2 | Claims Booked during the period | 41,957 | 1,14,249 | 1,75,356 | 1,74,256 | 8,166 | 49 |
| 3 | Claims Paid during the period | 42,370 | 1,13,396 | 1,72,717 | 1,73,732 | 7,684 | 49 |
| 4 | Unclaimed | 998 | 266 | 2,762 | 135 | - | |
| 5 | Claims O/S at End of the period | 745 | 1,926 | 7,949 | 2,361 | 840 | 5 |
| | Outstanding Claims (Individual) | | | | | | |
| | Less than 3months | 532 | 1,464 | 1,766 | 2,339 | 832 | 4 |
| | 3 months and less than 6 months | 183 | 313 | 1,857 | 21 | 8 | |
| | 6 months and less than 1 year | 9 | 108 | 1,191 | 1 | - | |
| | 1year and above | 21 | 41 | 3,135 | | - | 1 |

PERIODIC DISCLOSURES

Form L41 GRIEVANCE DISPOSAL

ICICI Prudential Life Insurance Co Ltd

Date: September 30, 2023

GRIEVANCE DISPOSAL FOR THE YEAR ENDING: September 2023

| Sr No | Particulars | Opening Balance at the beginning of the year | Additions YTD September 2023 (net of duplicate complaints) | Complaints resolved / settled upto the quarter during the financial year | | | Complaints Pending at the end of the quarter | Total Complaints registered up to the quarter during the financial year |
|-------|-----------------------------------|----------------------------------------------|------------------------------------------------------------|--------------------------------------------------------------------------|--------------------|--------------|----------------------------------------------|-------------------------------------------------------------------------|
| | | | | Fully Accepted | Partially Accepted | Rejected | | |
| 1 | Complaints made by customers | | | | | | | |
| a | Death Claims | 0 | 91 | 14 | 7 | 70 | 0 | 91 |
| b | Policy Servicing | 1 | 146 | 51 | 23 | 73 | 0 | 146 |
| c | Proposal Processing | 0 | 105 | 44 | 22 | 39 | 0 | 105 |
| d | Survival Claims | 0 | 167 | 61 | 20 | 86 | 0 | 167 |
| e | ULIP Related | 0 | 6 | 3 | 1 | 2 | 0 | 6 |
| f | Unfair Business Practices | 0 | 658 | 181 | 50 | 426 | 1 | 658 |
| g | Others | 0 | 605 | 201 | 75 | 328 | 1 | 605 |
| | Total Number of Complaints | 1 | 1,778 | 555 | 198 | 1,024 | 2 | 1,778 |

| | | |
|---|-----------------------------------------------------------------------------------------|--------|
| 2 | Total No. of Policies upto corresponding period of previous year | 267825 |
| 3 | Total No. of Claims upto corresponding period of previous year | 239635 |
| 4 | Total No. of Policies during current year | 269147 |
| 5 | Total No. of Claims during current year | 294600 |
| 6 | Total No. of Policy Complaints (current year) per 10000 policies (current year) | 54 |
| 7 | Total No. of Claim Complaints (current year) per 10000 claims registered (current year) | 11 |

| 8 | Duration wise Pending Status | Complaints made by customers | | Complaints made by Intermediaries | | Total | |
|----|-----------------------------------|------------------------------|----------------------------------|-----------------------------------|----------------------------------|----------|----------------------------------|
| | | Number | Percentage to Pending complaints | Number | Percentage to Pending complaints | Number | Percentage to Pending complaints |
| a) | Up to 15 days | 2 | 100% | 0 | 0 | 2 | 100% |
| b) | 15 - 30 days | 0 | 0% | 0 | 0 | 0 | 0% |
| c) | 30 - 90 days | 0 | 0% | 0 | 0 | 0 | 0% |
| d) | 90 days & Beyond | 0 | 0% | 0 | 0 | 0 | 0% |
| | Total Number of Complaints | 2 | 100% | 0 | 0 | 2 | 100% |

PERIODIC DISCLOSURES

Form L41 GRIEVANCE DISPOSAL

ICICI Prudential Life Insurance Company Limited

Date: September 30, 2023

GRIEVANCE DISPOSAL FOR THE QUARTER ENDING: September 2023

| Sr No | Particulars | Opening Balance at the beginning of the quarter | Additions during the quarter (net of duplicate complaints) | Complaints resolved / settled upto the quarter during the financial year | | | Complaints Pending at the end of the quarter | Total Complaints registered up to the quarter during the financial year |
|-------|-----------------------------------|-------------------------------------------------|------------------------------------------------------------|--------------------------------------------------------------------------|--------------------|------------|----------------------------------------------|-------------------------------------------------------------------------|
| | | | | Fully Accepted | Partially Accepted | Rejected | | |
| 1 | Complaints made by customers | | | | | | | |
| a | Death Claims | 1 | 43 | 8 | 4 | 32 | 0 | 91 |
| b | Policy Servicing | 0 | 76 | 23 | 11 | 42 | 0 | 146 |
| c | Proposal Processing | 0 | 55 | 24 | 11 | 20 | 0 | 105 |
| d | Survival Claims | 0 | 61 | 20 | 7 | 34 | 0 | 167 |
| e | ULIP Related | 0 | 3 | 1 | 1 | 1 | 0 | 6 |
| f | Unfair Business Practices | 0 | 307 | 90 | 23 | 193 | 1 | 658 |
| g | Others | 2 | 190 | 76 | 16 | 99 | 1 | 605 |
| | Total Number of Complaints | 3 | 735 | 242 | 73 | 421 | 2 | 1,778 |

| | | |
|---|-----------------------------------------------------------------------------------------|--------|
| 2 | Total No. of Policies upto corresponding period of previous year | 267825 |
| 3 | Total No. of Claims upto corresponding period of previous year | 239635 |
| 4 | Total No. of Policies during current year | 269147 |
| 5 | Total No. of Claims during current year | 294600 |
| 6 | Total No. of Policy Complaints (current year) per 10000 policies (current year) | 54 |
| 7 | Total No. of Claim Complaints (current year) per 10000 claims registered (current year) | 11 |

| 8 | Duration wise Pending Status | Complaints made by customers | | Complaints made by Intermediaries | | Total | |
|----|-----------------------------------|------------------------------|----------------------------------|-----------------------------------|----------------------------------|----------|----------------------------------|
| | | Number | Percentage to Pending complaints | Number | Percentage to Pending complaints | Number | Percentage to Pending complaints |
| a) | Up to 15 days | 2 | 100% | 0 | 0 | 2 | 100% |
| b) | 15 - 30 days | 0 | 0% | 0 | 0 | 0 | 0% |
| c) | 30 - 90 days | 0 | 0% | 0 | 0 | 0 | 0% |
| d) | 90 days & Beyond | 0 | 0% | 0 | 0 | 0 | 0% |
| | Total Number of Complaints | 2 | 100% | 0 | 0 | 2 | 100% |

L-42- Valuation Basis (Life Insurance) as at end September 30, 2023

Name of the insurer :- ICICI Prudential Life Insurance Co. Ltd

1. Data

Policy data is extracted from policy administration systems and checks are carried out to ensure completeness and accuracy of data.

2. Treatment of valuation parameters

The liability valuation calculations have been carried out using an actuarial software. Assumptions for each plan are updated in the model. Specific characteristics of each policy such as age at entry, sum assured, term, etc. are either directly obtained from data or calculated within the model.

3. Valuation method – Methods adopted in the determination of mathematical reserves

In general the method of valuation is the gross premium valuation. The reserve held represents the net present value of benefits and expenses less premiums. The reserves are calculated on a per policy basis. Any negative reserves are zeroised, so that a policy is not treated as an asset. The minimum value of reserves is the higher of guaranteed surrender value, non guaranteed surrender value, and zero.

The following sections contain specific details about reserving for different lines of business.

Non-participating business

| Contract status | Category | Applicability | Method |
|-----------------|------------------------------------|-------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------|
| In-force | Individual products | Base plan | Higher of the gross premium valuation (GPV) reserve and surrender value (if applicable) and zero |
| | | Riders | Higher of GPV reserve and unearned premium reserve (UPR) on a policy basis |
| | Group products – non-participating | All group risk products, except group term with duration less than or equal to one year, and group fund based savings product | Higher of GPV reserve and Surrender value (if applicable) or zero |
| | | Group term with duration less than or equal to one year | Unearned premium reserve |
| | | Group savings product (non-variable) | Policy account value is held as reserve |

| Contract status | Category | Applicability | Method |
|-------------------------------------------------------------------------|---------------------|---------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | Group variable life and pension | Policy account value is held in addition to general fund reserve |
| Reduced paid up | Individual products | Base plan | Higher of GPV reserve or surrender value on reduced benefit with no future premiums payable |
| Lapse reserve | Individual products | Base plan | Reserve for expenses till the end of the revival period |
| Lapsed/reduced paid up, in respect of those that are expected to revive | Individual products | Reinstatement reserve | <p>Reinstatement rate is applied to the difference between (A) & (B) where,</p> <p>(A) = the reserve assuming contract is In force and (B) = the revival premiums minus commissions payable plus the paid-up/lapsed reserve,</p> <p>Subject to a floor of zero.</p> |

Participating business

| Contract status | Category | Applicability | Method |
|-----------------------|--------------------------------|---------------|----------------------------------------------------------------------------------------------------------------------------------------------------------|
| In-force | Individual products | Base plan | Higher of the GPV reserve and surrender value (if applicable) and zero, with allowance for future bonus and associated tax and transfers to shareholders |
| | | Riders | Higher of GPV reserve and UPR on a policy basis |
| | Group products – participating | Base plan | Higher of the GPV reserve with allowance for future bonus and associated tax and transfers to shareholders and face value of liability |
| Reduced paid up (all) | Individual products | Base plan | Higher of GPV reserve or surrender value on reduced benefit with no future premiums payable |
| Lapse reserve (all) | Individual products | Base plan | Reserve for expenses till the end of the maximum revival period |

| Contract status | Category | Applicability | Method |
|----------------------------------------------------------------------------|---------------------|-----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Lapsed or reduced paid up, in respect of those that are expected to revive | Individual products | Reinstatement reserve | Reinstatement rate is applied to the difference between (A) & (B) where, (A) = the reserve assuming contract is In force and (B) = the revival premiums minus commissions payable plus the paid-up/lapsed reserve, Subject to a floor of zero. |

Unit linked business

| Contract status | Category | Applicability | Method |
|---------------------------------------------|---------------------------------------------|----------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| In-force premium paying/ premium holiday | Unit reserves | Unit fund | The unit reserve is the number of units held by the policyholder multiplied by the NAV at the valuation date. |
| | Non-unit reserves – except for group linked | Life cover, rider benefits and adequacy of charges to cover expenses | For base policy and associated mortality benefit we take the higher of the unearned risk benefit charges and all the projected cash flows. We allow for zeroisation under all contracts at a policy level, so that credit is taken for future positive cash flows only to the extent that they offset subsequent negative cash flows. For riders a higher of GPV reserve and UPR on a policy basis |
| Lapsed | Unit reserves | Unit fund | Paid up/surrender value to the credit of the policyholders |
| | | Reinstatement reserve | A reinstatement rate is applied to the difference between the full unit value and the paid up/surrender value. We adjust this reserve for death benefits payable prior to revival or foreclosure. |

| Contract status | Category | Applicability | Method |
|-----------------|-------------------|-------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | Non-unit reserves | Adequacy of charges to cover expenses during the maximum revival period. Reinstatement reserve | Projected cash flows as for in-force contracts allowing for zeroisation. The cash flows do not include cost of insurance charges and claims outgo. For pre-September 2010 policies Reinstatement rate is applied to the difference between the reserve assuming contract is in force and the charges on outstanding premiums and non-unit reserve. For post-September 2010 policies the reinstatement reserve is calculated by allowing for refund of surrender penalty, levy of back charges, release of lapsed non-unit reserve and setting up of in-force non-unit reserve, all multiplied by probability of revival, subject to a floor of zero. |

Unit Linked business (continued)

| Contract status | Category | Applicability | Method |
|-----------------|-------------------|---------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Group linked | Non-unit reserves | | No reserves are held on account of expenses as charges are currently higher than expenses and expected to remain so. In case of mortality benefits, unexpired risk premium is held. |

4. Bonus rates for participating policies

The current year declared reversionary, cash and terminal bonuses and historical reversionary bonus rates given in Annexure I.

4.1. Policyholders' reasonable expectations (PRE)

Due consideration is given to the reasonable expectations of policyholders when making a distribution of surplus. "Reasonable" is not explicitly defined in the regulations and is left to the interpretation of the Appointed Actuary. Our interpretation of "Reasonable" refers to a well-informed, financially literate policyholder.

The main drivers of PRE are currently our point of sale material, the bonus rates declared last year and past communication with policyholders.

4.2. Taxation and shareholder transfers

The gross premium reserve (GPV) includes reserve for transfer and tax. Tax is provided on surplus emerging under participating products. For the current valuation, we have assumed that the participating pension business is tax exempt. However, our

interpretation of PRE would be that future bonuses would in any case reflect the taxes charged to the fund. As a result, the reserves would not be changed even if taxes came to be charged.

5. Valuation assumptions

Valuation parameters are set prudently and include margin for adverse deviation (MAD) as required under APS7 issued by Institute of Actuaries of India.

The range (minimum to maximum) of parameters used for valuation for individual and group business as at 30th September 2023 are given in Annexure II and Annexure III respectively.

6. Reserves for incurred but not reported (IBNR) claims

IBNR reserves are required for claims which may have been incurred at the valuation date but which have not been reported to the company. IBNR reserve is held for all group and retail protection products.

Annexure I

Retail Participating Life

Historical Bonus Rates

| Financial year | Products | Compound reversionary |
|----------------|-------------------------------------------------------------------------------|-----------------------|
| FY 2022-23 | ICICI Pru Save 'n' Protect Series I and II ICICI Pru Save 'n' Protect Mass | 2.00% |
| FY 2021-22 | | 2.00% |
| FY 2020-21 | | 2.00% |
| FY 2019-20 | | 2.00% |
| FY 2018-19 | | 2.00% |
| FY 2017-18 | | 2.00% |
| FY 2016-17 | | 2.00% |
| FY 2015-16 | | 2.25% |
| FY 2014-15 | | 2.50% |
| FY 2013-14 | | 2.25% |
| FY 2012-13 | | 2.25% |
| FY 2011-12 | | 2.25% |
| FY 2010-11 | | 2.50% |
| FY 2009-10 | | 2.50% |
| FY 2008-09 | | 2.25% |
| FY 2007-08 | | 3.00% |
| FY 2006-07 | | 3.25% |
| FY 2022-23 | ICICI Pru Cashbak Series I and II | 2.25% |
| FY 2021-22 | | 2.25% |
| FY 2020-21 | | 2.25% |
| FY 2019-20 | | 2.25% |
| FY 2018-19 | | 2.00% |
| FY 2017-18 | | 2.00% |
| FY 2016-17 | | 2.00% |
| FY 2015-16 | | 2.25% |
| FY 2014-15 | | 2.50% |
| FY 2013-14 | | 2.25% |
| FY 2012-13 | | 2.25% |
| FY 2011-12 | | 2.25% |
| FY 2010-11 | | 2.50% |
| FY 2009-10 | | 2.50% |
| FY 2008-09 | | 2.25% |
| FY 2007-08 | | 3.00% |
| FY 2006-07 | | 3.25% |
| FY 2022-23 | ICICI Pru Smartkid Series I and II | 3.00% |
| FY 2021-22 | | 3.00% |
| FY 2020-21 | | 2.75% |
| FY 2019-20 | | 2.50% |
| FY 2018-19 | | 2.25% |
| FY 2017-18 | | 2.00% |
| FY 2016-17 | | 2.00% |
| FY 2015-16 | | 2.25% |
| FY 2014-15 | 2.50% | |

| | | |
|------------|------------------------------------|-------|
| FY 2013-14 | ICICI Pru Smartkid Series I and II | 2.25% |
| FY 2012-13 | | 2.25% |
| FY 2011-12 | | 2.25% |
| FY 2010-11 | | 2.50% |
| FY 2009-10 | | 2.50% |
| FY 2008-09 | | 2.25% |
| FY 2007-08 | | 3.00% |
| FY 2006-07 | | 3.25% |

| Financial year | Products | Compound reversionary |
|----------------|------------------------------|------------------------------|
| FY 2022-23 | ICICI Pru Cash Advantage | 4.50% |
| FY 2021-22 | | 4.50% |
| FY 2020-21 | | 4.50% |
| FY 2019-20 | | 4.50% |
| FY 2018-19 | | 4.75% |
| FY 2017-18 | | 4.75% |
| FY 2016 -17 | | 4.75% |
| FY 2015 -16 | | 5.00% |
| FY 2014-15 | | 5.25% |
| FY 2013-14 | | 5.25% |
| FY 2022-23 | | ICICI Pru Saving Suraksha LP |
| FY 2021-22 | 2.75% | |
| FY 2020-21 | 2.75% | |
| FY 2019-20 | 3.00% | |
| FY 2018-19 | 3.00% | |
| FY 2017-18 | 3.00% | |
| FY 2016 -17 | 3.00% | |
| FY 2015 -16 | 3.25% | |
| FY 2014-15 | 3.50% | |
| FY 2013-14 | 3.50% | |
| FY 2022-23 | ICICI Pru Saving Suraksha RP | 1.50% |
| FY 2021-22 | | 1.50% |
| FY 2020-21 | | 1.50% |
| FY 2019-20 | | 1.75% |
| FY 2018-19 | | 1.75% |
| FY 2017-18 | | 1.75% |
| FY 2016-17 | | 1.75% |
| FY 2015-16 | | 2.00% |
| FY 2014-15 | | 2.25% |
| FY 2013-14 | | 2.25% |
| FY 2022-23 | ICICI Pru Future Perfect | 2.50% |
| FY 2021-22 | | 2.50% |
| FY 2020-21 | | 2.25% |
| FY 2019-20 | | 2.25% |
| FY 2018-19 | | 2.25% |
| FY 2017-18 | | 2.25% |
| FY 2016-17 | | 2.00% |
| FY 2022-23 | ICICI Pru Anmol Bachat II | 1.25% |

| | | |
|------------|-----------------------------------|-------|
| FY 2021-22 | ICICI Pru Anmol Bachat II | 1.25% |
| FY 2020-21 | | 1.25% |
| FY 2019-20 | | 1.25% |
| FY 2018-19 | | 1.25% |
| FY 2017-18 | | 1.25% |
| FY 2016-17 | | 1.25% |
| FY 2022-23 | ICICI Pru Sukh Samruddhi - Income | 1.50% |
| FY 2022-23 | ICICI Pru Sukh Samruddhi - LP | 2.50% |
| FY 2022-23 | ICICI Pru Sukh Samruddhi – RP | 1.50% |

| Financial year | Products | Simple Reversionary Bonus |
|------------------------------|-------------------------|--------------------------------------------------------------------------------------------------------------------------|
| FY 2022-23 | ICICI Pru Whole Life | Premium Payment Term Up to 15 years 3.25% 16 to 20 years 3.65% 21 to 25 years 4.45% 26 years and above 4.85% |
| FY 2021-22 | | Premium Payment Term Up to 15 years 3.25% 16 to 20 years 3.65% 21 to 25 years 4.45% 26 years and above 4.85% |
| FY 2020-21 | | Premium Payment Term Up to 15 years 3.25% 16 to 20 years 3.65% 21 to 25 years 4.45% 26 years and above 4.85% |
| FY 2019-20 | | Premium Payment Term Up to 15 years 3.00% 16 to 20 years 3.40% 21 to 25 years 4.20% 26 years and above 4.60% |
| FY 2018-19 | | Premium Payment Term Up to 15 years 3.00% 16 to 20 years 3.40% 21 to 25 years 4.20% 26 years and above 4.60% |
| FY 2017-18 | | Premium Payment Term Up to 15 years 3.00% 16 to 20 years 3.40% 21 to 25 years 4.20% 26 years and above 4.60% |
| FY 2016-17 | | Premium Payment Term Up to 15 years 3.00% 16 to 20 years 3.40% 21 to 25 years 4.20% 26 years and above 4.60% |
| FY 2015-16 | | Premium Payment Term Up to 15 years 3.25% 16 to 20 years 3.65% 21 to 25 years 4.45% 26 years and above 4.85% |
| FY 2010-11 till FY2014-15 | | Premium Payment Term Up to 15 years 3.50% 16 to 20 years 3.90% 21 to 25 years 4.70% 26 years and above 5.10% |
| FY 2022-23 | | ICICI Pru Future Secure |

| | | |
|------------------------------|------------------------------------------|--------------------------------------------------------------------------------|
| FY 2021-22 | ICICI Pru Future Secure | Policy term: up to 15 years 3.35% 16 years and above 3.75% |
| FY 2020-21 | | Policy term: up to 15 years 3.35% 16 years and above 3.75% |
| FY 2019-20 | | Policy term: up to 15 years 3.10% 16 years and above 3.50% |
| FY 2018-19 | | Policy term: up to 15 years 3.10% 16 years and above 3.50% |
| FY 2017-18 | | Policy term: up to 15 years 3.10% 16 years and above 3.50% |
| FY 2016-17 | | Policy term: up to 15 years 3.10% 16 years and above 3.50% |
| FY 2015-16 | | Policy term: up to 15 years 3.35% 16 years and above 3.75% |
| FY 2010-11 till FY2014-15 | | Policy term: up to 15 years 3.60% 16 years and above 4.00% |
| FY 2022-23 | ICICI Pru Lakshya LifeLong Plan | 2% |
| FY 2021-22 | | 2% |
| FY 2020-21 | | 1% |
| FY 2019-20 | | 1% |

The table below shows bonus rates for ICICI Pru Lakshya Wealth Plan for FY2019-20, FY2020-21, FY2021-22 and FY2022-23.

| Term/PPT (years) | 5 | 7 | 10 | 12 |
|-----------------------------|----------|----------|-----------|-----------|
| 12 | 3.00% | 2.30% | NA | NA |
| 15 | 3.40% | 2.80% | 2.10% | 1.70% |
| 20 | 4.70% | 4.00% | 3.20% | 2.90% |
| 25 | 5.90% | 5.10% | 4.40% | 4.10% |
| 30 | 7.20% | 6.40% | 5.60% | 5.30% |

Retail Participating Pension

| Products | Financial Year | Bonus Rates |
|--------------------------------------------------------|----------------|-------------|
| ICICI Pru Forever Life Regular Premium Series I and II | FY2022-23 | 3.50% |
| | FY2021-22 | 3.50% |
| | FY 2020-21 | 3.50% |
| | FY 2019-20 | 3.25% |
| | FY 2018-19 | 3.00% |
| | FY 2017- 18 | 2.75% |
| | FY 2016- 17 | 2.75% |
| | FY 2015- 16 | 2.75% |
| | FY 2014-15 | 3.00% |
| | FY 2013-14 | 2.75% |
| | FY 2012-13 | 2.75% |
| | FY 2011-12 | 2.75% |
| | FY 2010-11 | 3.00% |
| | FY 2009-10 | 2.75% |
| | FY 2008-09 | 2.50% |
| FY 2007-08 | 3.00% | |
| FY 2006-07 | 3.25% | |

Special bonuses were declared in FY2010 of the following rates:

| Financial Year | Retail Participating Life | Retail Participating Pension |
|----------------|---------------------------|------------------------------|
| 2010-11 | 1.50% | 1.25% |

Interim bonus rates (as a percentage of sum assured, vested guaranteed additions and vested reversionary bonus) for the current year:

The interim bonus rates for the current year are same as reversionary bonus rates for FY 2022-23 given above.

Cash bonus rates (as a percentage of Annualised Premium) for the current year (Participating life):

ICICI Pru Gold:

| Cash Bonus Rates for Immediate Income plan | | | | | | | | |
|---------------------------------------------------|--------|--------|--------|--------|--------|--------|--------|--------|
| PPT\ Age at Entry | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| Up to 25 years | 14.00% | 16.50% | 18.50% | 20.50% | 20.75% | 22.50% | 23.75% | 25.50% |
| 26 to 30 years | 14.00% | 16.50% | 18.50% | 20.50% | 21.00% | 23.00% | 24.50% | 26.00% |
| 31 to 35 years | 14.00% | 16.50% | 18.50% | 20.75% | 21.75% | 23.75% | 25.00% | 27.00% |
| 36 to 40 years | 14.00% | 16.25% | 18.50% | 20.75% | 22.00% | 24.00% | 25.00% | 27.00% |
| 41 to 45 years | 13.00% | 15.50% | 18.00% | 20.00% | 22.25% | 24.00% | 25.00% | 26.50% |
| 46 to 50 years | 12.00% | 14.75% | 17.50% | 20.00% | 23.00% | 25.50% | 26.00% | 26.50% |
| 51 to 55 years | NA | 12.50% | 16.75% | 19.00% | 21.75% | 25.00% | 24.75% | 24.50% |
| 56 to 60 years | NA | NA | 14.25% | 17.00% | 20.25% | 23.50% | 23.50% | 22.50% |

*Rates applicable for Annualised Premium of ₹ 50,000

| Cash Bonus Rates for Immediate Income with Booster plan | | | | | | | | |
|----------------------------------------------------------------|----|--------|--------|--------|--------|--------|--------|--------|
| Premium Payment Term\ Age at Entry | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| Up to 25 years | NA | 16.50% | 18.50% | 20.50% | 20.75% | 22.50% | 23.75% | 25.50% |
| 26 to 30 years | NA | 16.50% | 18.50% | 20.50% | 21.00% | 23.00% | 24.50% | 26.00% |
| 31 to 35 years | NA | 16.50% | 18.50% | 20.75% | 21.75% | 23.75% | 25.00% | 27.00% |
| 36 to 40 years | NA | 16.25% | 18.50% | 20.75% | 22.00% | 24.00% | 25.00% | 27.00% |
| 41 to 45 years | NA | 15.50% | 18.00% | 20.00% | 22.25% | 24.00% | 25.00% | 26.50% |
| 46 to 50 years | NA | 14.75% | 17.50% | 20.00% | 23.00% | 25.50% | 26.00% | 26.50% |
| 51 to 55 years | NA | NA | 16.75% | 19.00% | 21.75% | 25.00% | 24.75% | 24.50% |
| 56 to 60 years | NA | NA | NA | 17.00% | 20.25% | 23.50% | 23.50% | 22.50% |

*Rates applicable for Annualised Premium of ₹ 50,000

| Cash Bonus Rates for Deferred Income plan | | | | | | | | |
|--------------------------------------------------|----|----|--------|--------|--------|--------|--------|--------|
| Deferred by 1 Year | | | | | | | | |
| Premium Payment Term\ Age at Entry | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| Up to 25 years | NA | NA | 20.25% | 22.50% | 22.75% | 24.75% | 26.00% | 27.75% |
| 26 to 30 years | NA | NA | 20.25% | 22.50% | 23.00% | 25.25% | 26.75% | 28.50% |
| 31 to 35 years | NA | NA | 20.25% | 22.75% | 23.75% | 26.00% | 27.25% | 29.50% |
| 36 to 40 years | NA | NA | 20.50% | 23.00% | 24.25% | 26.50% | 27.50% | 29.50% |
| 41 to 45 years | NA | NA | 20.00% | 22.25% | 24.50% | 26.50% | 27.50% | 29.25% |
| 46 to 50 years | NA | NA | 18.75% | 22.25% | 25.50% | 28.00% | 28.50% | 29.25% |
| 51 to 55 years | NA | NA | NA | 21.00% | 24.25% | 27.75% | 27.25% | 27.00% |
| 56 to 60 years | NA | NA | NA | NA | 22.75% | 26.25% | 26.25% | 25.25% |

*Rates applicable for Annualised Premium of ₹ 50,000

There will be additional benefit payable for policies with Annualized Premium more than ₹50,000, to reflect the savings due to the spreading of expenses. The additional guaranteed income rate, expressed as % of Annualized Premium, for each premium band threshold is provided in the table below:

| Annualized Premium | 50,000 | 100,000 | 200,000 | 300,000 | 500,000 | ≥ 1,000,000 |
|--------------------|--------|---------|---------|---------|---------|-------------|
| Additional rate | 0.00% | 0.75% | 1.00% | 1.75% | 2.00% | 2.00% |

For annualized premiums in between band thresholds, the additional rate will be linearly interpolated.

Terminal bonus rates (as a percentage of sum assured) for the current year (Participating life):

| Product | UIN | Term (in years) | Terminal Bonus Rate |
|--------------------------------------|------------|------------------------|----------------------------|
| ICICI Pru Cashbak Series II | 105N005V02 | 15 | 20.0% |
| ICICI Pru Cashbak Series II | 105N005V02 | 20 & above | 40.0% |
| ICICI Pru Smartkid Series I | 105N014V01 | 21 | 70.0% |
| ICICI Pru Smartkid Series I | 105N014V01 | 22 & above | 75.0% |
| ICICI Pru Smartkid Series II | 105N014V02 | 12 | 17.5% |
| ICICI Pru Smartkid Series II | 105N014V02 | 13 | 22.5% |
| ICICI Pru Smartkid Series II | 105N014V02 | 14 | 25.0% |
| ICICI Pru Smartkid Series II | 105N014V02 | 15 | 30.0% |
| ICICI Pru Smartkid Series II | 105N014V02 | 16 | 35.0% |
| ICICI Pru Smartkid Series II | 105N014V02 | 17 | 40.0% |
| ICICI Pru Smartkid Series II | 105N014V02 | 18 | 47.5% |
| ICICI Pru Smartkid Series II | 105N014V02 | 19 | 52.5% |
| ICICI Pru Smartkid Series II | 105N014V02 | 20 | 57.5% |
| ICICI Pru Smartkid Series II | 105N014V02 | 21 & above | 60.0% |
| ICICI Pru Save 'n' Protect Series I | 105N004V01 | 10 | 5.0% |
| ICICI Pru Save 'n' Protect Series I | 105N004V01 | 11-15 | 20.0% |
| ICICI Pru Save 'n' Protect Series I | 105N004V01 | 16 | 20.0% |
| ICICI Pru Save 'n' Protect Series I | 105N004V01 | 17 | 30.0% |
| ICICI Pru Save 'n' Protect Series I | 105N004V01 | 18 | 30.0% |
| ICICI Pru Save 'n' Protect Series I | 105N004V01 | 19-21 | 47.5% |
| ICICI Pru Save 'n' Protect Series I | 105N004V01 | 22 & above | 50.0% |
| ICICI Pru Save 'n' Protect Series II | 105N004V02 | 10 | 10.0% |
| ICICI Pru Save 'n' Protect Series II | 105N004V02 | 11 | 12.5% |
| ICICI Pru Save 'n' Protect Series II | 105N004V02 | 12 | 15.0% |
| ICICI Pru Save 'n' Protect Series II | 105N004V02 | 13 | 20.0% |
| ICICI Pru Save 'n' Protect Series II | 105N004V02 | 14 | 22.5% |
| ICICI Pru Save 'n' Protect Series II | 105N004V02 | 15 | 22.5% |
| ICICI Pru Save 'n' Protect Series II | 105N004V02 | 16 | 25.0% |
| ICICI Pru Save 'n' Protect Series II | 105N004V02 | 17 | 30.0% |
| ICICI Pru Save 'n' Protect Series II | 105N004V02 | 18 | 40.0% |
| ICICI Pru Save 'n' Protect Series II | 105N004V02 | 19 | 42.5% |
| ICICI Pru Save 'n' Protect Series II | 105N004V02 | 20 | 45.0% |
| ICICI Pru Save 'n' Protect Series II | 105N004V02 | 21 & above | 47.5% |
| ICICI Pru Whole Life | 105N116V01 | 10 - 11 | 35.0% |
| ICICI Pru Whole Life | 105N116V01 | 12 & above | 40.0% |
| ICICI Pru Future Secure | 105N117V01 | 12 & above | 40.0% |
| ICICI Pru Savings Suraksha - RP | 105N135V01 | 10 & above | 15.5% |
| ICICI Pru Savings Suraksha - LP | 105N135V01 | 10 & above | 15.5% |

Terminal bonus rates (as a percentage of sum assured) for the current year (Participating pension):

| Product | UIN | Term (in years) | Terminal Bonus Rate |
|--------------------------------------------------|------------|-----------------|---------------------|
| ICICI Pru Forever Life Regular Premium Series I | 105N001V01 | 21 & above | 80.0% |
| ICICI Pru Forever Life Regular Premium Series II | 105N001V02 | 13 & above | 80.0% |

Group Business

Historical Bonus rates :

| Financial year | Bonus rate for group participating life products | Bonus rate for group participating pension products |
|----------------|--------------------------------------------------|-----------------------------------------------------|
| FY 2022-23 | 5.50% | 5.50% |
| FY 2021-22 | 6.50% | 7.25% |
| FY 2020-21 | 6.75% | 7.75% |
| FY 2019-20 | 7.00% | 8.00% |
| FY 2018-19 | 6.50% | 7.50% |
| FY 2017-18 | 6.75% | 7.75% |
| FY 2016-17 | 7.25% | 8.25% |
| FY 2015-16 | 7.50% | 8.50% |
| FY 2014-15 | 8.50% | 8.50% |
| FY 2013-14 | 9.00% | 9.00% |
| FY 2012-13 | 9.10% | 9.10% |
| FY 2011-12 | 9.85% | 9.85% |
| FY 2010-11 | 7.50% | 8.50% |

Special bonuses were declared in FY2010 of the following amounts

| Financial Year | Group Participating Life | Group Participating Pension |
|----------------|--------------------------|-----------------------------|
| 2010-11 | 3.50% | 2.50% |

Interim bonus rates for the current financial year:

| Product | Bonus rate |
|-------------------------------------------|------------|
| ICICI Pru Group Gratuity Suraksha | 5.25% |
| ICICI Pru Group Leave Encashment Suraksha | 5.25% |
| ICICI Pru Group Superannuation Suraksha | 5.25% |

Name of the insurer :- ICICI Prudential Life Insurance Co. Ltd.

INDIVIDUAL BUSINESS

| | | Range (Minimum to Maximum) of parameters used for valuation | | | | | | | | | | | | | | | | |
|-----------------|---------------------------|-------------------------------------------------------------|---------------------------|-----------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|---------------------------|--------------------------------|---------------------------|---------------------------|---------------------------|-------------------------------|-------------------------------------------------------|-------------------------------------------------------|---------------------------|---------------|
| Type | Category of business | Interest Rate | | Mortality Rate ¹ | | Morbidity Rate | | Fixed Expenses ² | | Variable Expenses ³ | | Inflation Rate | | Withdrawal rates ⁴ | | Future Bonus Rates (Assumption) | | |
| | | As at 30th September 2023 | As at 30th September 2022 | As at 30th September 2023 | As at 30th September 2022 | As at 30th September 2023 | As at 30th September 2022 | As at 30th September 2023 | As at 30th September 2022 | As at 30th September 2023 | As at 30th September 2022 | As at 30th September 2023 | As at 30th September 2022 | As at 30th September 2023 | As at 30th September 2022 | As at 30th September 2023 | As at 30th September 2022 | |
| Par | Non-Linked -VIP | | | | | | | | | | | | | | | | | |
| | Life | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Non-Linked -Others | | | | | | | | | | | | | | | | | |
| | Life | 5.28% - 5.54% | 4.52% - 5.08% | 102.5% - 302.5% | 102.5% - 283.25% | NA | NA | 75 - 665 | 70 - 525 | NA | 1.25% | 1.15% | 4.90% | 4.59% | NA | NA | 0.35% - 94.5% | 0.35% - 6.45% |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Pension | 5.17% - 5.38% | 4.42% - 4.57% | 102.50% | 102.50% | NA | NA | 665 | 525 | NA | 1.25% | 1.15% | 4.90% | 4.59% | NA | NA | 0.5% - 2.75% | 1.00% - 3.00% |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Linked -VIP | | | | | | | | | | | | | | | | | |
| | Life | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Linked -Others | | | | | | | | | | | | | | | | | |
| Life | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| Non-Par | Non-Linked -VIP | | | | | | | | | | | | | | | | | |
| | Life | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Non-Linked -Others | | | | | | | | | | | | | | | | | |
| | Life | 5.58% - 6.58% | 3.67% - 5.74% | 31.25% - 528.13% | 25% - 434.4% | Morbidity rates used are based on experience investigation results, expressed as a % of CIBT 93 table or on risk rates provided by reinsurers. | Morbidity rates used are based on experience investigation results, expressed as a % of CIBT 93 table or on risk rates provided by reinsurers. | 75 - 665 | 70 - 525 | | 1.65% | 1.75% | 4.90% | 4.59% | 20% - 100% MAD on best estimate assumption for lapses | 20% - 100% MAD on best estimate assumption for lapses | | |
| | General Annuity | 5.67% - 6.41% | 5.55% - 6.30% | 42.5% - 62.5% | 30% - 57.5% | NA | NA | 665 | 525 | 0% - 1.65% | 0% - 1.75% | 4.90% | 4.59% | NA | NA | | | |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | | |
| | Health | 5.81% | 5.54% | 42.5% - 72.5% | 42.5% - 72.5% | Morbidity rates used are based on experience investigation results, expressed as a % of CIBT 93 table or on risk rates provided by reinsurers. | Morbidity rates used are based on experience investigation results, expressed as a % of CIBT 93 table or on risk rates provided by reinsurers. | 185 | 170 | | 1.65% | 1.75% | 4.90% | 4.59% | NA | NA | | |
| | Linked -VIP | | | | | | | | | | | | | | | | | |
| | Life | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Linked -Others | | | | | | | | | | | | | | | | | |
| Life | 5.70% | 5.72% | 77.5% - 100% | 80% - 100% | NA | NA | 665 | 525 | | 1.05% | 0.90% | 4.90% | 4.59% | NA | NA | | | |
| General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| Pension | 5.70% | 5.72% | 77.5% - 100% | 80% - 100% | NA | NA | 665 | 525 | | 1.05% | 0.90% | 4.90% | 4.59% | NA | NA | | | |
| Health | 5.70% | 5.72% | 27.5% - 50% | 30% - 50% | Morbidity rates used are based on experience investigation results, expressed as a % of CIBT 93 table or on risk rates provided by reinsurers. | Morbidity rates used are based on experience investigation results, expressed as a % of CIBT 93 table or on risk rates provided by reinsurers. | 665 | 525 | | 1.05% | 0.90% | 4.90% | 4.59% | NA | NA | | | |

NOT APPLICABLE

¹ For Annuity, expressed as a % of Indian Individual Annuitant's Mortality 12-15 tables. For other lines of business, expressed as a % of IALM 12-14 tables.

² Fixed per policy expenses

³ Premium related expenses

⁴ Restricted to Lapse; 100% persistency is assumed where NA is mentioned.

⁵ Includes Reversionary Bonus/Cash Bonus. Reversionary Bonus Rates can be Simple - as a percentage of applicable Sum Assured; Compound - as a percentage of applicable Sum Assured and Accrued Bonus. Cash Bonus is a percentage of annualised premium

Name of the insurer :- ICICI Prudential Life Insurance Co. Ltd.

GROUP BUSINESS

I.

Range (Minimum to Maximum) of parameters used for valuation

| Type | Category of business | Interest Rate | | Mortality Rate ¹ | | Morbidity Rate | | Fixed Expenses ² | | Variable Expenses ³ | | Inflation Rate | | Withdrawal rates ⁴ | | Future Bonus Rates (Assumption) | | |
|-----------------|---------------------------|---------------------------|---------------------------|-----------------------------|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|---------------------------|--------------------------------|---------------------------|---------------------------|---------------------------|-------------------------------|---------------------------|---------------------------------|---------------------------|----------------|
| | | As at 30th September 2023 | As at 30th September 2022 | As at 30th September 2023 | As at 30th September 2022 | As at 30th September 2023 | As at 30th September 2022 | As at 30th September 2023 | As at 30th September 2022 | As at 30th September 2023 | As at 30th September 2022 | As at 30th September 2023 | As at 30th September 2022 | As at 30th September 2023 | As at 30th September 2022 | As at 30th September 2023 | As at 30th September 2022 | |
| Par | Non-Linked -VIP | | | | | | | | | | | | | | | | | |
| | Life | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Non-Linked -Others | | | | | | | | | | | | | | | | | |
| | Life | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Linked -VIP | | | | | | | | | | | | | | | | | |
| | Life | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Linked -Others | | | | | | | | | | | | | | | | | |
| Life | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | | |
| General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | | |
| Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | | |
| Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | | |
| Non-Par | Non-Linked -VIP | | | | | | | | | | | | | | | | | |
| | Life | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Non-Linked -Others | | | | | | | | | | | | | | | | | |
| | Life | 5.72% - 6.19% | 4.62% - 5.65% | 61% - 544.5% | 61% - 673.75% | Morbidity rates used are based on experience investigation results, expressed as a % of CIBT 93 table or on risk rates provided by reinsurers. | Morbidity rates used are based on experience investigation results, expressed as a % of CIBT 93 table or on risk rates provided by reinsurers. | 185 | 170 | 2.40% | 2.40% | 4.90% | 4.59% | NA | NA | | | NOT APPLICABLE |
| | General Annuity | 5.67% - 6.41% | 5.55% - 6.24% | 42.5% - 62.5% | 30% - 57.5% | NA | NA | 665 | 525 | 0.00% | 0.00% | 4.90% | 4.59% | NA | NA | | | |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Linked -VIP | | | | | | | | | | | | | | | | | |
| | Life | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | |
| | Linked -Others | | | | | | | | | | | | | | | | | |
| Life | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | | |
| General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | | |
| Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | | |
| Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | | |

1 For Annuity, expressed as a % of Indian Individual Annuitant's Mortality 12-15 tables. For other lines of business, expressed as a % of IALM 12-14 tables.

Reserving for Group term one year renewable product done on an unearned premium basis.

2 Fixed per policy expenses

3 Premium related expenses

4 Restricted to Lapse; 100% persistency is assumed where NA is mentioned.

Form L 43 Voting Activity Disclosure under Stewardship Code

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

For the quarter ending: September 30, 2023

Date: September 30, 2023

| Meeting Date | Investee Company Name | Type of Meeting (AGM/EGM) | Proposal of Management or Shareholder | Description of the proposal | Management Recommendation | Vote For/Against /Abstain | Reason supporting the vote decision |
|--------------|-----------------------|---------------------------|---------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 5-Jul-23 | Tata Steel limited | AGM | MANAGEMENT | Adoption of consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 5-Jul-23 | Tata Steel limited | AGM | MANAGEMENT | Adoption of standalone financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 5-Jul-23 | Tata Steel limited | AGM | MANAGEMENT | Appoint Dr. Shekhar Mande (DIN: 10083454) as Independent Director for five years from 1 June 2023 | FOR | FOR | Dr. Shekhar Mande's appointment is in line with statutory requirements. |
| 5-Jul-23 | Tata Steel limited | AGM | MANAGEMENT | Approve material related party transactions between Tata Steel Downstream Products Limited (wholly owned subsidiary) and ancillary entities of Tata Motors Limited aggregating upto Rs. 32.0 bn for FY 2024, for the benefit of Tata Motors Limited | FOR | FOR | The resolution is in line with the statutory requirements. |
| 5-Jul-23 | Tata Steel limited | AGM | MANAGEMENT | Approve material related party transactions between Tata Steel Minerals Canada Ltd (TSMC), and IOC Sales Limited for the benefit of Tata Steel UK Limited (TSUK) via T S Global Procurement Company Pte. Ltd (TSGPL) aggregating upto Rs. 12.0 bn for FY 2024 | FOR | FOR | The resolution is in line with the statutory requirements. |
| 5-Jul-23 | Tata Steel limited | AGM | MANAGEMENT | Approve material related party transactions, in financial transactions, with Tata Metaliks Limited (TML) aggregating upto Rs. 8.0 bn for FY 2024 | FOR | FOR | The resolution is in line with the statutory requirements. |
| 5-Jul-23 | Tata Steel limited | AGM | MANAGEMENT | Approve material related party transactions with Tata Motors Limited and Poshs Metal Industries Private Limited (PMIPL), to serve the ancillary entities of Tata Motors Limited, aggregating upto Rs. 10.4 bn for FY 2024 | FOR | FOR | The resolution is in line with the statutory requirements. |
| 5-Jul-23 | Tata Steel limited | AGM | MANAGEMENT | Approve remuneration of Rs. 3.0 mn to Shome & Banerjee as cost auditors for FY 2024 | FOR | FOR | The proposed remuneration is reasonable compared to the size and scale of the company's operations. |
| 5-Jul-23 | Tata Steel limited | AGM | MANAGEMENT | Declare dividend of Rs. 3.6 per fully paid equity share (face value Re. 1.0 each) for FY 2023 | FOR | FOR | The dividend payout will be 28.4% of standalone PAT. We support the resolution |
| 5-Jul-23 | Tata Steel limited | AGM | MANAGEMENT | Reappoint N Chandrasekaran (DIN: 00121863) as Non-Executive Non-Independent Director, liable to retire by rotation | FOR | FOR | N Chandrasekaran's reappointment is in line with statutory requirements. |
| 5-Jul-23 | Nestle India limited | POSTAL BALLOT | MANAGEMENT | Appoint Satish Srinivasan (DIN: 10173407) as Executive Director (Technical), liable to retire by rotation, for five years from 1 July 2023 and fix his remuneration | FOR | FOR | Satish Srinivasan's appointment is in line with the statutory requirements. The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business. |
| 21-Jul-23 | Ashok Leyland limited | AGM | MANAGEMENT | Adoption of standalone and consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 21-Jul-23 | Ashok Leyland limited | AGM | MANAGEMENT | Approve alteration in the Articles of Association to comply with the amended regulations on issue and listing of non-convertible securities | FOR | FOR | The resolution is in line with the statutory requirements. |

Form L 43 Voting Activity Disclosure under Stewardship Code

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

For the quarter ending: September 30, 2023

Date: September 30, 2023

| Meeting Date | Investee Company Name | Type of Meeting (AGM/EGM) | Proposal of Management or Shareholder | Description of the proposal | Management Recommendation | Vote For/Against /Abstain | Reason supporting the vote decision |
|--------------|----------------------------------------|---------------------------|---------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 21-Jul-23 | Ashok Leyland limited | AGM | MANAGEMENT | Approve material related party transactions with Switch Mobility Automotive Limited (SMAL) in excess of Rs. 10.0 bn or 10% of consolidated turnover, whichever is lower, for FY 2024 | FOR | FOR | The resolution is in line with the statutory requirements. |
| 21-Jul-23 | Ashok Leyland limited | AGM | MANAGEMENT | Approve material related party transactions with TVS Mobility Private Limited (TVS Mobility) in excess of Rs. 10.0 bn or 10% of consolidated turnover, whichever is lower, for FY 2025 | FOR | FOR | The resolution is in line with the statutory requirements. |
| 21-Jul-23 | Ashok Leyland limited | AGM | MANAGEMENT | Declare final dividend of Rs. 2.6 per equity share of face value of Re. 1.0 per share for FY 2023 | FOR | FOR | The total payout ratio is 55.3% of standalone PAT (54.2% in FY 2022). We support this resolution |
| 21-Jul-23 | Ashok Leyland limited | AGM | MANAGEMENT | Ratify remuneration of Rs. 700,000 payable to Geeyes & Co. as cost auditors for FY 2023 | FOR | FOR | The proposed remuneration for FY 2023 is reasonable, compared to the size and scale of the company's operations. |
| 21-Jul-23 | Ashok Leyland limited | AGM | MANAGEMENT | Reappoint Gopal Mahadevan (DIN: 01746102) as Director, liable to retire by rotation | FOR | FOR | Gopal Mahadevan's reappointment is in line with statutory requirements. |
| 23-Jul-23 | SBI Cards and Payment Services limited | POSTAL BALLOT | MANAGEMENT | Approve SBI Card Employee Stock Option Plan 2023 | FOR | FOR | The resolution is in line with the statutory requirements and we support it |
| 26-Jul-23 | Bajaj Finance limited | AGM | MANAGEMENT | Adoption of standalone and consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 26-Jul-23 | Bajaj Finance limited | AGM | MANAGEMENT | Approve alteration to the Articles of Association (AoA) | FOR | FOR | The resolution is in line with the statutory requirements. |
| 26-Jul-23 | Bajaj Finance limited | AGM | MANAGEMENT | Approve issue of non-convertible debentures through private placement | FOR | FOR | The resolution is in line with the statutory requirements. |
| 26-Jul-23 | Bajaj Finance limited | AGM | MANAGEMENT | Declare dividend of Rs. 30.0 per equity share (face value Rs. 2) for FY 2023 | FOR | FOR | The total dividend payout ratio is 17.6% of standalone after-tax profits. We support this resolution |
| 26-Jul-23 | Bajaj Finance limited | AGM | MANAGEMENT | Reappoint Rajiv Bajaj (DIN: 00018262) as Director, liable to retire by rotation | FOR | FOR | Rajiv Bajaj's reappointment is in line with statutory requirements. |
| 28-Jul-23 | Axis Bank limited | AGM | MANAGEMENT | Adoption of standalone and consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 28-Jul-23 | Axis Bank limited | AGM | MANAGEMENT | Appoint N. S. Vishwanathan (DIN: 09568559) as Independent Director for four years from 30 May 2023 till 29 May 2027 | FOR | FOR | N. S. Vishwanathan's appointment is in line with statutory requirements. |
| 28-Jul-23 | Axis Bank limited | AGM | MANAGEMENT | Appoint N. S. Vishwanathan (DIN 09568559) as Non-Executive (Part time) Chairman of the Bank, subject to approval of RBI for three years from 27 October 2023 and fix his remuneration | FOR | FOR | N. S. Vishwanathan's appointment is in line with statutory requirements. The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business. |
| 28-Jul-23 | Axis Bank limited | AGM | MANAGEMENT | Appoint Subrat Mohanty (DIN: 08679444), Director and Whole-time Director (designated as Executive Director) for three years from 1 May 2023 or the date of his appointment by RBI, whichever is later & fix his remuneration. | FOR | FOR | Subrat Mohanty's appointment is in line with statutory requirements. The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business. |
| 28-Jul-23 | Axis Bank limited | AGM | MANAGEMENT | Approve amendment in Articles of Association | FOR | FOR | The resolution is in line with the statutory requirements. |
| 28-Jul-23 | Axis Bank limited | AGM | MANAGEMENT | Approve dividend of Rs. 1.0 of face value Rs 2.0 per equity share | FOR | FOR | The dividend payout ratio will be 3.2%. We support this resolution |
| 28-Jul-23 | Axis Bank limited | AGM | MANAGEMENT | Approve material related party transactions for acceptance of deposits in current / savings account or any other similar accounts permitted to be opened from the 2023 AGM to the 2024 AGM | FOR | FOR | The resolution is in line with the statutory requirements. |

Form L 43 Voting Activity Disclosure under Stewardship Code

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

For the quarter ending: September 30, 2023

Date: September 30, 2023

| Meeting Date | Investee Company Name | Type of Meeting (AGM/EGM) | Proposal of Management or Shareholder | Description of the proposal | Management Recommendation | Vote For/Against /Abstain | Reason supporting the vote decision |
|--------------|------------------------------|---------------------------|---------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 28-Jul-23 | Axis Bank limited | AGM | MANAGEMENT | Approve material related party transactions for fund based or non-fund-based credit facilities including consequential interest / fees for one year from the 2023 AGM to the 2024 AGM | FOR | FOR | The resolution is in line with the statutory requirements. |
| 28-Jul-23 | Axis Bank limited | AGM | MANAGEMENT | Approve material related party transactions for issue of securities of the bank to related parties, payment of interest and redemption from the 2023 AGM to the 2024 AGM | FOR | FOR | The resolution is in line with the statutory requirements. |
| 28-Jul-23 | Axis Bank limited | AGM | MANAGEMENT | Approve material related party transactions for money market instruments / term borrowing / term lending (including repo / reverse repo) for one year from the 2023 AGM to the 2024 AGM | FOR | FOR | The resolution is in line with the statutory requirements. |
| 28-Jul-23 | Axis Bank limited | AGM | MANAGEMENT | Approve material related party transactions for receipt of fees / commission for distribution of insurance products and other related business for one year from the 2023 AGM to the 2024 AGM | FOR | FOR | The resolution is in line with the statutory requirements. |
| 28-Jul-23 | Axis Bank limited | AGM | MANAGEMENT | Approve material related party transactions for sale of securities (of related or other unrelated parties) to related parties from the 2022 AGM to the 2023 AGM | FOR | FOR | The resolution is in line with the statutory requirements. |
| 28-Jul-23 | Axis Bank limited | AGM | MANAGEMENT | Approve material related party transactions for subscription of securities issued by the related parties and / or purchase of securities (of related or other unrelated parties) from the 2023 AGM to the 2024 AGM | FOR | FOR | The resolution is in line with the statutory requirements. |
| 28-Jul-23 | Axis Bank limited | AGM | MANAGEMENT | Approve material related party transactions pertaining to forex and derivative contracts for one year from the 2023 AGM to the 2024 AGM | FOR | FOR | The resolution is in line with the statutory requirements. |
| 28-Jul-23 | Axis Bank limited | AGM | MANAGEMENT | Reappoint Ashish Kotecha (DIN: 02384614) as Director, liable to retire by rotation | FOR | FOR | Ashish Kotecha's reappointment is in line with statutory requirements. |
| 28-Jul-23 | Axis Bank limited | AGM | MANAGEMENT | Revise remuneration for Amitabh Chaudhury (DIN: 00531120), Managing Director and CEO from 1 April 2023 till the next cycle of revision of remuneration | FOR | FOR | The proposed remuneration is reasonable compared to the size and scale of the company's operations. |
| 28-Jul-23 | Axis Bank limited | AGM | MANAGEMENT | Revise remuneration for Rajiv Anand (DIN: 02541753), Deputy Managing Director from 1 April 2023 till the next cycle of revision of remuneration | FOR | FOR | The proposed remuneration is reasonable compared to the size and scale of the company's operations. |
| 28-Jul-23 | Axis Bank limited | AGM | MANAGEMENT | To approve offer and issue of debt securities on a private placement basis upto a limit of Rs 350 bn | FOR | FOR | The resolution is in line with the statutory requirements. |
| 28-Jul-23 | L&T Finance Holdings limited | NCM | MANAGEMENT | Approve scheme of amalgamation of wholly owned subsidiaries L&T Finance Limited (LTFL) and L&T Mutual Fund Trustee Limited (LTMFTL) and wholly owned step-down subsidiary L&T Infra Credit Limited (LTICL) with L&T Finance Holdings Limited (LTFH) | FOR | FOR | The resolution is in line with the statutory requirements. |
| 28-Jul-23 | L&T Finance Holdings limited | AGM | MANAGEMENT | Adoption of standalone and consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 28-Jul-23 | L&T Finance Holdings limited | AGM | MANAGEMENT | Approve alteration to the Articles of Association | FOR | FOR | The resolution is in line with the statutory requirements. |
| 28-Jul-23 | L&T Finance Holdings limited | AGM | MANAGEMENT | Approve amendment to the Objects Clause of the Memorandum of Association | FOR | FOR | The resolution is in line with the statutory requirements. |
| 28-Jul-23 | L&T Finance Holdings limited | AGM | MANAGEMENT | Approve payment of dividend of Re 2.0 per share (face value Rs 10.0) | FOR | FOR | The dividend pay-out ratio is 19.3% in FY 2023. We support the resolution. |

Form L 43 Voting Activity Disclosure under Stewardship Code

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

For the quarter ending: September 30, 2023

Date: September 30, 2023

| Meeting Date | Investee Company Name | Type of Meeting (AGM/EGM) | Proposal of Management or Shareholder | Description of the proposal | Management Recommendation | Vote For/Against /Abstain | Reason supporting the vote decision |
|--------------|------------------------------------|---------------------------|---------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 28-Jul-23 | L&T Finance Holdings limited | AGM | MANAGEMENT | Reappoint Dr. Rajani R. Gupte (DIN: 03172965) as Independent Director for five years from 28 June 2023 | FOR | FOR | Dr. Rajani R. Gupte's reappointment is in line with statutory requirements. |
| 28-Jul-23 | L&T Finance Holdings limited | AGM | MANAGEMENT | Reappoint R. Shankar Raman (DIN: 00019798), as Director liable to retire by rotation | FOR | FOR | R. Shankar Raman's reappointment is in line with statutory requirements. |
| 29-Jul-23 | SBI Life Insurance Company limited | POSTAL BALLOT | MANAGEMENT | Reappoint Mahesh Kumar Sharma (DIN: 08740737) as Managing Director and Chief Executive Officer from 9 May 2023 to 30 September 2023 and fix his remuneration | FOR | FOR | Mahesh Kumar Sharma's reappointment is inline with the statutory requirements. |
| 31-Jul-23 | Redington (India) limited | AGM | MANAGEMENT | Adoption of consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the <u>annual report and the shareholder meeting.</u> |
| 31-Jul-23 | Redington (India) limited | AGM | MANAGEMENT | Adoption of standalone financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the <u>annual report and the shareholder meeting.</u> |
| 31-Jul-23 | Redington (India) limited | AGM | MANAGEMENT | Appoint Deloitte & Touche LLP, Singapore as auditors for the Singapore branch office for FY 2024 | FOR | FOR | The appointment of Deloitte & Touche Sells LLP is in line with statutory requirements. |
| 31-Jul-23 | Redington (India) limited | AGM | MANAGEMENT | Approve change in designation of Ms. Chen Yi-Ju (DIN:08031113) as Non-Executive Non-Independent Director from Non-Executive Nominee Director from 31 July 2023, liable to retire by rotation | FOR | FOR | The redesignation of Ms. Chen Yi-Ju is in line with statutory requirements. |
| 31-Jul-23 | Redington (India) limited | AGM | MANAGEMENT | Approve extension of Redington Limited – Share Based Employee Benefit Scheme 2023 to eligible employees of the group companies/associate companies | FOR | ABSTAIN | IAS has stated that they generally do not support extension of schemes to associates as these associates may have their own ESOP schemes. Further, there is no clarity on why the company seeks to extend the scheme to group companies. Redington Ltd has clarified that currently its Associate Company has no ESOP scheme. Further, the approval is enabling in nature, in case the Company thinks it expedient to grant to any specific employee or class thereof on the rolls of the group companies, which is in the best interest of the business of the Company, as approved by the Nomination and Remuneration Committee. Given the clarification provided by the company, we abstain from voting. |
| 31-Jul-23 | Redington (India) limited | AGM | MANAGEMENT | Approve extension of Redington Limited – Share Based Employee Benefit Scheme 2023 to eligible employees of the subsidiary companies | FOR | FOR | The resolution is in line with the statutory requirements. |
| 31-Jul-23 | Redington (India) limited | AGM | MANAGEMENT | Approve Redington Limited – Share Based Employee Benefit Scheme 2023 under which up to 9.6 mn restricted stock units (RSUs) will be granted | FOR | FOR | The resolution is in line with the statutory requirements. |
| 31-Jul-23 | Redington (India) limited | AGM | MANAGEMENT | Declare final dividend of Rs. 7.2 per equity share of face value of Rs. 2.0 per share for FY 2023 | FOR | FOR | The dividend payout ratio is 52.5% of standalone PAT. We support the resolution. |
| 31-Jul-23 | Redington (India) limited | AGM | MANAGEMENT | Reappoint B. Ramaratnam (DIN: 07525213) as Independent Director for five years from 21 May 2023 | FOR | FOR | B. Ramaratnam's appointment is in line with statutory requirements. |
| 31-Jul-23 | Redington (India) limited | AGM | MANAGEMENT | Reappoint Tu Shu Chyuan (DIN: 02336015) as Director, liable to retire by rotation | FOR | FOR | Tu Shu Chyuan's reappointment is in line with statutory requirements. |

Form L 43 Voting Activity Disclosure under Stewardship Code

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

For the quarter ending: September 30, 2023

Date: September 30, 2023

| Meeting Date | Investee Company Name | Type of Meeting (AGM/EGM) | Proposal of Management or Shareholder | Description of the proposal | Management Recommendation | Vote For/Against /Abstain | Reason supporting the vote decision |
|--------------|-------------------------------------|---------------------------|---------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 31-Jul-23 | Redington (India) limited | AGM | MANAGEMENT | Redesignate Tu Shu Chyuan (DIN:02336015) as Non-Executive Non-Independent Director from Non-Executive Nominee Director from 31 July 2023, liable to retire by rotation | FOR | FOR | The redesignation of Tu Shu Chyuan is in line with statutory requirements. |
| 1-Aug-23 | Titan Company limited | AGM | MANAGEMENT | Adoption of consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 1-Aug-23 | Titan Company limited | AGM | MANAGEMENT | Adoption of standalone financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 1-Aug-23 | Titan Company limited | AGM | MANAGEMENT | Approve final dividend of Rs. 10.0 per share of face value Rs.1.0 each for FY 2023 | FOR | FOR | The dividend payout ratio is 26.7% (30.6% in FY 2022). We support the resolution. |
| 1-Aug-23 | Titan Company limited | AGM | MANAGEMENT | Approve material related party transactions with Titan Global Retail LLC, Dubai up to an aggregate amount of Rs. 16.0 bn for FY 2024 | FOR | FOR | The proposed transactions, largely operational are in the ordinary course of business. We support the resolution. |
| 1-Aug-23 | Titan Company limited | AGM | MANAGEMENT | Authorize the board to appoint branch auditors | FOR | FOR | The proposed resolution is in line with the statutory requirements. |
| 1-Aug-23 | Titan Company limited | AGM | MANAGEMENT | Reappoint Bhaskar Bhat (DIN: 00148778) as Non-Executive Non-Independent Director, liable to retire by rotation, till 28 August 2024 | FOR | FOR | Bhaskar Bhat's reappointment is in line with statutory requirements. |
| 1-Aug-23 | Titan Company limited | AGM | MANAGEMENT | Reappoint B Santhanam (DIN: 00494806) as Independent Director for a second term from 3 August 2023 to 9 May 2028 | FOR | FOR | B Santhanam's reappointment is in line with statutory requirements. |
| 1-Aug-23 | Spandana Sphoorty Financial limited | AGM | MANAGEMENT | Adoption of standalone and consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 1-Aug-23 | Spandana Sphoorty Financial limited | AGM | MANAGEMENT | Appoint Ms. Dipali Sheth (DIN: 07556685) as an Independent Director for five years from 02 May 2023 and fix her remuneration at Rs. 3.0 mn | FOR | FOR | Ms. Dipali Sheth's appointment is in line with the statutory requirements. The proposed remuneration is reasonable and similar to the remuneration paid to other non-executive directors. |
| 1-Aug-23 | Spandana Sphoorty Financial limited | AGM | MANAGEMENT | Appoint Vinayak Prasad (DIN: 05310658) as an Independent Director for five years from 02 May 2023 and fix his remuneration at Rs. 3.0 mn annually | FOR | FOR | Vinayak Prasad's appointment is in line with the statutory requirements. The proposed remuneration is reasonable and similar to the remuneration paid to other non-executive directors. |
| 1-Aug-23 | Spandana Sphoorty Financial limited | AGM | MANAGEMENT | Approve payment of remuneration to Non-Executive Directors of Rs. 3.0 mn per director from FY 2024 onwards in case of adequate profits and Rs. 3.0 mn per director as minimum remuneration in the event of no profits/inadequate profits for three years from FY 2024 | FOR | FOR | The proposed commission is commensurate with the value rendered by the Non-Executive Directors. |
| 1-Aug-23 | Spandana Sphoorty Financial limited | AGM | MANAGEMENT | Issue Non-convertible Debentures (NCDs) upto Rs. 40 bn on private placement basis | FOR | FOR | The resolution is in line with the statutory requirements |
| 1-Aug-23 | Spandana Sphoorty Financial limited | AGM | MANAGEMENT | Reappoint Deepak Vaidya (DIN: 00337276) as an Independent Director for three years from 06 June 2023 and fix his remuneration at Rs. 3.0 mn | FOR | FOR | Deepak Vaidya's reappointment is in line with statutory requirements. The proposed remuneration is reasonable and similar to the remuneration paid to other non-executive directors. |
| 1-Aug-23 | Spandana Sphoorty Financial limited | AGM | MANAGEMENT | Reappoint Kartikeya Dhruv Kaji (DIN: 07641723) as Director liable to retire by rotation | FOR | FOR | Kartikeya Dhruv Kaji's reappointment is in line with statutory requirements. |

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Name of the Insurer: ICICI Prudential Life Insurance Company Limited

For the quarter ending: September 30, 2023

Date: September 30, 2023

| Meeting Date | Investee Company Name | Type of Meeting (AGM/EGM) | Proposal of Management or Shareholder | Description of the proposal | Management Recommendation | Vote For/Against /Abstain | Reason supporting the vote decision |
|--------------|-------------------------------------|---------------------------|---------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1-Aug-23 | Spandana Sphoorty Financial limited | AGM | MANAGEMENT | Reappoint Ramchandra Kasargod Kamath (DIN: 01715073) as Director liable to retire by rotation | FOR | FOR | Ramachandra Kasargod Kamath's reappointment is in line with statutory requirements. |
| 4-Aug-23 | Mahindra & Mahindra limited | AGM | MANAGEMENT | Adoption of audited consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 4-Aug-23 | Mahindra & Mahindra limited | AGM | MANAGEMENT | Adoption of audited standalone financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 4-Aug-23 | Mahindra & Mahindra limited | AGM | MANAGEMENT | Approve amendment to the Articles of Association | FOR | FOR | The resolution is in line with the statutory requirements. |
| 4-Aug-23 | Mahindra & Mahindra limited | AGM | MANAGEMENT | Approve material related party transactions between Mahindra Susten Private Limited (MSPL) and Sustainable Energy Infra Trust (SEIT) upto Rs. 35.0 bn per annum for five years from FY 2024 | FOR | ABSTAIN | IIAS has raised concerns that MSPL will be allowed to sell/transfer any securities held by the Company to SEIT up to Rs.27bn or 2.7% of consolidated turnover, whichever is higher. The overall limit sought is for Rs.35bn and company should seek shareholders' approval when there is clarity on consideration for sale/transfer. The company has stated that total aggregate limits sought is in line with strategic growth plans of MSPL. The company has binding agreement to sell 30% equity stake in MSPL to Ontario teachers' and will receive inflow of Rs.1300crores and will invest Rs.1750 crores over next seven years. Ontario teachers has committed to deploy additional amount of up to Rs.3550crores into the business. The transaction will enable MSPL to build renewable energy assets focused on solar, hybrid energy, green energy plants etc. MSPL expects to receive units from SEIT in exchange of the above subsidiaries within the aforesaid limits. In view of IIAS' observation and the response received from the company we abstain from voting. |
| 4-Aug-23 | Mahindra & Mahindra limited | AGM | MANAGEMENT | Approve material related party transactions with certain subsidiary / associate companies for five years from FY 2023 | FOR | ABSTAIN | IIAS has raised concerns that M&M will be allowed to sell /transfer any securities held by the Company in its subsidiaries annually to Sustainable Energy Infrastructure trust (SEIT) up to Rs8.5bn or 0.85% of consolidated turnover and company should seek shareholders' approval when consideration for sale/transfer is done. The company has stated that SEIT is a proposed "InvIT" between MSPL (step down subsidiary of the company) and Ontario Teachers' with company directly holding up to 26% of total unit holding of SEIT. As part of strategic growth plan, MSPL plans to add 5.5 GW of renewable energy assets over next 5 years. The strategic direction would be to sell such built assets after holding them for about 1 to 2 years to SEIT. The transfer will happen at an arms' length basis seeking all regulatory approvals. In view of IIAS' observation and the response received from the company we abstain from voting. |
| 4-Aug-23 | Mahindra & Mahindra limited | AGM | MANAGEMENT | Approve payment of remuneration to Anand Mahindra as Non-Executive Chairperson for FY 2024 in excess 50% of remuneration paid to all non-executive directors | FOR | FOR | The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business. |

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Name of the Insurer: ICICI Prudential Life Insurance Company Limited

For the quarter ending: September 30, 2023

Date: September 30, 2023

| Meeting Date | Investee Company Name | Type of Meeting (AGM/EGM) | Proposal of Management or Shareholder | Description of the proposal | Management Recommendation | Vote For/Against /Abstain | Reason supporting the vote decision |
|--------------|----------------------------------|---------------------------|---------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 4-Aug-23 | Mahindra & Mahindra limited | AGM | MANAGEMENT | Approve remuneration of Rs. 900,000 to D C Dave & Co. as cost auditors for FY 2024 | FOR | FOR | The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business. |
| 4-Aug-23 | Mahindra & Mahindra limited | AGM | MANAGEMENT | Declare dividend of Rs. 16.25 per share of face value Rs. 5.0 each | FOR | FOR | The dividend payout ratio is 29.7% of standalone PAT. We support this resolution |
| 4-Aug-23 | Mahindra & Mahindra limited | AGM | MANAGEMENT | Reappoint Anand Mahindra (DIN: 00004695) as Non-Executive Non-Independent Director, liable to retire by rotation | FOR | FOR | Anand Mahindra's reappointment is in line with statutory requirements. |
| 4-Aug-23 | Mahindra & Mahindra limited | AGM | MANAGEMENT | Reappoint Vijay Kumar Sharma (DIN: 02449088) as Non-Executive Non-Independent Director, liable to retire by rotation | FOR | FOR | Vijay Kumar Sharma's reappointment is in line with statutory requirements. |
| 4-Aug-23 | Mahindra & Mahindra limited | AGM | MANAGEMENT | Revise limits of related party transactions with certain subsidiary / associate companies for four years from FY 2024 | FOR | FOR | The resolution is in line with the statutory requirements. |
| 4-Aug-23 | Mahindra & Mahindra limited | AGM | MANAGEMENT | Revise remuneration terms of Dr. Anish Shah, Managing Director from 1 August 2023 till 31 March 2025, and set it as minimum remuneration | FOR | FOR | The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business. |
| 4-Aug-23 | Mahindra & Mahindra limited | AGM | MANAGEMENT | Revise remuneration terms of Rajesh Jejurikar, Executive Director and CEO (Auto and Farm Sector) from 1 August 2023 till 31 March 2025, and set it as minimum remuneration | FOR | FOR | The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business. |
| 7-Aug-23 | Torrent Pharmaceuticals limited | AGM | MANAGEMENT | Adoption of standalone and consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 7-Aug-23 | Torrent Pharmaceuticals limited | AGM | MANAGEMENT | Appoint Nikhil Khattau (DIN: 00017880) as Independent Director for five years from 1 October 2023 | FOR | FOR | Nikhil Khattau's appointment is in line with the statutory requirements. |
| 7-Aug-23 | Torrent Pharmaceuticals limited | AGM | MANAGEMENT | Approve alteration to the Articles of Association (AoA) to insert new Article 86(c) | FOR | FOR | The resolution is in line with the statutory requirements. |
| 7-Aug-23 | Torrent Pharmaceuticals limited | AGM | MANAGEMENT | Approve issuance of equity or debt securities up to Rs. 50.0 bn | FOR | FOR | The resolution is in line with the statutory requirements. |
| 7-Aug-23 | Torrent Pharmaceuticals limited | AGM | MANAGEMENT | Confirm interim dividend of Rs. 14.0 per equity share and approve final dividend of Rs. 8.0 (face value of Rs. 5.0) for FY 2023 | FOR | FOR | The total dividend payout ratio is 70.9% of standalone PAT and 59.8% of consolidated PAT. We support the resolution. |
| 7-Aug-23 | Torrent Pharmaceuticals limited | AGM | MANAGEMENT | Ratify remuneration of Rs. 880,000 to Kirit Mehta & Co. as cost auditors for FY 2024 | FOR | FOR | The proposed remuneration is reasonable compared to the size and scale of the company's operations. |
| 7-Aug-23 | Torrent Pharmaceuticals limited | AGM | MANAGEMENT | Reappoint Samir Mehta (DIN: 00061903) as Director liable to retire by rotation | FOR | FOR | Samir Mehta's reappointment is in line with statutory requirements. |
| 7-Aug-23 | Godrej Consumer Products limited | AGM | MANAGEMENT | Adoption of standalone and consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 7-Aug-23 | Godrej Consumer Products limited | AGM | MANAGEMENT | Appoint Ms. Shalini Puchalapalli (DIN: 07820672) as Independent Director for five years from 14 November 2023 | FOR | FOR | Ms. Shalini Puchalapalli's appointment is in line with statutory requirements. |
| 7-Aug-23 | Godrej Consumer Products limited | AGM | MANAGEMENT | Ratify remuneration of Rs. 671,000 payable to P.M. Nanabhoy & Co, as cost auditor for FY 2024 | FOR | FOR | The proposed remuneration is reasonable compared to the size and scale of operations. |
| 7-Aug-23 | Godrej Consumer Products limited | AGM | MANAGEMENT | Reappoint Nadir Godrej (DIN: 00066195) as Non-Executive Non-Independent Director, liable to retire by rotation | FOR | FOR | Nadir Godrej's reappointment is in line with the statutory requirements. |

Form L 43 Voting Activity Disclosure under Stewardship Code

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

For the quarter ending: September 30, 2023

Date: September 30, 2023

| Meeting Date | Investee Company Name | Type of Meeting (AGM/EGM) | Proposal of Management or Shareholder | Description of the proposal | Management Recommendation | Vote For/Against /Abstain | Reason supporting the vote decision |
|--------------|----------------------------------|---------------------------|---------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 7-Aug-23 | Godrej Consumer Products limited | AGM | MANAGEMENT | Reappoint Pirojsha Godrej (DIN: 00432983) as Non-Executive Non-Independent Director, liable to retire by rotation | FOR | FOR | Pirojsha Godrej's reappointment is in line with the statutory requirements. |
| 8-Aug-23 | Tata Motors limited | AGM | MANAGEMENT | Adoption of consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 8-Aug-23 | Tata Motors limited | AGM | MANAGEMENT | Adoption of standalone financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 8-Aug-23 | Tata Motors limited | AGM | MANAGEMENT | Appoint Ms. Usha Sangwan (DIN:02609263) as Independent Director for five years from 15 May 2023 | FOR | FOR | Ms. Usha Sangwan's appointment is in line with statutory requirements. |
| 8-Aug-23 | Tata Motors limited | AGM | MANAGEMENT | Approve final dividend of Rs. 2.0 per share (face value of Rs.2.0) for FY 2023 | FOR | FOR | The total dividend outflow for FY 2023 is Rs. 7.7 bn and the dividend payout ratio is 28.3% of standalone after-tax profits. We support this resolution |
| 8-Aug-23 | Tata Motors limited | AGM | MANAGEMENT | Approve payment of remuneration to non-executive directors upto 1% of net profits or minimum remuneration in absence or inadequacy of profits for three years from FY 2023 | FOR | FOR | The proposed commission is in line with peers and reasonable compared to the size and complexity of business. |
| 8-Aug-23 | Tata Motors limited | AGM | MANAGEMENT | Approve related party transactions of Jaguar Land Rover Group of Companies (JLR Group), as subsidiaries, with Chery Jaguar Land Rover Automotive Company Limited (CJLR), a joint Venture of JLR Group, upto Rs. 88.0 bn during FY 2024 | FOR | FOR | The resolution is in line with the statutory requirements. |
| 8-Aug-23 | Tata Motors limited | AGM | MANAGEMENT | Approve related party transactions of Tata Motors Passenger Vehicles Ltd (TMPVL), a wholly owned subsidiary, with TACO Tata Prestolite Electric Private Limited (TPEPL) and Tata AutoComp Systems Limited (TASL) during FY 2024 | FOR | FOR | The resolution is in line with the statutory requirements. |
| 8-Aug-23 | Tata Motors limited | AGM | MANAGEMENT | Approve related party transactions of the company and its subsidiaries with Tata Consultancy Services Limited and its subsidiaries upto Rs. 50.0 bn during FY 2024 | FOR | FOR | The resolution is in line with the statutory requirements. |
| 8-Aug-23 | Tata Motors limited | AGM | MANAGEMENT | Approve related party transactions of the company and its wholly owned subsidiaries, Tata Motors Passenger Vehicles Ltd (TMPVL) and Tata Passenger Electric Mobility Limited (TPEML) with Tata Capital Financial Services Ltd (TCFSL) during FY 2024 | FOR | FOR | The resolution is in line with the statutory requirements. |
| 8-Aug-23 | Tata Motors limited | AGM | MANAGEMENT | Approve related party transactions of the company and its wholly owned subsidiaries, Tata Motors Passenger Vehicles Ltd (TMPVL) and Tata Passenger Electric Mobility Ltd (TPEML), with Fiat India Automobiles Private Ltd (FIAPL), a joint operations company during FY 2024 | FOR | FOR | The resolution is in line with the statutory requirements. |
| 8-Aug-23 | Tata Motors limited | AGM | MANAGEMENT | Approve related party transactions of the company and/or TMF Holdings Ltd (TMFHL), a wholly owned subsidiary, with Tata Cummins Private Ltd (TCPL), a Joint Operations company during FY 2024 | FOR | FOR | The resolution is in line with the statutory requirements. |

Form L 43 Voting Activity Disclosure under Stewardship Code

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

For the quarter ending: September 30, 2023

Date: September 30, 2023

| Meeting Date | Investee Company Name | Type of Meeting (AGM/EGM) | Proposal of Management or Shareholder | Description of the proposal | Management Recommendation | Vote For/Against /Abstain | Reason supporting the vote decision |
|--------------|----------------------------------------|---------------------------|---------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 8-Aug-23 | Tata Motors limited | AGM | MANAGEMENT | Approve related party transactions of the company with Tata Steel Limited (TSL), Tata Steel Downstream Products Ltd (TSDPL), subsidiary of TSL and Poshs Metals Industries Pvt Ltd (a third party) through dealers of TSL during FY 2024 | FOR | FOR | The resolution is in line with the statutory requirements. |
| 8-Aug-23 | Tata Motors limited | AGM | MANAGEMENT | Approve related party transactions of upto Rs 41.0 bn between Tata Cummins Private Ltd (TCPL), a Joint Operations company, and its related parties during FY 2024 | FOR | FOR | The resolution is in line with the statutory requirements. |
| 8-Aug-23 | Tata Motors limited | AGM | MANAGEMENT | Approve related party transactions with Tata Technologies Ltd (TTL), a subsidiary, not exceeding Rs 20.0 bn during FY 2024 | FOR | FOR | The resolution is in line with the statutory requirements. |
| 8-Aug-23 | Tata Motors limited | AGM | MANAGEMENT | Authorize the board to appoint branch auditors | FOR | FOR | The resolution is in line with the statutory requirements. |
| 8-Aug-23 | Tata Motors limited | AGM | MANAGEMENT | Ratify remuneration of Rs. 0.6 mn for Mani & Co. as cost auditors for FY 2024 | FOR | FOR | The proposed remuneration is reasonable compared to the size and scale of the company's operations. |
| 8-Aug-23 | Tata Motors limited | AGM | MANAGEMENT | Reappoint N Chandrasekaran (DIN: 00121863) as Non-Executive Non-Independent Director, liable to retire by rotation | FOR | FOR | N Chandrasekaran's reappointment is in line with statutory requirements. |
| 9-Aug-23 | Hero Motocorp limited | AGM | MANAGEMENT | Adoption of standalone and consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 9-Aug-23 | Hero Motocorp limited | AGM | MANAGEMENT | Confirm interim dividend of Rs. 65.0 and declare final dividend of Rs. 35.0 per equity share (face value Rs. 2.0) for FY 2023 | FOR | FOR | The dividend payout ratio is 68.7%. We support this resolution |
| 9-Aug-23 | Hero Motocorp limited | AGM | MANAGEMENT | Ratify remuneration of Rs. 300,000 to R J Goel & Co, cost auditors for FY 2024 | FOR | FOR | The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business. |
| 9-Aug-23 | Hero Motocorp limited | AGM | MANAGEMENT | Reappoint Jagmohan Singh Raju (DIN: 00002803) as Independent Director for a second term of five years from 15 November 2023 | FOR | ABSTAIN | IIAS has noted that Jagmohan Singh Raju in his role as chair of nomination and remuneration committee (NRC) since 2019 has failed to provide adequate oversight and has not paid sufficient heed to investor pushback on Pawan Munjal's remuneration resolution. The company has stated that re-appointment of Prof. Raju has been purely on basis of merit, credentials and leadership and it does not hinder his independence or capabilities as an established professional. Additionally linking of remuneration levels of executive chairman and re-appointment are distinct matters. We believe that the stated resolution doesn't go against the statutory requirements and hence we abstain from voting. |
| 9-Aug-23 | Hero Motocorp limited | AGM | MANAGEMENT | Reappoint Suman Kant Munjal (DIN: 00002803) as Non-Executive Non-Independent Director, liable to retire by rotation | FOR | FOR | Suman Kant Munjal's reappointment is in line with statutory requirements. |
| 9-Aug-23 | SBI Cards and Payment Services limited | AGM | MANAGEMENT | Adoption of financial statements, report of board of directors and auditors for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 9-Aug-23 | SBI Cards and Payment Services limited | AGM | MANAGEMENT | Approve alteration in Articles of Association (AoA) | FOR | FOR | The proposed resolution is in line with the statutory requirements. |
| 9-Aug-23 | SBI Cards and Payment Services limited | AGM | MANAGEMENT | Approve creation of charge on assets of the company up to Rs. 450.0 bn | FOR | FOR | The proposed resolution is in line with the statutory requirements. |
| 9-Aug-23 | SBI Cards and Payment Services limited | AGM | MANAGEMENT | Approve related party transactions with SBI Capital Markets Limited for a period not exceeding fifteen months from the 2023 AGM to 2024 AGM | FOR | FOR | The proposed resolution is in line with the statutory requirements. |

Form L 43 Voting Activity Disclosure under Stewardship Code

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

For the quarter ending: September 30, 2023

Date: September 30, 2023

| Meeting Date | Investee Company Name | Type of Meeting (AGM/EGM) | Proposal of Management or Shareholder | Description of the proposal | Management Recommendation | Vote For/Against /Abstain | Reason supporting the vote decision |
|--------------|----------------------------------------|---------------------------|---------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 9-Aug-23 | SBI Cards and Payment Services limited | AGM | MANAGEMENT | Approve related party transactions with State Bank of India for a period not exceeding fifteen months from the 2023 AGM to 2024 AGM | FOR | FOR | The proposed resolution is in line with the statutory requirements. |
| 9-Aug-23 | SBI Cards and Payment Services limited | AGM | MANAGEMENT | Authorise the board to fix remuneration of statutory auditors appointed by the Comptroller and Auditor General of India for FY 2024 | FOR | FOR | The proposed resolution is in line with the statutory requirements. |
| 9-Aug-23 | SBI Cards and Payment Services limited | AGM | MANAGEMENT | Confirm interim dividend of Rs. 2.50 per share of Rs.10 each | FOR | FOR | The proposed resolution is in line with the statutory requirements. |
| 9-Aug-23 | SBI Cards and Payment Services limited | AGM | MANAGEMENT | Increase borrowing limits to Rs. 450.0 bn from Rs. 330.0 bn | FOR | FOR | The proposed resolution is in line with the statutory requirements. |
| 9-Aug-23 | SBI Cards and Payment Services limited | AGM | MANAGEMENT | Reappoint Abhijit Chakravorty (DIN: 09494533) as Managing Director and CEO for two years from 12 August 2023 and fix his remuneration | FOR | FOR | Abhijit Chakravorty's reappointment is in line with the statutory requirements. |
| 9-Aug-23 | SBI Cards and Payment Services limited | AGM | MANAGEMENT | Reappoint Shrinivas Yeshwant Joshi (DIN: 05189697) as an Independent Director for three years from 4 December 2023 | FOR | FOR | Shrinivas Yeshwant Joshi's reappointment is in line with the statutory requirements. |
| 9-Aug-23 | Larsen & Toubro limited | AGM | MANAGEMENT | Adoption of consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 9-Aug-23 | Larsen & Toubro limited | AGM | MANAGEMENT | Adoption of standalone financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 9-Aug-23 | Larsen & Toubro limited | AGM | MANAGEMENT | Approve final dividend of Rs. 24.0 per equity share of face value of Rs. 2.0 per share for FY 2023 | FOR | FOR | The total dividend payout is 43.0%. We support this resolution. |
| 9-Aug-23 | Larsen & Toubro limited | AGM | MANAGEMENT | Approve material related party transactions up to higher of Rs. 80.0 bn or US\$ 900.0 mn with Larsen Toubro Arabia LLC, L&T Modular Fabrication Yard LLC, Larsen & Toubro Electromech LLC, Larsen & Toubro Heavy Engineering LLC and Larsen & Toubro Kuwait General Contracting Co WLL from the FY 2023 AGM till the FY 2024 AGM or fifteen months, whichever is earlier | FOR | FOR | The resolution is in line with the statutory requirements. |
| 9-Aug-23 | Larsen & Toubro limited | AGM | MANAGEMENT | Approve material related party transactions up to Rs. 12.0 bn with L&T Special Steels and Heavy Forgings Private Limited from the FY 2023 AGM till the FY 2024 AGM or fifteen months, whichever is earlier | FOR | FOR | The resolution is in line with the statutory requirements. |
| 9-Aug-23 | Larsen & Toubro limited | AGM | MANAGEMENT | Approve material related party transactions up to Rs. 26.0 bn with L&T Modular Fabrication Yard LLC (MFY) from the FY 2023 AGM till the FY 2024 AGM or fifteen months, whichever is earlier | FOR | FOR | The resolution is in line with the statutory requirements. |
| 9-Aug-23 | Larsen & Toubro limited | AGM | MANAGEMENT | Approve material related party transactions up to Rs. 30.0 bn with L&T-MHI Power Turbine Generators Private Limited (LMTG) from the FY 2023 AGM till the FY 2024 AGM or fifteen months, whichever is earlier | FOR | FOR | The resolution is in line with the statutory requirements. |

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Name of the Insurer: ICICI Prudential Life Insurance Company Limited

For the quarter ending: September 30, 2023

Date: September 30, 2023

| Meeting Date | Investee Company Name | Type of Meeting (AGM/EGM) | Proposal of Management or Shareholder | Description of the proposal | Management Recommendation | Vote For/Against /Abstain | Reason supporting the vote decision |
|--------------|---------------------------|---------------------------|---------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 9-Aug-23 | Larsen & Toubro limited | AGM | MANAGEMENT | Approve material related party transactions up to Rs. 30.0 bn with Nuclear Power Corporation of India Limited, related party of a subsidiary, from the conclusion of the FY 2023 AGM till the FY 2024 AGM, or fifteen months, whichever is earlier | FOR | FOR | The resolution is in line with the statutory requirements. |
| 9-Aug-23 | Larsen & Toubro limited | AGM | MANAGEMENT | Approve material related party transactions up to Rs. 80.0 bn with L&T-MHI Power Boilers Private Limited (LMB) from the FY 2023 AGM till the FY 2024 AGM or fifteen months, whichever is earlier | FOR | FOR | The resolution is in line with the statutory requirements. |
| 9-Aug-23 | Larsen & Toubro limited | AGM | MANAGEMENT | Approve related party transactions with LTI Mindtree Limited, a subsidiary company, aggregating upto 20.0 bn from the conclusion of the FY 2023 AGM till the FY 2024 AGM, or fifteen months, whichever is earlier | FOR | FOR | The resolution is in line with the statutory requirements. |
| 9-Aug-23 | Larsen & Toubro limited | AGM | MANAGEMENT | Ratify remuneration payable of Rs. 1.7 mn to R. Nanabhoy & Co. as cost auditors for FY 2024 | FOR | FOR | The total remuneration proposed is reasonable compared to the size and scale of company's operations. |
| 9-Aug-23 | Larsen & Toubro limited | AGM | MANAGEMENT | Reappoint A.M. Naik (DIN: 00001514) as Director, liable to retire by rotation till the end of his current tenure on 30 September 2023 | FOR | FOR | A.M. Naik's reappointment is in line with statutory requirements. |
| 9-Aug-23 | Larsen & Toubro limited | AGM | MANAGEMENT | Reappoint Hemant Bhargava (DIN: 01922717) as Director, liable to retire by rotation | FOR | FOR | Hemant Bhargava's reappointment is in line with statutory requirements. |
| 9-Aug-23 | Larsen & Toubro limited | AGM | MANAGEMENT | Reappoint M.V. Satish (DIN: 06393156) as Director, liable to retire by rotation | FOR | FOR | M.V. Satish's reappointment is in line with statutory requirements. |
| 10-Aug-23 | Avenue Supermarts limited | AGM | MANAGEMENT | Adoption of standalone and consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 10-Aug-23 | Avenue Supermarts limited | AGM | MANAGEMENT | Approve Avenue Supermarts Limited Employee Stock Option Scheme 2023 (ESOP Scheme 2023) under which 1.5 mn stock options may be granted | FOR | FOR | The resolution is in line with the statutory requirements. |
| 10-Aug-23 | Avenue Supermarts limited | AGM | MANAGEMENT | Approve material related party transactions for investing in Avenue E-Commerce Ltd (AEL): a 99.88% subsidiary upto Rs. 3.5 bn from the conclusion of the FY 2023 AGM till the FY 2024 AGM | FOR | FOR | The resolution is in line with the statutory requirements. |
| 10-Aug-23 | Avenue Supermarts limited | AGM | MANAGEMENT | Approve material related party transactions with Avenue E-Commerce Ltd (AEL): a 99.88% subsidiary for the sale/purchase of goods, materials and assets upto Rs. 29.0 bn from the conclusion of the FY 2023 AGM till the FY 2024 AGM | FOR | FOR | The resolution is in line with the statutory requirements. |
| 10-Aug-23 | Avenue Supermarts limited | AGM | MANAGEMENT | Approve the extension of Avenue Supermarts Limited Employee Stock Option Scheme 2023 (ESOP Scheme 2023) to employees of present and future subsidiaries | FOR | FOR | The resolution is in line with the statutory requirements. |
| 10-Aug-23 | Avenue Supermarts limited | AGM | MANAGEMENT | Reappoint Elvin Machado (DIN 07206710) as Whole time Director for three years from 10 June 2024, liable to retire by rotation and fix his remuneration | FOR | FOR | Elvin Machado's reappointment is in line with statutory requirements. The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business. |
| 10-Aug-23 | Avenue Supermarts limited | AGM | MANAGEMENT | Reappoint Ramakant Baheti (DIN: 00246480) as Director, liable to retire by rotation | FOR | FOR | Ramakant Baheti's reappointment is in line with statutory requirements. |
| 10-Aug-23 | Avenue Supermarts limited | AGM | MANAGEMENT | Reappoint Ramakant Baheti (DIN 00246480) as Whole time Director for five years from 1 May 2024, liable to retire by rotation and fix his remuneration | FOR | FOR | Ramakant Baheti's reappointment is in line with statutory requirements. The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business. |
| 10-Aug-23 | Tata Steel limited | NCM | MANAGEMENT | Approve scheme of amalgamation between Tata Metaliks Limited (TML) and Tata Steel Limited (TSL) | FOR | FOR | The resolution is in line with the statutory requirements. |

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Name of the Insurer: ICICI Prudential Life Insurance Company Limited

For the quarter ending: September 30, 2023

Date: September 30, 2023

| Meeting Date | Investee Company Name | Type of Meeting (AGM/EGM) | Proposal of Management or Shareholder | Description of the proposal | Management Recommendation | Vote For/Against /Abstain | Reason supporting the vote decision |
|--------------|-----------------------|---------------------------|---------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 10-Aug-23 | Cipla limited | AGM | MANAGEMENT | Adoption of consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 10-Aug-23 | Cipla limited | AGM | MANAGEMENT | Adoption of standalone financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 10-Aug-23 | Cipla limited | AGM | MANAGEMENT | Declare final dividend of Rs. 8.5 per equity share (face value of Rs. 2.0) for FY 2023 | FOR | FOR | The resolution is in line with the statutory requirements. |
| 10-Aug-23 | Cipla limited | AGM | MANAGEMENT | Ratify remuneration of Rs. 1,250,000 to D.H. Zaveri as cost auditor for FY 2024 | FOR | FOR | The total remuneration proposed to be paid to the cost auditor for FY 2024 is reasonable compared to the size and scale of operations. |
| 10-Aug-23 | Cipla limited | AGM | MANAGEMENT | Reappoint Umang Vohra (DIN: 02296740) as Director, liable to retire by rotation | FOR | FOR | Umang Vohra's reappointment is in line with statutory requirements. |
| 11-Aug-23 | ITC limited | AGM | MANAGEMENT | Adoption of standalone and consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 11-Aug-23 | ITC limited | AGM | MANAGEMENT | Appoint Hemant Malik (DIN: 06435812) as Whole Time Director from 12 August 2023 for three years or an earlier date to conform with the retirement policy and fix his remuneration | FOR | FOR | Hemant Malik's appointment is in line with statutory requirements. |
| 11-Aug-23 | ITC limited | AGM | MANAGEMENT | Appoint Ms. Alka Bharucha (DIN: 00114067) as an Independent Director from 12 August 2023 for five years or earlier date to confirm with the retirement policy | FOR | ABSTAIN | Ms. Alka Bharucha is co-founder and partner at Bharucha & Partners, Mumbai. IAS does not support her appointment as an independent director considering her role at Bharucha & Partners being equivalent to the role of a whole-time director. Further, ITC has business relationships with Bharucha & Partners. As per ITC, Ms. Alka Bharucha is not a whole-time director in any listed company and the role of partner at a firm and whole-time director of a listed company are different. On the business relationship, the revenue earned by Bharucha & Partners from ITC is less than 0.25% of the total revenue of the firm for the past three financial years which is not material and hence does not give rise to conflict of interest. Given the company's response, we believe that the resolution does not go against the statutory requirement and hence we abstain from voting. |
| 11-Aug-23 | ITC limited | AGM | MANAGEMENT | Approve FY 2023 remuneration aggregating Rs. 38.5 mn to SRBC & Co. LLP as statutory auditors for FY 2024 | FOR | FOR | The proposed remuneration is reasonable compared to the size and scale of the company's operations. |
| 11-Aug-23 | ITC limited | AGM | MANAGEMENT | Approve payment of commission at a maximum of Rs. 13.0 mn to each non-executive director for five years from 1 April 2024 | FOR | FOR | The proposed commission is commensurate with the size of the company and in line with that of remuneration paid to peers. |
| 11-Aug-23 | ITC limited | AGM | MANAGEMENT | Approve related party transactions with British American Tobacco (GLP) Limited, United Kingdom (BAT GLP) upto Rs. 23.5 bn for FY 2025 | FOR | FOR | The resolution is in line with the statutory requirements. |
| 11-Aug-23 | ITC limited | AGM | MANAGEMENT | Confirm interim dividend of Rs. 6.0 per share and declare final dividend of Rs. 6.75 per share and special dividend of Rs. 2.75 per share (face value Re. 1.0 each) for FY 2023 | FOR | FOR | The dividend payout ratio is 102.7% of standalone PAT. We support the resolution. |

Form L 43 Voting Activity Disclosure under Stewardship Code

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

For the quarter ending: September 30, 2023

Date: September 30, 2023

| Meeting Date | Investee Company Name | Type of Meeting (AGM/EGM) | Proposal of Management or Shareholder | Description of the proposal | Management Recommendation | Vote For/Against /Abstain | Reason supporting the vote decision |
|--------------|-----------------------|---------------------------|---------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 11-Aug-23 | ITC limited | AGM | MANAGEMENT | Ratify remuneration of Rs. 500,000 (plus reimbursement of actual expenses) for ABK & Associates, cost auditors for 'Wood Pulp' and 'Paper and Paperboard' products of the company for FY 2024 | FOR | FOR | The proposed remuneration is comparable to the size and complexity of the business. |
| 11-Aug-23 | ITC limited | AGM | MANAGEMENT | Ratify remuneration of Rs. 650,000 (plus reimbursement of actual expenses) for S. Mahadevan & Co., cost auditors for all products other than the 'Wood Pulp' and 'Paper and Paperboard' products of the company for FY 2024 | FOR | FOR | The proposed remuneration is reasonable compared to the size and scale of the company's operations. |
| 11-Aug-23 | ITC limited | AGM | MANAGEMENT | Reappoint Ajit Kumar Seth (DIN: 08504093) as an Independent Director from 13 July 2024 for five years or earlier date to confirm with the retirement policy | FOR | FOR | Ajit Kumar Seth's reappointment is in line with statutory requirements. |
| 11-Aug-23 | ITC limited | AGM | MANAGEMENT | Reappoint Anand Nayak (DIN: 00973758) as an Independent Director from 13 July 2024 for five years or earlier date to confirm with the retirement policy | FOR | FOR | Anand Nayak's reappointment is in line with statutory requirements. |
| 11-Aug-23 | ITC limited | AGM | MANAGEMENT | Reappoint Mukesh Gupta (DIN: 06638754) as Director, liable to retire by rotation | FOR | FOR | Mukesh Gupta's reappointment is in line with statutory requirements. |
| 11-Aug-23 | ITC limited | AGM | MANAGEMENT | Reappoint Sanjiv Puri (DIN: 00280529) as Director, not liable to retire by rotation, and Chairperson and Managing Director from 22 July 2024 for five years or such other period to conform with the retirement policy and fix his remuneration | FOR | FOR | Sanjiv Puri's reappointment is in line with statutory requirements. |
| 11-Aug-23 | ITC limited | AGM | MANAGEMENT | Reappoint Sumant Bhargavan (DIN: 01732482) as Director, liable to retire by rotation | FOR | FOR | Sumant Bhargavan's reappointment is in line with statutory requirements. |
| 11-Aug-23 | HDFC Bank limited | AGM | MANAGEMENT | Adoption of consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 11-Aug-23 | HDFC Bank limited | AGM | MANAGEMENT | Adoption of standalone financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 11-Aug-23 | HDFC Bank limited | AGM | MANAGEMENT | Appoint Keki Mistry (DIN: 00008886) as Non-Executive Non-Independent Director liable to retire by rotation from 30 June 2023 to 6 November 2029 and fix his remuneration at Rs. 2.0 mn | FOR | FOR | Keki Mistry's appointment is in line with statutory requirements. The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business. |
| 11-Aug-23 | HDFC Bank limited | AGM | MANAGEMENT | Appoint Ms. Renu Karnad (DIN 00008064) as Non-Executive Non-Independent Director liable to retire by rotation for four years from 1 July 2023 till 2 September 2027 and fix her remuneration at Rs. 2.0 mn | FOR | FOR | Ms. Renu Karnad's appointment is in line with statutory requirements. The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business. |
| 11-Aug-23 | HDFC Bank limited | AGM | MANAGEMENT | Approve final dividend of Rs 19.0 per share (face value Rs. 1) for FY 2023 | FOR | FOR | The dividend payout ratio is 24.0%. We support the resolution. |
| 11-Aug-23 | HDFC Bank limited | AGM | MANAGEMENT | Approve related party transactions with HCL Technologies Ltd for FY 2024 | FOR | FOR | The resolution is in line with the statutory requirements. |
| 11-Aug-23 | HDFC Bank limited | AGM | MANAGEMENT | Approve related party transactions with HDFC Credila Financial Services Ltd for FY 2024 | FOR | FOR | The resolution is in line with the statutory requirements. |

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Name of the Insurer: ICICI Prudential Life Insurance Company Limited

For the quarter ending: September 30, 2023

Date: September 30, 2023

| Meeting Date | Investee Company Name | Type of Meeting (AGM/EGM) | Proposal of Management or Shareholder | Description of the proposal | Management Recommendation | Vote For/Against /Abstain | Reason supporting the vote decision |
|--------------|-----------------------------|---------------------------|---------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 11-Aug-23 | HDFC Bank limited | AGM | MANAGEMENT | Fix FY 2024 auditor remuneration at Rs. 90mn, to be paid equally to Price Waterhouse LLP and M.M. Nissim & Co. LLP as joint statutory auditors | FOR | FOR | The proposed remuneration is reasonable compared to the size and scale of the company's operations. |
| 11-Aug-23 | HDFC Bank limited | AGM | MANAGEMENT | Reappoint Kaizad Bharucha (DIN 02490648) as Director liable to retire by rotation | FOR | FOR | Kaizad Bharucha's reappointment is in line with statutory requirements. |
| 11-Aug-23 | HDFC Bank limited | AGM | MANAGEMENT | To issue Unsecured Perpetual Debt Instruments (part of Additional Tier I capital), Tier II Capital Bonds and Long-Term Bonds (financing of infrastructure and affordable housing) up to Rs. 500.0 bn on private placement basis | FOR | FOR | The resolution is in line with the statutory requirements. |
| 11-Aug-23 | Ultratech Cement limited | AGM | MANAGEMENT | Adoption of standalone and consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 11-Aug-23 | Ultratech Cement limited | AGM | MANAGEMENT | Approve alteration to the Articles of Association (AoA) to insert new Article 122A | FOR | FOR | The resolution is in line with the statutory requirements. |
| 11-Aug-23 | Ultratech Cement limited | AGM | MANAGEMENT | Approve final dividend of Rs. 38.0 per equity share (face value Rs. 10.0) for FY 2023 | FOR | FOR | The dividend payout ratio is 22.3%. We support the resolution. |
| 11-Aug-23 | Ultratech Cement limited | AGM | MANAGEMENT | Ratify aggregate remuneration of Rs. 4.15 mn payable to D C Dave & Co and N D Birla & Co as cost auditors for FY 2024 | FOR | FOR | The proposed remuneration is reasonable compared to the size and scale of operations. |
| 11-Aug-23 | Ultratech Cement limited | AGM | MANAGEMENT | Reappoint Ms. Rajashree Birla (DIN: 00022995) as Non-Executive Non-Independent Director, liable to retire by rotation and approve her continuation after attaining 75 years of age | FOR | FOR | Ms. Rajashree Birla's reappointment is in line with statutory requirements. |
| 17-Aug-23 | PI Industries limited | AGM | MANAGEMENT | Adoption of standalone and consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 17-Aug-23 | PI Industries limited | AGM | MANAGEMENT | Approve commission of Rs. 26.0 mn for FY 2023 to Narayan K. Seshadri (DIN: 00053563) as Non-Executive Non-Independent Director and Chairperson, in excess of 50% of total remuneration paid to all non-executive directors | FOR | FOR | The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business. |
| 17-Aug-23 | PI Industries limited | AGM | MANAGEMENT | Approve remuneration of Rs. 330,000 to K.G. Goyal & Co. as cost auditors for FY 2024 | FOR | FOR | The proposed remuneration is reasonable compared to the size and scale of the company's operations. |
| 17-Aug-23 | PI Industries limited | AGM | MANAGEMENT | Confirm interim dividend of Rs. 4.5 per share and declare final dividend of Rs. 5.5 per equity share (face value of Re. 1.0) for FY 2023 | FOR | FOR | The dividend payout ratio is 12.5% of standalone PAT. We support the resolution. |
| 17-Aug-23 | PI Industries limited | AGM | MANAGEMENT | Reappoint Arvind Singhal (DIN: 00092425) as Non-Executive Non-Independent Director, liable to retire by rotation | FOR | FOR | Arvind Singhal's reappointment is in line with the statutory requirements. |
| 19-Aug-23 | Kotak Mahindra Bank limited | AGM | MANAGEMENT | Adoption of consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 19-Aug-23 | Kotak Mahindra Bank limited | AGM | MANAGEMENT | Adoption of standalone financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |

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Name of the Insurer: ICICI Prudential Life Insurance Company Limited

For the quarter ending: September 30, 2023

Date: September 30, 2023

| Meeting Date | Investee Company Name | Type of Meeting (AGM/EGM) | Proposal of Management or Shareholder | Description of the proposal | Management Recommendation | Vote For/Against /Abstain | Reason supporting the vote decision |
|--------------|-----------------------------|---------------------------|---------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 19-Aug-23 | Kotak Mahindra Bank limited | AGM | MANAGEMENT | Approve FY 2024 statutory audit fee at Rs 37.5 mn for both joint auditors - KKC & Associates LLP and Price Waterhouse LLP | FOR | FOR | The proposed remuneration is reasonable given the size and complexity of the business. |
| 19-Aug-23 | Kotak Mahindra Bank limited | AGM | MANAGEMENT | Confirm payment of interim dividend at 8.1% on preference shares of face value Rs 5.0 for FY 2023 | FOR | FOR | This will entail a payout of Rs 405.0 mn. The resolution is inline with Statutory requirements |
| 19-Aug-23 | Kotak Mahindra Bank limited | AGM | MANAGEMENT | Declare dividend of Rs 1.5 per share on equity shares of face value Rs 5.0 per share | FOR | FOR | The dividend payout ratio is 2.7%. We support the resolution |
| 19-Aug-23 | Kotak Mahindra Bank limited | AGM | MANAGEMENT | Reappoint C. Jayaram (DIN: 00012214) as Director, liable to retire by rotation | FOR | FOR | C. Jayaram's reappointment is in line with statutory requirements. |
| 19-Aug-23 | Kotak Mahindra Bank limited | AGM | MANAGEMENT | Reappoint Dipak Gupta (DIN: 00004771) as Director, liable to retire by rotation | FOR | FOR | Dipak Gupta's reappointment is in line with statutory requirements. |
| 22-Aug-23 | HCL Technologies limited | AGM | MANAGEMENT | Adoption of standalone and consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 22-Aug-23 | HCL Technologies limited | AGM | MANAGEMENT | Reappoint Shikhar Malhotra (DIN - 00779720) as a Non-Executive Non-Independent Director, liable to retire by rotation | FOR | FOR | Shikhar Malhotra's reappointment is in line with statutory requirements. |
| 22-Aug-23 | Hindalco Industries limited | AGM | MANAGEMENT | Adoption of standalone and consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 22-Aug-23 | Hindalco Industries limited | AGM | MANAGEMENT | Approve change in place of keeping and inspection of registers and index of members, etc. | FOR | FOR | The resolution is in line with the statutory requirements. |
| 22-Aug-23 | Hindalco Industries limited | AGM | MANAGEMENT | Approve final dividend of Rs. 3.0 per equity share (face value Re. 1.0) for FY 2023 | FOR | FOR | The total dividend payout ratio is 20.0% of standalone after-tax profits. We support this resolution |
| 22-Aug-23 | Hindalco Industries limited | AGM | MANAGEMENT | Ratify remuneration of Rs. 1.8 mn payable to R. Nanabhoy & Co, as cost auditor for FY 2024 | FOR | FOR | The proposed remuneration is reasonable compared to the size and scale of the company's operations. |
| 22-Aug-23 | Hindalco Industries limited | AGM | MANAGEMENT | Reappoint Ms. Rajashree Birla (DIN:00012813) as Non-Executive Non-Independent Director, liable to retire by rotation | FOR | FOR | Ms. Rajashree Birla's reappointment is in line with statutory requirements |
| 22-Aug-23 | Hindalco Industries limited | AGM | MANAGEMENT | Reappoint Praveen K Maheshwari (DIN: 01743559) as Whole Time Director from 28 May 2023 to 31 March 2024 and fix his remuneration | FOR | FOR | Praveen Kumar Maheshwari's appointment is in line with statutory requirements. The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business. |
| 22-Aug-23 | Hindalco Industries limited | AGM | MANAGEMENT | Revise remuneration to Price Waterhouse & Co Chartered Accountants LLP as statutory auditors | FOR | FOR | The proposed remuneration is reasonable compared to the size and scale of the company's operations. |
| 24-Aug-23 | Bharti Airtel limited | AGM | MANAGEMENT | Adoption of standalone and consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 24-Aug-23 | Bharti Airtel limited | AGM | MANAGEMENT | Approve material related party transactions with Bharti Hexacom Limited, a subsidiary for an aggregate value of Rs. 28.0 bn from the conclusion of FY 2023 AGM to the FY 2024 AGM or 15 months whichever is earlier | FOR | FOR | In FY 2023, the transactions with Bharti Hexacom Limited aggregated to Rs. 26.12 bn. These transactions are operational in nature and will be carried out at arm's length and in the ordinary course of business. |
| 24-Aug-23 | Bharti Airtel limited | AGM | MANAGEMENT | Approve material related party transactions with Indus Towers Limited, a joint venture for an aggregate value of Rs. 170.0 bn from the conclusion of FY 2023 AGM to the FY 2024 AGM or 15 months whichever is earlier | FOR | FOR | In FY 2023, the transactions with Indus Towers Limited aggregated to Rs. 147.4 bn. The transactions are operational in nature, will be carried out at arm's length and will be in the ordinary course of business. |

Form L 43 Voting Activity Disclosure under Stewardship Code

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

For the quarter ending: September 30, 2023

Date: September 30, 2023

| Meeting Date | Investee Company Name | Type of Meeting (AGM/EGM) | Proposal of Management or Shareholder | Description of the proposal | Management Recommendation | Vote For/Against /Abstain | Reason supporting the vote decision |
|--------------|--------------------------------------|---------------------------|---------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 24-Aug-23 | Bharti Airtel limited | AGM | MANAGEMENT | Approve material related party transactions with Nxtra Data Limited, a subsidiary for an aggregate value of Rs. 30.0 bn from the conclusion of FY 2023 AGM to the FY 2024 AGM or 15 months whichever is earlier | FOR | FOR | In FY 2023, the transactions with Nxtra Data Limited aggregated to Rs. 26.5 bn. The transactions are operational in nature, will be carried out at arm's length and will be in the ordinary course of business. |
| 24-Aug-23 | Bharti Airtel limited | AGM | MANAGEMENT | Approve revision in remuneration payable to Sunil Bharti Mittal (DIN: 00042491), Executive Chairperson from 1 April 2023 till the end of his current tenure on 30 September 2026 | FOR | FOR | The proposed revision in remuneration from Rs. 387.7 mn in FY 2023 to Rs. 546 mn in FY 2024 has occurred after remaining broadly flat between FY 2013 and FY 2022 and is in lieu of the improved market positioning and profitability of the company. We support the resolution. |
| 24-Aug-23 | Bharti Airtel limited | AGM | MANAGEMENT | Declare final dividend of Rs. 4.0 per fully paid-up equity shares of face value of Rs. 5.0 each and final dividend at pro-rata basis of Rs. 1.0 per partly paid-up equity shares of face value Rs. 5.0 each with paid-up value of Rs. 1.25 per share for FY 2023 | FOR | FOR | The dividend payout ratio is 18.5% of consolidated PAT. We support the resolution. |
| 24-Aug-23 | Bharti Airtel limited | AGM | MANAGEMENT | Ratify remuneration of Rs. 1,250,000 for Sanjay Gupta & Associates as cost auditors for FY 2024 | FOR | FOR | The total remuneration proposed is reasonable compared to the size and scale of the company's operations. |
| 24-Aug-23 | Bharti Airtel limited | AGM | MANAGEMENT | Reappoint Gopal Vittal (DIN: 02291778) as Director, liable to retire by rotation | FOR | FOR | Gopal Vittal's reappointment is in line with statutory requirements. |
| 24-Aug-23 | Bharti Airtel limited | AGM | MANAGEMENT | Reappoint Ms. Kimsuka Narasimhan (DIN: 02102783) as Independent Director for five years from 30 March 2024 | FOR | FOR | The reappointment of Ms Narasimhan is in line with statutory requirements. |
| 24-Aug-23 | Bharti Airtel limited(Partly Paidup) | AGM | MANAGEMENT | Adoption of standalone and consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 24-Aug-23 | Bharti Airtel limited(Partly Paidup) | AGM | MANAGEMENT | Approve material related party transactions with Bharti Hexacom Limited, a subsidiary for an aggregate value of Rs. 28.0 bn from the conclusion of FY 2023 AGM to the FY 2024 AGM or 15 months whichever is earlier | FOR | FOR | In FY 2023, the transactions with Bharti Hexacom Limited aggregated to Rs. 26.12 bn. These transactions are operational in nature and will be carried out at arm's length and in the ordinary course of business. |
| 24-Aug-23 | Bharti Airtel limited(Partly Paidup) | AGM | MANAGEMENT | Approve material related party transactions with Indus Towers Limited, a joint venture for an aggregate value of Rs. 170.0 bn from the conclusion of FY 2023 AGM to the FY 2024 AGM or 15 months whichever is earlier | FOR | FOR | In FY 2023, the transactions with Indus Towers Limited aggregated to Rs. 147.4 bn. The transactions are operational in nature, will be carried out at arm's length and will be in the ordinary course of business. |
| 24-Aug-23 | Bharti Airtel limited(Partly Paidup) | AGM | MANAGEMENT | Approve material related party transactions with Nxtra Data Limited, a subsidiary for an aggregate value of Rs. 30.0 bn from the conclusion of FY 2023 AGM to the FY 2024 AGM or 15 months whichever is earlier | FOR | FOR | In FY 2023, the transactions with Nxtra Data Limited aggregated to Rs. 26.5 bn. The transactions are operational in nature, will be carried out at arm's length and will be in the ordinary course of business. |
| 24-Aug-23 | Bharti Airtel limited(Partly Paidup) | AGM | MANAGEMENT | Approve revision in remuneration payable to Sunil Bharti Mittal (DIN: 00042491), Executive Chairperson from 1 April 2023 till the end of his current tenure on 30 September 2026 | FOR | FOR | The proposed revision in remuneration from Rs. 387.7mn in FY 2023 to Rs. 546mn in FY 2024 has occurred after remaining broadly flat between FY 2013 and FY 2022 and is in lieu of the improved market positioning and profitability of the company. We support the resolution |
| 24-Aug-23 | Bharti Airtel limited(Partly Paidup) | AGM | MANAGEMENT | Declare final dividend of Rs. 4.0 per fully paid-up equity shares of face value of Rs. 5.0 each and final dividend at pro-rata basis of Rs. 1.0 per partly paid-up equity shares of face value Rs. 5.0 each with paid-up value of Rs. 1.25 per share for FY 2023 | FOR | FOR | The dividend payout ratio is 18.5% of consolidated PAT. We support the resolution. |
| 24-Aug-23 | Bharti Airtel limited(Partly Paidup) | AGM | MANAGEMENT | Ratify remuneration of Rs. 1,250,000 for Sanjay Gupta & Associates as cost auditors for FY 2024 | FOR | FOR | The total remuneration proposed is reasonable compared to the size and scale of the company's operations. |

Form L 43 Voting Activity Disclosure under Stewardship Code

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

For the quarter ending: September 30, 2023

Date: September 30, 2023

| Meeting Date | Investee Company Name | Type of Meeting (AGM/EGM) | Proposal of Management or Shareholder | Description of the proposal | Management Recommendation | Vote For/Against /Abstain | Reason supporting the vote decision |
|--------------|--------------------------------------|---------------------------|---------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 24-Aug-23 | Bharti Airtel limited(Partly Paidup) | AGM | MANAGEMENT | Reappoint Gopal Vittal (DIN: 02291778) as Director, liable to retire by rotation | FOR | FOR | Gopal Vittal's reappointment is in line with statutory requirements. |
| 24-Aug-23 | Bharti Airtel limited(Partly Paidup) | AGM | MANAGEMENT | Reappoint Ms. Kimsuka Narasimhan (DIN: 02102783) as Independent Director for five years from 30 March 2024 | FOR | FOR | The reappointment of Ms Narasimhan is in line with statutory requirements. |
| 24-Aug-23 | Indusind Bank limited | AGM | MANAGEMENT | Adoption of standalone and consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 24-Aug-23 | Indusind Bank limited | AGM | MANAGEMENT | Approve issuance of debt securities up to Rs. 200.0 bn on a private placement basis | FOR | FOR | The resolution is in line with the statutory requirements |
| 24-Aug-23 | Indusind Bank limited | AGM | MANAGEMENT | Approve payment of remuneration to Sumant Kathpalia (DIN: 01054434) as Managing Director & Chief Executive Officer | FOR | FOR | The proposed remuneration is reasonable given the size and complexity of the business. |
| 24-Aug-23 | Indusind Bank limited | AGM | MANAGEMENT | Approve remuneration of Joint Statutory Auditors – M S K A & Associates and M.P. Chitale & Co at a maximum of Rs. 32.0 mn (including Additional fees of upto Rs. 5.0 mn) for FY 2024 | FOR | FOR | The proposed remuneration is reasonable given the size and complexity of the business. |
| 24-Aug-23 | Indusind Bank limited | AGM | MANAGEMENT | Declare final dividend of Rs. 14.0 per equity share (face value: Rs. 10.0) for FY 2023 | FOR | FOR | Dividend payout ratio is 14.7% of the standalone PAT. We support the resolution. |
| 24-Aug-23 | Indusind Bank limited | AGM | MANAGEMENT | Reappoint Sumant Kathpalia (DIN: 01054434) as Director, liable to retire by rotation | FOR | FOR | Sumant Kathpalia's reappointment is in line with statutory requirements. |
| 24-Aug-23 | INTERGLOBE AVIATION limited | AGM | MANAGEMENT | Adoption of standalone and consolidated financial statements for the year ended 31 March 2023 with the reports of the Board of Directors and the Auditors thereon | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 24-Aug-23 | INTERGLOBE AVIATION limited | AGM | MANAGEMENT | Approve InterGlobe Aviation Limited Employee Stock Option Scheme 2023 (ESOP 2023) under which the company may grant upto 1.9 mn options | FOR | FOR | The resolution is in line with the statutory requirements. |
| 24-Aug-23 | INTERGLOBE AVIATION limited | AGM | MANAGEMENT | Approve the extension of InterGlobe Aviation Limited Employee Stock Option Scheme 2023 (ESOP 2023) to employees of present and future subsidiaries | FOR | FOR | The resolution is in line with the statutory requirements. |
| 24-Aug-23 | INTERGLOBE AVIATION limited | AGM | MANAGEMENT | Reappoint Gregg Albert Saretsky (DIN: 08787780) as Non-Executive Non-Independent Director, liable to retire by rotation | FOR | FOR | Gregg Albert Saretsky's reappointment is in line with statutory requirements. |
| 25-Aug-23 | Larsen & Toubro limited | POSTAL BALLOT | MANAGEMENT | Approve buyback of up to 33,333,333 equity shares at a maximum buy back price of up to Rs. 3,000.0 per share (face value Rs. 2.0) through tender offer, aggregate consideration not to exceed Rs. 100.0 bn | FOR | FOR | The resolution is in line with the statutory requirements. |
| 28-Aug-23 | Jindal Steel & Power limited | AGM | MANAGEMENT | Adoption of standalone and consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 28-Aug-23 | Jindal Steel & Power limited | AGM | MANAGEMENT | Approve remuneration of Rs. 10,00,000 to Ramanath Iyer & Co, Cost Accountant as cost auditor for FY 2024 | FOR | FOR | The proposed remuneration is reasonable compared to the size and scale of the company's operations. |
| 28-Aug-23 | Jindal Steel & Power limited | AGM | MANAGEMENT | Declare final dividend of Rs 2.0 per share of face value Rs 1.0 each for FY 2023 | FOR | FOR | The total dividend payout ratio for FY 2023 is 8.3% of the standalone post – tax profits. We support this resolution |
| 28-Aug-23 | Jindal Steel & Power limited | AGM | MANAGEMENT | Reappoint Naveen Jindal (DIN: 00001523) as Director, liable to retire by rotation | FOR | FOR | Naveen Jindal's reappointment is in line with statutory requirements. |

Form L 43 Voting Activity Disclosure under Stewardship Code

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

For the quarter ending: September 30, 2023

Date: September 30, 2023

| Meeting Date | Investee Company Name | Type of Meeting (AGM/EGM) | Proposal of Management or Shareholder | Description of the proposal | Management Recommendation | Vote For/Against /Abstain | Reason supporting the vote decision |
|--------------|------------------------------|---------------------------|---------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 28-Aug-23 | Jindal Steel & Power limited | AGM | MANAGEMENT | Reappoint Ramkumar Ramaswamy (DIN: 09675055) as Director, liable to retire by rotation | FOR | FOR | Ramkumar Ramaswamy's reappointment is in line with the statutory requirements. |
| 28-Aug-23 | RBL Bank limited | AGM | MANAGEMENT | Adoption of consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 28-Aug-23 | RBL Bank limited | AGM | MANAGEMENT | Adoption of standalone financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 28-Aug-23 | RBL Bank limited | AGM | MANAGEMENT | Declare dividend of Rs. 1.5 of face value Rs 10.0 per equity share for FY 2023. | FOR | FOR | Total dividend will be Rs 899.5 mn and payout ratio will be 10.2% of the standalone PAT. The resolution is in line with the statutory requirements. |
| 28-Aug-23 | RBL Bank limited | AGM | MANAGEMENT | Not to fill in the casual vacancy cause by the retirement of Vimal Bhandari (DIN: 00001318) who does not offer himself for reappointment | FOR | FOR | The resolution is in line with the statutory requirements. |
| 28-Aug-23 | RBL Bank limited | AGM | MANAGEMENT | To issue debt securities upto an amount of Rs. 30.0 bn | FOR | FOR | The resolution is in line with the statutory requirements. |
| 28-Aug-23 | Reliance Industries limited | AGM | MANAGEMENT | Adoption of consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 28-Aug-23 | Reliance Industries limited | AGM | MANAGEMENT | Adoption of standalone financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 28-Aug-23 | Reliance Industries limited | AGM | MANAGEMENT | Alter the Objects Clause of the Memorandum of Association (MoA) | FOR | FOR | The resolution is in line with the statutory requirements. |
| 28-Aug-23 | Reliance Industries limited | AGM | MANAGEMENT | Approve alteration to the Articles of Association (AoA) | FOR | FOR | The resolution is in line with the statutory requirements. |
| 28-Aug-23 | Reliance Industries limited | AGM | MANAGEMENT | Approve material related party transactions between Reliance Industries Limited and its joint ventures, subsidiaries, step down subsidiaries | FOR | FOR | The resolution is in line with the statutory requirements. |
| 28-Aug-23 | Reliance Industries limited | AGM | MANAGEMENT | Approve material related party transactions between subsidiaries of Reliance Industries Limited | FOR | FOR | The resolution is in line with the statutory requirements. |
| 28-Aug-23 | Reliance Industries limited | AGM | MANAGEMENT | Approve payment of aggregate remuneration of Rs. 8.5 mn to cost auditors for FY 2024 | FOR | FOR | The total remuneration proposed to be paid to the cost auditors in FY 2024 is reasonable compared to the size and scale of operations. |
| 28-Aug-23 | Reliance Industries limited | AGM | MANAGEMENT | Declare dividend of Rs. 9.0 per equity share of face value Rs. 10.0 each | FOR | FOR | The dividend payout ratio is 13.8%. We support the resolution. |
| 28-Aug-23 | Reliance Industries limited | AGM | MANAGEMENT | Reappoint Ms. Arundhati Bhattacharya (DIN: 02011213) as an Independent Director for five years from 17 October 2023 | FOR | FOR | Ms. Arundhati Bhattacharya's reappointment is in line with statutory requirements. |
| 28-Aug-23 | Reliance Industries limited | AGM | MANAGEMENT | Reappoint Mukesh Ambani (DIN: 00001695) as Managing Director, not liable to retire by rotation, for five years from 19 April 2024 and fix his remuneration and approve his continuation on the board till 18 April 2029 | FOR | FOR | Mukesh Ambani's reappointment is in line with statutory requirements. |
| 28-Aug-23 | Reliance Industries limited | AGM | MANAGEMENT | Reappoint Nikhil Meswani (DIN: 00001620) as Director, liable to retire by rotation | FOR | FOR | Nikhil Meswani's reappointment is in line with statutory requirements. |

Form L 43 Voting Activity Disclosure under Stewardship Code

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

For the quarter ending: September 30, 2023

Date: September 30, 2023

| Meeting Date | Investee Company Name | Type of Meeting (AGM/EGM) | Proposal of Management or Shareholder | Description of the proposal | Management Recommendation | Vote For/Against /Abstain | Reason supporting the vote decision |
|--------------|---------------------------------------------|---------------------------|---------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 28-Aug-23 | Reliance Industries limited | AGM | MANAGEMENT | Reappoint P.M.S. Prasad (DIN: 00012144) as Director, liable to retire by rotation | FOR | FOR | P.M.S. Prasad's reappointment is in line with statutory requirements. |
| 28-Aug-23 | Samvardhana Motherson International Limited | AGM | MANAGEMENT | Adoption of financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 28-Aug-23 | Samvardhana Motherson International Limited | AGM | MANAGEMENT | Approve final dividend of Re. 0.65 per equity share of face value of Re. 1.0 per share for FY 2023 | FOR | FOR | The payout ratio is 56.9% of the standalone PAT. We support the resolution. |
| 28-Aug-23 | Samvardhana Motherson International Limited | AGM | MANAGEMENT | Approve related party transactions between Samvardhana Motherson International Limited (SAMIL) and Motherson Sumi Wiring India Limited (MSWIL) from the conclusion of 2023 AGM to the 2024 AGM or 15 months whichever is earlier | FOR | FOR | The resolution is in line with the statutory requirements. |
| 28-Aug-23 | Samvardhana Motherson International Limited | AGM | MANAGEMENT | Approve related party transactions between Samvardhana Motherson International Limited (SAMIL) with SEI Thailand Electric Conductor Co., Ltd (SEI Thai) from the 2023 AGM upto the 2024 AGM or 15 months whichever is earlier | FOR | FOR | The resolution is in line with the statutory requirements. |
| 28-Aug-23 | Samvardhana Motherson International Limited | AGM | MANAGEMENT | Ratify remuneration of Rs. 410,000 to M.R. Vyas and Associates, as cost auditor for FY 2024 | FOR | FOR | The proposed remuneration is reasonable compared to the size and scale of the company's operations. |
| 28-Aug-23 | Samvardhana Motherson International Limited | AGM | MANAGEMENT | Reappoint Pankaj Mital (DIN: 00194931) as Director, liable to retire by rotation | FOR | FOR | Pankaj Mital's reappointment is in line with statutory requirements. |
| 28-Aug-23 | Sun Pharmaceutical Inds. limited | AGM | MANAGEMENT | Adoption of consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information & proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 28-Aug-23 | Sun Pharmaceutical Inds. limited | AGM | MANAGEMENT | Adoption of standalone financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information & proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 28-Aug-23 | Sun Pharmaceutical Inds. limited | AGM | MANAGEMENT | Appoint Aalok Shanghvi (DIN: 01951829) as Whole time Director, liable to retire by rotation, for five years from 1 June 2023 and fix his remuneration | FOR | FOR | Aalok Shanghvi's appointment is in line with the statutory requirements. His overall compensation is commensurate to the company's size and is in line with peers and thus we support the resolution. |

Form L 43 Voting Activity Disclosure under Stewardship Code

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

For the quarter ending: September 30, 2023

Date: September 30, 2023

| Meeting Date | Investee Company Name | Type of Meeting (AGM/EGM) | Proposal of Management or Shareholder | Description of the proposal | Management Recommendation | Vote For/Against /Abstain | Reason supporting the vote decision |
|--------------|----------------------------------|---------------------------|---------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 28-Aug-23 | Sun Pharmaceutical Inds. limited | AGM | MANAGEMENT | Appoint Rolf Hoffmann (DIN: 10200311) as Independent Director for five years from 15 June 2023 | FOR | ABSTAIN | <p>While Rolf Hoffmann's appointment is in line with statutory requirements, IIAS does not support the appointment of Independent Directors in case of business linkages with the company. Mr. Hoffmann is also a board member of certain global pharma companies. IIAS believes that the company must clarify if there is any overlap in the products offered and markets covered by Sun Pharma and other companies where Rolf Hoffmann serves as a board member / consultant.</p> <p>As per the Company, Mr. Hoffmann, has, in the declaration of independence provided by him, confirmed that the value of consulting services contract would not have impact on his independence. The appointment is in line with the statutory requirements & the consulting services contract is a very small engagement with the entity of Mr. Hoffmann which in no way impacts his independence. Further, it was clarified that the Company had engaged a third-party independent service provider to find out suitable profiles for the composition of the Board of Directors. Given that a thorough process was followed, adequate care was taken that there is no conflict of interest between Company and Mr. Hoffmann due to his engagements with other companies in similar business. Mr. Hoffmann has also carried out checks on conflict of interest from his side in terms of his other directorships before accepting the proposal to join Sun Pharma board.</p> <p>In view of the IIAS's observations and the clarifications provided by the company we abstain from voting.</p> |
| 28-Aug-23 | Sun Pharmaceutical Inds. limited | AGM | MANAGEMENT | Approve material related party transactions for purchase and sale of pharmaceutical products between subsidiaries: Taro Pharmaceuticals USA Inc. and Taro Pharmaceuticals Inc., Canada, upto Rs. 20.0 bn for FY 2024 | FOR | FOR | The resolution is in line with the statutory requirements. |
| 28-Aug-23 | Sun Pharmaceutical Inds. limited | AGM | MANAGEMENT | Declare final dividend of Rs. 4.0 per equity share (face value of Re. 1.0) for FY 2023 | FOR | FOR | The dividend payout ratio is 163% of standalone PAT and 32% of consolidated PAT. We support the resolution. |
| 28-Aug-23 | Sun Pharmaceutical Inds. limited | AGM | MANAGEMENT | Ratify remuneration of Rs. 2,977,500 to K D & Co. as cost auditors for FY 2024 | FOR | FOR | The total remuneration proposed to be paid to the cost auditors for FY 2024 is reasonable compared to the size and scale of the company's operations. |
| 28-Aug-23 | Sun Pharmaceutical Inds. limited | AGM | MANAGEMENT | Reappoint Sudhir Valia (DIN: 00005561) as Non-Executive Non-Independent Director, liable to retire by rotation | FOR | FOR | Sudhir Valia's reappointment is in line with statutory requirements. |
| 28-Aug-23 | Britannia Industries limited | AGM | MANAGEMENT | Adoption of standalone and consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 28-Aug-23 | Britannia Industries limited | AGM | MANAGEMENT | Approve amendment to the Articles of Association (AoA) | FOR | FOR | The resolution is in line with the statutory requirements. |
| 28-Aug-23 | Britannia Industries limited | AGM | MANAGEMENT | Ratify remuneration of Rs. 75,000 payable to GNV & Associates as cost auditors for FY 2024 | FOR | FOR | The proposed remuneration is reasonable compared to the size and scale of the company's operations. |
| 28-Aug-23 | Britannia Industries limited | AGM | MANAGEMENT | Reappoint Ms. Tanya Dubash (DIN: 00026028) as Independent Director for five years from 7 February 2024 | FOR | FOR | Ms. Tanya Dubash's reappointment is in line with statutory requirements. |
| 28-Aug-23 | Britannia Industries limited | AGM | MANAGEMENT | Reappoint Nusli Wadia (DIN: 00015731) as Non-Executive Non-Independent Director, liable to retire by rotation | FOR | FOR | Nusli Wadia's reappointment is in line with the statutory requirements. |

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Name of the Insurer: ICICI Prudential Life Insurance Company Limited

For the quarter ending: September 30, 2023

Date: September 30, 2023

| Meeting Date | Investee Company Name | Type of Meeting (AGM/EGM) | Proposal of Management or Shareholder | Description of the proposal | Management Recommendation | Vote For/Against /Abstain | Reason supporting the vote decision |
|--------------|----------------------------------|---------------------------|---------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 28-Aug-23 | Britannia Industries limited | AGM | MANAGEMENT | Reappoint Varun Berry (DIN: 05208062) as Executive Vice-Chairperson and Managing Director for five years from 1 April 2024 and fix his remuneration | FOR | ABSTAIN | IIAS has recommended to vote against the resolution as they believe Varun Berry's FY 2024 remuneration at Rs 390.5 mn is high in comparison to peers and the size and scale of business. Further, the remuneration structure lacks transparency especially in granting of Stock options to Mr Berry. Thus, in absence of enough information, IIAS is against the resolution. As per the company, Mr. Varun Berry has a significant role in managing the operations and business activities of the Company. The compensation paid to Mr. Varun Berry is commensurate with his overall contribution to the growth of the Company. The compensation is within industry standards and appropriate to the turnover and profits of the Company. The payouts for phantom options include amounts payable on exercise of vested phantom options accrued over the past years(s) and do not necessarily pertain to one financial year alone. In view of IIAS's observations and the response provided by the company we abstain from voting. |
| 29-Aug-23 | Maruti Suzuki India limited | AGM | MANAGEMENT | Adoption of consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 29-Aug-23 | Maruti Suzuki India limited | AGM | MANAGEMENT | Adoption of standalone financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 29-Aug-23 | Maruti Suzuki India limited | AGM | MANAGEMENT | Appoint Yukihiro Yamashita (DIN: 10237093) as Whole Time Director designated as Joint Managing Director-Engineering and Quality Assurance for three years from 1 August 2023 and fix his remuneration | FOR | FOR | Yukihiro Yamashita's appointment is in line with statutory requirements. The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business. |
| 29-Aug-23 | Maruti Suzuki India limited | AGM | MANAGEMENT | Approve final dividend of Rs. 90.0 per share (face value Rs. 5.0) for FY 2023 | FOR | FOR | The total dividend payout ratio is 33.8%. We support the resolution. |
| 29-Aug-23 | Maruti Suzuki India limited | AGM | MANAGEMENT | Ratify remuneration of Rs. 285,000 payable to R. J. Goel & Co. as cost auditors for FY 2024 | FOR | FOR | The proposed remuneration is reasonable compared to the size and scale of the company's operations. |
| 29-Aug-23 | Maruti Suzuki India limited | AGM | MANAGEMENT | Reappoint Kenichi Ayukawa (DIN: 02262755) as Non-Executive Non-Independent Director, liable to retire by rotation | FOR | FOR | Kenichi Ayukawa's reappointment is in line with statutory requirements. |
| 29-Aug-23 | Maruti Suzuki India limited | AGM | MANAGEMENT | Reappoint Kinji Saito (DIN: 00049067) as Non-Executive Non-Independent Director, liable to retire by rotation | FOR | FOR | Kinji Saito's reappointment is in line with the statutory requirements. |
| 29-Aug-23 | Oil & Natural Gas Corpn. limited | AGM | MANAGEMENT | Adoption of standalone and consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 29-Aug-23 | Oil & Natural Gas Corpn. limited | AGM | MANAGEMENT | Appoint Arun Kumar Singh (DIN: 06646894) as Director designated as Chairperson from 7 December 2022 for three years or until further orders, not liable to retire by rotation | FOR | FOR | Arun Kumar Singh's appointment is in line with statutory requirements. |

Form L 43 Voting Activity Disclosure under Stewardship Code

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

For the quarter ending: September 30, 2023

Date: September 30, 2023

| Meeting Date | Investee Company Name | Type of Meeting (AGM/EGM) | Proposal of Management or Shareholder | Description of the proposal | Management Recommendation | Vote For/Against /Abstain | Reason supporting the vote decision |
|--------------|----------------------------------|---------------------------|---------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 29-Aug-23 | Oil & Natural Gas Corpn. limited | AGM | MANAGEMENT | Appoint Manish Patil (DIN: 10139350) as Director (HR) from 5 May 2023 till 29 February 2028 (date of superannuation) or until further orders, whichever is earlier, liable to retire by rotation | FOR | FOR | Manish Patil's appointment is in line with statutory requirements. |
| 29-Aug-23 | Oil & Natural Gas Corpn. limited | AGM | MANAGEMENT | Appoint Ms. Sushma Rawat (DIN: 09361428) as Director (Exploration) from 1 January 2023 till 30 June 2025 (date of superannuation) or until further orders, whichever is earlier, liable to retire by rotation | FOR | FOR | Ms. Sushma Rawat's appointment is in line with statutory requirements. |
| 29-Aug-23 | Oil & Natural Gas Corpn. limited | AGM | MANAGEMENT | Approve final dividend of Rs. 0.5 per equity share of face value of Rs. 5.0 per share for FY 2023 | FOR | FOR | The dividend payout ratio is 36.5% of standalone after-tax profits, We support the resolution. |
| 29-Aug-23 | Oil & Natural Gas Corpn. limited | AGM | MANAGEMENT | Approve material related party transactions upto Rs. 10.5 bn with ONGC Tripura Power Company Limited (OTPC) for FY 2025 | FOR | FOR | The resolution is in line with the statutory requirements. |
| 29-Aug-23 | Oil & Natural Gas Corpn. limited | AGM | MANAGEMENT | Approve material related party transactions upto Rs. 13.5 bn with Oil and Natural Gas Corporation Employees Contributory Provident Fund (OECPF) Trust for FY 2025 | FOR | FOR | The resolution is in line with the statutory requirements. |
| 29-Aug-23 | Oil & Natural Gas Corpn. limited | AGM | MANAGEMENT | Approve material related party transactions upto Rs. 48.0 bn with Petronet LNG Limited (PLL) for FY 2025 | FOR | FOR | The resolution is in line with the statutory requirements. |
| 29-Aug-23 | Oil & Natural Gas Corpn. limited | AGM | MANAGEMENT | Approve material related party transactions upto Rs. 82.5 bn with ONGC Petro Additions Limited (OPAL) for FY 2025 | FOR | FOR | The resolution is in line with the statutory requirements. |
| 29-Aug-23 | Oil & Natural Gas Corpn. limited | AGM | MANAGEMENT | Authorize the board to fix remuneration of statutory auditors to be appointed by the Comptroller and Auditor General (C&AG) of India for FY 2024 | FOR | FOR | The proposed remuneration is reasonable given the size and complexity of the business. |
| 29-Aug-23 | Oil & Natural Gas Corpn. limited | AGM | MANAGEMENT | Ratify aggregate remuneration of Rs. 3.6 mn payable to six cost auditors for FY 2023 | FOR | FOR | The proposed remuneration is reasonable given the size and complexity of the business. |
| 29-Aug-23 | Oil & Natural Gas Corpn. limited | AGM | MANAGEMENT | Reappoint Om Prakash Singh (DIN: 08704968) as Director, liable to retire by rotation | FOR | FOR | Om Prakash Singh's reappointment is in line with statutory requirements. |
| 29-Aug-23 | KPIT Technologies limited | AGM | MANAGEMENT | Adoption of consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 29-Aug-23 | KPIT Technologies limited | AGM | MANAGEMENT | Adoption of standalone financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 29-Aug-23 | KPIT Technologies limited | AGM | MANAGEMENT | Appoint Ms. Jayada Pandit (Senior Manager, Marketing) in an office of profit and approve remuneration of upto Rs 6.0 mn per annum excluding variable remuneration for five years w.e.f. 29 August 2023 | FOR | FOR | Jayada Pandit's remuneration is in line with statutory requirements. |
| 29-Aug-23 | KPIT Technologies limited | AGM | MANAGEMENT | Appoint Srinath Batni (DIN: 00041394) as Independent Director for five years from 25 July 2023 | FOR | FOR | Srinath Batni's appointment is in line with statutory requirements. |
| 29-Aug-23 | KPIT Technologies limited | AGM | MANAGEMENT | Declare final dividend of Rs. 2.65 per equity share (face value of Rs. 10.0 per equity share) for FY 2023 | FOR | FOR | The total dividend payout ratio is 40.1% of the standalone PAT. We support the resolution. |

Form L 43 Voting Activity Disclosure under Stewardship Code

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

For the quarter ending: September 30, 2023

Date: September 30, 2023

| Meeting Date | Investee Company Name | Type of Meeting (AGM/EGM) | Proposal of Management or Shareholder | Description of the proposal | Management Recommendation | Vote For/Against /Abstain | Reason supporting the vote decision |
|--------------|------------------------------------|---------------------------|---------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 29-Aug-23 | KPIT Technologies limited | AGM | MANAGEMENT | Reappoint Alberto Luigi Sangiovanni Vincentelli (DIN: 05260121) as Independent Director for three years from 16 January 2024 and approve his continuation on the board post attainment of 75 years of age | FOR | ABSTAIN | Alberto Luigi Sangiovanni Vincentelli has been on the board of erstwhile KPIT Technologies (pre-merger and demerger scheme with Birlasoft) since April 2012. IAS has considered his aggregate tenure to be 11 years. IAS has classified him as non-independent due to his long association (10 years) with the company. We believe the stated resolution does not go against statutory requirements and hence we abstain from voting. |
| 29-Aug-23 | KPIT Technologies limited | AGM | MANAGEMENT | Reappoint Anant Talaulicar (DIN: 00031051) as Independent Director for five years from 16 January 2024 | FOR | ABSTAIN | Anant Talaulicar has been on the board of the erstwhile KPIT Technologies (pre-merger and demerger scheme with Birlasoft) since August 2003. IAS has considered his aggregate tenure to be 20 years. IAS has classified him as non-independent due to his long association (10 years) with the company. We believe the stated resolution does not go against statutory requirements and hence we abstain from voting. |
| 29-Aug-23 | KPIT Technologies limited | AGM | MANAGEMENT | Reappoint BSR & Co. LLP as statutory auditors for five years from the 2023 AGM and fix their remuneration | FOR | ABSTAIN | BSR & Co LLP have been the auditors of erstwhile KPIT (pre-merger and demerger scheme with Birlasoft) since the AGM of 2013. Given that the auditors have completed a term of ten years at the 2023 AGM, IAS does not support the reappointment. We believe the stated resolution does not go against statutory requirements and hence abstain from voting. |
| 29-Aug-23 | KPIT Technologies limited | AGM | MANAGEMENT | Reappoint B V R Subbu (DIN: 00289721) as Independent Director for two years from 16 January 2024 | FOR | ABSTAIN | B V R Subbu has been on the board of erstwhile KPIT Technologies (now Birlasoft) since April 2013. IAS has considered his aggregate tenure to be 10 years. IAS has classified him as non-independent due to his long association (10 years) with the company. We believe the stated resolution does not go against statutory requirements and hence we abstain from voting. |
| 29-Aug-23 | KPIT Technologies limited | AGM | MANAGEMENT | Reappoint Kishor Patil (DIN: 00076190) as Director, liable to retire by rotation | FOR | FOR | Kishor Patil's reappointment is in line with the statutory requirements. |
| 29-Aug-23 | KPIT Technologies limited | AGM | MANAGEMENT | Reappoint Kishor Patil (DIN: 00076190) as Managing Director and Chief Executive Officer for five years from 16 January 2024 and fix his remuneration in excess of regulatory limits | FOR | FOR | Kishor Patil's reappointment and remuneration is in line with statutory requirements. |
| 29-Aug-23 | KPIT Technologies limited | AGM | MANAGEMENT | Reappoint Sachin Tikekar (DIN: 02918460) as Joint Managing Director for five years from 16 January 2024 and fix his remuneration in excess of regulatory limits | FOR | FOR | Sachin Tikekar's reappointment and remuneration are in line with statutory requirements. |
| 29-Aug-23 | SBI Life Insurance Company limited | AGM | MANAGEMENT | Adoption of financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 29-Aug-23 | SBI Life Insurance Company limited | AGM | MANAGEMENT | Approve remuneration aggregating to Rs. 10.3 mn (plus out of pocket expenses) to statutory auditors, to be appointed by the Comptroller and Auditor General of India for FY 2024 | FOR | FOR | The proposed remuneration is reasonable compared to the size and scale of the company's operations. |
| 29-Aug-23 | SBI Life Insurance Company limited | AGM | MANAGEMENT | Confirm interim dividend of Rs. 2.5 per equity share as final dividend (face value Rs. 10.0) for FY 2023 | FOR | FOR | Payout ratio is 14.5% of the standalone PAT (13.3% in FY 2022). We support the resolution |
| 29-Aug-23 | SBI Life Insurance Company limited | AGM | MANAGEMENT | Reappoint Dr. Tejendra Bhasin (DIN: 03091429) as Independent Director for three years from 12 April 2024 | FOR | FOR | Tejendra Bhasin's reappointment is in line with statutory requirements. |

Form L 43 Voting Activity Disclosure under Stewardship Code

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

For the quarter ending: September 30, 2023

Date: September 30, 2023

| Meeting Date | Investee Company Name | Type of Meeting (AGM/EGM) | Proposal of Management or Shareholder | Description of the proposal | Management Recommendation | Vote For/Against /Abstain | Reason supporting the vote decision |
|--------------|------------------------------------|---------------------------|---------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 29-Aug-23 | SBI Life Insurance Company limited | AGM | MANAGEMENT | Reappoint Narayan Seshadri (DIN: 00053563) as Independent Director for three years from 20 August 2023 | FOR | ABSTAIN | Narayan Seshadri is the founder and partner of Tranzmute LLP, a firm engaged in providing management and business transformation services. He is a director on the board of fourteen companies (including five listed companies). Given his full-time responsibilities as Managing Partner of Tranzmute LLP, IAS has stated that the board must articulate if it has assessed his time availability. Narayan Sheshadri has been associated with SBI Capital Ltd from 19 April 2010 to 30 September 2020, and was appointed as Independent Director to the board of SBI Life from 20 August 2020. On account of his long-term association with the SBI group for more than 10 years, IAS has classified him as Non-Independent. We believe the stated resolution does not go against statutory requirements and hence abstain from voting. |
| 29-Aug-23 | SBI Life Insurance Company limited | AGM | MANAGEMENT | Reappoint Shobinder Duggal (DIN: 00039580) as Independent Director for three years from 28 December 2023 | FOR | FOR | Shobinder Duggal's reappointment is in line with statutory requirements. |
| 30-Aug-23 | NTPC limited | AGM | MANAGEMENT | Adoption of standalone and consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. The Company has taken post facto board approval for related party transactions. |
| 30-Aug-23 | NTPC limited | AGM | MANAGEMENT | Appoint Shivam Srivastav (DIN: 10141887) as Director (Fuel) from 30 April 2023, liable to retire by rotation | FOR | FOR | Shivam Srivastav's appointment is in line with statutory requirements. |
| 30-Aug-23 | NTPC limited | AGM | MANAGEMENT | Approve private placement of non-convertible debentures/bonds aggregating to Rs. 120.0 bn in not more than twelve tranches | FOR | FOR | The resolution is in line with the statutory requirements. |
| 30-Aug-23 | NTPC limited | AGM | MANAGEMENT | Authorize the board to fix remuneration of statutory auditors appointed by the Comptroller and Auditor General of India for FY 2024 | FOR | FOR | The resolution is in line with the statutory requirements. |
| 30-Aug-23 | NTPC limited | AGM | MANAGEMENT | Confirm interim dividend of Rs. 4.25 per equity share and approve final dividend of Rs. 3.0 per equity share of face value of Rs. 10.0 per share for FY 2023 | FOR | FOR | The dividend payout ratio is 40.9% of standalone PAT. We support the resolution. |
| 30-Aug-23 | NTPC limited | AGM | MANAGEMENT | Ratify remuneration of Rs. 4,684,000 payable to cost auditors for FY 2024 | FOR | FOR | The proposed remuneration is reasonable compared to the size and scale of the company's operations. |
| 30-Aug-23 | NTPC limited | AGM | MANAGEMENT | Reappoint Dillip Kumar Patel (DIN: 08695490) as Director, liable to retire by rotation | FOR | FOR | Dillip Kumar Patel's reappointment is in line with statutory requirements. |
| 30-Aug-23 | NTPC limited | AGM | MANAGEMENT | Reappoint Ujjwal Kanti Bhattacharya (DIN: 08732419) as Director, liable to retire by rotation | FOR | FOR | Ujjwal Kanti Bhattacharya's reappointment is in line with statutory requirements. |
| 31-Aug-23 | IDFC First Bank limited | AGM | MANAGEMENT | Adoption of consolidated financial statements for year ending 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 31-Aug-23 | IDFC First Bank limited | AGM | MANAGEMENT | Adoption of standalone financial statements for year ending 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |

Form L 43 Voting Activity Disclosure under Stewardship Code

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

For the quarter ending: September 30, 2023

Date: September 30, 2023

| Meeting Date | Investee Company Name | Type of Meeting (AGM/EGM) | Proposal of Management or Shareholder | Description of the proposal | Management Recommendation | Vote For/Against /Abstain | Reason supporting the vote decision |
|--------------|-----------------------------|---------------------------|---------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 31-Aug-23 | IDFC First Bank limited | AGM | MANAGEMENT | Appoint Madhivanan Balakrishnan (DIN: 01426902) as Director, liable to retire by rotation | FOR | FOR | Madhivanan Balakrishnan's appointment is in line with the statutory requirements. |
| 31-Aug-23 | IDFC First Bank limited | AGM | MANAGEMENT | Appoint Madhivanan Balakrishnan (DIN: 01426902) as Executive Director and Chief Operating Officer for three years from 20 June 2023, and fix his remuneration | FOR | FOR | The proposed remuneration is reasonable given the size and complexity of the business. |
| 31-Aug-23 | IDFC First Bank limited | AGM | MANAGEMENT | Approve alteration to Articles of Association | FOR | FOR | The resolution is in line with the statutory requirements. |
| 31-Aug-23 | IDFC First Bank limited | AGM | MANAGEMENT | Approve issuance of securities upto Rs 30.0 bn | FOR | FOR | The resolution is in line with the statutory requirements. |
| 31-Aug-23 | IDFC First Bank limited | AGM | MANAGEMENT | Reappoint Vishal Mahadevia (DIN: 01035771) as Director, liable to retire by rotation | FOR | FOR | Vishal Mahadevia's reappointment is in line with statutory requirements. |
| 31-Aug-23 | IDFC First Bank limited | AGM | MANAGEMENT | Reappoint Vishal Mahadevia (DIN: 01035771) as Non-Executive Non-Independent Director, liable to retire for three years from 18 December 2023 | FOR | FOR | Vishal Mahadevia's reappointment is in line with statutory requirements. |
| 31-Aug-23 | IDFC First Bank limited | AGM | MANAGEMENT | To approve issuance of debt securities on a private placement basis upto a limit of Rs 50 bn | FOR | FOR | The resolution is in line with the statutory requirements. |
| 7-Sep-23 | Hindustan Unilever limited | POSTAL BALLOT | MANAGEMENT | Appoint Ms. Neelam Dhawan (DIN: 00871445) as Independent Director for five years from 1 August 2023 | FOR | FOR | Ms. Neelam Dhawan's appointment is in line with statutory requirements. |
| 7-Sep-23 | Hindustan Unilever limited | POSTAL BALLOT | MANAGEMENT | Reappoint Leo Puri (DIN: 01764813) as Independent Director for five years from 12 October 2023 | FOR | FOR | Leo Puri's reappointment is in line with statutory requirements. |
| 8-Sep-23 | Sansera Engineering limited | AGM | MANAGEMENT | Adoption of standalone and consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 8-Sep-23 | Sansera Engineering limited | AGM | MANAGEMENT | Appoint Bindiganavile Raghunath Preetham (DIN: 03499506) as Executive Director and Group CEO for five years from 8 September 2023 and fix his remuneration | FOR | FOR | Bindiganavile Raghunath Preetham's appointment is in line with statutory requirements |
| 8-Sep-23 | Sansera Engineering limited | AGM | MANAGEMENT | Approve alteration in Articles of Association to ratify Article 43(g) on the board nomination rights of the promoters | FOR | FOR | The resolution is in line with the statutory requirements. |
| 8-Sep-23 | Sansera Engineering limited | AGM | MANAGEMENT | Approve continuation of Subramonia Sekhar Vasana (DIN: 00361245) as Chairperson and Managing Director as he has attained 70 years of age on 2 March 2023 | FOR | FOR | Subramonia Sekhar Vasana's continuation is in line with statutory requirements. |
| 8-Sep-23 | Sansera Engineering limited | AGM | MANAGEMENT | Approve final dividend of Rs. 2.50 per equity share of face value Rs. 2.0 per share for FY 2023 | FOR | FOR | The total dividend payout ratio is 8.8%. We support this resolution. |
| 8-Sep-23 | Sansera Engineering limited | AGM | MANAGEMENT | Approve revision in commission to Independent Directors, aggregating to Rs. 5.4 mn or up to 1% of net profits, whichever is lower, from 1 April 2023 till the end of their current tenure | FOR | FOR | The proposed commission is in line with peers and reasonable compared to the size and complexity of business. |
| 8-Sep-23 | Sansera Engineering limited | AGM | MANAGEMENT | Approve revision in remuneration of Subramonia Sekhar Vasana (DIN: 00361245) as Chairperson and Managing Director and Fatheraj Singhvi (DIN: 00233146) as Joint Managing Director from 1 April 2023 till the end of their respective tenures | FOR | FOR | The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business. |
| 8-Sep-23 | Sansera Engineering limited | AGM | MANAGEMENT | Approve variation in terms of appointment of Subramonia Sekhar Vasana (DIN: 00361245) as Chairperson and Managing Director, to make him liable to retire by rotation | FOR | FOR | Subramonia Sekhar Vasana's appointment is in line with statutory requirements. |

Form L 43 Voting Activity Disclosure under Stewardship Code

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

For the quarter ending: September 30, 2023

Date: September 30, 2023

| Meeting Date | Investee Company Name | Type of Meeting (AGM/EGM) | Proposal of Management or Shareholder | Description of the proposal | Management Recommendation | Vote For/Against /Abstain | Reason supporting the vote decision |
|--------------|---------------------------------|---------------------------|---------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 8-Sep-23 | Sansera Engineering limited | AGM | MANAGEMENT | Not fill casual vacancy caused by retirement of Raunak Gupta (DIN: 06624489) as Non-Executive Non-Independent Nominee Director, not liable to retire by rotation | FOR | FOR | The resolution is in line with the statutory requirements. |
| 8-Sep-23 | Sansera Engineering limited | AGM | MANAGEMENT | Ratify remuneration of Rs. 300,000 payable to Rao, Murthy & Associates as cost auditors for FY 2024 | FOR | FOR | The proposed remuneration is reasonable compared to the size and scale of the company's operations. |
| 8-Sep-23 | Sansera Engineering limited | AGM | MANAGEMENT | Reappoint Fatheraj Singhvi (DIN: 00233146) as Director, liable to retire by rotation | FOR | FOR | Fatheraj Singhvi's reappointment is in line with statutory requirements. |
| 11-Sep-23 | Tata Steel limited | POSTAL BALLOT | MANAGEMENT | Approve additional material related party transactions of upto Rs 50.0 mn between Tata Steel Downstream Products Limited (TSDPL) and Tata Motors Limited for FY 2024 | FOR | FOR | The resolution is in line with the statutory requirements. |
| 11-Sep-23 | Tata Steel limited | POSTAL BALLOT | MANAGEMENT | Approve material related party transactions with Angul Energy Limited (AEL) aggregating up to Rs. 16.9 bn for FY 2024 | FOR | FOR | The resolution is in line with the statutory requirements. |
| 11-Sep-23 | Tata Steel limited | POSTAL BALLOT | MANAGEMENT | Approve material related party transactions with Tata Projects Limited (TPL) aggregating up to Rs. 12.2 bn for FY 2024 | FOR | FOR | The resolution is in line with the statutory requirements. |
| 11-Sep-23 | Tata Steel limited | POSTAL BALLOT | MANAGEMENT | Reappoint T V Narendran (DIN: 03083605) as Managing Director and Chief Executive Officer for five years from 19 September 2023 and fix his remuneration | FOR | FOR | T V Narendran's reappointment is in line with statutory requirements. The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business. |
| 11-Sep-23 | Tata Steel limited | POSTAL BALLOT | MANAGEMENT | Revise limits of related party transactions with Tata Motors Limited and Poshs Metal Industries Private Limited (PMIPL), to serve the ancillary entities of Tata Motors Limited, aggregating upto Rs. 11.9 bn for FY 2024 | FOR | FOR | The resolution is in line with the statutory requirements. |
| 18-Sep-23 | FSN E-Commerce Ventures limited | AGM | MANAGEMENT | Adoption of standalone and consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 18-Sep-23 | FSN E-Commerce Ventures limited | AGM | MANAGEMENT | Reappoint Milan Khakhar (DIN: 00394065) as Non-Executive Non-Independent Director, liable to retire by rotation | FOR | FOR | Milan Khakhar's reappointment is in line with statutory requirements. |
| 18-Sep-23 | FSN E-Commerce Ventures limited | AGM | MANAGEMENT | Reappoint Ms. Adwaita Nayar (DIN: 07931382) as Director, liable to retire by rotation | FOR | FOR | Ms. Adwaita Nayar's reappointment is in line with statutory requirements. |
| 18-Sep-23 | Landmark Cars limited | AGM | MANAGEMENT | Adoption of standalone and consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 18-Sep-23 | Landmark Cars limited | AGM | MANAGEMENT | Approve alteration to the Articles of Association (AoA) | FOR | FOR | The resolution is in line with the statutory requirements. |
| 18-Sep-23 | Landmark Cars limited | AGM | MANAGEMENT | Approve extension of the 'Landmark Cars Limited Employee Stock Option Scheme 2023' to the employees of holding, subsidiary and associate companies | FOR | FOR | The resolution is in line with the statutory requirements. |
| 18-Sep-23 | Landmark Cars limited | AGM | MANAGEMENT | Approve the 'Landmark Cars Limited Employee Stock Option Scheme 2023' | FOR | FOR | The resolution is in line with the statutory requirements. |
| 18-Sep-23 | Landmark Cars limited | AGM | MANAGEMENT | Declare final dividend of Rs. 2.25 per equity share (face value Rs. 5.0) for FY 2023 | FOR | FOR | The dividend payout ratio is 17.6%. We support this resolution |

Form L 43 Voting Activity Disclosure under Stewardship Code

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

For the quarter ending: September 30, 2023

Date: September 30, 2023

| Meeting Date | Investee Company Name | Type of Meeting (AGM/EGM) | Proposal of Management or Shareholder | Description of the proposal | Management Recommendation | Vote For/Against /Abstain | Reason supporting the vote decision |
|--------------|------------------------------------|---------------------------|---------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 18-Sep-23 | Landmark Cars limited | AGM | MANAGEMENT | Reappoint Aryaman Thakker (DIN: 07625409) as Director, liable to retire by rotation | FOR | ABSTAIN | IIAS has noted that since Aryaman Thakker's aggregate work experience is less than ten years, his reappointment does not align with voting guidelines of IIAS. The company has stated that Aryaman handles critical business for the company and has been instrumental in growth of the business. He also heads Landmark transformation team. Most recently, he has taken over establishing MG motors business in MP for Landmark cars. We believe that the stated resolution doesn't go against the statutory requirements and hence we abstain from voting. |
| 18-Sep-23 | Tata Steel limited | NCM | MANAGEMENT | Approve scheme of amalgamation between TRF Ltd (TRF) and Tata Steel Limited (TSL) | FOR | FOR | The resolution is in line with the statutory requirements. |
| 22-Sep-23 | Affle India limited | AGM | MANAGEMENT | Adoption of standalone and consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 22-Sep-23 | Affle India limited | AGM | MANAGEMENT | Appoint Walker Chandio & Co LLP as statutory auditors for five years from the conclusion of 2023 AGM till the conclusion of 2028 AGM and fix their remuneration | FOR | FOR | The appointment of Chandio & Co LLP is in line with statutory requirements. |
| 22-Sep-23 | Affle India limited | AGM | MANAGEMENT | Approve amendments to Affle (India) Limited Employee Stock Option Scheme 2021 | FOR | FOR | The proposed amendments are in with statutory requirements. |
| 22-Sep-23 | Affle India limited | AGM | MANAGEMENT | Reappoint Ms. Noelia Amoedo Casqueiro (DIN: 09636776) as Non-Executive Non-Independent Director, liable to retire by rotation | FOR | FOR | Noelia Amoedo Casqueiro's reappointment is in line with statutory requirements. |
| 28-Sep-23 | DLF limited | POSTAL BALLOT | MANAGEMENT | Appoint and approve continuation of Dr. Umesh Kumar Chaudhary (DIN: 10263441) as Independent Director for five years from 4 August 2023 and approve his continuation after he attains the age of 75 years on 15 December 2024 | FOR | FOR | Umesh Kumar Chaudhary's appointment and continuation is in line with statutory requirements. |
| 28-Sep-23 | DLF limited | POSTAL BALLOT | MANAGEMENT | Appoint Ashok Kumar Tyagi (DIN: 00254161) as Managing Director from 4 August 2023 for term co-terminus with his existing tenure as CEO & WTD upto 30 November 2027 | FOR | FOR | Appointment of Ashok Tyagi is in line with statutory requirements. |
| 28-Sep-23 | DLF limited | POSTAL BALLOT | MANAGEMENT | Appoint Devinder Singh (DIN: 02569464) as Managing Director from 4 August 2023 for term co-terminus with his existing tenure as CEO & WTD upto 30 November 2027 | FOR | FOR | Appointment of Devinder Singh is in line with statutory requirements. |
| 29-Sep-23 | Dixon Technologies (india) limited | AGM | MANAGEMENT | Adoption of standalone and consolidated financial statements for the year ended 31 March 2023 | FOR | ABSTAIN | We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting. |
| 29-Sep-23 | Dixon Technologies (india) limited | AGM | MANAGEMENT | Appoint Arun Seth (DIN: 00204434) as Independent Director for five years from 29 September 2023 and approve his continuation on the board after attaining the age of 75 years | FOR | FOR | Arun Seth's appointment is in line with statutory requirements. |

Form L 43 Voting Activity Disclosure under Stewardship Code

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

For the quarter ending: September 30, 2023

Date: September 30, 2023

| Meeting Date | Investee Company Name | Type of Meeting (AGM/ EGM) | Proposal of Management or Shareholder | Description of the proposal | Management Recommendation | Vote For/Against /Abstain | Reason supporting the vote decision |
|--------------|------------------------------------|----------------------------|---------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 29-Sep-23 | Dixon Technologies (india) limited | AGM | MANAGEMENT | Approve annual remuneration upto Rs. 5.0 mn to Prithvi Vachani in office of profit as Assistant General Manager - Business Development, from 1 October 2023 | FOR | ABSTAIN | IIAS is unclear as to how Prithvi Vachani's remuneration (the son of Sunil Vachani, promoter and Executive Chairperson) has been internally benchmarked. The resolution does not specify a time-period, effectively seeking approval in perpetuity. IIAS does not support perpetual resolutions and expects companies to seek shareholders' approval on a periodic basis. As per the company, the proposed remuneration to be paid to Prithvi Vachani has been benchmarked basis his qualification and experience. The proposed resolution is in accordance with the applicable provisions of Companies Act, 2013 and rules made thereunder. We believe the resolution does not go against the statutory requirements. Hence, we abstain from voting. |
| 29-Sep-23 | Dixon Technologies (india) limited | AGM | MANAGEMENT | Approve Dixon Technologies (India) Limited — Employee Stock Option Plan, 2023 (ESOP 2023) under which 2.0 mn stock options can be granted | FOR | ABSTAIN | As per IIAS, the resolution does not provide detailed performance criteria for vesting and gives flexibility to the Nomination and Remuneration Committee (NRC) to provide a discount on the exercise price. As per the company, the resolution is in accordance with the applicable provisions of law. The NRC has power to determine the performance criteria from time to time and to offer suitable discount or charge premium to the market price. We believe the resolution does not go against the statutory requirements. Hence, we abstain from voting. |
| 29-Sep-23 | Dixon Technologies (india) limited | AGM | MANAGEMENT | Approve final dividend of Rs. 3.0 per share of face value Rs. 2.0 each for FY 2023 | FOR | FOR | The total dividend payout ratio is 8.5% of standalone PAT. We support the resolution. |
| 29-Sep-23 | Dixon Technologies (india) limited | AGM | MANAGEMENT | Approve grant of Dixon Technologies (India) Limited — Employee Stock Option Plan, 2023 (ESOP 2023) to employees of subsidiary companies and associate companies, including joint venture companies | FOR | ABSTAIN | As per IIAS, the ESOP is extended to employees of associate / joint venture companies and its recommendation is in line with resolution 9. As per the company, there is no prohibition under the law with respect to grant of stock options to the employees of associate / joint venture companies. We believe the resolution does not go against the statutory requirements. Hence, we abstain from voting. |
| 29-Sep-23 | Dixon Technologies (india) limited | AGM | MANAGEMENT | Approve remuneration of Rs. 475,000 payable to Satija & Co., as cost accountants for FY 2024 | FOR | FOR | The proposed remuneration is reasonable given the size and scale of the operations of the company. |
| 29-Sep-23 | Dixon Technologies (india) limited | AGM | MANAGEMENT | Reappoint Keng Tsung Kuo (DIN: 03299647) as Independent Director for five years from 12 April 2024 | FOR | FOR | Keng Tsung Kuo's reappointment is in line with statutory requirements. |
| 29-Sep-23 | Dixon Technologies (india) limited | AGM | MANAGEMENT | Reappoint S.N. Dhawan & Co. LLP as Statutory Auditors for five years from the conclusion of the 2023 AGM and fix their remuneration | FOR | FOR | S.N. Dhawan & Co LLP reappointment is in line with statutory requirements. The proposed remuneration is reasonable given the size and scale of the operations of the company. |
| 29-Sep-23 | Dixon Technologies (india) limited | AGM | MANAGEMENT | Reappoint Sunil Vachani (DIN: 00025431) as Director, liable to retire by rotation | FOR | FOR | Sunil Vachani's reappointment is in line with statutory requirements. |

| Sl. No. | Information | Number | |
|---------|---------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|
| 1 | No. of offices at the beginning of the year | 470* | |
| 2 | No. of branches approved during the year | 0 | |
| 3 | No. of branches opened during the year | Out of approvals of previous year | 0 |
| 4 | | Out of approvals of this year | 0 |
| 5 | No. of branches closed during the year | 0 | |
| 6 | No of branches at the end of the year | 470 | |
| 7 | No. of branches approved but not opened | 0 | |
| 8 | No. of rural branches | 5 | |
| 9 | No. of urban branches | 465 [#] | |
| 10 | No. of Directors:- (a) Independent Director (b) Executive Director (c) Non-executive Director (d) Women Director (e) Whole time director | No. of Directors:- (a) Independent Directors - 5 (Mr. M S Ramachandran, Mr. R K Nair, Mr. Dilip Karnik, Mr. Dileep Choksi, Ms. Vibha Paul Rishi) (b) Executive Director - 1 (Mr. Anup Bagchi) (c) Non-executive Directors - 8 (Mr. M S Ramachandran, Mr. R K Nair, Mr. Dilip Karnik, Mr. Dileep Choksi, Ms. Vibha Paul Rishi, Mr. Sandeep Batra, Mr. Anuj Bhargava, Mr. Solmaz Altin) (d) Woman Director -1 (Ms. Vibha Paul Rishi) (e) Whole time director -1 (Mr. Anup Bagchi) | |
| 11 | No. of employees | Number | |
| | (a) On-roll | 18,638 | |
| | (b) Off-roll | 0 | |
| | (c) Total | 18,638 | |
| 12 | No. of Insurance Agents and Intermediaries :- | | |
| | (a) Individual Agents | 203406 | |
| | (b) Corporate Agents-Banks | 34 | |
| | (c) Corporate Agents-Others | 104 | |
| | (d) Insurance Brokers | 430 | |
| | (e) Web Aggregators | 19 | |
| | (f) Insurance Marketing Firm | 87 | |
| | (g) Micro Agents | 2 | |
| | (h) Point of Sales persons (DIRECT) | 201 | |
| | (i) Other as allowed by IRDAI (To be specified) | 0 | |

Employees and Insurance Agents and Intermediaries -Movement

| Particulars | Number of Employees | Insurance Agents and Intermediaries |
|----------------------------------------|---------------------|-------------------------------------|
| Number at the beginning of the quarter | 18,314 | 2,01,856 |
| Recruitments during the quarter | 2,903 | 10,994 |
| Attrition during the quarter | 2,579 | 8,768 |
| Number at the end of the quarter | 18,638 | 2,04,082 |

*Disclaimer: 470 is the count of local offices in India. It does not include 1 Representative office which the Company operates in Dubai.

[#]Includes both Urban and Semi-Urban branches